A rate-dependent constitutive model predicting the double yield ¹⁰ phenomenon, self-heating and thermal softening in semi-crystalline ¹¹ polymers ¹²

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ARTICLE INFO

Keywords: Constitutive model Semi-crystalline polymers Double yield Strain rate sensitivity Self-heating

ABSTRACT

The double yield (DY) phenomenon observed in a wide variety of semi-crystalline polymers 23 (SCP) adds difficulties in the material characterization. In this paper, a constitutive model, termed 24 as explicit semi-crystalline polymer (ESCP) model, is proposed to study DY phenomenon as well 25 as the rate- and temperature-dependent thermomechanical response below the glass transition 26 temperature. The underlying yield kinetics due to the morphological changes of the spherulite 27 micro-structure is represented by a rheological analogue described by a physically-based 28 amorphous intermolecular resistance and a rate-independent crystalline interlamellar resistance. 29 Independently-identified viscoelastic response and network resistance are also implemented to 30 complete the model description. The activation and disclosure of the crystalline component 31 depend on the saturated state of amorphous phase. The proposed model is validated against ex-32 perimental data obtained from different authors for three commonly used SCPs: nylon 101, LDPE 33 and PA6. A straightforward parameter identification procedure, requiring a minimum number 34 of calibration tests, is presented to illustrate the model usage. The thermomechanical-coupled 35 analyses provide satisfactory predictions using simulated models of a cylinder compression and 36 dogbone tensile tests at different rates, where the self-heating and thermal softening effects are 37 naturally captured by the model. 38

1. Introduction

Over the last decades, fibre-reinforced polymer composites have been widely used in multiple engineering 41 applications thanks to their lightweight, high strength and design flexibility attributes. By using a thermoplastic 42 (TP) polymer as matrix in these composites, the recyclability is added as an advantageous attribute. However, the 43 usage of TP-based composites as load bearing components is still very limited due to the lack of understanding of 44 the behaviour of the TP matrices under different temperature, moisture content and loading speed [1–6]. A semicrystalline polymer (SCP) is a common type of thermoplastic that possesses a complex microstructure that can suffer 46 morphological changes hindering enormously its thermomechanical characterization [7–9]. SCPs are typically formed 47 by the packing of spherulites in which the amorphous phase and the crystalline lamellar nanoblocks are randomly 48 distributed [10, 11]. The interaction between the amorphous and the crystalline phases at both micro- and nano-scales 49 can lead to significantly different yield kinetics compared to purely amorphous polymers. This interaction is sensitive 50 to temperature as well as to the rhythm of deformation and it can generate a double yield point remarkably visible in 51 macroscopic stress-strain curves. 52

The double yield (DY) phenomenon has been reported for polyamide (PA) [3, 12–17], polytrimethyleneterephthalate (PTT), polybutyleneterephthalate (PBT) [18] and polyethylene (PE) [19–22]. Fig. 1 presents a typical stressstrain curves for nylon 101 with two clear yield points [12]. The first yield point ($\sigma_{y,I}$) is located at a strain around 5%. Researchers have proposed that $\sigma_{y,I}$ is related to the amorphous phase [10, 12, 20]. Parodi [16] studied the yield stress verifying whether the internal resulting plastic strain rate equals the applied strain rate. Rozanski and

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Galeski [23] examined the first yield in SCPs by modifying the swelling in the amorphous phase and they confirmed that plastic yielding of this phase was greatly affected and a stress reduction of the yield point was observed. Krajenta 59 and Rozanski [24] also investigated the effect of the physical state of the amorphous phase on the thermo-mechanical 60 properties of polypropylene (PP) introducing modifiers into the amorphous phase regions. The second yield point ($\sigma_{y | I}$) 61 at the strain around 30% can be explained by the crystallographic slip mechanisms of the intra-crystalline nanoblocks 62 or shear bands formation in the inter-crystalline domains. Bartczak and Galeski [25] studied the plastic deformation 63 process and the crystallographic slip mechanisms (fine chain slip, coarse chain slip and interlamellar shear) under tension and compression. They pointed out that both amorphous and crystalline phases are strongly connected, leading 6F to a simultaneous and consistent deformation of both phases. Also, the crystalline phase is highly affected by the 66 partially reversible shear deformation of amorphous layers. The shear on the crystalline blocks and the influence on 67 the yield mechanisms of PE were analysed by Plaza et al. [26]. As SCPs is monotonically deformed, the morphological 68 changes accompanied with the rearrangement of the spherulitic structure into the form of parallel microfibrils occurs 69 till the final rupture [20, 25, 27]. G'sell and Dahoun [28] have investigated the microstructural transformations induced 70 by the deformation for PE and polyetheretherketone (PEEK) with certain crystallinity degrees. The underlying DY phenomenon can be clearly observed within a SCP with a specific diameter of spherulite [11] and under certain 72 conditions, for instance, high strain rate, low temperature [29] or low moisture content [15]. Also, Luo et al. [13] 73 studied the effect of water and thermal treatment on the DY phenomenon of PA6 blends and neat PA6 in tension. Nitta 74 and Kuriyagawa [30] studied DY phenomenon of a metallocene-catalyzed HDPE in tension using catastrophe theory. 75 The initiation and propagation of necking were explained by the microstructure rearrangement of locally-formed cluster units of the single chain. To prevent any nonlinear geometrical effect (e.g., necking) on the appearance of the second 77 yield point under tensile tests, cylindrical samples in compression are generally recommended [19]. To study the yield 78 loci of SCPs, Farrokh annd Khan [31] developed an empirical pressure- and rate-dependent initial yield criterion for a 79 macroscopically isotropic SCP nylon 101. Hachour et al. [32] proposed a micromechanics-based two-phase criterion 80 for yielding of SCPs considering the effects of different crystallinities. Polińska et al. [33] recently proposed a method 81 to determine the modulus of interlamellar amorphous phase of SCP with an illustration of HDPE. They observed that there exists a linear increase in the modulus of interlamellar amourphous phase with the increase of crystallinities 83 thanks to the decrease in "deformability" of the amorphous phase. 84



Figure 1: True stress-strain curves in nylon 101 under uniaxial compression exhibiting the DY phenomenon (Adapted from Ref. [12]).

Constitutive models for SCPs have been developed either via phenomenological or physically-based approaches focusing on the large deformation of polymers, where macroscopic pre- and post- yield were studied. A phenomenological approach usually adopts a function to mathematically describe the flow stress in terms of both temperature and

strain rate. Generally, the behaviour of amorphous and crystalline phases are considered explicitly. With microstructural considerations, Lai et al. [34] formulated a nonlinear viscoelastic model for SCP under small strain and isothermal 80 conditions, where a perfectly elastic response of crystalline phase was assumed. Ayoub et al. [35] modelled the a٨ contribution of amorphous and crystalline phases acting in parallel to study the large deformation behaviour of HDPE 01 at room temperature by adopting the viscoplasticity theory based on overstress (VBO) [36–38]. Khan et al. [39] 93 characterized and modelled ultra high molecular weight polyethylene (UHMWPE) using the modified VBO. The 93 modified model was developed to capture the rate-reversal behaviour in creep and relaxation due to the loading history. 94 Alternatively, Drozdov and Christiansen [40] assumed the SCP as an effective isotropic homogeneous medium instead 95 of formulating a physical model including all possible micromechanisms. Similarly, Khan and Zhang [41] combined 96 a viscoelastic standard solid model with a viscoplastic component to describe polytetrafluoroethylene (PTFE) under 97 quasi-static and dynamic loads. Balieu et al. [42] formulated a new phenomenological elasto-viscoplastic damage 98 model with pressure-dependent yield surface for mineral filled PP and validated with uniaxial tension and compression 99 tests. Balieu et al. [43] also proposed a damage characterization method for SCPs under high strain rates using digital 100 image correlation (DIC). This method was compared to the classical damage characterization by the loss of stiffness 1 01 and it proved suitable for measuring ductile damage. Readers are referred to these approaches without considering 102 micromechanisms [4, 5, 44-46]. 103

On the other hand, a physically-based approach generally considers the material macromolecular structure with some idealized assumptions. Therefore, the identified material parameters possess physical meanings. These approaches can be divided into micromechanical, two-phase analogue and effective amorphous ones:

Micromechanical approach is inspired by composite inclusions to construct multiscale models where the internal 107 structure is explicitly described. A pioneer model was proposed by Lee et al. [47, 48], where the amorphous layers 108 and crystalline lamellae were idealized by a plate-like structure. This model made it possible to study the texture 109 evolution of HDPE under different loadings. Similarly, Nikolov et al. [49, 50] proposed a multiscale model with high 110 crystallinity degree and it was validated with HDPE within a temperature range above the glass transition temperature 111 at small strains. Their results confirmed that shear in the amorphous phase is the dominant deformation mechanism 112 under small strains. Inspired by the elasto-viscoplastic inclusion model developed by van Dommelen et al. [51], 113 Sedighiamiri et al. [20] studied the DY phenomenon observed for uniaxial compression data of HDPE. Several 114 micromechanical models have been compared in terms of Young's modulus vs. crystallinity by Bedoui et al. [52] 115 for three widely used SCPs: PP, PE and polyethyleneterephthalate (PET). Shojaei and Li [53] formulated a new 116 viscoplastic constitutive law for the glassy amorphous phase of SCP provided with pragmatical calibration procedure. 117 The multiscale approach and the new viscoplastic model combing with the crystal plasticity were validated for 118 polymeric material systems considering the morphological texture changes. Uchida and Tada [54] developed a 119 micro-, meso- to macroscopic computational model using FE-based homogenization method to investigate HDPE. 120 Voyiadjis et al. [55] characterized the microscale mechanical response of glassy SCP for microstructural applications 1 2 1 using a rate-dependent plasticity theory with strain gradient effects, where kink mechanisms of molecular chains in 122 crystalline polymers were studied using non-local gradient plasticity approach from metals. 123

Two-phase analogue approach considers the contribution of amorphous and crystalline phases regardless of the 1 24 interaction between both phases. Ahzi et al. [56] proposed a model by adopting the same physically-based glassy 125 amorphous model in both the crystalline and the amorphous phases, following the intermolecular resistance modified 126 by Boyce et al. [57]. Based on this work, Makradi et al. [58] proposed a constitutive model for large deformation 127 behaviour of PET above the glass transition temperature. Ayoub et al. [59] also proposed a modified version based on 128 these prior works to study PE under large deformation with a broad range of crystallinities. Abdul-Hameed *et al.* [60] 129 revised and extended the two-phase model applying for the PE-based materials to describe the influence of different 1 30 crystallinities. In their approach, the macroscopical hyperelastic-viscoplastic response corresponding to the network 1 31 and intermolecular resistances considers the coupling of crystalline and amorphous phases. This new extension 1 32 combining with a parameter optimization fitting procedure reproduced good results for HDPE, LDPE and ULDPE. 133 Cayzac *et al.* [61] proposed a multi-mechanism (MM) constitutive model incorporating damage and crystallinity to 1 34 investigate PA6. Popa et al. [62] used a homogenization approach with a representative mesostructure of a convex 1 35 polyhedron element and the micromechanics to describe amorphous and crystalline phases. They introduced a new 136 phenomenological evolution law for the athermal shear strength in the constitutive model used for the amorphous 137 and which is combined with a elastoplastic microscopic crystalline model. The model was validated with isotactic 1 38 polypropylene (iPP) under quasi-static and dynamic loads. Recently, Ayoub et al. [63] developed a physically-based 1 3 9 approach to model the mechanical and fracture response of LDPE with ageing effect through the acceleration of 140

ultraviolet radiation (UV). The UV ageing effect was incorporated by the alteration of the molecular weight, which updates the evolution of the crystal content, network and intermolecular resistances.

Effective amorphous approach is used when the amorphous phase dominates the global mechanical response 143 of SCPs. Maurel-Pantel *et al.* [64] extended the visco-hyperelastic constitutive model developed by Billon [65] to 144 a three-dimensional constitutive formalism within a thermodynamic framework for PA66. Garcia-Gonzalez *et al.* [2] 145 proposed a hyperelastic-thermoviscoplastic constitutive model for a high performance thermoplastic PEEK at different 146 strain rates using thermomechanical-coupled (TMC) analyses. 147

To cover a wide strain rate range, it is essential to conduct a TMC analysis to account for the self-heating and 148 thermal softening effects observed at elevated strain rates [66]. Anand et al. [67] developed an amorphous model with 149 TMC theory accounting for the large deformation and Ames et al. [68] applied this theory to capture the intrinsic rate-150 and temperature-dependent features as well as the self-heating effect on the temperature rise using FEM. Jena et al. [69] 151 further apply the TMC constitutive model developed by Srivastava et al. [1] to simulate the fabrication of microfluidic 152 devices using cyclic olefin copolymers (COCs) in a wide temperature range. This numerical simulation-based design 153 enables to estimate appropriate processing parameters instead of classical trial-and-error techniques. Bouvard et al. [70] 154 developed a fully TMC model for the glassy amorphous polymer using physically-based internal state variables 155 considering the entanglement points and material hardening. Amorphous polycarbonate (PC) was validated with the 156 rate-, temperature- and stress state-dependent constitutive model under different loading conditions. The temperature 157 rise due to the plastic dissipation at an elevated strain rate was captured. A predictive model covering in a natural way 158 a wide range of thermomechanical responses (e.g., from isothermal to nearly adiabatic) is still needed, and only few 159 models can partially be used under non-isothermal conditions [2, 4-6, 71-73]. 160

To authors' best knowledge, the DY phenomenon can only be captured by few micromechanical models [20, 54]. However, there is a lack of constitutive models enabling to quantitatively predict the DY phenomenon for different types of SCPs. In this paper, we proposed a different approach to capture the DY phenomenon considering different deformation regimes of the amorphous and crystalline phases. This approach is practical and efficient, particularly suitable for engineering applications.

In this work, a double yield model, termed as explicit semi-crystalline polymer (ESCP) model, is proposed below 166 the glass transition temperature. This paper is organized as follows. Section 2 and 3 present an overview of the 167 thermomechanical behaviour of general thermoplastics and the double yield kinetics in SCPs. Section 4 presents a 168 double yield constitutive model and it is illustrated with the microstructural morphological transformations. The finite 1 6 9 strain kinematic framework and the constitutive model are presented in Section 5. Section 6 presents the dissipation and 170 the heat balance equation. The details of the numerical implementation are documented in Section 7. Section 8 presents 171 the validation procedure of the model for nylon 101, LDPE and PA6 covering the full range of thermomechanical 172 scenarios. The parameter identification procedure and sensitivity analyses are provided in Appendices. 173

2. Overview of the thermomechanical response of thermoplastics

The mechanical response of thermoplastic polymers has been widely investigated under different testing conditions, 175 and the experimental results show that they highly depend on loading type, loading speed, temperature, relative 176 humidity, or ageing, among others. Some of these effects are summarized as follows: 177

- Rate dependency: thermoplastics exhibit a viscoelastic and viscoplastic response as Fig. 2 shows. This ratesensitivity is resulted from the molecular bases of relaxations. Particularly, it leads to produce an increase of the young modulus and peak yield stress when the applied strain rate is increased [29, 74].
- Pressure sensitivity and tension-compression asymmetry: higher stress levels can be observed in compression compared to tension due to the effect of hydrostatic pressure. Meanwhile, a sudden drop is commonly observed in tension due to the necking effect induced by geometrical nonlinearities in the specimen. Therefore, true stress-strain curves are always recommended to characterize the intrinsic material behaviour. Besides, a compressive test is recommended to minimize the effect of micro voids and crazing [19].
- Thermal softening and self-heating: the stiffness and strength of thermoplastics are very sensitive to temperature. ¹⁸⁶ In general, an increase of temperature can reduce drastically all the mechanical properties [2, 66]. Also, under high strain rate, self-heating takes place, which is caused by the dissipated plastic energy that can not be released sufficiently fast to the environment but it is internally retained. Fig. 1 shows that these effects can raise temperature, leading to a clear thermal softening (for $\dot{\epsilon} = 1 \text{ s}^{-1}$).

Relative humidity: an increase of relative humidity produces a similar effect as the increase of temperature. This
 was recently investigated in PA6 under uniaxial tension [3]. This research proves that the humidity can be treated
 as an "apparent temperature" when a Ree-Eyring behaviour is assumed to govern the plastic deformation.



Figure 2: Experimental stress-strain curves of different semi-crystalline polymers (PA6 [3], PBT [18], and LDPE [21]) exhibiting DY phenomenon and measured using different standards.

3. Physics of deformation of semi-crystalline polymers

3.1. Double yield phenomenon

A SCP contains spherulites with crystalline lamellae embedded in the amorphous media. Apart from the features 196 described before, the interaction between the crystalline and amorphous phases complicates the understanding of the 1 97 evolution of the mechanical response, from which, a double yield point emerges. The DY phenomenon has been 198 reported for different SCPs regardless of the stress and strain measures adopted by other authors (Fig. 2) and it is more 199 prominent when several conditions are met: at relative low moisture level, low temperature and high strain rate. These 200 conditions inherently have influence on the mobility of the polymer chains altering the intermolecular resistance. For 2 01 instance, in PA the DY is typically not observed from stress-strain curves when the relative humidity is 50% [5, 14, 15]. 202 However, DY occurs inherently due to the interaction of two phases in the microstructure. The first yield may be hidden 203 under certain testing conditions or simply being very subtle in some materials. For example, no remarkable "hump" 204 can be observed when the relative humidity is unintentionally included. 205

A commonly used definition of yield point in polymers relies on checking whether the internal plastic flow rate equals the applied strain rate, what it leads to a local maximum in the stress-strain curves [16]. However, this definition is not sufficient for SCPs with a double yield point. By increasing the temperature, the first yield becomes less pronounced and the sharp transition disappears (see the $\sigma_{y,I}$ at 55°C in Fig. 2(c)). Some polymers experience total elastic recovery after unloading beyond this yield point. However, other SCPs experience clear permanent plastic

deformation even prior to this point. Brooks *et al.* [19] proposed an alternative definition of yield for PE and residual strain experiments, confirming the existence of two yield points. The DY was correlated to the gradient changes in residual strain-applied strain curves. The results suggested that both points are connected to the details of the evolution of the internal blended microstructure, where amorphous and crystalline phases can interact at different strain levels [29].



Figure 3: In-situ AFM study of polybutene under tensile drawing where white doted lines indicate the alignment of the crystal fragments from 50° to 40° along the tensile direction (Adapted from Ref. [11]).

3.2. Double yield kinetics

The investigation on the texture evolution performed by Sedighiamiri *et al.* [20] confirms that the DY phenomenon 217 arises from clear morphological changes of the internal structure. The first yield point typically takes place at low 218 strain ($\epsilon \lesssim 5\%$) and it is mainly originated in the amorphous phase [12, 20]. Above the glass transition temperature (θ_{o}) 219 and below melt temperature (θ_m), the first yield vanishes from the stress-strain curve [29] whereas the crystalline phase 220 remain unaffected. The thermally-activated mechanism of yielding in amorphous polymer was described by Argon [75] 221 using the physically-based concept of the double kink production process, where movement of the molecular segments 222 is connected to the irreversible deformation. The second yield point is attributed to the crystalline phase and it 223 takes place at higher strain levels ($\epsilon \gtrsim 10\%$). It was proved by comparing two different grades of PA6 with different 224 crystallinity degrees. The second yield is less pronounced for the one with lower crystallinity degree [29]. 225

In order to capture the DY phenomenon in the mechanical modelling, the deformation processes at the different 226 scale levels of the structure should be understood. The plastic deformation in SCPs under tensile drawing has been 227 studied at micro- and nano-scales using Atomic Force Microscopy (AFM) [10, 11]. Fig. 3 shows that polybutene 228 (PB) film with an average spherulite diameter of 20 µm presents two clear yield points at room temperature at 229 strain rate of 10^{-3} s⁻¹ [11]. The in-situ images from AFM do not show fibrillar transformation during the loading 230 period. Instead, all spherulites deformed elliptically up to the rupture, showing a decrease of the tilt angle between 2 31 the crystal fragments and the draw direction from 50° to 40° when the applied strain increases from 60% to 150%. 232 Donnay et al. [76] conducted the in-situ study of the micro-mechanism of PET under tensile drawing using X-ray 233 scattering. The macromolecular orientation in both amorphous and crystalline phases was clearly examined and 2 34 the tilted lamellae along the tensile axis was also observed. This indicates a rotation of internal crystal fragments 235 accompanied by a continuous yielding of the amorphous region. Postmortem AFM observations on PA6 film showed 236 that shear bands nucleate and propagate in the amorphous phase [10], where the second yield was not reported as 237 no crystal slip happened at the investigated strain range. Nevertheless, these AFM-based tests were conducted using 238 ultra-fine polymer films, therefore, the effects of the thickness on crystal slip could not be discussed. In spite of the 239

experimental difficulties to obtain clear images inside of the crystal lamellae, other key mechanisms like damage (crazing and cavitation) or microfibrillar transformation, have been discussed by other authors [7, 9, 27].

4. Proposed material model

4.1. Description of double yield model

According to the yield kinetics in SCPs, we propose a modular physically-based viscoelastic-viscoplastic double yield model to quantitatively predict the full thermomechanical response of SCP with double yield point. Ratesensitivity, thermal softening and self-heating are also covered at a wide range of strain rates. This model is flexible to characterize different thermoset and thermoplastic polymers. Fig. 4 schematically represents the proposed double yield model at two types representative strain rates, considering the experimental observations shown in Fig. 2. In order to quantitatively incorporate a rate- and temperature-dependent thermomechanical response, we divide the mechanical response in six stages:



Figure 4: Schematic description of true stress-strain response in semi-crystalline polymers at different strain rates and the corresponding morphological changes.

- (I) (Visco)elasticity: Both the amorphous and crystalline phases deform elastically. A more pronounced nonlinear viscoelasic response is observed at higher temperatures. The deformation is still reversible when the specimen is unloaded.
- (II) Viscoplasticity: The plastic strain rate in amorphous phase evolves to catch up the applied strain rate (i.e. fine slip). At the end of this stage, a homogeneous plastic deformation takes place, leading to the occurrence of first yield in amorphous phase without any crystal slips (i.e. coarse slip).
- (III) Shear bands formation: The morphological changes lead to the formation of shear bands and crystalline lamellar blocks. The crystalline blocks deform elastically and rotate along the elliptical transformation of spherulites. The amorphous phase experiences strain softening before reaching a saturated state. The competition between the crystalline and the amorphous phases results in a sharp or smooth transition at high or low strain rates, respectively.
- (IV) Apparent secondary linear elastic regime: The amorphous phase reaches the saturated state (i.e. constant stress level) whilst the crystalline phase continuously deforms elastically. The increase of the total stress is contributed by the crystalline phase. Fig. 3 shows the alignment of the crystal fragments with rigid rotation, providing the evidence of linear elastic response in the crystalline phase.

- (V) Rate-independent plasticity: The second yield appears due to the crystal slips (coarse slips). It becomes the dominant mode of plastic deformation, resulting in crystalline lamellar fragmentation at the second yield.
 Fig. 2(c) shows the stress-strain curves with a parallel trend after second yield point regardless of the applied strain rate.
- (VI) Hyperelasticity: Network resistance due to polymer chain reorientation at large deformation, which is related to the molecular alignment and micro-fibrillar transformation before failure (see Fig. 2(d)).

4.2. Rheological representation



Figure 5: Rheological analogue of the proposed constitutive model and the contribution of the three main components.

One of the most widely used rheological model was proposed by Haward et al. [77]. This model suggests that 273 there exists two physically distinct resistances under large deformation: (i) the intermolecular resistance that has to 274 be overcome to initiate the yield and (ii) the network resistance related to the configurational entropy due to polymer 275 chain reorientation. Following this scheme, Fig. 5 shows the rheological model consisting of three components: (A) an 276 intermolecular resistance accounting for the fine slip, (B) a crystalline interlamellar resistance representing the coarse 277 slip, and (C) a network resistance representing the rubbery effect. According to the experimental observations, the 278 component accounting for the crystalline contribution is assumed to be rate- and temperature-independent. When the 279 first yield in amorphous is achieved, the activation of the crystalline component is controlled by an embedded "switch" 280 (see Fig. 5). A rate-dependent characteristic time t_c is introduced to emulate the results from the interaction between 281 both phases. The stress contribution of the crystalline component before the first yield is assumed to be zero and 282 the total elastic behaviour is governed by the amorphous phase. There are two reasons for this hypothesis: (1) the 283 AFM observations of the rigid rotation of the crystalline phase, and (2) the strain-softening followed by crystalline 284 contribution is capable to be captured with a "hump" (remarkable DY) at a high strain rate. It can be easily identified 285 and quantified from experimental curves under standard testing conditions. 286

The proposed model is aimed at being practical, efficient and easy to implement. The material characterization is straightforward, requiring a small number of tests. The optional features (viscoelastic and hyperelastic response) can be identified by performing additional calibration tests separately. This model reduces to the glassy amorphous model if the crystalline module is ignored, which was also used to characterize thermoset polymers [74].

5. Mathematical model formulation

Following the finite strain kinematic framework, the nonlinear behaviour of SCP is modelled in rate form gathering 292 several material features: viscoelasticity, viscoplasticity, elastoplasticity and hyperelasticity [78]. The breakdown of 293

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the overall deformation resistance is represented by the schematic analogue into three resistances. An amorphous intermolecular resistance (resistance A) cooperating with a crystalline interlamellar resistance (resistance B) and a network resistance (resistance C) are depicted in Fig. 5. These three resistances acting in parallel lead to the same deformation gradient equating to the imposed deformation gradient F as

$$F_{\pi} = F, \tag{1}$$

where the subscript " π " can be "A", "B", or "C" to represent the amorphous, crystalline and network resistances, respectively. Following this decomposition, the total Cauchy stress is straightforwardly given by

$$\boldsymbol{\sigma} = \boldsymbol{\sigma}_{\mathrm{A}} + \boldsymbol{\sigma}_{\mathrm{B}} + \boldsymbol{\sigma}_{\mathrm{C}}.$$

5.1. Resistance A: intermolecular resistance of amorphous phase

The viscoelastic and viscoplastic responses are coupled in this resistance by connecting in series a viscoplastic dashpot and a Prony series. The imposed deformation gradient F_A can be decomposed multiplicatively into two components as follows 303

$$\boldsymbol{F}_{\mathrm{A}} = \boldsymbol{F}_{\mathrm{A}}^{\mathrm{e}} \boldsymbol{F}_{\mathrm{A}}^{\mathrm{p}},\tag{3}$$

where F_A^e is deformation gradient portion imposed on the linear spring and F_A^p the one for the dashpot. Note that Maxwell branches act in parallel with the linear spring, the deformation gradient $F_A^{ve,i}$ on the *i*th Maxwell branch, therefore, equals to F_A^e . The inelastic deformation gradient F_A^p has to be determined to calculate the elastic deformation gradient by $F_A^e = F_A F_A^{p-1}$. The inelastic deformation gradient F_A^p can be updated by considering the corresponding rate kinematics. The velocity gradient L_A of branch A is written as

$$L_{\rm A} = \dot{F}_{\rm A} F_{\rm A}^{-1} = L_{\rm A}^{\rm e} + L_{\rm A}^{\rm p} = L_{\rm A}^{\rm e} + F_{\rm A}^{\rm e} L_{\rm A}^{\rm p} (F_{\rm A}^{\rm e})^{-1},$$
(4)

where L_A^p is the plastic velocity gradient expressed in the relaxed configuration, described by the rate of the inelastic contribution and it can be split into symmetric and skew parts, namely the inelastic rate of deformation D_A^p and the inelastic spin tensor W_A^p : 306

$$\boldsymbol{L}_{A}^{p} = \dot{\boldsymbol{F}}_{A}^{p} \boldsymbol{F}_{A}^{p-1} = \boldsymbol{D}_{A}^{p} + \boldsymbol{W}_{A}^{p}$$
(5)

The amorphous phase is isotropic, leading to a spin-free plastic velocity gradient $\boldsymbol{W}_{A}^{p} = 0$ (see Ref. [79]). In this case,

$$\dot{\boldsymbol{F}}_{A}^{p} = \boldsymbol{D}_{A}^{p} \boldsymbol{F}_{A}^{p} \tag{6}$$

The Cauchy stress tensor in resistance A is calculated in terms of the elastic deformation gradient F_{A}^{e} as follows

$$\boldsymbol{\sigma}_{\mathrm{A}} = \frac{1}{\det\left[\boldsymbol{F}_{\mathrm{A}}^{\mathrm{e}}\right]} (\lambda_{\mathrm{A}} \operatorname{tr}[\boldsymbol{h}_{\mathrm{A}}]\boldsymbol{I} + 2\mu_{\mathrm{A}}\boldsymbol{h}_{\mathrm{A}}) + \sum_{i=1}^{N_{\mathrm{ve}}} \boldsymbol{\sigma}_{\mathrm{A}}^{\mathrm{ve},i}, \tag{7}$$

where λ_A and μ_A are the Lamé parameters, I the identity tensor, $h_A = \ln(\sqrt{B_A^e})$ the Hencky strain with the elastic Cauchy-Green tensor $B_A^e = F_A^e F_A^{eT}$ and N_{ve} is the number of viscoelastic Maxwell branches. The calculation of the stress contributions of the Maxwell branch $\sigma_A^{ve,i}$ is presented in Appendix C. According to the experimental observation of nylon 101, LDPE and PA6, the viscoelastic response is not included in the model validation in the present work.

However, for the sake of completeness of the model, the full model is developed and the effect of the viscoelasticity is explored with assumed parameters (refer to Appendix C).

Among a wide diversity of glassy polymer models, there are three important ones: macromolecular Boyce-Parks-Argon (BPA) model [57, 66, 80], Eindhoven Glassy Polymer (EGP) model [81–83] and Oxford Glass Rubber (OGR) model [84]. Despite of their underlying physical interpretation, these models are equivalent in terms of fitting procedure for the parameter identification. This research follows a physically-based glassy amorphous polymer model based on BPA model to describe the viscoplastic response capturing the first yield [85]. The softening and hardening evolution follow the phenomenological equation postulated in Ref. [74, 85].

The explicit solution of the rate of plastic deformation in terms of an effective plastic strain rate $\dot{\bar{\epsilon}}_A$ and direction tensor N are given as follows

$$\boldsymbol{D}_{A}^{p} = \dot{\bar{\boldsymbol{\varepsilon}}}_{A} \boldsymbol{N}, \quad \boldsymbol{N} = \frac{3}{2\sigma_{eq}} \boldsymbol{\sigma}_{A}^{\prime}, \tag{8}$$

where the driving stress σ'_{A} is the deviatoric part of σ_{A} and the equivalent stress σ_{eq} is defined by

$$\sigma_{\rm eq} = \sqrt{\frac{3}{2}\sigma'_{\rm A}:\sigma'_{\rm A}}.$$
(9)

The effective plastic strain rate $\dot{\bar{e}}_{A}$ was explicitly prescribed by modifying the expression in terms of the plastic strain rate originally derived by Argon [75] for the free energy barrier to release the polymer chain double kinks in amorphous polymer, which is given as follows 327

$$\dot{\tilde{\varepsilon}}_{A} = \dot{\varepsilon}_{0} \exp\left[-\frac{A\left(s - \alpha_{p}\sigma_{h}\right)}{\theta} \left(1 - \left(\frac{\sigma_{eq}}{s - \alpha_{p}\sigma_{h}}\right)^{m}\right)\right],\tag{10}$$

where α_p is the pressure sensitivity parameter leading to tension and compression symmetry, θ the absolute temperature and σ_h hydrostatic part of Cauchy stress tensor. The constants \dot{e}_0 , A and m are the rate-dependent sensitivity parameters that should be identified from the peak yield stresses at different strain rates. The so-called athermal effective stress sis related to the temperature-dependent shear modulus, where its initial value s_0 is given by s_{10}

$$\frac{s_0}{\mu(\theta)} = \sqrt{3} \frac{8.5^{-1/m}}{(1-\nu)}, \quad \mu(\theta) = \frac{E(\theta)}{2(1+\nu)}, \quad \log \frac{E_{\text{ref}}}{E(\theta)} = \beta \left(\theta - \theta_{\text{ref}}\right). \tag{11}$$

The identification of the parameter β requires the experimental measurements of the elastic modulus $E(\theta)$ at 332 different temperatures. For the studied cases, the reference temperature was chosen as room temperature (22°C for 333 nylon 101 and LDPE, and 23°C for PA6). To capture the post-yield strain softening, the evolution of \dot{s} has been 334 modified by incorporating the pressure sensitivity in a phenomenological softening equation [80]. Later, this strain 335 softening equation was heuristically modified to consider the pre-yield nonlinearity, leading to a redefinition of yield 336 as peak yielding point. This approach has been validated for Epoxy Epon 862 [74]. The starting point of this pre-peak 337 nonlinearity was chosen as a stress level at strain of 0.01. The time derivative of the athermal effective stress is built 338 upon a heaviside function to mirror the post-yield strain softening to pre-yield nonlinear curvature with a different 339 hardening parameter h_1 . This form relates the athermal shear stress s_0 at the pre-defined initial yield stress to s_1 at the 340 peak yield stress while remaining the strain softening from s_1 to the saturated state s_2 (low yield), and it is expressed 341 as 342

$$\dot{s} = H_1(\bar{\varepsilon}) \left(1 - \frac{s}{s_1} \right) \dot{\varepsilon}_{\mathcal{A}} + H_2(\bar{\varepsilon}) \left(1 - \frac{s}{s_2} \right) \dot{\varepsilon}_{\mathcal{A}},\tag{12}$$

where the smooth functions $H(\bar{\varepsilon})$ are given by

$$H_1(\bar{\varepsilon}) = -h_1 \left\{ \tanh\left(\frac{\bar{\varepsilon} - \bar{\varepsilon}_p}{f\bar{\varepsilon}_p}\right) - 1 \right\}; \quad H_2(\bar{\varepsilon}) = h_2 \left\{ \tanh\left(\frac{\bar{\varepsilon} - \bar{\varepsilon}_p}{f\bar{\varepsilon}_p}\right) + 1 \right\}, \tag{13}$$

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where h_1 and h_2 are the hardening (softening) parameters, f the smoothing factor and $\bar{\varepsilon}_p$ the plastic strain at the peak 344 yielding point. 345

The main reason of choosing this BPA-based model is due to the nonlinearity in the pre-yield (σ_{v1}) stage observed 346 in semi-crystalline polymers under tension and compression, where this pre-peak nonlinear behaviour is correctly 347 represented. 348

5.2. Resistance B: interlamellar resistance of crystalline phase

For nylon 101 [12], LDPE [22] and PA6 [29] investigated in this paper, a saturated state is established when the 350 local low stress level is observed following the strain softening after the first yield point. Then, the shape of stress-strain 351 curves follows the same trend regardless of the applied strain rates, implying that an elastoplastic constitutive model 352 is suitable to characterize this rate-independent response. The same decomposition scheme of deformation gradient is 353 applied for the interlamellar resistance of crystalline phase. 354

$$\boldsymbol{F}_{\mathrm{B}} = \boldsymbol{F}_{\mathrm{B}}^{\mathrm{e}} \boldsymbol{F}_{\mathrm{B}}^{\mathrm{p}} \tag{14}$$

The Hencky strain $h_{\rm B} = \ln(\sqrt{B_{\rm B}^{\rm e}})$ is calculated with the elastic Cauchy-Green tensor $B_{\rm B}^{\rm e} = F_{\rm B}^{\rm e} F_{\rm B}^{\rm eT}$. A pressure-355 dependent elastoplastic model based on paraboloidal yield criterion is used to describe the yield in crystalline region, 356 which is given by following Ref. [86]: 357

$$\varphi = \bar{\sigma}_{\rm B}^2 - \left(\sigma_{\rm yt} - \sigma_{\rm yc}\right) I_1 - \sigma_{\rm yt}\sigma_{\rm yc},\tag{15}$$

where $\bar{\sigma}_{\rm B}$ is the equivalent von Mises stress $\bar{\sigma}_{\rm B} = \sqrt{(3/2)\sigma'_{\rm B} : \sigma'_{\rm B}}$, $\sigma'_{\rm B}$ is the deviatoric part from the crystalline contribution, $\sigma_{\rm yc}$ and $\sigma_{\rm yt}$ are the corresponding yield stresses in compression and tension and $I_1 = \sigma_{\rm B}$: $\mathbf{I} = -3p$ is 358 359 the first invariant of the stress tensor. The yield stresses are extracted from the experimental hardening curve following 360 the material identification procedure presented in Appendix A. Here, the mechanical response of crystalline region is 361 assumed to be temperature-independent, thus according to the Clausius-Duhem entropy inequality for an isothermal 362 process 363

$$\boldsymbol{\sigma}_{\mathrm{B}}: \dot{\boldsymbol{h}}_{\mathrm{B}} - \dot{\boldsymbol{\psi}}_{\mathrm{B}} \ge 0 \tag{16}$$

where $\dot{\psi}_{\rm B}$ is the time derivative of Helmholtz energy function in branch B. By considering the strain decomposition 364 into elastic and plastic components, the above inequality can be rewritten as 365

$$\boldsymbol{\sigma}_{\rm B}:\left(\dot{\boldsymbol{h}}_{\rm B}^{\rm e}+\dot{\boldsymbol{h}}_{\rm B}^{\rm p}\right)-\left(\frac{\partial\psi_{\rm B}^{\rm e}}{\partial\boldsymbol{h}_{\rm B}^{\rm e}}:\dot{\boldsymbol{h}}_{\rm B}^{\rm e}+\frac{\partial\psi_{\rm B}^{\rm p}}{\partial\boldsymbol{a}}\dot{\boldsymbol{a}}\right) \ge 0 \tag{17}$$

where a is a strain-like parameter, whose increment Δa is related to equivalent plastic strain increment $\Delta \bar{e}^p$ = 366 $\sqrt{(2/3)\Delta h_{\rm B}^{\rm p'}}$: $\Delta h_{\rm B}^{\rm p'}$. The reduced internal dissipation can be written by defining the variable $\zeta = \partial \psi_{\rm B}^{\rm p} / \partial a$ as 367

$$\mathcal{D}_{\text{int}} := \boldsymbol{\sigma}_{\text{B}} : \dot{\boldsymbol{h}}_{\text{B}}^{\text{p}} - \zeta \dot{\boldsymbol{a}} \ge 0.$$
⁽¹⁸⁾

An optimisation problem is then formulated with this reduced internal dissipation inequality as

$$\mathcal{L}(\sigma_{\rm B},\zeta,\Delta\gamma) = -\mathcal{D}_{int} + \frac{\Delta\gamma}{\Delta t}\varphi,\tag{19}$$

where $\Delta \gamma$ is the Lagrange multiplier. The flow rule for the evolution of the plastic strain $\Delta h_{\rm B}^{\rm p}$ and the internal strain-like 369 variable a can be computed after solving 370

$$\frac{\partial \mathcal{L}}{\partial \sigma_{\rm B}} = 0, \quad \frac{\partial \mathcal{L}}{\partial \zeta} = 0. \tag{20}$$

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The plastic multiplier is the absolute solution of the quadratic equation which fulfils the Kuhn-Tucker's loadingunloading consistency conditions. The consistent elastoplastic tangent modulus is the tensorial differentiation of the updated stress from the radial return mapping derived with respect to associated and non-associated flow rules and follows 374

$$\mathbb{C}^{\text{ep}} = \frac{\partial \sigma_{\text{B}}^{\text{tr}}}{\partial h_{\text{B}}^{\text{tr},\text{e}}} - \left\{ \mathbb{C}^{\text{e}} : \boldsymbol{n} \right\} \otimes \frac{\partial \Delta \gamma}{\partial h_{\text{B}}^{\text{tr},\text{e}}} - \Delta \gamma \frac{\partial \left\{ \mathbb{C}^{\text{e}} : \boldsymbol{n} \right\}}{\partial h_{\text{B}}^{\text{tr},\text{e}}}, \tag{21}$$

where 4th order tensors \mathbb{C}^{ep} and \mathbb{C}^{e} are the elastoplastic tangent modulus and elastic tangent modulus respectively. *n* is the flow direction. The ":" operator stands for the double contraction mapping of a 2nd order tensor into 2nd order tensor into 2nd order tensor and " \otimes " represents the dyadic product. The trial stress based on the prediction of total strain comprising elastic part is given by $\sigma_{\rm B}^{\rm tr} = (\lambda_{\rm B} \operatorname{tr}[\boldsymbol{h}_{\rm B}]\boldsymbol{I} + 2\mu_{\rm B}\boldsymbol{h}_{\rm B})/\det[\boldsymbol{F}_{\rm B}^{\rm e}].$

The inelastic deformation gradient $F_{\rm B}^{\rm p}$ is updated as Eq. (6). The plastic deformation tensor $D_{\rm B}^{\rm p}$ is given as follows

$$\boldsymbol{D}_{\rm B}^{\rm p} = \frac{\Delta \gamma}{\Delta t} \boldsymbol{n}, \quad \boldsymbol{n} = \frac{\partial \varphi}{\partial \boldsymbol{\sigma}_{\rm B}}.$$
(22)

This framework is flexible to incorporate any specific crystal plasticity model. In case of rate-dependent behaviour of Resistance B, The micromechanical model considering crystallographic slip system [20] can be plugged in this framework to represent the fragmentation in crystalline phase. 382

5.3. Resistance C: network resistance

The rubbery effect was observed at different temperatures under large strain range in some TP (e.g., PMMA [66], 384 PET [57], LDPE [22] and HDPE [35]) as well as in thermosets (e.g., Epoxy [74]). This effect, due to the reorientation 385 of the molecular chains under large plastic deformation, was modelled considering a network resistance. A three-386 dimensional rubber elasticity spring system was developed to predict the evolution of anisotropy in an initially isotropic 387 glassy amorphous polymers [87], then described by the non-Gaussian network theory combining the three- and eight-388 chain models [88]. To complete our proposed model (refer to Fig. 5), the mathematical formulation is briefly introduced 389 below and its effect is studied in Appendix D. Due to the absence of the rubbery effect for the investigated nylon 101 390 and PA6, it is excluded for these two SCPs. 391

Recall that $F_{\rm C} = F$, the internally stored backstress tensor **B** that represents the network resistance is given by

$$\boldsymbol{B} = \sum_{i} B_{i} \left(\mathbf{e}_{i} \otimes \mathbf{e}_{i} \right), \tag{23}$$

where \mathbf{e}_i are the unit eigenvectors of the left Cauchy-Green stretch tensor $\mathbf{V}_{\rm C} = \mathbf{F}_{\rm C} \mathbf{F}_{\rm C}^{\top}$ and \mathbf{B}_i are the principal back stress components. For the three-chains non-Gaussian network model, \mathbf{B}_i has the following form

$$B_i^{3-\text{ch}} = \frac{1}{3} C^R \sqrt{N} \left[\lambda_i^p \mathscr{L}^{-1} \left(\frac{\lambda_i^p}{\sqrt{N}} \right) - \frac{1}{3} \sum_{j=1}^3 \lambda_i^p \mathscr{L}^{-1} \left(\frac{\lambda_j^p}{\sqrt{N}} \right) \right], \tag{24}$$

where λ_i^p is the plastic stretch on each chain in the network, \mathcal{L}^{-1} is the inverse Langevin function, and C^R and N are the rubbery modulus and the average number of links between entanglements, respectively.

An eight-chain model was also constructed with the corresponding principal components as

$$B_i^{8-ch} = \frac{1}{3} C^R \sqrt{N} \frac{\lambda_i^{p^2} - \lambda^{p^2}}{\lambda^p} \mathscr{L}^{-1}\left(\frac{\lambda^p}{\sqrt{N}}\right),\tag{25}$$

383

with

$$\lambda^{\mathbf{p}} = \sqrt{\frac{1}{3} \sum_{j=1}^{3} \lambda_j^{\mathbf{p}^2}}.$$
(26)

The full network model based on the orientation distribution of the individual chains provides the new principal back stress components and it can be represented combining the aforementioned three-chains and eight-chains models as

$$\mathbf{B} = (1 - \kappa)\mathbf{B}^{3-ch} + \kappa \mathbf{B}^{8-ch},\tag{27}$$

where κ is a weight factor defined as $\kappa = 0.85 \lambda_{\text{max}}^{\text{p}} / \sqrt{N}$ that depends on the maximal principal stretch $\lambda_{\text{max}}^{\text{p}} = 401 \\ \max(\lambda_1^{\text{p}}, \lambda_2^{\text{p}}, \lambda_3^{\text{p}})$ (see details in Ref. [88]).

6. Self-heating and dissipation

In this section, the deformation associated dissipation is considered as the heat source to derive the heat balance equation. The simplified model without viscoelastic response is used. The first thermodynamic principle for the local energy balance is stated as

$$\rho \dot{w} = \boldsymbol{\sigma} : \boldsymbol{D} - \nabla \cdot \boldsymbol{q} + \rho \boldsymbol{\phi}, \tag{28}$$

where the scalars ρ , w and ϕ are the density, internal energy and external heat source, the vector q represents the heat flux and σ : D is the term of the rate of strain energy density. Noting that the Cauchy stress σ consists of three components (see Fig. 5), Eq. (28) is written as

$$\rho \dot{w} = \sigma_{\rm A} : L_{\rm A}^{\rm e} + \sigma_{\rm A} : F_{\rm A}^{\rm e} L_{\rm A}^{\rm p} (F_{\rm A}^{\rm e})^{-1} + \sigma_{\rm B} : L_{\rm B}^{\rm e} + \sigma_{\rm B} : F_{\rm B}^{\rm e} L_{\rm B}^{\rm p} (F_{\rm B}^{\rm e})^{-1} + \sigma_{\rm C} : L_{\rm C} - \nabla \cdot \boldsymbol{q} + \rho \phi.$$
(29)

The Clausius-Duhem inequality based on the second thermodynamic principle is given as

$$\rho\dot{\boldsymbol{\beta}} + \nabla \cdot \frac{\boldsymbol{q}}{\theta} - \frac{\rho\phi}{\theta} \ge 0, \tag{30}$$

where β is the specific entropy and θ is the material temperature. Considering the specific Helmholtz free energy $\psi = w - \beta \theta$, the Clausius-Duhem inequality can be rearranged as 412

$$-\rho\dot{\psi} - \rho\dot{\beta}\dot{\theta} - \frac{\nabla\theta}{\theta} \cdot q + \sigma_{\rm A} : L_{\rm A}^{\rm e} + \sigma_{\rm A} : F_{\rm A}^{\rm e} L_{\rm A}^{\rm p} (F_{\rm A}^{\rm e})^{-1} + \sigma_{\rm B} : L_{\rm B}^{\rm e} + \sigma_{\rm B} : F_{\rm B}^{\rm e} L_{\rm B}^{\rm p} (F_{\rm B}^{\rm e})^{-1} + \sigma_{\rm C} : L_{\rm C} \ge 0.$$
(31)

Considering the Helmholtz free energy $\psi = \psi(C_A^e, C_B^e, C_C, \theta)$ as a function of deformation and temperature of three branches in the rheological model. After several mathematical transformations, the derivative of ψ in terms of the Cauchy–Green tensor C_{π} of different branches can be calculated as follows 415

$$\frac{\partial \psi}{\partial \boldsymbol{C}_{\pi}} : \dot{\boldsymbol{C}}_{\pi} = 2\boldsymbol{F}_{\pi} \frac{\partial \psi}{\partial \boldsymbol{C}_{\pi}} \boldsymbol{F}_{\pi}^{\mathrm{T}} : \boldsymbol{D}_{\pi}.$$
(32)

The final form of the Clausius-Duhem inequality, therefore, can be written by substituting the above derivation in to Eq.(31) as

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P. Hao, V. Laheri, Z. Dai, F.A. Gilabert "A rate-dependent constitutive model predicting the double yield phenomenon, self-heating and thermal softening in semi-crystalline polymers"

International Journal of Plasticity (2022), DOI:TBD Received: 17 Nov 2021, Revised: 12 Jan 2022, Accepted: 20 Jan 2022.

$$-\rho\left(\delta + \frac{\partial\psi}{\partial\theta}\right)\dot{\theta} + \left(\sigma_{\rm A} - 2F_{\rm A}^{\rm e}\rho\frac{\partial\psi}{\partial C_{\rm A}^{\rm e}}F_{\rm A}^{\rm e\,{\rm T}}\right): D_{\rm A}^{\rm e} + \sigma_{\rm A}: F_{\rm A}^{\rm e}L_{\rm A}^{\rm p}(F_{\rm A}^{\rm e})^{-1} + \left(\sigma_{\rm B} - 2F_{\rm B}^{\rm e}\rho\frac{\partial\psi}{\partial C_{\rm B}^{\rm e}}F_{\rm B}^{\rm e\,{\rm T}}\right): D_{\rm B}^{\rm e} + \sigma_{\rm B}: F_{\rm B}^{\rm e}L_{\rm B}^{\rm p}(F_{\rm B}^{\rm e})^{-1} + \left(\sigma_{\rm C} - 2F_{\rm C}\rho\frac{\partial\psi}{\partial C_{\rm C}}F_{\rm C}^{\rm T}\right): D_{\rm C} - \frac{\nabla\theta}{\theta} \cdot q \ge 0.$$
(33)

Following the constitutive equations fulfilled for any admissible deformation and temperature history, the Clausius-418 Duhem inequality in terms of the energy dissipation is obtained as 419

$$\boldsymbol{\sigma}_{\mathrm{A}} : \boldsymbol{F}_{\mathrm{A}}^{\mathrm{e}} \boldsymbol{L}_{\mathrm{A}}^{\mathrm{p}} (\boldsymbol{F}_{\mathrm{A}}^{\mathrm{e}})^{-1} + \boldsymbol{\sigma}_{\mathrm{B}} : \boldsymbol{F}_{\mathrm{B}}^{\mathrm{e}} \boldsymbol{L}_{\mathrm{B}}^{\mathrm{p}} (\boldsymbol{F}_{\mathrm{B}}^{\mathrm{e}})^{-1} - \frac{\nabla \theta}{\theta} \cdot \boldsymbol{q} \ge 0.$$
(34)

The relation represents the viscoplastic energy dissipation in branch A, the plastic energy dissipation in branch B 420 and the thermal dissipation, respectively. 421

The heat equation can be derived from the first thermodynamic principle and the time derivative of the specific 422 entropy 3 is given as 423

$$\dot{\boldsymbol{\beta}} = -\frac{\partial^2 \boldsymbol{\psi}}{\partial \theta \partial \boldsymbol{C}_{\mathrm{A}}^{\mathrm{e}}} : \dot{\boldsymbol{C}}_{\mathrm{A}}^{\mathrm{e}} - \frac{\partial^2 \boldsymbol{\psi}}{\partial \theta \partial \boldsymbol{C}_{\mathrm{B}}^{\mathrm{e}}} : \dot{\boldsymbol{C}}_{\mathrm{B}}^{\mathrm{e}} - \frac{\partial^2 \boldsymbol{\psi}}{\partial \theta \partial \boldsymbol{C}_{\mathrm{C}}} : \dot{\boldsymbol{C}}_{\mathrm{C}} - \frac{\partial^2 \boldsymbol{\psi}}{\partial \theta^2} \dot{\boldsymbol{\theta}}.$$
(35)

Substituting \dot{s} in the relation of $\dot{w} = \dot{\psi} + \dot{s}\theta + s\dot{\theta}$ and using Eqs. (29) and (31), the heat equation can be obtained as

$$\rho c_{\mathbf{p}} \dot{\theta} = \boldsymbol{\sigma}_{\mathrm{A}} : \boldsymbol{F}_{\mathrm{A}}^{\mathrm{e}} \boldsymbol{L}_{\mathrm{A}}^{\mathrm{p}} (\boldsymbol{F}_{\mathrm{A}}^{\mathrm{e}})^{-1} + \frac{1}{2} \frac{\partial \left(\left(\boldsymbol{F}_{\mathrm{A}}^{\mathrm{e}} \right)^{-1} \boldsymbol{\sigma}_{\mathrm{A}} \left(\boldsymbol{F}_{\mathrm{A}}^{\mathrm{e}} \right)^{-\mathrm{T}} \right)}{\partial \theta} : \dot{\boldsymbol{C}}_{\mathrm{A}}^{\mathrm{e}} + \boldsymbol{\sigma}_{\mathrm{B}} : \boldsymbol{F}_{\mathrm{B}}^{\mathrm{e}} \boldsymbol{L}_{\mathrm{B}}^{\mathrm{p}} (\boldsymbol{F}_{\mathrm{B}}^{\mathrm{e}})^{-1} + \frac{1}{2} \frac{\partial \left(\left(\boldsymbol{F}_{\mathrm{B}}^{\mathrm{e}} \right)^{-1} \boldsymbol{\sigma}_{\mathrm{B}} \left(\boldsymbol{F}_{\mathrm{B}}^{\mathrm{e}} \right)^{-\mathrm{T}} \right)}{\partial \theta} : \dot{\boldsymbol{C}}_{\mathrm{B}}^{\mathrm{e}} + \frac{1}{2} \frac{\partial \left(\boldsymbol{F}_{\mathrm{C}}^{-1} \boldsymbol{\sigma}_{\mathrm{C}} \boldsymbol{F}_{\mathrm{C}}^{-\mathrm{T}} \right)}{\partial \theta} : \dot{\boldsymbol{C}}_{\mathrm{C}} - \nabla \cdot \boldsymbol{q} + \rho \phi, \quad (36)$$

where the specific heat capacity $c_p = -\theta \partial^2 \psi / \partial \theta^2$ is used. The viscoplastic energy dissipation in amorphous 4 24 phase and plastic energy dissipation in crystalline phase are represented by the two terms σ_A : $F^e_{\Delta}L^p_{\Delta}(F^e_{\Delta})^{-1}$ and 425 $\sigma_{\rm B}$: $F_{\rm B}^{\rm e}L_{\rm B}^{\rm p}(F_{\rm B}^{\rm e})^{-1}$, respectively. The three terms representing the effect of thermo-mechanical coupling on the self-426 heating are ignored due to the small contribution compared to the (visco)plastic dissipation according to Ref. [73], 427 leading to a reduced form by eliminating the external heat source term $\rho\phi$. The above equation yields 428

$$\rho c_{\rm p} \frac{\partial \theta}{\partial t} = \underbrace{\boldsymbol{\sigma}_{\rm A} : \boldsymbol{F}_{\rm A}^{\rm e} \boldsymbol{L}_{\rm A}^{\rm p} (\boldsymbol{F}_{\rm A}^{\rm e})^{-1} + \boldsymbol{\sigma}_{\rm B} : \boldsymbol{F}_{\rm B}^{\rm e} \boldsymbol{L}_{\rm B}^{\rm p} (\boldsymbol{F}_{\rm B}^{\rm e})^{-1}}_{\text{heat source associated with deformation}} -\nabla \cdot \left(-k \frac{\partial \theta}{\partial x} \mathbf{x}\right), \tag{37}$$

where k is the thermal conductivity. The temperature increase is attributed to the plastic dissipation. At every call of 429 the mechanical model, the rate of plastic dissipation is calculated and fed back to the heat equation, making it possible 4 30 to capture the self-heating effects. 4 31

7. Numerical implementation

In this section, the proposed model is integrated as user-defined material model for the finite element method 433 (FEM) considering fully TMC analysis. The activation and disclosure of the crystalline contribution (resistance B) is 4 34 also addressed. 4 35

7.1. Thermomechanical coupling

Fully TMC analyses were conducted using a single element test, cylinder compression and dog-bone tension 4 37 models. The description of these models are presented in Section 8, accompanied with the corresponding results. 4 38

4 32

Fig. 6 shows the TMC framework and the activation of the crystalline contribution in the proposed model. Selfheating and heat transfer are considered in the simulations, where the temperature and the stress fields are determined simultaneously during every time increment. A user-defined thermal model was implemented along with the userdefined mechanical model to update the temperature field by solving the energy balance equation in every increment. The influence of temperature can affect the mechanical response in two ways: (1) temperature-dependent elastic modulus, which is calibrated with respect to the one at reference temperature and (2) temperature-dependent viscoplastic constitutive model.



Figure 6: Flowchart of double yield model in a fully coupled thermomechanical analysis.

Three regimes, namely isothermal, coupled and nearly adiabatic, can be identified depending on the ratio between 446 the approximated thermal diffusion time and the test time at different strain rates [66]. A full analysis covering these 447 three regimes is essential for SCPs because of the strong temperature dependence of the mechanical response [2]. 448 Chen et al. [72] investigated the temperature evolution for PA6 under uniaxial loading. Under monotonic tensile tests, 449 the temperature initially experiences a slight drop due to the thermoelastic effect and then follows an increase attributed 450 to self-heating. At high strain rate of 1 s⁻¹, for instance, the tests generally can be conducted within a short period and 4 5 1 this is insufficient to diffuse the accumulated temperature. Consequently, thermal softening occurs due to temperature 452 sensitivity. 453

7.2. Activation of crystalline contribution

In order to quantitatively characterize the first yield and disclose the crystalline contribution, the exact moment to activate the crystalline phase has to be decided based on a physically-based argument. To control the activation of the crystalline contribution, the right stretch tensor U is continuously stored (see Fig. 6). Once the condition of first yield in amorphous phase is fulfilled (at the time $t = t_0$), an internal variable U_{off} is assigned as follows

$$\boldsymbol{U}_{\text{off}} = \boldsymbol{U}_{t=t_0} = \boldsymbol{R}^{-1} \boldsymbol{F}.$$
(38)

This internal variable U_{off} is adopted to offset the deformation gradient and the new deformation gradient F_{B} 459 imposed on crystalline phase is updated by 460

$$\boldsymbol{F}_{\mathrm{B}} = \boldsymbol{R}(\boldsymbol{R}^{-1}\boldsymbol{F} - \boldsymbol{U}_{\mathrm{off}}) + \boldsymbol{I}.$$
(39)

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4 5 4

Table 1

Thermal properties of nylon 101, LDPE, polyamide 6, circular compression discs and grips, obtained from the online material database www.goodfellow.com and www.ensingerplastics.com

	ρ (kg/m ³)	$c_{\rm p}~({\rm J/kg~K})$	<i>k</i> (J/s m K)
Nylon 101	1150	1500	0.36
LDPE	919	2600	0.32
Polyamide 6	1200	1700	0.25
Steel	7800	420	52

It is believed that there exists an internal drag stress or friction stress considering plastic flow as rate-dependent [89]. 4 61 In SCPs, these stresses can be attributed to the interaction between the amorphous polymer chains and the crystalline 462 lamellar blocks. According to the stage III in Fig. 4, the flow stress of the amorphous phase experiences the 463 strain softening once the first yield is reached, what it adds a delay before revealing the crystalline contribution. A 4 64 characteristic time t_c is introduced to quantitatively regulate the adjustment between these two phases during this 4 65 period. The determination of t_c is illustrated and validated in Appendix B. The strain ε_c required to observe the apparent 466 linear elastic segment of crystalline phase depends on t_c and the relation $\varepsilon_c = t_c \dot{\varepsilon}$ holds. At a high strain rate, the test 467 time is short and even a small characteristic time t_c results in a certain amount of strain to establish the equilibrium of 468 two phases, where a "small hump" is observed in stress-strain curve. However, at a low strain rate, a large characteristic 4 6 9 time t_c may lead to a negligible strain ε_c between the first yield and the initiation of the crystalline contribution. Once 470 the internal equilibrium is achieved when the time interval equals t_c , the stress contribution of the crystalline phase is 471 rescaled by a factor α . This factor is updated by 472

$$\alpha = \frac{\sigma_{\rm B,eq} - \sigma_{\rm off}}{\sigma_{\rm B,eq}},\tag{40}$$

where σ_{off} is the equivalent stress at the disclosure point ($t = t_0 + t_c$) and $\sigma_{B,eq}$ the current equivalent stress. The investigation of the amorphous phase and the activation of the crystalline phase in nylon 101 are documented in Appendix B. The updated stress $\sigma_{B,cor}$ from crystalline phase is calculated as follows

$$\sigma_{\rm B,cor} = \alpha \sigma_{\rm B}.\tag{41}$$

8. Results and discussion

Three SCPs showing the DY phenomenon, nylon 101 and LDPE in compression [12, 22] and PA6 in tension [29], were chosen to validate the proposed model. Tests were conducted under different strain rates, which are suitable to validate the reliability of the model, as well as the self-heating and thermal softening effects. The parameter identification (PI) procedure can be found in Appendix A. The TMC analyses were carried out using a realistic cylinder compression test and dog-bone tension test to validate the response of nylon 101, LDPE and PA6, respectively.

8.1. Response under compression

A 3D finite element model of a cylinder uniaxial compression test at room temperature was developed. An implicit solver was used to study the full range of the thermomechanical response. A combination of a user-defined mechanical and thermal material is employed. The thermal properties are listed in Table 1. Fig. 7 shows the setup and the oneeighth FEM model with the simplifications according to the symmetry. The model was meshed using 8-node thermally coupled elements. Mesh sensitivity was conducted to check mesh convergence, where an element length of 0.5 mm and 0.2 mm proved to provide convergent results for nylon 101 and LDPE, respectively.

Frictionless contact and same thermal conductivity were applied between the cylinder specimen and the compression discs. Two steps were introduced to preheat the model to 22°C prior to applying the compressive load. The displacement control loading along y-axis was applied to the reference point (RP), which was connected to the upper disc by a rigid constraint. Once the second loading step began, the preheat temperature boundary condition (BC) was deactivated and a convection film coefficient $h = 10 \text{ W}/(\text{m}^2 \text{ C})$ was imposed on the outer surface in contact

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with the surrounding medium. The true stress-strain curve was obtained from the model using the volume-averaged 491 homogenized values as follows 492

$$\sigma_{\text{homg.}} = \frac{1}{V} \int \sigma dV \approx \frac{\sum_{i=1}^{n_{\text{elem}}} \sigma_i V_i}{V}$$

$$\varepsilon_{\text{homg.}} = \frac{1}{V} \int \varepsilon dV \approx \frac{\sum_{i=1}^{n_{\text{elem}}} \varepsilon_i V_i}{V}$$
(42)

where σ_i , ε_i , and V_i are the stress, strain and volume of the i-th element and V is the volume of the one-eighth specimen.



Figure 7: FEM of the cylinder compression test setup: (a) geometry, and (b) one-eighth model, mesh and BC.

8.1.1. Case of nylon 101

The cylinder has a diameter of 19.1 mm and height of 22.9 mm. The model mesh contains 9,637 linear hexahedral elements. Rubber effect represented by the network resistant C is neglected according to the experimental stress-strain curves.

Stress-strain curves

Fig. 8 shows the homogenized stress-strain curves of three datasets: (i) symbols, experimental data from [12], (ii) 499 grey lines, single element (SE) test, used to develop and debug the present model and (iii) black lines, the simulation 500 results of the cylinder model. 501

The DY phenomenon is captured by the proposed model with the first yield at a strain around 6% and the second 5 0 2 yield at 16.5%. The rate-dependent σ_{y1} is generated from amorphous intermolecular resistance A. At this stage the 503 difference between SE and cylinder results is negligible. The predicted first yield stresses are 79.5 MPa, 99.0 MPa 5.04 and 115.6 MPa at the temperature of 22°C when the strain rate increases from 1×10^{-5} s⁻¹ to 1×10^{-2} s⁻¹ to 1 s^{-1} 5 0 5 (see Fig. 8(a)). Compared to the experimental results, the errors are 2.71%, 1.59% and 1.50%, respectively. The "small 506 humps" are disclosed except for the quasi-static case ($\dot{\epsilon} = 1 \times 10^{-5} \text{ s}^{-1}$), thanks to the introduced characteristic time 507 $t_{\rm c}$. The corresponding predicted strains $\varepsilon_{\rm c}$ between the first yield and the local lowest stress level are 3.07% and 4.35% at the strain rate of 1×10^{-2} s⁻¹ and 1 s⁻¹, whereas the experimental values are 1.5% and 3.0%. The faster the test, 5.09 the larger ε_c . The predictions from the SE and the cylinder tests begin to deviate as the plastic strain increases, and 510 the discrepancy appears after the $\sigma_{v,II}$. The self-heating and thermal softening effects are taken into account so that the 511 $\sigma_{\rm v,II}$ can be predictable under different thermomechanical conditions. 512

Fig. 8(b) shows the comparison at the temperatures of 22°C and 66°C. The stress-strain curves at a moderate strain rate of 1×10^{-2} s⁻¹ are chosen to present the temperature-dependence. Because the equilibrium between amorphous and crystalline phases can be easily established at a higher temperature, the t_c equals to zero regardless of the applied strain rate at 66°C. The proposed model captures well the stress-strain curve with the self-heating and thermal softening effects. The difference between experimental and simulated ones above the strain 50% may due to the simplified logarithmic relation between the elastic modulus and the temperature via a constant β (see Eq. (11)), which is unable to represent the realistic one. Instead, a DMA-based temperature sweep test is highly recommended to obtain a realistic

4 94

Table	2								
Three	thermomechanical	regimes	in the	cylinder	specimen	at	different	strain	rates.

$\dot{\varepsilon}$ (s ⁻¹)	t_{test}/t_{diff}	thermomechanical regime
10^{-5}	202	nearly isothermal
10^{-4}	20.2	coupled
10^{-3}	2.02	coupled
10^{-2}	0.202	coupled, approaching adiabatic
1	0.00202	adiabatic

 $E_{\rm A}(\theta)$. The augmentation of the temperature and the softening of material are controlled by the parameters *h* and β . A parametric study along with their underlying physical interpretation is presented in Appendix E.

In addition, the internal temperature field is impossible to be measured experimentally during the test, and it is highly related to the material state due to the thermal softening. In that sense, the simulation results can provide valuable insights within the sample.



Figure 8: Comparison between experimental results of nylon 101 [12] and the prediction of the proposed thermomechanical model using a realistic cylinder compression specimen and single element model.

Investigation of self-heating

Fig. 9-11 shows the true stress-strain curves along with the evolution of the temperature. The internal temperature field is shown on the right by mirroring the one-eighth model. Three strains were selected to investigate the temperature field: at the first yield, the second yield and a true strain of 75%. The self-heating and thermal softening is examined numerically in three representative regimes: isothermal, coupled and nearly adiabatic. The three regimes corresponds to the ratio of the test period ($t_{\text{test}} = \epsilon/\dot{\epsilon}$) and the thermal diffusion time $t_{\text{diff.}}$ (see Table 2). This diffusion time can be estimated using the thermal diffusivity and the characteristic length of the specimen calculated from the centre of the deforming region to the nearest heat sink [66].

Fig. 9 shows that the average surface temperature remains despite of the wide strain range. The test period under an isothermal condition with strain rate $\dot{\epsilon} = 1 \times 10^{-5} \text{ s}^{-1}$ lasted around 21 hours. This long period allows heat diffusion that leads to increase the temperature from 22°C to 23.2°C. However, under a coupled condition ($\dot{\epsilon} = 1 \times 10^{-2} \text{ s}^{-1}$), the average surface temperature can reach 49.8°C at 75% of strain. Additionally, the compression discs experience a visible rise of temperature, as Fig. 10 shows. Under a nearly adiabatic condition ($\dot{\epsilon} = 1 \text{ s}^{-1}$), the loading time reduces to 0.75 s, which is insufficient to decrease the temperature rise caused by the self-heating from the specimen. The heat cannot even be sufficiently conducted to the compression discs as Fig. 11 shows.



Figure 9: (Color online) Thermomechanical response of nylon 101 and temperature evolution at strain rate of 1×10^{-5} s⁻¹: (a) average temperature on the outer curved surface and (b) internal temperature field in the midplane of the sample.



Figure 10: (Color online) Thermomechanical response of nylon 101 and temperature evolution at strain rate of 1×10^{-2} s⁻¹: (a) average temperature on the outer curved surface and (b) internal temperature field in the midplane of the sample.

In general, higher temperature is found in the core region compared to the one from specimen surfaces (63.1°C compared to 60.2°C at 75% under $\dot{\epsilon} = 1 \text{ s}^{-1}$, Fig. 11). Also, Fig. 10 and 11 show that temperature field within the cylinder specimen is not homogeneous. As the amorphous intermolecular resistance A is highly temperature dependent, the occurrence of thermal softening results to a different thermomechanical response on each material point due to the inhomogeneous plastic deformation field.

8.1.2. Case of LDPE

Same experimental setup of cylinder compression specimen was adopted to characterize the rate-dependent mechanical response of LDPE under large deformation [22]. The cylindrical specimen is 5 mm in diameter and length. Rubber effect can be observed from the macroscopic stress-strain curves. The complete model with three components is used for the validation. The identified parameters are listed in Table A.1 and A.2. The thermal properties adopted is provided in Table 1. The eight-chain network model ($\kappa = 1$) is considered in this Section.

Fig. 12 shows the comparison between the experimental and simulated results at 22° C. Both the rubber effect and ⁵⁵¹ DY phenomenon are well captured for two different strain rates: 0.01 s⁻¹ and 0.2 s⁻¹. The first yield occurs around a strain level of 10 %, while the second yield 40 %. The rubber effect results in a nonlinear stress increase, which is also predicted around a strain of 80 %. Furthermore, the self-heating and thermal softening effects lead to the drop trend in ⁵⁵⁴



Figure 11: (Color online) Thermomechanical response of nylon 101 and temperature evolution at strain rate of 1 s⁻¹: (a) average temperature on the outer curved surface and (b) internal temperature field in the midplane of the sample.

stress-strain curve at the strain rate of 0.2 s^{-1} . However, a difference can also be found in cylinder and SE tests. The average temperature in cylinder specimen is relative lower than in SE model due to the metallic discs attached to the specimen. The temperature decreases as the heat is efficiently conducted. The inhomogeneous temperature field in the cylinder specimen alters the mechanical response due to less thermal softening effect. Therefore, higher stress level is seen in the cylinder test. A parametric study of rubber effect combining DY phenomenon at different strain rates can be found in Appendix D.



Figure 12: Comparison between experimental results of LDPE [22] and the prediction of the proposed thermomechanical model using a realistic cylinder compression specimen and single element model.

8.2. Response under tension

The experimental results for PA6 extracted from Ref. [29] was used to validate the proposed model under tension. The adopted polymer is mainly composed by α -I phase, from which a dog-bone specimen was proposed and dryconditioned. Due to possible necking, the geometrical nonlinearity along with the plastic deformation can magnify the yield drop [74]. The experimental data is available in the form of engineering stress-strain, however, the PI process

requires true stress-strain. Therefore, logarithmic strain is adopted assuming constant volume and constant Poisson's ratio, the true stress is given by $\sigma_{\text{true}} = F/A_0 \cdot e^{\epsilon_x}$.

8.2.1. Case of Polyamide 6 (PA6)

In order to compare to the experimental results, a FEM model to simulate the ISO527 standard using a 1BA type 569 dog-bone specimen was developed. The dimensions of the 1BA dog-bone are presented in Fig. 13(a). This specimen 570 has a gauge zone of 22 mm \times 5 mm and 5 mm thickness. Four rectangular tabs are connected to the specimen using 571 tie constraints to mimic the attached grips. Contact conduction for the TMC analysis was modelled. Following the 572 standard ISO527-2, the initial distance between grips was chosen larger than the distance between broad parallel-sided 573 portions with a difference of 2 mm. To reduce the calculation cost, symmetry along y-axis was applied. Fig. 13(b) 574 shows the thermomechanical BCs on a meshed geometry with a global element length of 0.25 mm (mesh convergence 575 was checked). The natural convection coefficient $h = 20 \text{ W}/(\text{m}^2 \text{ C})$ was imposed on the surface between the grips 576 except for the symmetrical internal surface. A contact conductance of 52 J/s m K was assigned to the contact surfaces. 577 Two tabs on the left were fixed, whereas tabs on the right were connected to a RP. Equation type constraints were used 578 to couple their DOF and synchronize the movement. The displacement along x-axis was imposed to the RP. To ensure that the applied strain rate is consistent with experimental one, the input strain rate is calculated according to the gauge 580 length. Coupled temperature-displacement element is used with reduced integration and enhanced hourglass control. 5 81 The half model is composed of 4,120 linear hexahedral elements and 7,513 nodes. 582



Figure 13: FEM of the dog-bone tension test setup: (a) geometry, and (b) symmetrical model, mesh and BC.

Stress-strain curves

Unlike Section 8.1, the dog-bone results are based on the engineering values of the stress and strain. The engineering stress is calculated by the reaction force $F_{\rm RP}$ from RP divided by the initial cross-section area of the gauge zone (A_0 = 0.5 mm × 5 mm). The engineering strain is obtained using the gauge length $l_0 = 22$ mm as reference. The distance between two nodes are tracked and divided by l_0 .

Fig. 14 presents the model results under isothermal and fully TMC regimes at different strain rates. It can be noticed 588 that the stress-strain hump is also clearly captured in this test configuration. The model predicts the $\sigma_{y,I}$ at strain around 589 4% and leading to an increase of peak stress from 74 MPa up to 84 MPa as the strain rate increases from 10^{-4} s⁻¹ to 590 3×10^{-3} s⁻¹. Under isothermal condition, the stress-strain curves obtained from the model (Fig. 14(a), lines) follow 591 the same trend at different strain rates, leading to a group of parallel curves. This is due to two reasons: (1) the rate 5 92 dependency is carried out only by the amorphous phase, which reaches a clear stable saturated state of plastic flow [74], 593 and (2) the contribution of the rate- and temperature-independent crystalline phase remains unaltered and only adds 5 94 up the plastic hardening. 5 95

Fig. 14(b) shows the model results considering full thermomechanical coupling. In this case, it can be seen that the curves at the highest $\dot{\epsilon}$ dropped due to a clear thermal softening occurred in the amorphous phase. This result indicates that the self-heating process cannot be ignored as it leads to an excellent agreement with the experimental

583



Figure 14: Comparison between experimental results of PA6 and the prediction with realistic dog-bone tension specimen: (a) isothermal analysis and (b) fully coupled thermomechanical analysis.

observations. On top of that, it is worth mentioning that the effect of temperature on the elastic modulus shall also be properly measured. The crystallization temperature θ_c for PA6 is around 170°C and the accumulated temperature via self-heating during the test cannot reach the crystallization temperature θ_c . Therefore, the effect of thermal softening on the crystalline phase is not considered. This fact can facilitate the PI process using an identical crystalline contribution at any strain rates and temperatures below θ_c .

Investigation of necking and self-heating

Fig. 15 shows the comparison of isothermal and TMC cases in terms of effective plastic strain and temperature fields. Necking process is also illustrated where the thermal softening plays an important role. In the present model, four strains were chosen: 4.4% corresponding to the $\sigma_{y,I}$, 22% to the $\sigma_{y,II}$, 36% and 45% (a larger strain to demonstrate the necking under a well-developed self-heating). Nitta and Kuriyagawa [30] observed for metallocene-catalyzed HDPE that necking is usually initiated between both yield points and got stabilized at the second yield where the fragmentation of crystalline lamellar clusters occurs. A locally closed-packed layer structure was formed and an inhomogeneous plastic strain field was observed in the transition zone between the narrow parallel- and curved-sided portions.

At 4.4%, the amorphous region starts to yield. The temperature field in the gauge zone increased slightly and the 612 maximum effective plastic strain in thermal coupled analysis is higher. At 22%, the neck is stabilized at the second 613 yield, showing a more homogeneous effective plastic strain field. At 36%, the temperature continues to increase but 614 with a relative slow speed due to the preferred thermal parameters (h and β). The maximum effective plastic strain in 615 the thermal coupled analysis is 0.36, which is quite large compared to the one in isothermal condition (0.30). Besides, 616 its corresponding contour affected by the temperature field can be clearly seen with more concentrated region within 617 the gauge zone. At 45%. An obvious macroscopic neck can be observed in the coupled case with a maximum effective 618 plastic strain of 0.54 (0.39 in the isothermal analysis). The temperature increased more than 4 °C, being sufficient to 619 speed up the necking. 620

Kweon and Benzerga [90] investigated the mesh size effect on shear band taking place in a dogbone experiencing 621 necking under isothermal condition. The viscoplastic glassy amorphous polymer model with a parameter set $(s_2/s_1 =$ 622 0.61 and $s_1/s_0 = 1$ for a sharp yield point) was used to increase the propensity to shear banding. However, with the 623 identified parameter set for the PA6, no sharp transition was found around $\sigma_{v,I}$. Therefore, shear band is not obvious 624 in the present model (see Fig. 15). Although the strain softening is mainly characterized by the constitutive model 625 within the strain range from 4% to 7%, the neck initiation and evolution also affect the predicted nominal stress-strain 626 curves. In addition, a temperature increase due to self-heating accelerates the necking process affecting even more the 627 mechanical properties. 628

Please cite this article as:

P. Hao, V. Laheri, Z. Dai, F.A. Gilabert. A rate-dependent constitutive model predicting the double yield phenomenon, self-heating and thermal softening in semi-crystalline polymers". International Journal of Plasticity (2022), DOI:TBD Received: 17 Nov 2021, Revised: 12 Jan 2022, Accepted: 20 Jan 2022. A semi-crystalline polymer constitutive model



9. Conclusion

The proposed viscoelastic-viscoplastic-hyperelastic model based on three types of resistances is capable to 630 accurately capture the DY phenomenon and the strain-rate-triggering self-heating. A good agreement has been found 631 between the experimental and simulation results in a wide strain range. The contribution of this work includes: 632

- A straightforward and robust PI procedure. The same minimum set of experiments is required as the modified 633 BPA model and only one hardening curve for the crystalline contribution needs to extracted. The PI process is 634 used to capture the response of the thermoplastic nylon 101, LDPE and PA6 at different strain rates with high 635 fidelity. 636
- The yield kinetics of SCP was reviewed and the morphological changes of the blended crystalline and amorphous 637 phases was lumped and interpreted with a switch. A characteristic time t_c was introduced to control the activation 638 and to disclose the crystalline contribution, leading to the formation of "small humps" similarly observed in the 639 tests. 640
- The cylinder compression test was simulated for nylon 101 and LDPE under a full TMC regime, where rubber 641 effect was activated for LDPE. Both SE and cylinder tests generate satisfactory results. The self-heating and 642 thermal softening triggered by the strain rate were accurately captured. Additionally, the influence of the thermal 643 parameters, namely the inelastic heat fraction η , temperature-dependent β and convection coefficient h, on the 644 mechanical behaviour were discussed using a SE analysis. The stress-strain curves move downwards when 645 increasing η and β , or decreasing h. Further experimental study is required to determine a correct combination 646 of these parameters by cross checking the surface temperature field. 647
- A dog-bone tension model was used for PA6 validation to study the initiation and evolution of necking. Results 648 show that the self-heating and thermal softening effects accelerate the necking process. The temperature fields 649 were investigated in a full TMC regime, leading to clear inhomogeneous deformation patterns. 650
- The flexibility of this modular constitutive model was studied that offers the possibility of incorporating 651 the viscoelastic response. The parametric study shows that the simulated stress-strain curves can be either 652 used for thermoplastics or thermosets. The advantages of the proposed model lead to less effort in material 653 characterization that allows for decoupling the viscoelastic response from the others features, whose parameters 654 can be identified independently. On top of that, more complex loading types is required for the model validation, 655 for instance, considering the unloading curve of SCPs with obvious nonlinearities and hysteresis loops. 656

CRediT authorship contribution statement

P. Hao: Conceptualization, Methodology, Software, Investigation, Validation, Writing - original draft, Writing 658 - review & editing, Visualization. V. Laheri: Software, Validation, Writing - review & editing. Z. Dai: Software, 659 Validation, Writing - review & editing. F. A. Gilabert: Conceptualization, Methodology, Software, Supervision, 660 Funding acquisition, Writing - review & editing. 661

Acknowledgements

The work leading to this abstract has been funded by the ICON project "ProPeL" (HBC.2019.0094), which fits in 663 the MacroModelMat (M3) research program, coordinated by Siemens (Siemens Digital Industries Software, Belgium), 664 and funded by SIM (Strategic Initiative Materials in Flanders) and VLAIO (Flemish government agency Flanders 665 Innovation & Entrepreneurship). Financial support from "Bijzonder Onderzoeksfonds" (BOF.STG.2018.0030.01) by 666 Ghent University is also gratefully acknowledged. The authors extend their sincerest gratitude towards the reviewers' 667 insightful comments, suggestions and recommendations that were highly influential in improving the quality of this 668 paper. 669

P. Hao et al.: Preprint submitted to Elsevier

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Appendices

A. Mechanical model parameters

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This Appendix summaries the parameter identification stages for the proposed double yield model using the thermoplastic nylon 101 as example. To characterize the intrinsic response, true stress-strain curves should be used. For a non-isothermal case with various strain rates, the amorphous contribution follows the exhaustive calibration steps provided in Ref. [74]. This procedure leads to a unique parameter set accounting for various strain rates and temperatures, which is briefly illustrated as follows: 676

120 40 100 20 Frue stress (MPa) E80 0 1 0.6 0.7 0 2 03 60 40 20 Nylon 101 Exp. by Khan and Farrokh 2006 $\dot{\epsilon} = 1 \times 10^{-5}$ /s, $\theta = 22^{\circ}$ C 0 ∟ 0 0.1 0.2 0.6 0.7 03 0.40.5 0.8 True strain (-)

Figure A.1: Experimental stress-strain curve at low strain rate 1×10^{-5} s⁻¹ and its decomposition into amorphous and crystalline contribution.

- 1. **Baseline curve:** The stress-strain curve at the lowest available strain rate ($\dot{\epsilon} = 1 \times 10^{-5} \text{ s}^{-1}$) was chosen as the baseline. Under quasi-static loading condition, the thermal effect is negligible, therefore the isothermal mechanical response can be obtained.
- Decomposition: The stress-strain curve can be decomposed into amorphous and crystalline contributions (see Fig. A.1). A sub-window with an offset origin is imposed where the transition from the nonlinearity to a linear elastic response (apparent crystalline initiation) is found.
- 3. **Amorphous contribution:** Using the modified BPA model perform the calibration with a set of stress-strain curves before reaching the apparent linear region. Readers are referred to follow the same procedure as in [74]. In the case of nylon 101, the curve shape was determined according to the one at the strain rate of $\dot{\varepsilon} = 1 \times 10^{-5} \text{ s}^{-1}$, where the parameters $E_{a,ref}$, θ_{ref} , v_a , s_0 , s_1 , s_2 , h_1 , h_2 , $\bar{\varepsilon}_p$, f, m, $\bar{\varepsilon}_0$ and A were identified with the minimum required tests (see Table A.1). The temperature-dependent modulus was determined with a logarithmic law via $\log(E_{ref}/E(\theta)) = \beta (\theta \theta_{ref})$.
- 4. Crystalline contribution: Perform the calibration using one single stress-strain curve in the sub-window. An elastoplastic response was assumed for the investigated nylon 101. The apparent elastic modulus E_c and initial yield stress $\sigma_{c,y0}$ can be obtained using a linear relation. The hardening data was generated by imposing $\varepsilon_{p,i} = \varepsilon_i - \sigma/E_c$ in the plastic region (listed in Table A.2).
- 5. Activation of the crystalline phase: Once the $\sigma_{y,I}$ in amorphous domain is achieved, the crystalline contribution must be activated. A rate-dependent characteristic time t_c is introduced to disclose the apparent contribution, resulting in a curve with a "small hump" at high strain rate (see Appendix B).
- 6. **Thermomechanical coupling:** Constant thermal properties of the SCP and compression discs (tension grips) were assumed (Table 1). The self-heating and thermal softening effects on stress-strain curves were determined via the parameters h and β (refer to Appendix E for the parametric study). The convection parameter h was approximated at any moderate strain rate under thermal coupled condition.



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International Journal of Plasticity (2022), DOI:TBD Received: 17 Nov 2021, Revised: 12 Jan 2022, Accepted: 20 Jan 2022. A semi-crystalline polymer constitutive model

Table A.1

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Set of mechanical parameters of the double yield model for the amorphous and crystalline components.

Material parameter	Unit	Description	Nylon 101	PA6	LDPE	Eqn.
Amorphous						
$E_{\rm a,ref}$	GPa	Modulus at $ heta_{ m ref}$	3.01	2.62	225	(11)
$\theta_{\rm ref}$	K	Reference temperature	295	296	295	(11)
β	1/K	Temperature dependence	0.0022	0.0036	0.0234	(11)
$v_{\rm a}$	-	Poisson's ratio	0.39	0.39	0.42	(11)
<i>s</i> ₀	MPa	Initial equivalent strength	120	184	28	(11)
s ₁	MPa	Athermal peak strength	140	196	34	(12)
<i>s</i> ₂	MPa	Saturation strength	138	193	34	(12)
h_1	MPa	Pre-peak hardening	6270	32351	977	(13)
h_2	MPa	Post-peak softening	5030	14827	648	(13)
$\bar{\varepsilon}_{\rm p}$	-	Peak plastic strain	0.027	0.009	0.045	(13)
f^{i}	-	Smooth factor	0.3	0.3	0.3	(13)
$\alpha_{\rm p}$	-	Pressure sensitivity	0	0	0	(10)
m	-	Rate sensitivity	0.66	0.80	1	(10)&(11)
$\bar{\epsilon}_0$	1/s	Rate sensitivity	329	3.55e+11	6.24e+6	(10)
Ă	K/MPa	Rate sensitivity	115	104	265	(10)
Network (Rubber effect)						
C^R	MPa	Rubbery modulus	-	-	1.3	(24)&(25)
Ν	-	number of rigid links	-	-	4	(24)&(25)
Crystalline						
E_c	MPa	Apparent modulus	210	163	26	(-)
v	-	Poisson's ratio	0.39	0.39	0.32	(-)
$\sigma_{ m c,y0}$	MPa	Initial yield stress	22	19	2.3	(-)

Table A.2

Strain hardening data for the crystalline component.

Nylon 101		PA6		LDPE	
$\epsilon^{\rm p}_{\rm B}$ (%)	σ_{yt}, σ_{yc} (MPa)	$\epsilon^{\rm p}_{\rm B}$ (%)	$\sigma_{yt}, \sigma_{yc} \text{ (MPa)}$	$\epsilon^{\rm p}_{\rm B}$ (%)	σ_{yt}, σ_{yc} (MPa)
0.0	22.0	0.0	19.0	0.0	2.30
1.8	27.7	0.3	20.9	0.2	2.74
4.4	32.8	0.8	23.4	0.5	3.51
7.7	36.4	1.0	23.9	1.0	4.12
11.5	38.8	1.3	25	1.6	4.57
15.7	40.4	1.9	26.5	3.1	5.19
20.3	41.5	2.6	27.9	4.9	5.60
24.9	42.1	3.1	28.7	6.5	5.80
29.7	42.6	4.1	30.3	8.5	5.94
34.5	43.1	4.9	31.5	9.3	5.98
39.2	43.7	5.4	32.4	10.2	6.00
43.9	44.3	5.6	32.8	10.7	6.01
48.5	45.1	6.0	33.8	13.1	6.02

B. Activation of crystalline phase

The calibration of the characteristic time t_c was performed on a SE (side length of 1 mm) thermomechanical 701 analysis. In this Section, the experimental data of nylon 101 at temperature 22°C was used. Firstly, the contribution 702 of the amorphous phase has to be characterized and validated (see Fig. B.1) following the procedure in Appendix A. Secondly, the following are two needed steps to determine the activation and disclosure of the contribution of crystalline 704 phase: 705

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Received: 17 Nov 2021, Revised: 12 Jan 2022, Accepted: 20 Jan 2022.

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Figure B.1: The validation of rate- and temperature-dependent amorphous contribution.

- 1. Activation: Activate the crystalline rheological component when the first yield occurs. The $\sigma_{y,I}$ can be determined by: (a) finding directly the local maximum, if it exists, in the stress-strain curve or (b) verifying if the equivalent plastic strain rate $\dot{\epsilon}_p$ equals the corresponding applied strain rate $\dot{\epsilon}$ (see Fig. B.2).
- 2. **Disclosure:** The characteristic time t_c is further determined by fitting the required time period passing from the $\sigma_{y,I}$ to the one when the apparent elastic segment in stress-strain curves is observed (see Fig. B.3). The contribution of crystalline phase is then disclosed with a distinct "small hump" at high strain rate.

Fig. B.1 shows the stress-strain curves generated only by the amorphous contribution. The calibration was done following the third step in Appendix A. The $\sigma_{y,I}$ of the prediction distributes evenly according to the magnitude of applied strain rate. The yield stress increases as the applied strain rate increases. In this procedure it is assumed that the amorphous region achieves the saturated state without strain softening once the $\sigma_{y,I}$ is reached. 713



Figure B.2: Internal equivalent plastic strain rates in amorphous phase and the corresponding $\sigma_{y,l}$ at different strain rates: (a) $1 \times 10^{-5} \text{ s}^{-1}$, (b) $1 \times 10^{-3} \text{ s}^{-1}$, and (c) 1 s^{-1} .

Fig. B.2 shows the evolution of the equivalent plastic strain rate (dash lines) at three strain rates: 1×10^{-5} s⁻¹, $_{116}$ 1×10^{-3} s⁻¹, and 1 s⁻¹. The stress-strain curve at strain rate 1×10^{-5} s⁻¹ is superposed in all plots. It shows that the first yield stress can be determined as the equivalent plastic strain equals to the applied strain. A migration of first yield to the right can be seen at higher strain rates, in accordance with the experimental observation. The crystalline 719

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Table C.1

Visco-elastic properties of the three Maxwell elements for two study cases.

Case	1			2		
k	1	2	3	1	2	3
G (MPa)	250	500	1000	500	1000	1500
t_R (s)	100	10	1	100	10	1
η (MPa s)	25000	5000	1000	50000	10000	1500

model is activated at this point and the time t_0 is recorded. The underlying crystalline contribution is then disclosed once $t = t_0 + t_c$ (see Fig. B.3).



Figure B.3: Comparison between experimental results and the prediction of nylon 101 using SE with (a) isothermal analysis and (b) fully thermomechanical coupled analysis.

Fig. B.3 shows the true stress-strain curves from the predictions under isothermal and non-isothermal conditions. As the strain rate increases from 1×10^{-5} s⁻¹ to 1 s⁻¹, the shape of the macroscopic response conforms well with the prediction of the proposed double yield model. The "small humps" after the first yield in the true stress-strain curves were also quantitatively predicted. The strain required for the establishment of equilibrium between amorphous and crystalline phases increases when the strain rate increases. The contribution of crystalline phase takes place at 12 % strain under 1 s⁻¹, compared to 9 % at 1×10^{-2} s⁻¹.

Fig. B.3(a) implies that the experimental stress-strain curves with downwards trend experience the thermal 728 softening effect. Garcia-Gonzalez et al. [2] illustrated the thermal softening effect by representing the isothermal 729 and adiabatic intermolecular resistances. The temperature increase due to the self-heating can hardly reach the 730 crystallization temperature. This confirms that the amorphous intermolecular resistance A is affected directly by 731 the thermal softening rather than the crystalline interlamellar resistance B. Fig. B.3(b) shows three representative 732 thermomechanical behaviours namely isothermal ($\dot{\epsilon} = 1 \times 10^{-5} \text{ s}^{-1}$), coupled ($\dot{\epsilon} = 1 \times 10^{-3} \text{ s}^{-1}$) and nearly adiabatic 733 $(\dot{\epsilon} = 1 \text{ s}^{-1})$ conditions. The parametric study has shown that the prediction can be brought downwards decreasing h, 734 which is equivalent to increase the temperature (see Appendix E). The non-isothermal predictions have a satisfactory 735 agreement compared to the experimental results. 736

C. Incorporating of the viscoelastic response

The aim of this section is to introduce the viscoelastic response which can be separately identified effortlessly. A parametric study of the implemented viscoelastic response was also performed with two study cases. 738

Fig. 5 presents the model response including a series of viscoelastic elements connected to the linear elastic component. Each viscoelastic component corresponds to a Maxwell element consisting of an elastic spring and a linear 741 dashpot. In order to decouple the viscoelastic response from the other nonlinear features, total stress σ_A obtained from 742 the linear spring and the Maxwell branches $\sum_{i=1}^{N_{ve}} \sigma_A^{ve,i}$ is passed into the viscoplastic dashpot element as a driving 743 stress (see Eq. (7)). Each linear viscoelastic response is given by $\sigma_A^{\text{ve},i} = \xi \dot{\epsilon}$ with the viscosity $\xi = G t_R$, where G is the shear modulus and t_R the relaxation time. Constant parameters were assumed and three Maxwell elements were 744 745 chosen for this illustrative study case (see Table C.1). Readers are referred to the implementation of the equivalent 746 kinematic finite strain framework (see details in Ref. [78]). The rate of deformation for a single viscoelastic element is 747 given as follows 748

$$\boldsymbol{D} = \boldsymbol{D}_d + \boldsymbol{D}_h = \dot{\boldsymbol{\varepsilon}}_d^{\text{eq}} N_d + \dot{\boldsymbol{\varepsilon}}_h^{\text{eq}} N_h = \dot{\boldsymbol{\varepsilon}}_d^{\text{eq}} \frac{\boldsymbol{\sigma}'}{\sqrt{2}\sigma^{\text{eq}}} + \dot{\boldsymbol{\varepsilon}}_h^{\text{eq}} \frac{\boldsymbol{p} \boldsymbol{I}}{\sqrt{2}\sigma^{\text{eq}}} , \qquad (C.1)$$

where $\dot{\varepsilon}_{d}^{eq}$ and $\dot{\varepsilon}_{h}^{eq}$ stand for the deviatoric and hydrostatic equivalent visco strain rates, *p* is the hydrostatic pressure and *I* is the second order identity tensor.



Figure C.1: Comparison of simulated stress-strain curves with two parameter sets of viscoelastic response

Fig. C.1 presents the simulated results based on the dog-bone test on PA6 (see Section 8.2) assuming the 751 hypothetical values of the viscoelastic parameters from Table C.1. These values were deliberately chosen to cover 752 a sufficiently wide range of the relaxation time and elasticity. The elasto-viscoplastic result, grey lines, are plotted as 753 a guidance in Fig. C.1. Two strain rates, 0.01 s^{-1} and 0.003 s^{-1} , are examined. The results with viscoelastic response 754 show that first yield move leftwards but maintain the same level of stress, and following the strain softening segment 755 regardless of the adopted viscoelastic parameter set. The modulus becomes rate-dependent and increases as the applied 756 strain rate increases. The viscoelastic parameter set with larger shear moduli for the Maxwell elements provides a 757 higher instantaneous modulus. The advantages of this arrangement are clear: (a) it does not affect the contribution of 758 the amorphous and crystalline phases, and (b) the parameter identification for viscoelastic response can be separated 759 from the aforementioned calibration tests using a Dynamic Mechanical Analyser (DMA). 760

D. Parameter sensitivity analyses of the hyperelastic response

The rubbery effect of the network resistance C contribution on the proposed double yield model was examined (see Fig. D.1). It was studied with the same parameter set from nylon 101 but adding the rubbery effect (see Table A.1). For the sake of simplicity, only a three-chain model is illustrated ($\kappa = 0$). Two parameters, the rubbery modulus C^{R} and the average number of links N, were studied at the temperature 22°C and under two strain rates namely $\dot{\epsilon} = 1 \times 10^{-5} \text{ s}^{-1}$ and $\dot{\epsilon} = 1 \times 10^{-2} \text{ s}^{-1}$. The rubbery effect becomes visible under large strain range by decreasing the magnitude of N or increasing C^{R} .

Please cite this article as:

P. Hao, V. Laheri, Z. Dai, F.A. Gilabert. "A rate-dependent constitutive model predicting the double yield phenomenon, self-heating and thermal softening in semi-crystalline polymers". International Journal of Plasticity (2022), DOI:TBD

Received: 17 Nov 2021, Revised: 12 Jan 2022, Accepted: 20 Jan 2022.

A semi-crystalline polymer constitutive model



Figure D.1: Parameter sensitivity analyses on rubbery effect in double yield model at strain rate (a and b) 1×10^{-2} s⁻¹ and (c and d) 1×10^{-5} s⁻¹.

E. Effect of thermal parameters

To enrich the flexibility of the proposed model, a constant, inelastic heat fraction η , can be introduced to generate a volumetric heat flux source term partially from the energy dissipation. Unlike fluid-like materials, the stored energy was a constant fraction of plastic work so that the full heat conversion is unlikely to occur in solid materials (refer to Ref. [91]). Meanwhile, η is used to mediate the underestimation of heat source associated with deformations, for instance, the assumption of neglecting some terms while deriving Eq. (37). The η is determined by using adiabatic condition. Assuming constant thermal properties, the heat equation is extended as follows

$$\rho c_{\rm p} \frac{\partial \theta}{\partial t} = \eta \left(\boldsymbol{\sigma}_{\rm A} : \boldsymbol{F}_{\rm A}^{\rm e} \boldsymbol{L}_{\rm A}^{\rm p} (\boldsymbol{F}_{\rm A}^{\rm e})^{-1} + \boldsymbol{\sigma}_{\rm B} : \boldsymbol{F}_{\rm B}^{\rm e} \boldsymbol{L}_{\rm B}^{\rm p} (\boldsymbol{F}_{\rm B}^{\rm e})^{-1} \right) + \nabla \cdot \left(k \frac{\partial \theta}{\partial x} \mathbf{x} \right).$$
(E.1)

The convection coefficient *h* can be experimentally obtained or estimated using a model assuming natural convection on a vertical surface. In practice, *h* can be obtained by fitting the stress-strain curves at a moderate strain rate in the thermal coupled regime. Furthermore, the thermal softening is incorporated by the temperature-dependent modulus $E_A(\theta)$ via a material constant β .

Fig. E.2 shows the effects of parameters η , β and h using a SE analysis. Nylon 101 at a reference temperature $\theta = 22^{\circ}$ C and $\dot{\epsilon} = 1 \times 10^{-2} \text{ s}^{-1}$ was used. The solid line represents the response using the calibrated parameters (see Appendix A). It can be seen that the true stress-strain curve is brought downwards by decreasing h, or increasing η and β .



Figure E.2: Variation of the true stress-true strain curves of nylon 101 at strain rate of 1×10^{-2} s⁻¹ affected by (a) inelastic heat fraction η , (b) temperature-dependent β and (c) convection coefficient h.

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