A model-based scenario analysis of the impact of forest management and environmental change on the understorey

- **of temperate forests in Europe**
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8 Abstract

9 The temperate forest understorey is rich in terms of vascular plant diversity and plays a vital functional 10 role. Given the sensitivity of this forest layer to forest management and global environmental change 11 and the limited knowledge on its long-term dynamics, there is a need for decision support systems 12 that can guide temperate forest managers to optimize their management in terms of understorey 13 outcomes. In this study, using understorey resurvey data collected from across temperate Europe, we 14 developed Generalized Additive Models (GAM) to predict four understorey properties based on forest 15 management and environmental change data, and implemented this model in a web-based tool as a 16 prototype understorey Decision Support System (DSS). Using seventy-two combined climate change, 17 nitrogen(N) deposition and forest management scenarios, applied to two case study regions in Europe, 18 we predicted temperate forest understorey biodiversity dynamics between 2020 and 2050. A 19 sensitivity analysis subsequently allowed to quantify the relative importance of canopy opening, N 20 deposition and climate change on understorey dynamics. Our study showed that, regardless of regions, 21 understorey richness and the proportion of forest specialists generally decreased among most 22 scenarios, but the proportion of woody species and the understorey vegetation total cover increased.

Climate warming, N deposition, and increases in canopy closure all influenced understorey dynamics.
Climate warming will shift composition towards a selection of forest generalists and woody species,
but a less open canopy could mitigate this shift by increasing the proportion of forest specialists. The
case studies also showed that these responses can be context-dependent, especially in terms of
responses to N deposition.

Keywords: Temperate forest, climate change, N deposition, scenario analysis, DSS, sensitivity analysis,
 regional scale

30 1 Introduction

The forest understorey layer is the forest stratum composed of vascular plants with a height below ca. 1 m, and is a crucial diversity reservoir in temperate forest ecosystems, containing more than 80% of the vascular plant diversity (Gilliam, 2007). Besides, this layer plays an essential functional role in temperate forests, for instance, by influencing tree regeneration, water cycling, nutrient, and carbon dynamics (Landuyt et al., 2019).

36 Substantial research efforts have been invested into how the diversity and functioning of the 37 understorey community is being affected by multiple environmental drivers during the last decade 38 (e.g. (Bernhardt-Römermann et al., 2015; Dries Landuyt et al., 2020). These studies have found, for 39 example, that climate warming can cause a "thermophilization" of the understorey community, 40 reflected in declines in cold-adapted species and increases in warm-adapted species (De Frenne et al., 41 2013; Zellweger et al., 2020a). Moreover, elevated levels of N deposition can lead to acidification and 42 eutrophication at the forest floor (De Schrijver et al., 2011; Schmitz et al., 2019), leading to a replacement of oligotrophic species by eutrophic species (Dirnböck et al., 2014; Verheyen et al., 2012), 43 and often also a decline in species richness (Gilliam, 2006). Besides these global change drivers, also 44 management has been found to influence the understorey. Different forest management practices, 45 46 ranging from drastic overstorey species conversion to subtle differences in silvicultural systems, have

47 all been shown to affect understorey composition. Verstraeten et al. (2013), for example, found that 48 overstorey conversion from a temperate mixed deciduous forest to spruce plantation may lead to a 49 compositional turnover in the understorey, leading to an increased abundance of light-demanding and 50 acid-tolerant understorey species. Similar effects have been found when comparing alternative 51 silvicultural systems. Selective cutting, for example, has been found to have a negative effect on both 52 the structural and functional plant diversity compared to traditional coppice-with-standards management (Decocq et al., 2004). Finally, also forest management intensity may change the 53 54 functional composition of the understorey (Patry et al., 2017). Förster et al. (2017), for example, found 55 that understorey species richness may increase in intensively managed forests, mainly because of an 56 increase in canopy openness. In addition to these direct effects, forest management can also affect 57 the availability of resources (mainly light) for the understorey, via its effect on tree cover, and can 58 therefore decouple local resource availability and growing conditions from regional trends, potentially 59 buffering responses of the understorey to global change(Depauw et al., 2020; Dries Landuyt et al., 60 2020).

61 Future responses of the understorey to global change, however, are still difficult to predict. Context-62 dependencies and interactions between different global change drivers and forest management make 63 it often hard to apply the findings above to predict understorey dynamics in a specific setting (Perring, 64 et al., 2018). Especially since previous studies have focussed predominantly on system understanding 65 and inference, and less on improving the predictive ability of their models (Landuyt et al., 2018). This 66 lack of predictive models (in contrast to those available for overstorey modelling (e.g. Bugmann, 2001; Reyer, 2015) makes it extremely hard for forest managers to account for the understorey while taking 67 68 management decisions. Since numerous forest managers are starting to acknowledge the importance 69 of the understorey and are widely concerned about biodiversity, climate change, and forest 70 regeneration in temperate deciduous forests (Blondeel et al., 2021), there is a clear need for predictive 71 understorey models and, more importantly, decision support systems (DSS). These DSS generally 72 combine a user interface with simulation tools whose outcomes are translated into relevant

information for decision-making (Muys et al., 2011). However, understorey DSS are currently not
available, mainly due to the limited availability of understorey models with an acceptable predictive
performance (Landuyt et al., 2018; Blondeel et al., 2021).

76 In this study, we present a proof-of-concept prototype understorey DSS and, by applying our DSS, 77 show how forest understorey communities respond to a combination of changes in forest management and changes in environmental conditions. Based on the European scale understorey 78 79 resurvey database forestREplot (http://www.forestreplot.ugent.be/), we fitted multiple GAM models 80 to establish empirical links between a set of key understorey properties, including species richness, 81 vegetation cover, the percentage of woody species and the percentage of forest specialists, and a set 82 of environmental predictors, including canopy closure, climate, N deposition and soil data. Next, we 83 developed a web-based tool as a prototype understorey DSS using the fitted GAM model to predict 84 future responses of the understorey to forest management interventions and global change. Using 85 scenario analyses, we predicted understorey dynamics under multiple policy-oriented climate change, 86 N deposition and forest management scenarios from 2020 to 2050, for two case study regions in 87 Europe. Based on a sensitivity analysis, we finally quantify the relative importance of canopy closure, 88 N deposition and climate change on understorey dynamics within both case study regions.

89 2 Methods

90 2.1 Model setup

91 2.1.1Data collection

92 Understorey data was retrieved from the forestREplot database (<u>https://forestreplot.ugent.be/</u>).
93 From this database, we selected a subset of plots, following Perring et al.(2018), using 40 datasets
94 (1814 plots) containing resurvey data (i.e. vegetation survey conducted at two points in time)
95 distributed across temperate Europe, with an average interval of 38 years between the initial and
96 recent vegetation surveys (Perring, Diekmann, et al., 2018). All plots are considered ancient forest

97 plots that have been forested continuously since 1850 or earlier. Each dataset (containing data on 98 multiple plots within a specific region) in the forestREplot database comes from a relatively 99 homogeneous area in terms of climate and atmospheric N deposition, so we considered all plots 100 within a given dataset to experience similar macroclimatic and atmospheric N deposition conditions. 101 We complemented the data from the forestREplot database with data from the PASTFORWARD 102 database (https://pastforward.ugent.be/), the latter containing data on 192 temperate forest plots 103 scattered across 19 regions within the Central-Western European temperate deciduous forest biome 104 along spatial environmental gradients of atmospheric N deposition and climate conditions (Maes, et 105 al. 2020). For each plot, both databases hold information on plot size (m²), survey year, MAT(°C), atmospheric N deposition (kg ha⁻¹ year⁻¹ of N), mean annual precipitation (MAP, mm) and understorey 106 107 and overstorey composition both for the original and repeated surveys (Bernhardt-Römermann et al., 108 2015; Maes, et al., 2020; Perring, et al., 2018). We extracted topsoil pH (0-5 cm) values for all plots 109 from the SoilGrids database with a spatial resolution of 250m (Batjes et al., 2020). We recalculated 110 tree cover percentages using the Fischer correction method to obtain total tree cover values between 111 0 and 100% (Bernhardt-Römermann et al., 2015). These environmental variables (Figure 1) were used 112 as predictor variables to train and test a set of general additive models to predict understorey 113 composition (see section 2.1.2).

114 We selected four understorey response variables, these being understorey species richness, Fischer-115 corrected total understorey vegetation cover (%), the proportion of woody species (%) and the 116 proportion of forest specialists (%). The richness and Fischer-corrected vegetation cover were directly 117 estimated from the forestREplot and PASTFORWARD databases. We counted all woody species in a 118 plot and calculated the proportion of woody species as the ratio of the number of woody species to 119 total richness. This proportion of woody species can be a proxy for the amount of woody regeneration 120 in the understorey. We extracted 'woodiness' (two levels: woody versus herbaceous) as a functional 121 trait from the LEDA trait database (Kleyer et al., 2008). We used the proportion of forest specialists 122 (specialist versus non-specialist) as a proxy for number of species with conservation concerns, as these

123 species are linked explicitly to ancient forests. Heinken et al. (2022) have created a list of vascular 124 plant species for 24 geographical regions across 13 countries in Western, Central and Northern Europe 125 to classify forest specialist and generalist species based on their affinity to forests. We tallied the 126 number of times each species was counted as a specialist across all countries (categories "1.1" and 127 "1.2"). If this specialist tally was higher than all other classes combined, it was classified as a specialist 128 and otherwise as a generalist. These four variables (Figure 1) were used as response variables to train 129 and test a set of general additive models (see section 2.1.2). As not all records in the final dataset 130 contained data on all of the selected variables, we eliminated those records with missing data and 131 retained a dataset with 3733 records for modelling, including records from both the initial and recent 132 vegetation surveys conducted in the 2006 selected plots.

133 2.1.2 GAM model training

134 We applied general additive models (GAMs) to fit non-linear relationships between the environmental 135 predictor variables and the understorey response variables using R 4.1.1(R Core Team, 2020; Wood, 136 2017). The separate non-linear effects for multiple environmental variables are added together in the 137 GAM models, and thus produce complex compound effects depending on the input values. The GAM 138 model structure (see Equation 1) included seven independent variables, including five environmental 139 variables (N deposition, MAT, Tree cover, MAP and pH), and two survey-related covariates (Plot 140 size and Survey year). We consistently used the same independent variables in the GAMs for all four 141 response variables, being species richness, the Fisher-corrected understorey vegetation total cover 142 (%), the proportion of woody species (%) and the proportion of forest specialists (%). For each 143 response variable, we selected optimal link functions given the distribution of the data rather than 144 transforming the response variables to make residuals normally distributed. A Poisson distribution for 145 richness was used because these are count values (Oksanen et al., 2019). For the three other response 146 variables, the beta distribution was used because these continuous proportional values are bounded

between 0 to 1 (Douma & Weedon, 2019). For model training, we used data from the initial and recent
survey as independent records, comparable to a traditional space-for-time approach.

149 Response ~
$$s(N_deposition) + s(MAT) + s(Tree_cover) + s(MAP) + s(pH) +$$

150 $s(Plot_size) + s(Survey_Year)$

151 (Equation 1)

152 We first did multicollinearity analysis among selected seven independent variables, all VIF values were 153 below 5 which means there is no multicollinearity among independent variables (see online 154 supporting information Table S1). Then, we randomly split the complete dataset without empty 155 records (n=3733) into five equal portions and divided the five equal portions into a training 156 dataset(n=2986) and an independent training dataset (n=747). We first used the training dataset 157 cross-validated the GAMs to select the optimal splining method and the dimension (k) of the included 158 smoothing terms to make robust predictions, while avoiding overfitting. And then we used the testing 159 dataset to test the final model performance when the training procedure via cross-validation was 160 finished. The detailed cross-validation of the GAM model can be found in the online supporting 161 information. Our analysis can be regarded as a machine learning approach, which aims to maximize 162 the predictive performance rather than focus on statistical inference. Hence, spatial autocorrelation, 163 potentially violating statistical model assumptions, was not considered as being problematic in our 164 analysis.

165 2.1.3 Model performance

After model optimization, the penalty type was set to cubic regression splines, and the number of dimensions (k) was set to 3 (Final model see Equation S1). When reviewing the model fits for the final GAMs (Figure S5), we found relatively low R² values overall. These low R² values largely reflect the large variation in the observations (see pairwise scatterplots between all four response variables and each of the seven predictor variables in the online supporting information (**Error! Reference source**

171 not found.S4)). For understorey richness, the proportion of forest specialist and the proportion of 172 woody species, the predictor variables explained 20% of the variation but with relatively even residual 173 variance over the range of fitted values. This means that across the range of environmental values, 174 the mean values of observations roughly match the mean values of predictions. However, for cover, 175 R^2 was found to be low (10%), which resulted in a consistent prediction of the intercept value. Such a 176 consistent prediction of the average cover value across the whole data range means that cover will 177 likely be insufficiently sensitive to a change in environmental condition. Hence, both scatterplots 178 showed that the GAMs cannot accurately predict forest properties in one particular site, but can pick 179 up averaged trends of the species richness, proportion of woody species, and proportion of forest 180 specialists across environmental gradients.

181 2.1.4 Model predictions

182 To perform predictions based on the fitted final GAM models (as done in the DSS and for the two cases 183 studies in this manuscript), all predictor variables were set to a specific value, representing a certain 184 environmental change and management scenario. Predictor variables that were less relevant for 185 performing predictions, including 'survey year' and 'plot size', were either set to a fixed value (plot 186 size was set to 100m²) or excluded from the model (survey year) when calculating a certain expected 187 understorey response., While 'survey year' was excluded as a moderator on the expected value by 188 using exclude function from mgcv library (Wood, 2017), it was included when calculating the standard 189 error on the predictions. This means that, for a prediction in the future, 'survey year' will not change 190 the value of the prediction but it will affect the amount of uncertainty associated to the predicted 191 value.

- 192

2.2

Development of the understorey DSS

193 With our fitted models being the underlying machinery, we developed a proof-of-concept forest194 understorey DSS (named underscore,

see <u>https://underscore.shinyapps.io/understorey_tool_project/</u>) with the Shiny and Leaflet libraries from R (Chang, W.,et al., 2020; Cheng, J., et al., 2020; R Core Team, 2020). This web-based shiny application can provide the user with trends of changes in the understorey for a range of environmental scenarios between 2020 and 2050.

199 2.2.1 Geographic extent and spatial resolution

200 We set the spatial-environmental boundaries of the DSS to the temperate mixed deciduous forest 201 biome of Central-Western Europe (Figure 2). To identify this biome, we selected the area taken up by 202 the Atlantic Central, Atlantic North, Continental, Nemoral, and Pannonian Environmental Zones by 203 using the climatic stratification of Europe(Metzger et al., 2005). We regionalized these environmental 204 zones into the Nomenclature of Territorial Units for Statistics (NUTS) administrative regions of the 205 European Union (EU) and the United Kingdom(UK), which is a hierarchical system for dividing up the 206 economic territory of the EU and the UK (Eurostat, 2018). We selected the NUTS level 1 for our 207 purpose which separated administrative regions of countries on the level of major socio-economic 208 regions (e.g. federated states in Germany) (Eurostat, 2018). We randomly sampled 30 points within 209 each NUTS region. These sample points were used to calculate regional averages of environmental 210 variables and were used as the current input data for the DSS.

211 2.2.2. Definition of current environmental conditions

212 For each NUTS region, we included data on the current MAT, MAP, N deposition, and topsoil pH (0-213 5cm) in the DSS. We used the CRU TS3.4 (Harris et al., 2014) climate database to calculate the long-214 term climate between 1980-2015. We recalculated this monthly average surface temperature and 215 precipitation data into MAT and MAP values and used them as current values. This climate dataset 216 was also used to complement the understorey resurvey data with climate data, data that was used for model training (Perring et al., 2018; Maes et al., 2020). We used the recent N deposition data for the 217 218 year 2018 from the European Monitoring and Evaluation Program on air pollution data (EMEP, 2019) 219 to estimate the current average annual N deposition at a spatial resolution of 1 degree by 1 degree.

220 We refrained from using long-term cumulative N deposition data, because such values currently do 221 not have a clear policy implication while annual deposition values do (Dirnböck et al., 2018). We 222 integrated the available data on dry and wet oxidized and reduced N from the EMEP MSC-W model ("DDEP OXN m2Grid", "WDEP OXN m2Grid", "WDEP RDN m2Grid", "DDEP RXN m2Grid"), and 223 recalculated the data into an average N deposition in kg N·ha⁻¹·yr⁻¹ as current values across the NUTS 224 225 regions. We included information on topsoil acidity (pH 0-5 cm) using the 250m resolution spatial data 226 from the SoilGrids database (Batjes et al., 2020). Given that there are no policy targets associated to 227 MAP and topsoil pH, these two variables are treated as constant through time in the DSS and hence 228 not considered as dynamic inputs that can be modified by the users.

229 2.2.3. Definition of future environmental conditions

230 For the definition of future global change scenarios in the DSS, we build upon the work of Dirnböck 231 et al.(2018), who evaluated forest plant species trajectories under current legislation emission 232 scenarios of N deposition in combination with climate change scenarios (Representative Carbon 233 Pathways, RCP). We allowed three types of model inputs to vary, being N deposition, climate, and 234 canopy closure. The latter as a proxy for forest management intensity. We used existing policy 235 scenarios for N deposition from the First Clean Air Outlook(COM(2018)446) (European Commission, 236 2021) including a business-as-usual (BAU) scenario and a current legislation (CLE) scenario. The BAU scenario propagates the same annual rate of N deposition from 2018 until 2050. Data on the CLE 237 238 scenario for N deposition are available on the Greenhouse Gas - Air Pollution Interactions and 239 Synergies portal (GAINS: <u>https://gains.iiasa.ac.at/models/</u>) presented by the Clean Air Outlook. We 240 selected the European N deposition EMEP 28km SVG gridded data, with the scenario specified as "EU 241 Outlook 2017 – ver Dec. 2018" and "REF_post2014_CLE_v.Dec.2018" (see also Dirnböck et al., 2018). 242 After recalculating the unit of N deposition by applying the conversion factor of 1 keq N ha⁻¹yr⁻¹ being equal to 14 kg N ha⁻¹yr⁻¹, we used 30 sample points per NUTS region to calculate an average N 243 244 deposition of CLE.

245 Four climate change scenarios were used based on Shared Socioeconomic Pathways (SSPs). SSPs are 246 a set of carbon emission scenarios driven by different socioeconomic assumptions for the future, 247 including SSP1, SSP2, SSP3, SSP4 and SSP5 (IPCC, 2018). The SSP scenarios come with a narrative to 248 describe the socio-economic pathway that leads to a specific emissions amount. SSP4 was not included 249 in the DSS, because of the lack of available data for this scenario on the WorldClim database. The data 250 of future climate under these four scenarios is available from the Couple Model Inter comparison 251 Project Phase 6 (CMIP6, O'Neill et al., 2016). We selected 10 minutes gridded data for the period 252 2041-2060 (centered around the final year included in the DSS predictions (2050)) of the IPSL-CM6A-253 LR model (among nine available models) in WorldClim v2.1(Boucher et al., 2018). We used 30 sample 254 points per NUTS region to calculate an average MAT for the period between 2041 and 2060, for each 255 SSP scenario. Fischer-corrected tree cover(continuous values from 0%-100%) were used as the value 256 of canopy closure (Bernhardt-Römermann et al., 2015) which represent forest management intensity. 257 In the DSS, we included 3 different canopy closure values: 25% and 100% cover indicative for open 258 and closed forest conditions, respectively, and 75% cover as an intermediate value between both 259 extremes (Meeussen et al., 2021). These options were made available for both the starting and ending 260 conditions. As main outputs, the underSCORE DSS provides trends for four understorey properties, 261 including species richness, the Fisher-corrected understorey vegetation total cover (%), the proportion 262 of woody species (%) and the proportion of forest specialists (%).

263 2.2.4. Graphical user interface

The interface of the DSS, shown in Figure 2, includes three panels: the first panel allows users to select a region of interest on the map to start the simulation procedure. When choosing a region of interest, the current environment values (MAT, MAP, N deposition, pH) for the region will be shown on the map. In the left panel, users can adjust the current environmental conditions and select future change scenarios for the period 2020-2050. Predicted understorey trends for the selected region and global change scenario will be displayed in the right panel.

270 2.3 Scenario analysis

Based on our built GAM model, we projected scenario analysis and sensitivity analysis in two case
study regions, to show how forest understorey communities respond to a combination of changes in
forest management and changes in environmental conditions in the future.

274 2.3.1 Case study areas

275 We selected Flanders (NUTS code BE2) and Slovakia (NUTS code SK) as our case study regions. Flanders 276 is located in the north of Belgium, with 13.625 km² area and a 6.6 million population, is a highly 277 industrialized and densely populated area with a total forest cover of 11%, being one of the least 278 forested regions of Europe (EU, 2021). Slovakia is located in Central Europe, with 49.034 km² area and 279 a ca. 5.3 million population, with a high total forest cover of 45.3 % (EU, 2021). In Flanders, the current 280 MAT is 10.6° C, the current annual N deposition value is 19.3 kg ha⁻¹ year⁻¹, and the current MAP is 281 832 mm. In Slovakia, the current MAT is 8.1 $^{\circ}$ C, the current annual N deposition value is 10.2 kg ha⁻¹ 282 year⁻¹, and the current MAP is 756 mm. We extracted top soil pH data from the SoilGrids database 283 (Batjes et al., 2020), where the average soil pH value of Flanders is 5.5, and the average soil pH value 284 of Slovakia is 5.8.

285 2.3.2 Scenario analysis

To predict the understorey dynamics under management interventions and multiple environmental changes between 2020 and 2050 in the two selected case study regions, we carried out a full scenario analysis, including 2 N deposition scenarios, 4 climate change scenarios and 9 forest management scenarios, which in combination give rise to 72 (2x4x9) individual scenarios to be analyzed. The scenarios information for two case study areas can be found in the Table 1.

For each case study, we used the GAMs model to predict, for all four response variables and 72 scenarios, a trend between 2020 and 2050. For these predictions, regional means of annual precipitation (MAP) and soil pH were treated as constants. Next, we calculated the change of each

predicted response variable as the difference of each understory property value between 2020 and
2050 (Equation 2 and Equation 3, with response variable i and scenario j). This scenario analysis hence
resulted in one absolute change values for all response variables and for all 72 scenarios.

$$\Delta \hat{Y}_{i \cdot j} = \hat{Y}_{i \cdot j \cdot 2050} - \hat{Y}_{i \cdot j \cdot 2020}$$

298 Equation 2

299
$$SE_{\Delta \hat{Y}_{i:j}} = \sqrt{SE_{\hat{Y}_{i:j} \cdot 2050}^2 + SE_{\hat{Y}_{i:j} \cdot 2050}^2}$$

300 Equation 3

301 2.3.3 Sensitivity analysis

302 To analyze the sensitivity of the model to changes in its predictor variables, we fitted linear regression 303 models relating both changes and starting conditions in forest management and environmental 304 drivers to the predicted changes in understorey response variables, for both case studies separately. 305 The obtain standardized regression coefficients (SRC) denote the relative importance of each predictor 306 variable, representing the sensitivity of all response variables to multiple environmental changes and 307 forest management interventions. As predictor variables, we included N deposition decrease, annual 308 temperature increase (climate warming), the initial canopy closure, and canopy closure trend. We 309 didn't include the current N deposition load because that can be regarded as a constant within each 310 case study region. As response variables, we included change in species richness, change in 311 understorey vegetation total cover (%), change in proportion of woody species (%), and change in 312 proportion of forest specialists (%). N deposition decrease (as N deposition is expected to decrease 313 under the proposed Clean Air Outlook policy scenario) was defined as the difference between the 314 future N deposition and the current N deposition. Difference in MAT was defined as the difference between future MAT and current MAT and denoted here as 'Climate warming', as it is expected to 315 316 increase in all future scenarios, including the most stringent SSP1 scenario. Canopy closure trend was 317 defined as the difference between canopy openness in 2050 and the current canopy openness. As mentioned in section 2.2.3, we had three possible current canopy conditions (25%,75% and 100%),
and three possible 2050 canopy conditions (25%, 75% and 100%).

We first standardized the independent variables and response variables, and then fitted linear regression models for the four response variables separately. Next, we selected the optimal model using a multilevel model selection approach based on AIC by applying the dredge function from the MuMIn library in R 4.1.1 (Barton & Barton, 2020; R Core Team, 2020) to get the standardized regression coefficient for each independent variable.

325 **3 Results**

326 **3.1 Understory biodiversity dynamics between 2020 and 2050**

We found significant negative changes in understorey species richness for 70 out of 72 scenarios in Flanders. The forecasted change in understorey richness ranged between -2.46 and 0.095 species. In Slovakia, all scenarios decreased understorey richness ranging between -10.52 and -5.82 species, a more substantial change compared to Flanders (Figure 3). N deposition barely influenced understorey species richness in Flanders, but significantly changed understorey richness in Slovakia. The understorey species richness decreased under warming (from SSP1 to SSP5), across the majority of canopy scenarios, regardless of region. (Figure 3)

In Flanders, the understorey vegetation total cover ranged between 71% and 81% and is expected to increase across all 72 scenarios. The forecasted change in understorey vegetation total cover in Flanders ranged between 4.1% and 12.8%. In Slovakia, the total cover ranged between 68% and 78%, and is expected to increase in 64 out of 72 scenarios. The forecasted changes in understorey vegetation total cover of Slovakia ranged from -1.9% to 8.0%. The understorey vegetation total cover increased when warming occurred under all canopy scenarios in both regions. In Flanders, understorey vegetation total cover is expected to increase slightly with decreasing N deposition. In

Slovakia, however, understorey vegetation total cover is expected to decrease mildly with decreasing
N deposition (Figure 4).

343 We observed significant positive changes in the proportion of woody species for 66 out of 72 scenarios 344 in Flanders. The forecasted change in the proportion of woody species ranged between -4.4% and 345 27.9%. In Slovakia, the proportion of woody species is expected to increase under 67 scenarios, and is 346 expected to decrease under the other 5 scenarios. The forecasted change in the proportion of woody 347 species ranged between -5.4% and 33.3%. Independent of the region, the proportion of woody species 348 is expected to sharply increase when warming occurs under closed canopy conditions. The proportion 349 of woody species hardly responded to changes in N deposition in Flanders. However, in Slovakia, with 350 N deposition decreasing, changes in the proportion of woody species significantly increased (Figure 5).

In Flanders, the proportion of forest specialists is expected to decrease across 56 out of 72 scenarios, with the change in the proportion of forest specialists ranging between -23.9% and 9.5%. In Slovakia, the proportion of forest specialists is expected to decrease across 60 out of 72 scenarios, with the forecasted changes in the proportion of forest specialists ranging between -27.8% and 6.8%. In both regions, climate warming will shift the composition towards a higher share of forest generalists. A closure of the canopy over time (e.g. O to I, O to C) in combination with lower N deposition rates (CLE) are expected to benefit forest specialists (Figure 6).

358 **3.2 Sensitivity analysis**

The standardized regression coefficients (SRC) that quantify the relative importance of all environmental drivers in determining changes in the understorey for the two selected case study areas are shown in Table 2. The sensitivity analysis showed that N deposition decrease was the most important driver (absolute value of SRC > 0.6) for changes in understorey species richness in Slovakia, with an SRC of 0.62. However, no significant effect of N deposition decrease on understorey richness was found in Flanders. Climate warming was observed to be the most influential indicator for changes

365 in understorey vegetation cover, with positive effects in both regions, with an SRC of 0.75 for Flanders 366 and an SRC of 0.82 for Slovakia. Both in Flanders and Slovakia, the closure of the canopy over time was 367 found to be the most influential indicator for changes in the proportion of woody species, with an SRC of 1.08 for Flanders and an SRC of 0.95 for Slovakia, indicating that a closure of the canopy over time 368 369 leads to a higher proportion of woody species. Also for the proportion of forest specialists, changes in 370 canopy openness was found to be the strongest predictor, with an SRC of 0.95 for Flanders and an SRC 371 of 0.97 for Slovakia, indicating that a decrease in canopy openness over time increases the proportion 372 of forest specialists (Table 2) and vice versa.

373 **4 Discussion**

374 In this study, we used a GAM model-based scenario analysis combined with a sensitivity analysis to 375 project how understorey dynamics can be altered by global change in temperate deciduous forests. 376 We found that climate warming, N deposition, and canopy opening all influenced understorey 377 dynamics. Although changes in understorey richness and the total cover were not huge, the predicted 378 changes were mainly affected by climate warming (both richness and total cover) and the interaction 379 between changes in canopy opening and initial canopy openness (for richness only). The proportion 380 of woody species increased a lot with climate warming and an increase in canopy closure. The 381 proportion of forest specialist decreased with climate warming, but an increase in canopy closure may reverse this expected reduction. The forecasted changing trends were generally similar across both 382 383 regions, but differed in term of the response to N deposition.

4.1 Strengths and weaknesses of the proposed approach

An important step in environmental decision support is to predict the consequences of different management alternatives for achieving societal goals (Reichert et al., 2015). Ecological modelling and scenario analysis can be used to support this step. An essential aspect of making ecological models useful for environmental management is to align model inputs and outputs with management 389 decisions (Schuwirth et al., 2019). In our GAM model, input indicators, such as MAT, N deposition, and 390 canopy opening, are related to management and policy priorities, while the output indicators, four 391 understorey properties, are related to the foci (e.g. biodiversity loss, forest regeneration) from 392 decision-makers in temperate deciduous forests (Blondeel et al., 2021). Similarly, the two global 393 environmental change drivers we selected for scenario development, climate change and N deposition, 394 can be considered the dominant drivers that will shape future environmental conditions with clearly 395 defined future targets that are focused upon by policy makers. Finally, the forest management 396 scenarios are closely related to the actions taken by local managers in the field. This combination of 397 policy and manager-oriented scenarios can be considered as a main strength of our DSS.

398 To construct our DSS and the underlying models, we applied a space-for-time approach. The validity 399 of this approach has been debated in the literature, with conclusions ranging from strong support 400 (Blois et al., 2013) to strong rejection (Damgaard, 2019). The main assumption behind a space-for-401 time approach is that spatial and temporal patterns of biodiversity response to environment are 402 equivalent (Pickett, 1989), however, biodiversity changes may be lagging behind environmental 403 changes (Bertrand et al., 2016) leading to the fact that space-for-time approaches often fail to 404 accurately predict biodiversity change as a response to environmental change over time. On the other 405 hand, a space-for-time model usually has less uncertainties than a temporal model (De Lombaerde et 406 al., 2018). Moreover, space-for-time approaches often make use of a broader range of environmental 407 variables for model training, limiting the need for extrapolation beyond the dataset limits when 408 predicting into the future as aimed for in our study.

The rather low predictive performance of our GAM models, suggesting that our models can not accurately predict changes in understorey composition for a specific stand, can be considered the main weakness of our DSS. However, the predicted mean trends remain meaningful when interpreted on the regional scale. This low predictive performance was expected because we did, for instance, not consider local variation in edaphic conditions, land-use history, the local understorey species pool and

forest age. Although including these additional predictor variables would probably increase model performance significantly, it would also increase data needs substantially, not only for setting up scenarios, but also for setting current environmental conditions by the end-users of the DSS.

417

4.2 Global change effects on understorey dynamics

418 In two regions, understorey richness and total cover are not the primary expected changes, hence 419 understorey alpha diversity and productivity are likely not threatened in the future. However, we can 420 expect major compositional shifts in extreme scenarios, where climate warming will benefit woody 421 species and forest generalists, but a closed canopy could mitigate this shift by slowing down the 422 decrease in forest specialists, such findings provide us with a perspective that increasing canopy 423 closure is key for understorey conservation in forest management. Increases in the proportion of 424 woody species with warming was expected, as previous studies already reported that the understorey 425 can shift to more woody species as a response to warming (Blondeel et al., 2020; Govaert et al., 2021). 426 Warming is an important driver of tree regeneration (and hence woody species in the understorey), 427 which has been found to influence temperate deciduous tree seedling performance positively by both 428 increasing survival and growth (e.g Carón et al., 2015; De Lombaerde et al., 2020). Additionally, in a 429 long-term warming experiment, Govaert et al., (2021) found that competitive generalists performed 430 better at the cost of forest specialists, as the forest specialist (e.g. Anemone nemorosa) was found to 431 be negatively affected by warming at the expense of the fast-growing generalists (e.g. Rubus 432 fructicosus). Indeed, across wide spatiotemporal gradients, forest generalists with large ranges are 433 now taking over at the expense of small-ranged forest specialists leading to a homogenization pattern in forest understories (Staude et al., 2020). However, increasing canopy tree cover can reduce 434 435 warming rates inside forests (De Frenne et al., 2019; Zellweger et al., 2020b) and, hence, mitigate this 436 shift, leading to a more stable number of forest specialists over time.

We additionally found that there were obvious differences between the two case study regions,especially in terms of the responses to N deposition decrease. The influence of N deposition decrease

439 on understory richness was only found in Slovakia, and not in Flanders. This might be caused by the 440 initial high N deposition in Flanders. Bernhardt - Römermann et al., (2015) analyzed temporal 441 understorey diversity changes across European temperate forests, and found that the initial level of 442 N deposition determined the subsequent diversity changes. If the initial level of N deposition was high, 443 the understorey richness changes was lower. High N deposition benefits nutrient-demanding species 444 (often large-ranged species), which replace small-ranged species, so that species turnover leads to no 445 net loss in local alpha diversity (Staude et al., 2021). Another alternative explanation is that the effects 446 of N-deposition in high deposition regions are no longer noticeable because the most sensitive species 447 are already lost (Walter et al., 2017). A reduction of N-deposition will not bring back the species that 448 have been lost from the regional species pool, given the slow colonizing capacity of forest specialists 449 (Verheyen et al., 2003). Additionally, Dirnböck et al., (2018) who assessed benefits of the CLE scenario 450 on understorey vegetation also found that the decrease in N deposition under the CLE scenario was 451 not enough to result in species recovery from eutrophication and suggested that N emission reduction 452 targets needed to be considerably more ambitious in high N deposition regions. In relation to our case studies, we can conclude that the N reduction target under the CLE scenario for Flanders is probably 453 454 too restricted to yield species recovery. In regions with a high current N deposition rate, there is clearly 455 a need for more stringent targets, to provide opportunities for lost species to recover.

456 **4.3 Outlook**

A DSS should be generally applicable for multiple professional groups, e.g. scientists, educators, forest managers, policy makers and consultants (Blondeel et al., 2021). Our online DSS is currently more oriented towards policy-makers that operate on a regional scale, rather than being oriented towards day-to-day practitioners that are responsible for the management of specific forest sites. Practitioners are likely more interested in a DSS that could underpin decision-making at a local stand-scale. Sitespecific factors, such as forest structure and tree species composition as well as soil properties (e.g. soil pH, C/N ratio, soil moisture,...), are known to significantly influence understorey composition and

diversity (Weigel et al., 2019; Zellweger et al., 2015). Future work should focus on integrating these
site specific data which will help to obtain more accurate site-specific predictions under global change.

466 **Author statement**

HB, DL, KV outlined the goal of the study. BW performed statistical analyses; HB performed model
selection and developed the online DSS; BW, with contributions from HB, DL and KV, wrote the
manuscript. All authors contributed to the drafts and gave final approval for publication.

Declaration of Competing Interest

471 The authors declare that they have no conflict of interest.

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 development of UnderScore DSS in this study.
- 480 Appendix A. Supplementary data

481 **Figures**



- 483 Figure 1. Graphical overview of the spread of understorey community properties and regional environmental
- 484 conditions included in the forestREplot database.

485



UnderSCORE: A proof-of-concept understorey decision support tool

- 486 Figure 2. Screenshot of the underSCORE DSS showing the map with all included EU-NUTS administrative regions
- 487 and the scenario panel at the left, and the predicted trends at the right.



Figure 3. Understorey richness changes under different scenarios in Flanders and Slovakia. BAU and CLE are N
deposition scenarios, representing the business as usual and current legislation EU scenarios, respectively. SSP1,
SSP2, SSP3, SSP5 are four climate change scenarios. Panel titles represent expected canopy dynamics between
2020 and 2050, with "C" representing a closed canopy (100% cover), "I" an intermediately open canopy (75%),
and "O" an open canopy (25%).





Figure 4. Understorey vegetation total cover changes under different scenarios in Flanders and Slovakia. BAU
and CLE are N deposition scenarios, BAU and CLE are N deposition scenarios, representing the business as usual
and current legislation EU scenarios, respectively. SSP1, SSP2, SSP3, SSP5 are four climate change scenarios.
Panel titles represent expected canopy dynamics between 2020 and 2050, with "C" representing a closed canopy
(100% cover), "I" an intermediately open canopy (75%), and "O" an open canopy (25%).





Figure 5. Understorey Woody species proportion changes under different scenarios in Flanders and Slovakia. BAU and CLE are N deposition scenarios, representing the business as usual and current legislation EU scenarios, respectively. SSP1, SSP2, SSP3, SSP5 are four climate change scenarios. Panel titles represent expected canopy dynamics between 2020 and 2050, with "C" representing a closed canopy (100% cover), "I" an intermediately open canopy (75%), and O an open canopy (25%).



Figure 6. Understorey forest specialist species proportion changes under different scenarios in Flanders and Slovakia. BAU and CLE are N deposition scenarios, BAU and CLE are N deposition scenarios, representing the business as usual and current legislation EU scenarios, respectively. SSP1, SSP2, SSP3, SSP5 are four climate change scenarios. Panel titles represent expected canopy dynamics between 2020 and 2050, with "C" representing a closed canopy (100% cover), "I" an intermediately open canopy (75%), and "O" an open canopy (25%).

515 Tables

516 Table1. Annual N deposition under two N deposition scenarios, MAT under four climate change scenarios, and canopy closure under forest management scenarios (column

517 headings) for the two case areas (row headings).

Areas	Annual N	deposition (kg	Moon annual tomporature (MAT) (°C)			Canopy closure of forest management			Canopy closure of forest management			
	ha-1 year-1)						scenarios (start condition)			scenarios (end condition)		
	Business	Business Current	SSP1	SSP2	SSP3	SSP5	Open	Intermediate	Closed	Open	Intermediate	Closed
	as usual	legislation										
Flanders	19.30	17.1178	12.08304	12.30506	12.63691	13.14018	25%	75%	100%	25%	75%	100%
Slovakia	10.20	7.703684	10.30073	10.45817	10.91309	11.6152						

518

Table2. Standardized regression coefficients showing the importance of forest management interventions and multiple environmental drivers (row headings) for determining changes in several understorey properties (column headings), for the two case studies separately. Background colours refer to the following value ranges: grey for -0.6 < SRC <-0.3, white for -0.3 < SRC < 0.3, light orange for 0.3 < SRC < 0.6, bright orange for 0.6 < SRC < 0.9, dark orange for SRC > 0.9. ***, ** and * denote p values <0.001, <0.01 and

522 <0.05, respectively.

	Delta Richness		Delta under	storey vegetation	Delta woody proportion		Delta forest specialist proportion	
Input drivers			total cover					
	Flanders	Slovakia	Flanders	Slovakia	Flanders	Slovakia	Flanders	Slovakia
Climate warming	-0.25*	-0.36***	0.75***	0.82***	0.35***	0.36***	-0.18***	-0.24***
N deposition decrease		0.63***	-0.35***	0.25***		-0.45***	-0.06***	-0.04***
Canopy closure trend × Initial canopy	-0.44**	-0.35***	-0.26***	-0.21**	0.07*	0.06*	0.04**	0.03**
closure								
Canopy closure trend	0.03	0.02	0.18*	0.18*	1.07***	0.95***	0.95***	0.97***
Initial canopy closure	0.11	0.09	0.03	0.05	0.22***	0.23***	-0.05*	-0.01
Canopy closure trend × Climate warming					0.07*	0.08**		
Canopy closure trend × N deposition						-0 10***		
decrease						0.10		
Initial canopy closure× Climate warming					0.05 0.06			
Initial canopy closure× N deposition						-0.07*		
decrease						0.07		
Climate warming × N deposition decrease						-0.04		

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