Modulations of one's sense of agency during human-machine 1 interactions: a behavioral study using a full humanoid robot 2

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22 Abstract

23 Although previous investigations reported a reduced sense of agency when individuals act

- 24 with traditional machines, little is known about the mechanisms underpinning interactions
- 25 with human-like automata. The aim of this study was twofold: (1) to investigate the effect of
- 26 the machine's physical appearance on the individuals' sense of agency, and (2) to explore the
- 27 cognitive mechanisms underlying the individuals' sense of agency when they are engaged in a joint task. Twenty-eight participants performed a joint Simon task together with another 28
- 29 human or an automated artificial system as a co-agent. The physical appearance of the
- 30 automated artificial system was manipulated so that participants could cooperate either with a
- 31 servomotor or a full humanoid robot during the joint task. Both participants' response times
- and temporal estimations of action-output delays (i.e., an implicit measure of agency) were 32
- 33 collected. Results showed that participants' sense of agency for self- and other-generated
- 34 actions sharply declined during interactions with the servomotor compared to the human-
- 35 human interactions. Interestingly, participants' sense of agency for self- and other-generated actions was reinforced when participants interacted with the humanoid robot compared to the
- 36
- 37 servomotor. These results are discussed further.
- 38

39 1 Introduction

40 The sense of agency refers to the experience of being in control of a voluntary performed

41 action (Gallagher, 2000; Pacherie, 2007). During the last decades, a significant amount of

42 research examining the sense of agency has been carried out in the context of individual self-

43 generated actions (e.g., Barlas, Hockley, & Obhi, 2018; Haggard, Clark, & Kalogeras, 2002;

- 44 Renes, van Haren, Aarts, & Vink, 2015; Sato & Yasuda, 2005; Sidarus & Haggard, 2016,
- 45 Wen & Haggard, 2020). Thus, it has been pointed out that during self-generated individual 46 actions, individuals' brain actively constructs their sense of agency by using a combination of
- 47 both internal sensorimotor signals (e.g., feed-forward cues, proprioception, and sensory
- 48 feedbacks) and circumstantial signals (e.g., intentions, thoughts, and contextual cues) (Moore
- 49 & Fletcher, 2012; Synofzik, Vosgerau, & Voss, 2013).

50 Yet, in recent years, there has been an increasing interest in understanding the emergence of 51 this sense of agency for cooperative behavior where actions are intentionally produced by two 52 persons acting together. Initial results have suggested the possible transformation of the 53 agentive awareness and identity in such a cooperative context, from a sense of individual 54 agency to a sense of joint agency (e.g., Dewey, Pacherie & Knoblich, 2014, Bolt, Poncelet, 55 Schultz, & Loehr, 2016; Grynszpan, Sahaï, Hamidi, Pacherie, Berberian, et al., 2019; Jenkins, Esemezie, Lee, Mensingh, Nagales, et al., 2021; Le Bars, Devaux, Nevidal, Chambon, & 56 57 Pacherie, 2020; Obhi & Hall, 2011a; Strother, House, & Obhi, 2010). Indeed, on the one 58 hand, it is suggested that during the joint task, both individuals would experience a sense of 59 agency for their own actions and their outcomes (i.e., their individual parts of the joint task), 60 here proposed to be called sense of *self-agency*. Concurrently, on the other hand, both individuals would experience a form of agency for the actions and outcomes generated by 61 62 their co-agent (i.e., their co-agent's parts of the joint task), here proposed to be called sense of 63 vicarious agency. This dual presence of both the sense of self-agency and the sense of 64 vicarious agency over the partner's contributions during a cooperative task where the self-65 other distinction remains intact is therefore taken as evidence for the emergence of a form of 66 joint agency, here proposed to be called sense of shared agency (Pacherie, 2012; Silver, 67 Tatler, Chakravarthi, & Timmermans, 2020). It is to be noted that different types of joint 68 agency have been highlighted by prior work according to the degree of cooperation between the actors during the joint task (Silver, et al. 2020). Hence, Pacherie (2012) and Silver and 69 70 colleagues (2020) proposed that the sense of joint agency could be regarded as a sense of we-71 agency when the self-other distinction is blurred during the joint task (e.g., Obhi & Hall, 72 2011a) and rather regarded as a sense of shared agency when the self-other distinction 73 remains intact during the joint task. In this study, we will focus on this second form of

- 74 interaction.
- 75

76 This experience of shared agency during these cooperative joint tasks is an essential aspect of 77 human cooperativeness. Indeed, the development of an agentive experience during a joint task 78 can influence both the objective outcome quality (Babcock & Loewenstein, 1997) and the 79 subjective perception of the outcome quality thereby influencing whether people continue to 80 engage in the joint task (Caruso, Epley, & Bazerman, 2006). Nevertheless, due to the 81 increasing place of automated artificial systems in our daily lives, an important issue remains 82 the emergence of this sense of *shared agency* during interactions that involve artificial partners. Previous work has highlighted individuals' difficulties in developing a sense of 83 84 shared agency during joint tasks with computer co-agents (Obhi & Hall, 2011b; Sahaï, Desantis, Grynszpan, Pacherie, & Berberian, 2019). Actually, it has been proposed that these 85 difficulties could stem from humans' inability to simulate or represent the computer-generated 86 87 actions in their cognitive system (see Sahaï, Pacherie, Grynszpan, & Berberian, 2017 for a

88 comprehensive review). Indeed, the ability to simulate an observed (or guessed) other-89 generated action allows the simulation content to be used to predict the consequence of the 90 observed (or guessed) other-generated action, improving implicit action understanding and the 91 experience of being in control as during individual actions (Blakemore & Frith, 2005; Frith, 92 Blakemore, & Wolpert, 2000; Kilner, Friston, & Frith, 2007; Picard & Friston, 2014). At the 93 empirical level, the representation in one's own cognitive system of a co-agent generated 94 actions can be assessed using the joint version of the Simon task (Sebanz, Knoblich, & Prinz, 95 2003). In the standard Simon task, participants had to detect two types of targets with two 96 different response keys. Results showed that their performance decreased when the target 97 appeared in an incongruent location with respect to their response key (Simon and Small, 98 1969). This occurred because two action representations (i.e., the correct action to perform 99 and the spatially-induced automatic activated action) are activated and the participant has to 100 solve the conflict in order to select the accurate behavior. By contrast, when participants had 101 to detect only one type of target, there was no effect of location congruency. Intriguingly, 102 during the joint version of the Simon task (Sebanz, et al., 2003) in which the double target 103 detection task was distributed across two persons (i.e., each agent was responsible for only 104 one type of target), the interference effect for the incongruent target-response key mapping

105 reappeared.

106 Accordingly, previous investigation aimed at examining empirically the possible link between

the representation of a co-agent's action and the development of the sense of *shared agency* during a joint Simon task. Indeed, in a previous experiment, Sahaï and colleagues (2019)

109 coupled together a joint Simon target detection task wherein participants' response times

110 (RTs) served as an index of action co-representation (Sebanz, Knoblich, & Prinz, 2003; but 111 see Dolk, Hommel, Colzato, Schütz-Bosbach, Prinz, et al., 2011) and an intentional binding

112 task wherein time estimation served as an implicit measure of participants' sense of agency

113 (Haggard, et al., 2002). The intentional binding phenomenon refers to a subjective temporal

114 compression between a voluntary action and its sensory outcome. Importantly, this temporal 115 binding seems to reliably occur in situations in which the participant is an intentional agent,

116 but not with passive movements (Haggard, Clark, & Kalogeras, 2002). In the authors' task

117 (Sahaï et al., 2019), participants had to perform the Simon task jointly with a co-agent. They 118 were requested to detect colored dots (e.g., green dots) that appeared on a screen either on the

same side as the accurate response key (e.g., right key) or on the opposite side (e.g., left side),

which corresponded to a co-agent's current location. Throughout the task, the co-agent had to alternately detect a different type of dots (e.g., red dots) with a different response key (e.g.,

122 left key). The type of co-agent was manipulated so that participants could interact either with

123 another human being or with an unseen computer. Accurate target detections were always

124 followed by an auditory tone after a particular delay. Participants were requested to estimate

125 the delay between the onset of the target detection (that could be either self- or other-126 generated) and the onset of the subsequent auditory tone. The originality of this joint task

127 consisted in the fact that the two agents performed actions alternately so that temporal

estimations for the other-generated actions only indicated the participant' sense of *vicarious*

agency for the co-agent's actions. In fact, in previous studies that focused on individuals'

130 sense of agency during joint actions (e.g., Dewey, et al., 2014; Obhi & Hall, 2011a), the

participant's action and the co-agent's action were simultaneously performed. As a

132 consequence, this made it difficult to specifically explore the participants' sense of *vicarious* 133 *agency* for the actions generated by the co-agent excluding their own performance. The

results of Sahaï and colleagues (2019)'s study indicated that participants exhibited a stronger

results of Sanai and colleagues (2019) s study indicated that participants exhibited a stronge.

sense of agency for their partner-generated actions than for their own self-generated actions

during the human-human cooperation, suggesting a loss of sense of *self-agency* in this particular context of joint action. Importantly, participants were able to exhibit a sense of

- 138 *vicarious agency* for the other-generated action when the co-agent was another human being
- but not when it was a computer. This paralleled the RTs results demonstrating faster self-
- 140 generated responses when the target appeared at the same location as the response key in
- 141 comparison with the opposite location when they were cooperating with another human being
- but not with a computer. This stimulus-response congruency effect (or Social Simon Effect,
- 143 SSE) has been shown to derive from the cognitive interference that occur when two different 144 (144)
- representations of actions are concurrently activated (Simon & Wolf, 1963). Hence, it could
- be said that participants co-represented the actions performed by the human co-agent but this
- ability was impaired for the computer-generated actions.
- 147 Given the important role of prediction in both joint action (Sebanz, Bekkering, & Knoblich,
- 148 2006; Sebanz & Knoblich, 2009) and agency development (Sahaï, et al. 2017), these
- 149 difficulties in representing the action of the artificial partner may disturb action understanding
- and prediction, which may explain the difficulties in developing the sense of *shared agency*
- 151 when interacting with a computer co-agent. Moreover, recent neurophysiological
- 152 investigations have underlined that the sense of *shared agency* exhibited by two human
- 153 individuals during a joint task was correlated with inter-brain synchronization (Shiraishi &
- 154 Shimada, 2021). Yet, this cerebral activity has been shown to be decreased during human-
- 155 computer cooperation (Hu, Pan, Shi, Cai, Li, & Chen, 2018), suggesting that individuals were
- unable to neurally bind with the computer, as well as a lack of engagement (Schilbach,
- 157 Timmermans, Reddy, Costall, Bente, et al., 2013) with this type of machine.
- 158 Nevertheless, the large variety of automated artificial systems facing us, with varying
- 159 complexities from single-unit levers as well as desktop computers to full human-like
- 160 machines, must be taken in consideration. More in details, little is known about the specific 161 contribution of the external appearance of the machine in the alteration of the sense of *shared*
- 162 *agency* during human-machine interactions. Yet, there is evidence that during a joint task,
- 163 anthropomorphized robots, in contrast to traditional machines, can elicit the representation of
- 164 the machine-generated actions in the human brain. Indeed, human-like appearance favors the
- 165 attribution of an intentional agency to robots and evokes attitudes similar to those governing 166 human social interactions (Wiese, Meta, Wykowska, 2017). For instance, studies in
- neuroimaging have shown that, under certain constraints (the human-like appearance,
- 168 notably), the neural mechanisms involved in action understanding are activated for both
- 169 human-robot and human-human interactions (Saygin, Chaminade, Ishiguro, Driver, & Frith,
- 170 2012; Gallagher, Jack, Roepstorff, & Frith, 2002; Krach, Hegel, Wrede, Sagerer, Binkofski, &
- 171 Kirsher, 2008; Takahashi, Terada, Morita, Suzuki, Haji, et al., 2014; Wang & Quadflieg,
- 172 2015). Moreover, it has been shown that during hand-over interactions between a human and
- a robotic arm, the predictability of the robotic arm motions for the human was strongly
 dependent on the automaton's motion laws and physical appearance (Glasauer, Hubert, Basili,
- 175 Knoll, & Brandt, 2010). Indeed, the authors showed that when the robotic arm was handing
- 176 on a cube to the human seated in front of it, the human's RTs to grasp the cube were faster
- 177 when the robot assumed human-like kinematics in comparison with a trapezoidal joint
- 178 velocity (i.e., a typical robotic motion), meaning that individuals were able to better predict 179 the observed human-like movement endpoints. Interestingly, the effect of the kinematic
- the observed human-like movement endpoints. Interestingly, the effect of the kinematic profile on the RTs was modulated by the external appearance of the robot: when the robotic
- 180 prome on the RTs was modulated by the external appearance of the robot. when the robotic arm 181 arm had a humanoid appearance, its human partner had faster RTs than when the robotic arm
- had an industrial appearance, suggesting a better motion prediction. Moreover, the human's
- 183 RTs tended to be faster when the robotic arm had a typical robotic motion profile but a
- humanoid appearance than when the robotic arm had a human-like kinematic but an industrial
- 185 appearance. Finally, previous work on social robotics investigated the human ability to
- 186 represent actions that have been performed by a humanoid robot in one's cognitive system

- 187 (Stenzel, Chinellato, Bou, del Pobil, Lappe, et al., 2012). In the study by Stenzel and
- 188 colleagues (2012), the participants were sitting next to a full humanoid robot described either
- as an intelligent and active agent or as a passive machine acting in a deterministic way. The
- 190 participants had to detect one type of target (e.g., a white square) that could appear on the left 191 or the right side of a screen. The task of the robot was to detect another type of target (e.g., a
- 191 or the right side of a screen. The task of the robot was to detect another type of target (e.g., a 192 white diamond) on the same screen. Interestingly, the authors found a SSE in the participants'
- 193 RTs when the robot was introduced as a human-like robot who can actively act but not when
- the robot was introduced as a deterministic machine. Hence, this finding pointed out that
- representation of machine-generated actions could also occur during a joint task with a
- 196 humanoid robot provided that the robot was considered as an active partner. Possibly, to
- 197 envisage the other as similar as oneself is needed in order to map their actions into one's own
- 198 cognitive system during a joint task. Therefore, the first objective of the current study
- 199 consisted in investigating the impact of an artificial system's physical appearance (i.e.,
- human-like or not) on both individuals' sense of *self-agency* and *vicarious agency* using a
- 201 paradigm that allows the measurement of action representation.
- 202 In addition, the second objective of the current study was to investigate the underlying
- 203 cognitive mechanisms of the modulation of the sense of *self-agency* towards an experience of
- a sense of *shared agency* during a joint task with a machine. Indeed, it has been established
- 205 that individuals could build a sense of "we-ness" during human-human joint tasks (Crivelli &
- Balconi, 2010; Dewey, et al., 2014; Obhi & Hall, 2011a). Moreover, previous work has
- 207 highlighted that egocentric sensory predictions were less involved in the construction of the
- agentive experience during joint action, with respect with individual actions. For example,
- some authors reported that individuals had a general bias towards claiming more explicit
- control than they objectively had over a performed joint action (Dewey, et al., 2014; van der
 Wel, Sebanz, & Knoblich, 2012), indicating a modulation of the *self-agency* experience
- during human-human joint actions. However, whether such a new "we-identity" is
- 213 constructed during human-robot interactions remains unclear as most of the study have
- focused on actions that have been generated by a computer (Obhi & Hall, 2011b, Sahaï et al.,
- 215 2019) or by low-level robotics (Grynszpan, et al., 2019).
- 216 In this context, the aim of the current study was twofold: (1) to investigate the effect of the
- robot's physical appearance on the individuals' sense of *self-agency* and *vicarious agency*, (2)
- to explore the cognitive mechanisms underlying the sense of *shared agency* when individuals
- are engaged in a joint task with a machine. We ran a modified version of Sahaï and colleagues
- 220 (2019)' paradigm. In the current study, the type of co-agent was manipulated so that the
- participants could perform the task jointly with another human, a full humanoid robot, or a
 servomotor. All accurate target detection triggered an auditory tone after a certain delay. We
- investigated the participants' sense of agency for the individual parts of the joint task: the
- sense of agency over the participant's *own part* of the joint task (here called sense of *self*-
- *agency*), and the sense of agency over their *partner's part* of the joint task (here called sense of *vicarious agency*). Particularly, the participants had to estimate the temporal delay between
- the onset of the target detection (either self- or other- generated) and the onset of the tone.
- This measure served as an implicit measure of participants' sense of agency (intentional
- binding phenomenon, Haggard, et al., 2002). We hypothesized that the more similar to the
- 230 participants the co-agent would be, the stronger the participants' sense of *vicarious agency*
- would be, mainly due to their ability to better simulate and predict their co-agent's actions and
- outcomes. We also hypothesized a shift from a sense of *self-agency* to a sense of *shared*
- *agency* with the human and human-like co-agents but not with the servomotor due to the
- 234 foreseeable construction of the "we-identity" with the first two agents.

236 2 Method

237 2.1 Ethic statement

238 This study was approved by the institutional ethical research committee of the Université libre

- de Bruxelles (Belgium, N° 008/2016). The investigation was carried out in accordance with
- the Declaration of Helsinki and all participants provided their written informed consent before starting the experiment. All participants were assigned a number in order to ensure the
- 241 starting the experiment. All partici-242 anonymity of the data.

243 2.2 Participants

244 Participants were recruited trough social medias. Twenty-eight healthy adults volunteered to

- take part in the experiment (22 women, 24 right-handed, mean age 23.61 years, SD: 3.52
- years). Two power analyses tested for repeated measures and within-factors ANOVA were
 run using G*Power application (Faul, Erdfelder, Lang, & Buchner, 2007) in order to estimate
- the minimal required sample size to highlight differences on participants' RTs and temporal
- interval estimations. The significance threshold was set at $\alpha = .05$ and the power at $1-\beta = .90$
- for both power analyses. Based on the parameters reported in the previous study by Sahaï and
- colleagues (2019), the first power analysis revealed that a sample of 9 participants was needed
- to exhibit a SSE on participants' RTs when considering three types of Co-agent (Human,
 Human-like, Servomotor), two levels of Congruency (Congruent, Incongruent), and an effect
- size defined by partial $n^2 = .42$ (SSn = 3200.03 and SSd = 4484.45). Moreover, because the
- authors' study did not report any significant Co-agent x Congruency x Agent interaction on
- 256 participants' temporal estimations in their previous investigation, an a priori medium effect
- size defined by partial $\eta^2 = .09$ was considered in the second power analysis. In this later analysis, we found that a sample size of 21 participants was needed to exhibit differences on
- 250 analysis, we found that a sample size of 21 participants was needed to exhibit differences of 259 participants' temporal estimations when considering three types of Co-agent (Human,
- 260 Human-like, Servomotor), two levels of Congruency (Congruent, Incongruent), and two
- 261 levels of Agent (Self, Other). Therefore, the minimal required sample size in the current study
- consisted of a sample of 21 participants. A little over participants were finally tested in order
- to compensate for potential data loss. All participants had normal or corrected-to-normal
- vision. None of them had prior knowledge about the purpose of the experiment. Participants
- 265 were paid \in 30 for their participation in the experiment.

266 2.3 Materials and stimuli

267 Two desktop computers were used to allow pairs of participants to run the experiment in268 parallel.

- 269
- 270 Visual stimuli consisted of three dots of 0.5 cm diameter: a white dot, a blue dot, and a yellow
- dot. An auditory tone (1000 Hz and 200 ms duration), presented via a headphone, was used
- during the experiment as a sensory consequence of the agent's key presses for measuring
- intentional binding. Moreover, the use of headphones made it possible to mask the sound
- naturally generated by the co-agent's actions (e.g., the sound outputted from the effector in
- 275 motion or from the key presses).
- The type of co-agent participants interacted with was manipulated using a within-participants design so that participants successively interacted with another human, a full humanoid robot

- 278 named Pepper, and a servomotor in a counterbalanced order (see Figure 1 for pictures of the
- two robots). Robots such as Pepper belong to a class of robots designed to engage people at an interpersonal and socio-affective level (Breazeal, Takanishi, & Kobayashi, 2008), and are
- called social robots (see Fong, Nourbakhsh, & Dautenhahn, 2003 for a discussion of the
- called social robots (see Fong, Nourbakinsh, & Dautennann, 2003 for a discussion of the concept of social robot). However, in order to control prior belief or expectations about the
- robots, neither Pepper nor the servomotor interacted with the participants before the testing
- phase. Hence, both machines were already powered up and placed at the suitable location
- when participants entered in the testing room. Participants were told that they would have to
- 286 perform a joint task with different co-agents, without being introduced to each other. During
- the task, Pepper's key presses were performed with the help of its fist, and the servomotor's
- 288 key presses were performed according to a toggle mechanism of a pivoting bar.
- 289 When the humanoid robot or the servomotor performed key presses, their RTs were randomly
- taken from a normal distribution computed from the mean and standard deviation of naïve
- participants' RTs during a previous similar experiment (Sahaï, et al., 2019). Hence, the co-
- agents' RTs to detect the target were similar in all experimental conditions, that is to say,
- when the co-agent was another human, a humanoid robot, and a servomotor.
- 294 Stimuli presentation and robot-generated actions were controlled using PsychoPy software
- 295 (2_PY3 version).



- 296
- **Figure 1**. The humanoid robot and the servomotor used as co-agents during the experiment.

298 2.4 Procedure

- 299 Once arrived in the experimental room, participants were asked to give their informed and
- 300 written consent before to take part in the experiment. Participants and their co-agent were
- 301 seated on each side of a screen. They had to detect, as quickly and as accurately as possible,
- 302 colored dots that appeared either on the left or the right side of a central fixation cross.
- 303 Participants' co-agent could be either another naïve participant, a full humanoid robot, or a
- 304 servomotor (see Figure 2). During the human-human interactions, the participant and his/her
- 305 co-agent were matched both by gender and handiness.





Figure 2. The experimental display of the experiment when the participants performed the joint task with another human (a), the humanoid robot (b), and the servomotor (c).

309 Each trial started with a fixation cross that appeared at the center of the screen for 500 ms 310 followed by the immediate apparition of the target dot. According to the color of the target dot 311 (either blue or yellow), the participants or their co-agent (i.e., the other human, the humanoid 312 robot, or the servomotor) had at most 1000 ms in order to press their assigned response key 313 (e.g., left or right key, counterbalanced across participants) otherwise an error message 314 appeared, and the trial was canceled. Participants were informed of the onset of their own 315 action and those of their co-agent in real-time with the help of the presentation of a white dot 316 (with the same size as the target dot) that was displayed above the target dot for a duration of 317 200 ms. Participants were required to look at the computer screen throughout the experiment 318 and not to look at the actions performed by their co-agents. Each correct target detection was 319 followed by an auditory tone presented after the key press at one of two possible Stimulus 320 Onset Asynchronies (SOA) of 400 or 1200 ms, randomly selected. However, participants 321 were told that this delay was totally random and that it could vary between 100 ms and 2000 322 ms. After the presentation of the auditory tone, participants had to write on a sheet of paper 323 the perceived duration between the onset of the target detection (self- or other- generated, 324 indicated by the white dot appearing on the target) and the onset of the auditory tone (see 325 Figure 3 for a summary). The sheet of paper consisted of several empty rows, each 326 corresponding to a specific trial of the experiment. Participants were requested to report the 327 temporal estimation for the corresponding trial in the accurate row. These time interval 328 estimations served as an implicit measure of the participants' sense of agency (Haggard et al., 329 2002). Moreover, at each change of partner, participants were trained at the beginning of the 330 block to estimate and report their perceived duration of the action-tone intervals. During this training, they were presented with two different colors dots that appeared sequentially with a 331 332 random time interval comprised between 100 ms and 2000 ms. Participant were told to write 333 on a sheet of paper the perceived duration of this interval in milliseconds. Then, participants 334 were given a feedback with the correct delay that appeared on the screen in order to 335 accurately recalibrate their internal clock. This training session consisted of 25 trials. 336 Thereafter, participants performed 16 trials of the forthcoming experimental condition as

- training. The aim of this training was to familiarize participants with the task so that they
- 338 would associate their key presses with the subsequent auditory tone.
- 339 The mappings between the color of the target dot (e.g., blue or yellow) and the accurate
- 340 response key that was associated with (e.g., left or right key) were counterbalanced across
- participants but stayed constant throughout all the experiment for a given participant. For the
- participants' trials, every trial was coded as "Congruent" when the target appeared on the sideof the participant's response key, and as "Incongruent" when the target appeared on the
- 343 opposite side of the participant's response key. Moreover, for the co-agent's trials, every trial
- was coded as "Congruent" when the target appeared on the side of the partner's response key,
- and as "Incongruent" when the target appeared on the opposite side of the partner's response key
- 347 key. Participants completed a total of 720 trials (3 Co-agents (Human, Humanoid robot,
- 348 Servomotor) ×2 Agents (Self, Other) × 2 Congruency levels (Congruent, Incongruent) × 2
- 349 Delays (400, 1200) \times 30 trials).



351 Figure 3. A trial timeline. The trial started with a fixation cross that appeared for 500 ms. 352 Then, the target dot (either blue- or yellow- colored) appeared. According to the color of the target, participants or their co-agents had to detect the target by pressing a specific key (either 353 354 the left or the right key) within a time window of 1000 ms. Every target detection was 355 signaled by the target becoming white-colored. An auditory tone was generated at one of the two possible a SOA (either 400 ms or 1200 ms) following the target detection. Participant had 356 357 to report the perceived temporal delay between the onset of the target detection (self- or other-358 generated) and the onset of the tone.

359 3 Data analyses

360 Our first dependent measure was the participants' mean target detection RT. Our second 361 dependent measure was the participants' mean perceived action-tone temporal interval. To 362 distinguish the participants' trials from the co-agent's trials, the participants' trials were labeled "Self trials", and the co-agents' trials were labeled "Other trials". Statistical analyses 363 364 were performed with R software (3.3.1 version). Extreme values (i.e., the values that were 365 below or above 2 standard deviations from the mean) of the participants' RTs were excluded from further analyses in order to eliminate outliers and allow for robust statistical analyses. 366 367 These rejections represented 7% of the raw data. Previous work using the Simon task have 368 already used a similar approach in the pre-processing of the RTs (Wylie, Ridderinkhof, 369 Bashore, & van den Wildenberg, 2010; Sahaï, et al., 2019). The significance level was set at a 370 = .05. In addition, post-hoc comparisons were made using the False Discovery Rate (FDR)

371 correction (Benjamini & Hochberg, 1995).

372 **3.1 Response times (RTs) analyses**

- 373 This analysis was based exclusively on the data gathered in the conditions wherein
- 374 participants performed an action (i.e. the *Self* trials). An analysis of variance (ANOVA) was
- 375 computed on the participants' mean RTs with Co-agent (Human, Pepper, Servomotor) and
- 376 Congruency (Congruent, Incongruent) as within-factors, and Hand (Dominant, Non-
- dominant) as a between-factor. The Hand factor was included in the ANOVA because some
- 378 studies reported that handiness asymmetries could impact the stimulus-response congruency
- 379 effect (Rubichi & Nicoletti, 2006; Seibold, Chen, & Proctor, 2016). Note should be taken that
- the Target (Blue dot, Yellow dot) factor was not included in the ANOVA because the
- 381 stimulus-response congruency effect does not rely on the target identity (i.e., its color) but
- rather on the congruency between the location of the target and the location of the response location 1200 for the response to the response
- key. Lastly, the Delay (400 or 1200) factor was irrelevant for this analysis as the auditory tone was produced after the participants' response and therefore could not influence their RTs.

385 **3.2 Temporal interval estimations analyses**

- 386 The so-called Intentional Binding (IB) phenomenon was used as an implicit measure of the
- 387 sense of agency. This phenomenon refers to the individuals' illusory temporal attraction
- between the onset of a generated action (e.g., a key press) and the onset of its sensory
- 389 consequence (e.g., a tone) which occurs only when the action has been intentionally triggered
- 390 (Haggard, et al., 2002). IB is known as a robust implicit measure of sense of agency (for a
- 391 review, see Moore & Fletcher, 2012).
- 392 An analysis of variance (ANOVA) was computed on the participants' mean temporal
- 393 estimations with Co-agent (Human, Pepper, Servomotor) and Congruency (Congruent,
- 394 Incongruent) as within-factors and Agent (Self, Other) as a between-factor. The Congruency
- 395 factor was included in the ANOVA in order to investigate foreseeable effects of the
- conflictual action selection context (e.g., on incongruent trials) (Sidarus & Haggard, 2016) on
- the participants' mean temporal estimations. The action-tone Delay (400 or 1200 ms) was not
- 398 included in the ANOVA as a separate factor. Indeed, the participants' temporal estimations 399 for both delays were averaged as we were interested in the way the social context could
- 400 influence the temporal interval estimations, rather than the influence of different temporal
- 400 interval lengths on the reported temporal estimations. In the current study, the variations in
- 402 interval lengths were made to avoid a predictability bias.

403 **4 Results**

404 **4.1 Response times (RTs)**

405 We aimed to examine the occurrence of the Social Simon Effect (SSE) during a joint task

- 406 with regard to the nature of the co-agent (Human, Humanoid robot, Servomotor). We assessed
- 407 the normality of the RTs distributions of the differences between the congruent trials and the
- 408 incongruent trials, separately for each type of co-agent, using the Shapiro-Wilk test. The
- 409 analyses showed that none of the RTs distributions deviated from normality (all W > 0.90 and 410 all p > .10). Hence, a $3 \times 2 \ge 2$ analysis of variance (ANOVA) with Co-agent (Human,
- 410 all p > .10). Hence, a $3 \times 2 \times 2$ analysis of variance (ANOVA) with Co-agent (Human, 411 Humanoid robot, Servomotor) and Congruency (Congruent, Incongruent) as within factors
- 411 Humanoid robot, Servomotor) and Congruency (Congruent, Incongruent) as within factors 412 and Hand (Dominant, Non-dominant) as a between factor was computed. A significant main
- 412 and Hand (Dominant, Non-dominant) as a between factor was computed. A significant main 413 effect of Congruency was found on the participants' mean RTs, indicating longer participants'
- 414 mean RTs on Incongruent trials compared to Congruent trials (F(1,26) = 43.98, p < .001,
- 415 partial $\eta^2 = .63$). Moreover, there was no significant main effect of Co-agent (F(2,52) = 1.90,
- 416 p = .16, partial $\eta^2 = .07$) or Hand (F(1,26) = .04, p = .85, partial $\eta^2 = .001$) on the participants'
- 417 mean RTs. However, a significant interaction between Congruency and Co-agent was found

- 418 $(F(2,52) = 6.53, p = .003, \text{ partial } \eta^2 = .20, \text{ Figure 4})$ on the participants' mean RTs. Other
- 419 interactions did not reach significance (all ps > .05).
- 420 Post-hoc comparisons investigating the Congruency x Co-agent interaction revealed that the
- 421 participants' mean RTs on Incongruent trials were significantly longer than the participants'
- 422 mean RTs on Congruent trials when the Co-agent was a Human (respectively, 344.08 ms
- 423 (95%CI = [328.87; 359.30]) and 325.16 ms (95%CI = [310.21; 340.10]), *pFDR* < .001,
- 424 Cohen's d = .32) and a Humanoid robot (respectively, 355.39 ms (95%CI = [339.75; 371.03])
- 425 and 336.72 ms (95%CI = [321.31; 352.13]), pFDR < .001, Cohen's d = .26) but not when the
- 426 Co-agent was the Servomotor (respectively, 355.71 ms (95%CI = [340.21; 371.21]) and 427 349.86 ms (95%CI = [331.29; 368.44]), pFDR = .12, Cohen's d = .11). Hence, the SSE was
- 427 349.80 ms (95%CI = [551.29; 508.44]), pFDR = .12, Cohen s a = .11). Hence, the SSE was 428 observed both when the participants performed the task with another human and with the
- 428 humanoid robot. On the contrary, no SSE was observed when the participants interacted with
- 430 the servomotor.



- 432 **Figure 4.** The Congruency x Co-agent interaction on the participants' mean response times in
- the joint Simon task according to the type of Co-agent. Error bars represent standard errors.
- 434 All tests were two-tailed. *** corresponds to a p value < .001.

435 **4.2 Temporal interval estimations**

436 We aimed to examine the influence of the social context and the target congruency on the 437 participant's perceived temporal interval estimations between the onset of a performed action, 438 either self- or other- generated, and the onset of a subsequent auditory tone. A $3 \times 2 \ge 2$ 439 analysis of variance (ANOVA) with Co-agent (Human, Pepper, Servomotor), Congruency 440 (Congruent, Incongruent), and Agent (Self, Other) as within-factors. A significant main effect 441 of Co-agent (F(2,54) = 5.36, p = .008, partial $\eta^2 = .17$, see Figure 5) was found on the 442 participants' mean temporal estimations. This main effect indicated that the participants' 443 mean temporal estimations were shorter during the joint task with another human compared to 444 the humanoid robot (respectively, 631.42 ms (95%CI = [563.13; 699.71]) and 676.92 ms (95%CI = [578.00; 775.85]), *pFDR* = .03, Cohen's *d* = .44)) and to the servomotor (758.89) 445 446 ms (95%CI = [664.22; 853.56]), *pFDR* <.001, Cohen's d = .64). In addition, the participants' 447 mean temporal estimations were shorter during the joint task with the humanoid robot 448 compared to the Servomotor (pFDR = .001, Cohen's d = .57). Furthermore, no significant 449 main effect of Congruency (F(1,27) = 3.48, p = .07, partial $\eta^2 = .11$) or Agent (F(1,27) = .64, p 450 = .43, partial η^2 = .02) was found on the participants' mean temporal estimations. However, a

451 significant Congruency x Agent x Co-agent interaction was found on the participants' mean 452 temporal estimations (HFe = .78, p = .02, partial $\eta^2 = .15$, see Figure 6).



453

454 Figure 5. The main effect of Co-agent. Error bars represent standard errors. All tests were

455 two-tailed. * corresponds to a p value < .05, ** corresponds to a p value < .01 and ***

456 corresponds to a p value < .001

457 Post-hoc comparisons investigating the Congruency x Agent x Co-agent interaction revealed 458 that during Congruent trials, the participants' mean temporal estimations did not differ 459 between the Self and the Other trials when the Co-agent was another human (respectively 460 633.50 ms (95%CI = [573.90; 693.09]) and 634.30 ms (95%CI = [554.84; 713.77]), pFDR = 461 .97, Cohen's d = .01), the humanoid robot (respectively 678.50 ms (95%CI = [586.00; 462 771.00]) and 679.28 ms (95%CI = [574.86; 783.70]), *pFDR* = .97, Cohen's d = .01), and the 463 Servomotor (respectively 735.49 ms (95%CI = [640.80; 830.17]) and 732.26 ms (95%CI = 464 [632.06; 832.45]), *pFDR* = .90, Cohen's d = .02). However, during the Incongruent trials, a 465 significant difference indicated that the participants' mean temporal estimations were longer 466 during the Self trials compared to the Other trials when the Co-agent was the Servomotor (respectively 832.62 ms (95%CI = [745.65; 919.59]) and 735.18 ms (95%CI = [639.03; 467 468 831.34]), *pFDR* = .02, Cohen's d = .48). By contrast, the participants' mean temporal 469 estimations during the Incongruent trials did not differ between the Self trials and the Other 470 trials when the Co-agent was another human (respectively 635.28 ms (95%CI = [571.47; 471 (699.09]) and (622.59 ms (95% CI = [550.33; 694.84]), pFDR = .45, Cohen's d = .14) or the 472 humanoid robot (respectively 660.05 ms (95%CI = [567.37; 752.72]) and 689.87 ms (95%CI 473 = [579.79; 799.95]), *pFDR* = .23, Cohen's d = .23). Hence, the results indicated that the 474 participants' mean temporal estimations were modulated by the nature of the Agent (Self, 475 Other) only during the interactions with the servomotor, on the incongruent trials.





479 **5 Discussion**

480 The aim of this study was to investigate (1) the effect of the robot's physical appearance on

the individuals' sense of *self-agency* and *vicarious agency*, and (2) to explore the cognitive

482 mechanisms underlying the sense of shared agency when individuals they are engaged in a

ijoint task with a machine. Participants were requested to perform a joint Simon task with a co-

agent that could be either another human, or a machine. The machine appearance was

485 manipulated so that it could be a full humanoid robot or a servomotor. Every accurate target 486 detection (self- or other- generated) triggered an auditory tone after a certain delay. The

487 participants had to report the perceived delay between the onset of the target detection and the

488 onset of the auditory tone, which served as an implicit measure of the participants' sense of

489 agency (Haggard, et al., 2002).

490 With regards to the effect of the impact of the robot's physical appearance on the individuals' 491 experience of agency, our results revealed that overall, the participants reported shorter mean 492 action-tone temporal intervals during the joint task with the other human compared to the 493 humanoid robot, and shorter temporal estimations during the joint task with the humanoid 494 robot compared to the servomotor. This finding suggested an increased overall experience of 495 agency during the joint task with the humanoid robot relative to the servomotor. Furthermore, 496 our experiment provided additional evidence bearing on a debated issue in the literature, 497 namely, whether one's sense of agency is specific for one's own action or not. In the current 498 research, we demonstrated that the experienced sense of agency was not specific to one's own 499 actions, and that a form of vicarious agency was possible during a joint task. Importantly, our 500 findings also revealed that the humanoid appearance of a machine could impact the 501 development of the individuals' sense of *shared agency* during human-machine interactions. 502 Indeed, participants' overall experience of agency was at its maximum during the human-503 human interactions and sharply declined during the human-servomotor interactions while an 504 intermediate level was found during the interactions with the humanoid robot. At the same 505 time, we found that the participants' mean temporal estimations for the self-generated actions 506 were not different to the mean temporal estimations for other-generated actions during the 507 joint actions with the other human and with the humanoid robot, suggesting the emergence of

508 a sense of *shared agency* in the both cases. As matters stand, it is difficult to explain why 509 distinct experiences of agency were found for the self-generated actions and the other-510 generated actions during the joint task with the human co-agent in Sahaï and colleagues 511 (2019)' study whereas no differences were observed in the present study using a similar 512 paradigm. Interestingly, distinct temporal estimations were found for the self-generated 513 actions and for the other-generated actions during the joint task when the co-agent was the 514 servomotor on incongruent trials, meaning that no shared experience of agency (or shared 515 agency) occurred with this type of machine when the task difficulty increased. Seemingly, it 516 could be speculated that the similarity with the humanoid robot led participants to treat the 517 machine as a potential social partner (Fogg, 2003), echoing Searle (1983)'s contention that 518 recognizing the other as similar to oneself and as a potential agent is a prerequisite to 519 engaging in a collaborative activity (Searle, 1983). This ability to search for social boundaries 520 has been demonstrated to be present very early in life, which made individuals profoundly 521 social entities (Ciaunica, Constant, Preissl, & Fotopoulou, 2021; Fotopoulou & Tsakiris, 522 2017). Because human-like robots are known to elicit empathic behaviors in humans as 523 opposed to non-human-like robots (Riek, Rabinowitch, Chakrabarti, & Robinson, 2009; Kwak, Kim, Kim, Shin, & Cho, 2013; Slater, Antley, Davison, Swapp, Guger, et al., 2006; 524 525 but see also "uncanny valley" phenomenon, Misselhorn, 2009 and empathic behavior with 526 minimal humanity cues, Vaes, Meconi, Sessa, & Olechowski, 2016), it is conceivable to think 527 that participants were more likely to create similarity boundaries with the humanoid robot 528 compared to the servomotor (De Vignemont & Singer, 2006). Indeed, a linear relation has 529 been observed between the degree of anthropomorphism of robots and the activation of brain 530 areas involved in the processing of others' minds (Krach, et al., 2008).

Consistently, the participants' RTs were also modulated by the human-like features of the co-531 532 agent. Indeed, we found a Social Simon Effect (SSE) with longer RTs on the incongruent 533 trials compared to the congruent trials only when participants performed the joint Simon task 534 with another human and with the humanoid robot. By contrast, this effect disappeared during 535 the joint task with the non-human like machine (i.e., the servomotor). Supporting this, 536 previous studies using a joint Simon paradigm have shown no SSE on participants' RTs when 537 they partnered with non-biological agents (Sahaï, et al., 2019; Tsai, Kuo, Hung & Tzeng, 538 2008; Tsai, Knoblich, & Sebanz, 2011). Yet, some studies nevertheless observed a SSE when 539 sharing a Simon task with a non-human agent (Dolk et al., 2011; Dolk, Hommel, Prinz, & 540 Liepelt, 2013; Puffe, Dittrich, & Klauer, 2017; Stenzel & Liepelt, 2016). A possible reason 541 for explaining such a discrepancy may relate to the agents' belief regarding the partner. 542 Indeed, previous work emphasized that agents' beliefs on the origin of the robotic behavior 543 could influence the outcome on a variety of behavioral and neuroimaging tasks (Hortensius & 544 Cross, 2018; Stenzel, et al., 2012; Wykowska, Chaminade, & Cheng, 2016). For example, 545 Stenzel and collaborators showed that the SSE reappeared when an intentional stance towards 546 the machine was encouraged, that is, when the robot was described as an active and intelligent 547 agent (Stenzel, et al., 2012), and suggest that ascribing agency to the co-actor (i.e., perceiving 548 the co-actor as being the initiator of the action effect) is critical to observe the SSE (Stenzel, 549 Dolk, Colzato, Sellaro, Hommel, & Liepelt, 2014). In the current study, even if it was not 550 explicitly pointing out during task instructions, it is possible that having the participants 551 interact with agents of a different nature had unconsciously led them to focus on the 552 intentional aspect of the agents. Interestingly, the participants' RTs revealed that the SSE did 553 not differ in amplitude when the participants performed the task with another human and with 554 the humanoid robot. This suggested that the biological nature of the co-agent per se was not 555 what influenced the SSE, but rather the ability to consider the co-agent as a social partner as it 556 is the case with robots such as Pepper.

557 Finally, our findings support the existence of differences in the processing of the sense of self-558 agency and the processing of the sense of shared agency during a joint task. On the one hand, 559 it has been proposed that the individuals' sense of *self-agency* was informed by the dynamic 560 integration of both internal motor cues and contextual cues, with typically more weight given to the motor cues (Moore & Fletcher, 2012; Synofzik, et al., 2013). In addition, some authors 561 562 pointed out that when action selection was easy (e.g., on congruent trials), the participants' 563 sense of *self-agency* was stronger compared to a conflictual action selection context (e.g., on 564 incongruent trials) (Sidarus & Haggard, 2016). On the other hand, in the current study, no 565 Congruency effect was observed on the participants' mean action-tone temporal estimations 566 neither for the self- or the other-generated actions when participants partnered with another 567 human or with the humanoid robot, that is to say, when a sense of *shared agency* was experienced. By contrast, the Congruency did have an effect on the participants' mean action-568 569 tone temporal estimations for the self-generated actions when the sense of *shared agency* was 570 not present anymore, that is to say, when the participants partnered with the servomotor. 571 Taken together, this suggested that the fluency of action selection had a weaker role in the 572 construction of the sense of *shared agency* than in the sense of *self-agency*. Consequently, it could be tentatively suggested that the weight of the egocentric internal cues linked to 573 574 decision fluency was weakened when the individuals involved in the joint task were not 575 considered as separate entities but holistically within a shared "we-identity". Unlike 576 individual actions, during a joint task, the modulations in the individuals' sense of agency 577 may be prominently dependent on contextual cues, even if internal motor cues are available. 578 This corroborates previous investigations that showed that the experience of agency exhibited 579 during joint actions, which were performed simultaneously by the agents involved in the task, 580 was not based on egocentric predictions but depended on the degree of control exhibited by 581 the whole team (Dewey, et al., 2014; van der Wel, Sebanz, & Knoblich, 2015). Hence, the 582 current study provided additional evidence in the context of a joint task where the actions 583 were performed alternately by the two agents involved in the shared task. Lastly, the question 584 of whether similar results would have been observed with an explicit measurement of one's 585 sense of agency could be raised. However, explicit measurement of the individuals' sense of 586 agency have been shown to be mostly influenced by contextual cues such as prior thoughts for 587 example (Synofzik, Vosgerau, & Newen, 2008). Yet, in the current study, the author of the 588 generated key press was clearly identified given the color of the target so that the participants' 589 self-reported explicit judgment of agency would not have differed from the instructions 590 induced by the joint Simon task.

591 Eventually, some limitations of our work must nonetheless be acknowledged. Firstly, note 592 should be taken that during the experiment, the co-agent's effector was not hidden by a 593 physical separation. Hence, even though participants were given the explicit instruction to 594 look at the screen and not at the actions performed by the co-agent, peripheral vision might 595 have allowed them to discern their co-agent's actions. Consequently, it was not possible in the 596 current research to distinguish between the contribution of the low-level processing of the 597 social visual cues and the contribution of higher-order socio-cognitive processes. Secondly, because our experiment did not include a baseline condition (e.g., an experimental condition 598 599 wherein the participants would have to estimate the action-tone temporal delays triggered by a 600 computer program), it is difficult to know whether the lower level of agency found in the joint 601 task with the servomotor was a floor effect or already an increment of agency. Yet, recent 602 findings emphasized variations in the sense of vicarious agency exhibited by individuals 603 during a joint task with a non-human-like robot, according to the level of embodiment of the 604 machine-generated action (Roselli, Ciardo, & Wykowska, 2021). More specifically, it has 605 been shown that when participants were performing a joint task with a robot that performed a

606 physically perceivable action (e.g., executing a key press with the help of a limb, which

- 607 triggered an auditory tone), they were able to experience a sense of *vicarious agency* over the
- 608 robot's generated outcome. Conversely, when the robot's action was known but unperceivable
- and digitized (e.g., sending a Bluetooth command made noticeable with a visual signal, which
 triggered an auditory tone), the participants did not demonstrate a sense of *vicarious agency*
- for the robot's generated outcome anymore. Hence, it could be possible that the lower level of
- agency observed during the joint task with the servomotor in the current study did not imply a
- total absence of agency. Nonetheless, it could be said that the similarity of the humanoid robot
- 614 appearance with the participants seemed to boost the participants' sense of *shared agency*
- 615 during the joint task, in comparison to the non-anthropomorphized machine. Finally, another
- 616 limitation of the study was that the robot co-agents did not have the same physical size.
- 617 Indeed, while the humanoid robot was the size of a child, the servomotor was only about 10
- 618 centimeters tall. Hence, it is difficult to evaluate the part of the exogenous salience of the co-619 agent related to its size, and that related to the human-like embodiment in our results on the
- 620 representation of other-generated actions and on the sense of agency.
- 621 In conclusion, the findings of this research showed that automation technology design could
- 622 significantly change the individuals' agentive experience. Remarkably, human-like machines
- 623 helped to mitigate the reported negative aspects induced by traditional automated systems in
- 624 the individuals' experience of agency (Obhi & Hall, 2011b; Sahaï, et al., 2019). Indeed, the
- 625 participants' sense of agency was reinforced during the joint task with the humanoid robot
- 626 compared to the traditional machine, leading even to the construction of a sense of *shared*
- 627 *agency* during the interaction with the human-like automata. Importantly, it must be said that
- 628 the experience of agency is highly flexible and other factors could also influence how 629 individuals develop a sense of *shared agency* with a robot, such as the duration of
- 630 collaboration, the participants' intentional stance toward the robots (Barlas, 2019; Ciardo,
- 631 Beyer, De Tommaso, & Wykowska, 2020), and the robot behavior predictability (Bolt &
- 632 Loehr, 2017). Considering both the impact of the individuals' sense of agency on their
- 633 capacity to engage in cooperative joint tasks (Babcock & Loewenstein, 1997; Caruso, et al.,
- 634 2006) and the inexorable drive toward more automation, such findings must be taken into
- 635 consideration for the successful design of new automated systems.

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644 **7 Declaration of conflicting interests**

645 The authors declare that there is no conflict of interest.

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