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# Polyaddition synthesis using alkyne esters for the design of vinylogous urethane vitrimers

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**Keywords:** Covalent Adaptable Networks • Vitrimers • Vinylogous Urethanes • Alkyne Esters • Water-free

**ABSTRACT:** Vitrimers are a subclass of covalent adaptable networks, which introduce reshapeability and recyclability in thermoset materials while maintaining a high degree of chemical resistance and dimensional stability. Vitrimer materials based on vinylogous urethane (VU) chemistry have drawn a lot of attention in this area. Classically, these are obtained by the

polycondensation polymerization of acetoacetate and amine monomers. Unfortunately, this also releases water, often leading to porosity defects in the initially obtained non-reprocessed cross-linked materials. Here, we demonstrate that alkyne esters (AE) can be used as alternative building blocks for VU vitrimers by a polyaddition polymerization with amines, leading to water-free formulations and straightforward access to defect-free cured VU vitrimer materials. The bond formation and dynamic bond exchange was also studied by small molecule reactions, further rationalized by a computational (DFT) approach. The resulting water-free VU vitrimers display similar material properties compared to vitrimers based on acetoacetates, although also some differences are seen, which can be related to a minor amide-bond forming side reaction. Furthermore, using this novel AE approach, polyaddition curing of VU epoxy vitrimers can easily be prepared in a one-pot three-component method, combining AE, amine and epoxy monomers. This study shows that AE monomers can be used as an easy drop-in method to obtain processable epoxy materials with tunable viscoelastic properties, where the viscous flow behavior can in principle be fully tuned by varying the monomers' ratios and compositions.

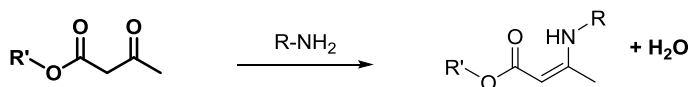
## Introduction

Polymer materials are generally divided into two classes depending on their thermal flow behaviour, i.e. either thermosets or thermoplastics. However, this distinction has been confounded by the introduction of covalent adaptable networks (CANs)<sup>1-3</sup> and more in particular vitrimers, which defy classification into either class.<sup>4-10</sup> Indeed, vitrimers are permanent cross-linked materials that are able to change their network topology, thus introducing reshapeability and recyclability in thermosets, without losing network connectivity. This is made possible by the introduction of dynamic covalent bonds that follow a thermally responsive associative exchange mechanism (i.e. an addition/elimination pathway), meaning that first a new covalent

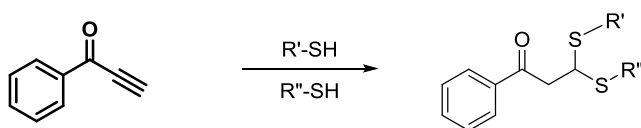
bond needs to be formed before an already existing one can be broken.<sup>4</sup> Since their discovery in 2011, a wide variety of chemistries have been investigated for this purpose ranging from transesterification,<sup>10-19</sup> transalkylation of trialkylsulfonium salts,<sup>20</sup> boronic ester transesterification<sup>21-25</sup> to the vinylogous urethane transamination<sup>26-36</sup> and many more.<sup>37-44</sup>

The vinylogous urethane (VU) chemistry was first introduced in vitrimer materials by our research group in 2015.<sup>32</sup> In the latter studies, VU vitrimers were synthesised via the polycondensation reaction between acetoacetates (AA) and amines with the release of water (Scheme 1a).

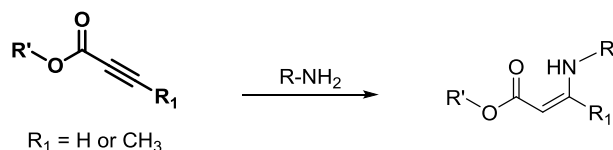
a) Acetoacetate as vinylogous urethane precursor moiety<sup>25-36;45-48</sup>



b) Thioacetal formation<sup>49</sup>



c) **This work:** Alkyne ester as vinylogous urethane precursor moiety



**Scheme 1:** Schematic overview of (a) the acetoacetate based VU platform, (b) the activated alkynone-based chemistry for CANs' preparation (c) the herein described alkyne ester-based VU chemistry route.

Ever since its introduction, the VU vitrimer chemistry has been picked up by several other research groups, also industrial ones,<sup>45</sup> in view of its straightforward implementation in many polymer matrices, and their intrinsic diversity.<sup>26,29,46-48</sup> However, a principal technical bottleneck

in the application of this chemistry, is its polycondensation nature, often giving rise to defects in cured VU networks, due to the release of water and formation of bubbles in freshly cured samples, generating porosity throughout the material. This porosity can be avoided by prepolymerization approaches,<sup>33</sup> or it can also be generally erased using an additional processing step, e.g. consisting of compression moulding.<sup>32</sup>

Nonetheless, for certain applications, this additional processing step is not desired or even feasible (e.g., composite materials or 3D-printing) and thus, the VU chemistry in vitrimers can be troublesome for such specific applications. Prepolymerization provides an effective technical solution, as release of water and network formation can be separated, to obtain porosity-free networks by designing an oligomeric VU curing agent, as recently shown in our synthesis of VU-based epoxy vitrimers.<sup>33</sup> However, the obtained oligomeric (VU prepolymer) epoxy curing agents display a high viscosity, again giving a technically troublesome epoxy curing process, as monomer mixing is difficult. For this and other reasons, we have long been interested in developing an alternative synthetic methodology to obtain water-free VU vitrimers via a more application-friendly polyaddition process for network design.

Recently, our group reported the use of alkynones as monomers for novel thiol-ene based CAN materials.<sup>49</sup> In this work, thioacetals were obtained from the double thiol-Michael addition between activated alkynones and thiols (Scheme 1b). Such alkynone precursors allow the formation of a polymer network in a water-free manner as they react *via* an addition reaction instead of a condensation reaction. While alkyne esters (AE) are well-known precursors in organic chemistry for the preparation of VU compounds via an aza-Michael reactions,<sup>50,51</sup> this chemistry is also not readily applicable for polymer synthesis, as it is also known to undergo irreversible side reactions, such as swift amidation reactions, producing alkyne amides in high

yields.<sup>52,53</sup> Alkyne esters are highly reactive monomers, and amines are highly reactive nucleophiles as well as bases, and their combination has so far proven troublesome in our ongoing studies in the VU area. From these initial studies, it became clear that a good reaction design and understanding of the reactivity parameters is necessary in order to suppress side reactions and thus favour the VU-formation (Scheme 1c).

Here, we will report the first successful syntheses of VU vitrimers, using the attractive polyaddition methodology. First, small molecule reactivity studies have been performed to gain a better understanding of the possible reaction pathways between AE and amines and to evaluate the VU-precursor viability of AE. Furthermore, density functional theory (DFT) calculations were performed to further rationalise the experimental results obtained regarding VU formation in terms of steric and electronic influence and to investigate this influence on the transamination reaction. Following this, experimental transamination studies were also performed to corroborate the theoretical data. Based on our improved understanding of the underlying chemistry, we were able to tackle VU vitrimer synthesis via polyaddition polymerisations of AE monomer, including interesting VU-hardened epoxy vitrimers. The recycling potential and stress-relaxation behaviour of this new generation of vitrimers was also examined, and critically compared to that of their AA-based VU vitrimer counterparts.

## Results and Discussion

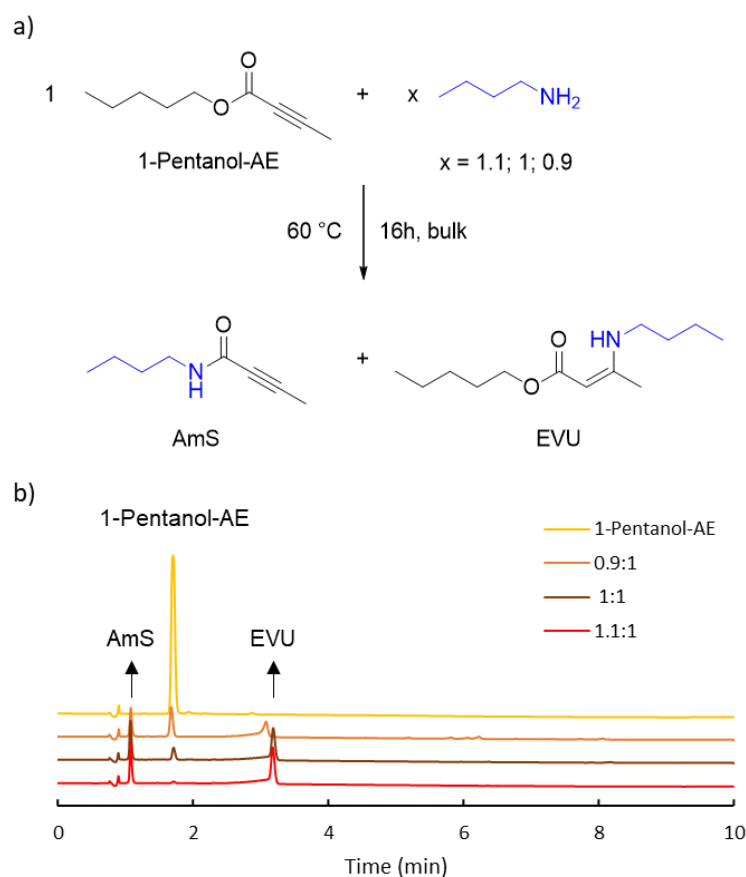
### *Amine – Alkyne ester model study: determination of possible side reactions during VU formation*

In the introduction, it was mentioned that alkyne esters can undergo an acyl substitution reaction leading to the formation of alkyne amides. Hergenrother and co-workers<sup>52,53</sup> demonstrated this by obtaining alkyne amides in high yield (up to 94 %) from reactions performed with a slight excess of amine compared to the alkyl ester (1.2:1 NH<sub>2</sub>:AE). Based on

the reported reaction conditions (0°C; methanol as solvent), it was hypothesized that the acyl substitution might be favored in a protic solvent over the aza-Michael addition reaction. Since the herein envisioned VU synthesis procedures will be performed in bulk at elevated temperatures, this undesired substitution reaction was expected to exhibit a different reactivity profile. In order to investigate this, a model study was performed to determine the percentage of alkyne amide product formed on the one hand and whether the reaction efficiency was high enough to obtain VU materials on the other hand.

For this, 1-pentanol functionalized with an AE-function (1-Pentanol-AE) was reacted in bulk at 60 °C for 16h with three different butylamine/AE ratios (i.e. (1.1:1), (1:1) and (0.9:1)) (Figure 1a). Butylamine was chosen since it can be easily removed using rotary evaporation, thus allowing sample analysis by LCMS without the need for additional purification, which could remove possible side-products. In each case, the desired vinylogous urethane was found to be the major product, corroborating the stated hypothesis that a protic solvent assists the acyl substitution reaction. Nevertheless, besides trace amounts of the starting compound 1-Pentanol-AE and the expected VU (EVU), one side-product could also be detected in LCMS and NMR analysis (Figure 1b and Figure S.2), namely the alkyne amide (AmS). As expected, the reduction of the amount of butylamine resulted in a higher percentage of 1-pentanol-AE in the reaction mixture, up to a maximum of 18 % compared to EVU for the reaction using a 0.9:1 ratio (Figure 1b and Figure S.2). Interestingly, the ratio of the alkyne amide to the desired VU-compound remained constant throughout the different reactions, i.e. roughly 7 % (as determined by NMR).

The presence of such alkyne amide functions would most likely result in a fraction of network defects and thus a potentially higher soluble fraction of the resulting vitrimer materials. Nevertheless, since no vinylogous urea signals were observed, the required excess of primary amines for transamination to the VU-functions is expected to be still present in the networks and vitrimer properties should thus be observed. Therefore, the AE moiety was still considered as a viable VU precursor for the synthesis of water-free VU vitrimers.



**Figure 1:** a) Model study reaction between 1-pentanol-AE and different ratios of butylamine leading to the expected vinyllogous urethane compound (EVU) and an amide side-product (AmS). b) LCMS chromatograms ( $\lambda=214$  nm) of 1-pentanol-AE (as a reference) and the

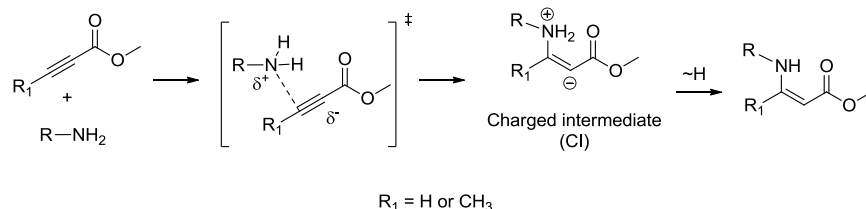


products of the reactions performed with different ratios of NH<sub>2</sub>:AE. The samples were analysed at a solvent gradient of 75 % → 100 % water → acetonitrile.

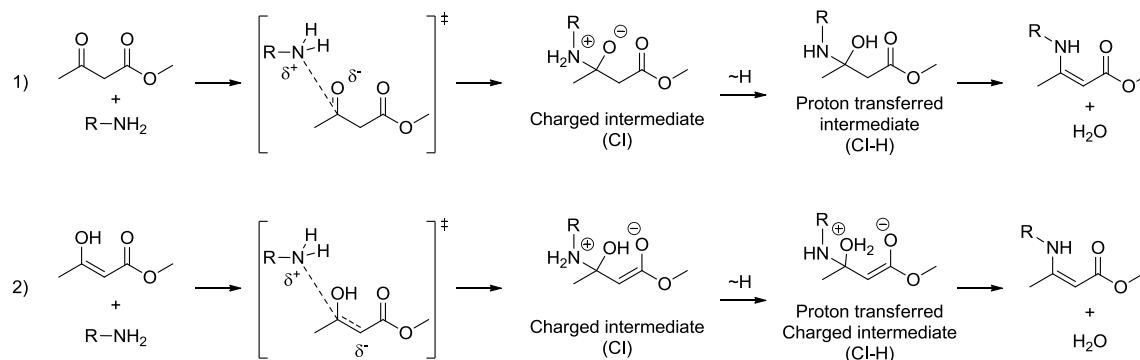
### ***Computational study of the AE-based chemistry***

In the above-described model study, it was observed that the reaction between amines and AEs was more exothermic than the reaction between amines and AAs. Due to the higher reactivity of the AE towards amines, previously discarded amines - such as aromatic amines - could now potentially be utilised to obtain VU vitrimers. To be able to test this hypothesis as efficiently as possible at the material level, we first computationally investigated the range of amines that can be used for the VU synthesis. All calculations were performed using the Gaussian 16 package<sup>54</sup> with the M06-2X/6-311+G(d,p) level of theory, as this functional performs well for thiol-Michael reactions,<sup>55</sup> which can be considered to be rather similar to the here investigated Aza-Michael reactions. The CPCM implicit solvent model<sup>56</sup> was utilised to take the effects of solvent - in this case chloroform - into account, as zwitterionic species in the gas phase are not possible without delocalisation. Gibbs free energy profiles for both the VU formation reaction (starting from AA and AE) and for the transamination reaction between an amine and a VU were obtained, directly leading to the relative Gibbs free energy ( $\Delta\Delta G^0$ ) and the relative Gibbs free energy of the transition state ( $\Delta G^{0,\ddagger}$ ) of the rate limiting step of the pathway. Scheme 2 represents a summary of the calculations that have been performed, including the different mechanistic pathways (Scheme 2 a-b) and the investigated amines (Scheme 2c).

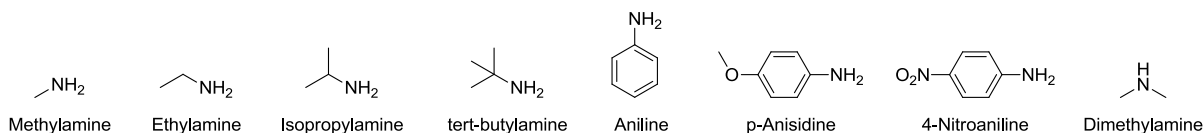
a) Vinylogous urethane synthesis from alkyne esters



b) Vinylogous urethane synthesis from acetoacetates



c) Amines used in the computational study



**Scheme 2:** General mechanisms of the vinylogous urethane formation starting from a) alkyne esters and b) acetoacetates in both the keto (1) and enol (2) tautomer and a primary amine. (c) Amines used during the computational study.

The broad range of different amines, ranging from methylamine to 4-nitroaniline, were chosen to investigate both steric and electronic influences, as to further quantify and rationalise their difference in reactivity. To reduce the complexity of the calculations, simplified versions of both the amines and the AA or AE, compared to their experimental used counterparts, such as methyl but-2-ynoate (Me-AE), methyl acetoacetate (Me-AA) and methylamine, were investigated. Furthermore, the reported results are related to the formation of VU in the cis-product, despite the fact that, theoretically and practically, the trans-product can also be formed.<sup>57</sup> Nevertheless,

the cis-product is always the major product, due to the extra stabilisation *via* an internal hydrogen bond, and was therefore chosen to represent the vinylogous urethane moiety in the performed calculations.

The calculation results concerning the VU formation based on Me-AE and Me-AA in its enol tautomer form, as well as those obtained for the transamination of ethyl VU with the amines (Scheme 2c), can be found in Table 1 and in the supporting information (section V.2). Based on the thermodynamic profile of each individual reaction pathway, one can already distinguish the following predictive trends for the VU formation for both Me-AE and Me-AA. First, thermodynamic driving force could be the sole cause of <sup>potential</sup> differences in reactivity as reactions between amines and Me-AE are all highly exergonic ( $\Delta\Delta G^0 < -95 \text{ kJ/mol}^{-1}$ ) (Table 1 and Figure 2). On the other hand, the reactions with Me-AA are mostly exergonic ( $-30 \text{ kJ/mol}^{-1} < \Delta\Delta G^0 < 15 \text{ kJ/mol}^{-1}$ ) and thus have a much lower thermodynamic driving force (Table 1 and Figure S.34) and only minor differences in the  $\Delta G^{0,\ddagger}$  values between the two monomers were obtained. Second, increasing the steric hindrance of the primary amine (from methylamine to *tert*-butylamine) results in a higher kinetic barrier and reduced thermodynamic driving force for the VU formation. Third, tuning the nucleophilicity of the amine by using electron donating/withdrawing groups is expected to play an important role in the VU formation. Indeed, by introducing electron donating (eg methoxy group in *p*-anisidine) or withdrawing (eg nitro group in 4-nitroaniline) groups, the  $\Delta G^{0,\ddagger}$  value was lowered or increased respectively compared to the reaction with aniline. This can be explained by the fact that *p*-anisidine is more nucleophilic because of the delocalisation of an electron pair introduced by the methoxy group, whereas the nucleophilicity is lowered for 4-nitroaniline as the electrons are drawn towards the nitro group. Lastly, secondary amines display the lowest  $\Delta G^{0,\ddagger}$ , as expected from their higher

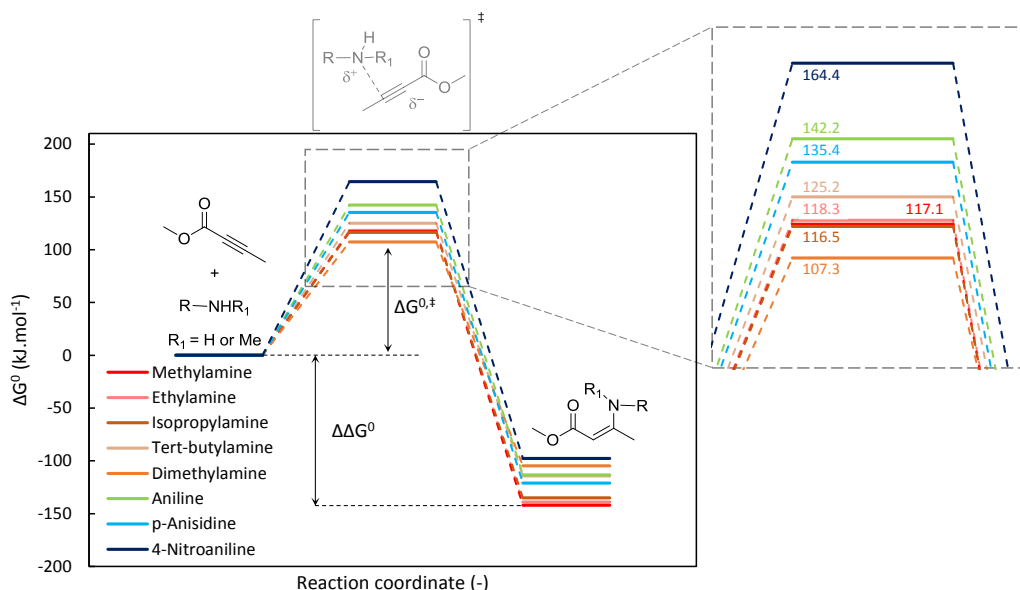
nucleophilicity, but they also display the lowest thermodynamic driving force of all the investigated aliphatic amines, due to their inability to form internal hydrogen bonds for extra stabilisation. Therefore, they are expected to be under kinetic control.

**Table 1:** Calculated Gibbs free energies of the vinylogous urethane formation normalized to the respective starting compounds (neutral amine and neutral AA or AE) and of the transamination reaction, following the protic iminium pathway and aprotic Aza-Michael pathway of ethyl VU normalized to the respective starting compounds (for the iminium pathway: positively charged amine and neutral VU as shown in Figure 3; for the aprotic pathway: neutral amine and neutral VU as shown in Figure S.39).

	VU Formation				Transamination of Ethyl VU			
	<i>Methyl but-2-ynoate</i>		<i>Methyl acetoacetate (enol)</i>		<i>Protic iminium</i>		<i>Aprotic Aza-Michael</i>	
	$\Delta G^{0,\ddagger}$ (kJ.mol <sup>-1</sup> )	$\Delta\Delta G^0$ (kJ.mol <sup>-1</sup> )	$\Delta G^{0,\ddagger}$ (kJ.mol <sup>-1</sup> )	$\Delta\Delta G^0$ (kJ.mol <sup>-1</sup> )	$\Delta G^{0,\ddagger,a}$ (TS <sub>1</sub> ) (kJ.mol <sup>-1</sup> )	$\Delta\Delta G^0$ (kJ.mol <sup>-1</sup> )	$\Delta G^{0,\ddagger,a}$ (TS <sub>1</sub> ) (kJ.mol <sup>-1</sup> )	$\Delta\Delta G^0$ (kJ.mol <sup>-1</sup> )
Methylamine	117.1	-142.1	107.6	-29.5	37.7	-7.7	144.7	4.0
Ethylamine	118.3	-139.1	109.4	-26.5	41.9	0.0	146.0	0.0
Isopropylamine	116.51	-135.1	114.7	-22.6	49.4	5.0	149.8	4.0
Tert-butylamine	125.2	-114.3	133.2	-1.7	60.0	27.9	170.3	24.8
Aniline	142.2	-113.2	*	-0.7	11.1	-28.2	170.2	37.7
p-Anisidine	135.4	-120.9	*	-8.4	*	-23.0	162.9	18.2
4-Nitroaniline	164.4	-97.6	*	14.9	*	-53.9	192.2	41.5
Dimethylamine	107.3	-104.7	99.9	7.8	45.6	42.8	136.8	34.4

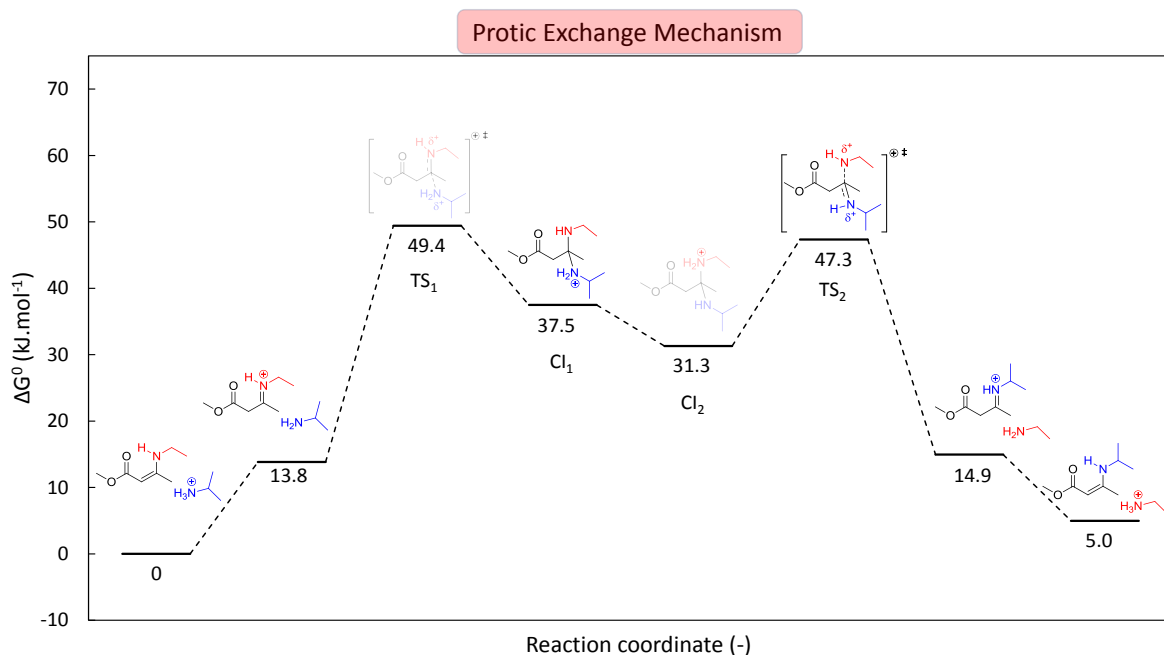
\* Calculations did not converge or displayed wrong frequencies. Therefore, the Gibbs free energy could not be calculated.

<sup>a</sup> Value of the first transition state, which is not necessarily the one of the rate determining step.



**Figure 2:** Calculated free energy diagram for the reaction between methyl but-2-ynoate and different amines, with a focus on the transition state and without the intermediate states. The zoomed section highlights the difference in  $\Delta G^0, \ddagger$ .

As these computational results indicate that steric and electronic effects could play an important role, we then investigated the transamination computationally. Moreover, since the VU transamination can occur *via* two distinct mechanisms, namely an Aza-Michael (Figure S.38) and an iminium pathway (Figure 3 and Figure S.42),<sup>30</sup> they were both investigated. The results of the calculations for the reaction between ethyl VU and the investigated amines *via* both pathways can be found in Table 1, Figure 3 and Figure S.39) The same calculations were also performed for the other VU, however, as similar trends were obtained, they will not be discussed in more detail and the results can be found in the supporting information (section V.2.2-V.2.4).



**Figure 3:** Calculated free energy profile for the transamination reaction of methyl-3-(ethylamino)but-2-enoate with isopropylamine, following the protic iminium pathway in chloroform.

In Table 1, one can see that the  $\Delta G^{0,\ddagger}$  values for Aza-Michael are much higher than the ones calculated for the iminium mechanism. These high values for the Aza-Michael pathway are in agreement with experimental results observed in previous studies when comparing the viscous flow activation energy.<sup>27,30,34,35</sup> Although relating thermodynamic profiles of individual reaction pathways to experimental results is open to interpretation, the values calculated here provide a possible rationalization for the experimentally obtained results, which indicate that the Michael-pathway indeed has a high kinetic energy barrier.

Furthermore, similar to the VU formation results, increasing the steric hindrance of the amines increases the kinetic energy barrier (higher  $\Delta G^{0,\ddagger}$  values) and generally lowers the thermodynamic driving force (more positive values of  $\Delta\Delta G^0$ ) of both pathways. Especially the

transamination with sterically hindered amines does not seem to be favoured, whereas non-sterically hindered amines (e.g. tert-butylamine, Table S.8 and S.16) could lead to an irreversible transamination reaction and therefore a non-dynamic system. Interestingly, despite the fact that the formation of aromatic VU is thermodynamically unfavoured, the transamination reaction *via* the protic iminium pathway seems to be highly preferred and this shows a very low kinetic energy barrier. The high exothermicity of the transamination reaction with aromatic amines (e.g. aniline) can be explained by the fact that the ground states, as mentioned above, involve protonated amines. Since aniline and its derivatives are rather acidic, they prefer to donate a proton instead of accepting one. Therefore, it stands to reason that the low activation energy combined with the ease of proton transfer and aniline's aversion to be protonated could lead to potential exchange between aromatic amines and VU from aliphatic amines. This could be a possible way to introduce aromatic amines in a VU matrix, instead of directly synthesising aromatic VU from AA or AE precursors. Moreover, due to the high difference in  $\Delta G^{0,\ddagger}$  and  $\Delta\Delta G^0$  values, the exchange would indeed most likely follow an iminium pathway instead of an Aza-Michael mechanism, as there would be no thermodynamic driving force for the reaction to occur ( $\Delta\Delta G^0 > 0 \text{ kJ.mol}^{-1}$ ).

Additionally, the electronic influence also follows a similar trend as observed for the VU synthesis. Electron donating groups, which increase the amine's nucleophilicity, reduce the energy required to reach the transition state and lead to more favourable  $\Delta\Delta G^0$  values. Alternatively, electron withdrawing groups raise both the  $\Delta G^{0,\ddagger}$  and  $\Delta\Delta G^0$  values, making it more difficult for transamination to occur. Lastly, despite the lower  $\Delta G^{0,\ddagger}$  value obtained for dimethylamine, no transamination will occur in a reaction with ethyl VU, as  $\Delta\Delta G^0$  value is not in favour of dimethyl VU formation, as could be expected due to the lack of internal hydrogen bond

stabilisation effects. However, transamination reactions should be possible with aromatic VU following the Aza-Michael pathway, since this reaction is thermodynamically favoured ( $\Delta\Delta G^0 = -3.3 \text{ kJ.mol}^{-1}$ ) (Table S.6).

The obtained results are highly interesting as they suggest that mixing amines of different steric and electronic nature could be a way to tune the viscous flow behaviour of vinylogous urethane systems. Moreover, by smartly designing the system it might also be possible to deactivate the viscous flow after one processing step. This would allow the formation of a permanent network during usage, but having chemical recycling capability. In order to corroborate the obtained calculated results, some transamination and VU synthesis reactions were also performed, as described in the following section.

### ***Steric and electronic influences on the transamination***

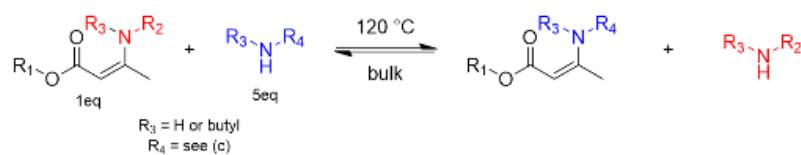
As shown in the computational study, both the steric and electronic properties of the amines can have a large impact on their reactivity. Indeed, the reaction of aromatic amines, as well as amines on a tertiary carbon with AE and AA are clearly less thermodynamically favoured compared to aliphatic primary amines. Nevertheless, attempts were made to synthesise these VU's in bulk, preferably without the addition of a catalyst. The results showed no decent conversions when using AA. However, using AE functionalised 1-pentanol, it was possible to obtain a VU from tert-octylamine in high yield after a reaction carried out 24h at 100 °C and 72h at 120 °C under N<sub>2</sub> atmosphere (yield = 81% after purification). Following this, the preparation of an aromatic VU was attempted from the reaction between aniline and 1-pentanol-AE using similar conditions. The attempts were unsuccessful, even with harsher reaction conditions, as justified by the higher  $\Delta G^{0,\ddagger}$ . Therefore, the use of catalysts was investigated. Using 1,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD) as a catalyst at 100 °C for 24h led to the formation of the



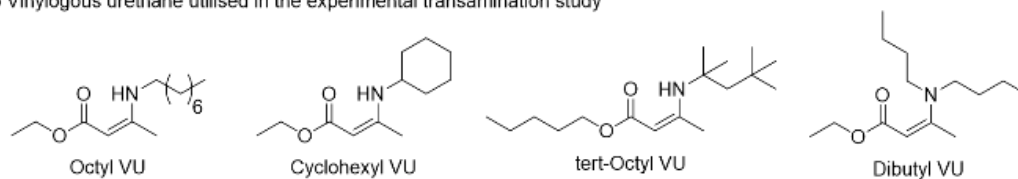
desired aromatic VU with a yield of 75% after purification. Other acid or basic catalysts (i.e. p-toluene sulfonic acid (pTsOH), 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) and tetramethylguanidine (TMG)) were also investigated without success.

The computational predictions are in agreement with these experimental results, providing further support for the plausibility of the performed calculations, and show that amines that were previously disregarded could finally be utilised for the formation of VU vitrimers with optimised reaction conditions (e.g. using solvent and appropriate catalyst). Following these model compound syntheses, transamination studies were performed (Figure 4a).

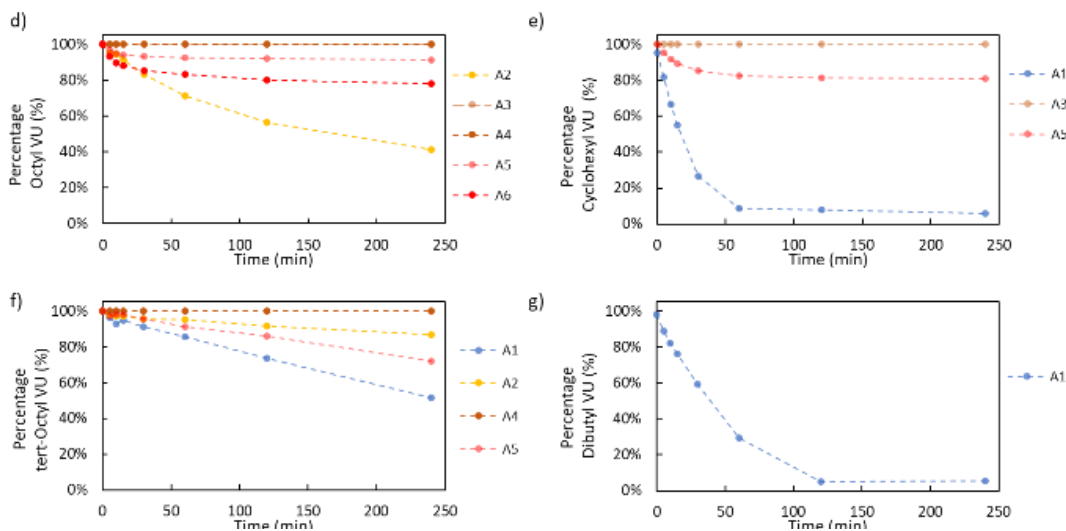
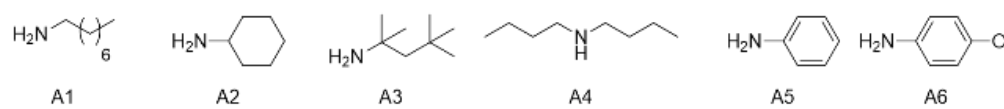
a) General transamination reaction scheme



b) Vinylogous urethane utilised in the experimental transamination study



c) Amines utilised in the experimental transamination study



**Figure 4:** a) General reaction scheme of the investigated transamination model studies in d-g. b & c) The utilized VU compounds (b) and amines (c). d-g) Model studies in which the disappearance of the VU was followed via NMR measurements as a function of time through exchange reactions with different amines in bulk at 120 °C using a five-fold excess of amines.

The investigated VUs are d) ethyl-3-(octylamino)but-2-enoate (octyl VU), e) ethyl-3-(cyclohexylamine)but-2-enoate (cyclohexyl VU), f) pentyl-3-(tert-octylamino)but-2-enoate (tert-octyl VU) and g) ethyl-3-(dibutylamino)but-2-enoate (dibutyl VU).

First, four different VU were synthesised, each based on a different sterically hindered amine (Figure 4b). These were then mixed with a five-fold excess of a specified amine taken from the range shown in Figure 4c and heated to 120 °C in bulk (Figure 4a). In figures 4d-g and Table S.1, the different transamination reactions are represented as the remaining percentage of the starting VU as a function of time.

The theoretical calculations for the aliphatic primary amines (octylamine (A1), cyclohexylamine (A2) and tert-octylamine (A3)) were in good agreement with the experimental results, i.e. the least sterically hindered amine reacts the fastest and forms the most preferred VU, as clearly visible in Figure 4d-g. Indeed, both A1 and A2 readily perform transamination whereas no transamination product of A3 could be distinguished. A possible explanation for the lack of reaction could be linked to both kinetic (higher kinetic barrier to reach the transition state) and thermodynamic issues (unfavourable  $\Delta\Delta G^0$  values), related to the amine being greatly sterically hindered. Following this, the reaction between tert-octyl VU and A1 and A2 seems to be rather slow (Figure 4f), despite being clearly favoured towards the newly formed VU compounds according to the DFT calculations (Table S.8 and S.16). A possible explanation could be found in the steric hindrance of the tert-octyl group, which increases the kinetic energy barrier and could slow down possible attack of the amines and thus decreases the reaction rate, since equilibrium was not obtained after 4h. Furthermore, as predicted by the computational results, no transamination product of the reaction with dibutylamine (A4) could be observed as this would be a thermodynamically unfavoured reaction due to the lack of internal hydrogen-bonding stabilisation (e.g. a  $\Delta\Delta G^0 = 42.8 \text{ kJ.mol}^{-1}$  for the transamination between ethyl VU and dimethylamine as shown in Table 1). However, it needs to be mentioned that these studies were followed via off-line NMR. This means that some exchange product could have been formed but,

despite the high excess of amine, the backwards reaction into the more stable VU could have occurred before the NMR measurement was performed.

Furthermore, the aromatic amines (aniline (A5) and *p*-anisidine (A6)) did participate in exchange reactions, which is rather surprising as obtaining aromatic VU compounds was previously found to be quite difficult,<sup>58</sup> but was here anticipated based on the calculations. Moreover, changing from octyl VU to cyclohexyl VU to tert-octyl VU (resulting in less thermodynamically stable VU) was also accompanied by increasing fractions of aromatic VU (Figure 4d-f and Table S.1), with the equilibrium fraction probably being even higher than 28 % (for A5) in the reaction with tert-octyl VU, as equilibrium was not yet reached after 4 hours. Additionally, substituting A5 for its more nucleophilic counterpart of A6, also resulted in a larger equilibrium fraction of aromatic VU (Figure 4d), in line with the computational predictions as seen in Table 1 and SI section V.2.1.

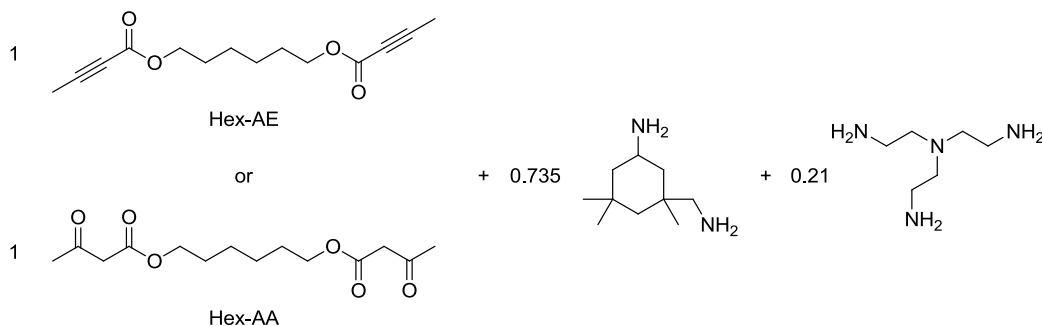
In a next step, the activation energy of the transamination reaction between octyl VU and A2 ( $56.7 \pm 4.6 \text{ kJ.mol}^{-1}$ ) and vice-versa ( $80.3 \pm 3.2 \text{ kJ.mol}^{-1}$ ) was determined following the method described in the supporting information (Section III.15). As expected, a significant difference was obtained, which could potentially be explained by different stabilisation effects leading to the transition state, induced by the changes in the structure. Moreover, this difference further highlights the tune-ability of the transamination reaction by looking at the steric nature of the utilised amines. Following these model studies, the use of AE monomers to obtain VU vitrimers was investigated on material level.

### ***Material properties***

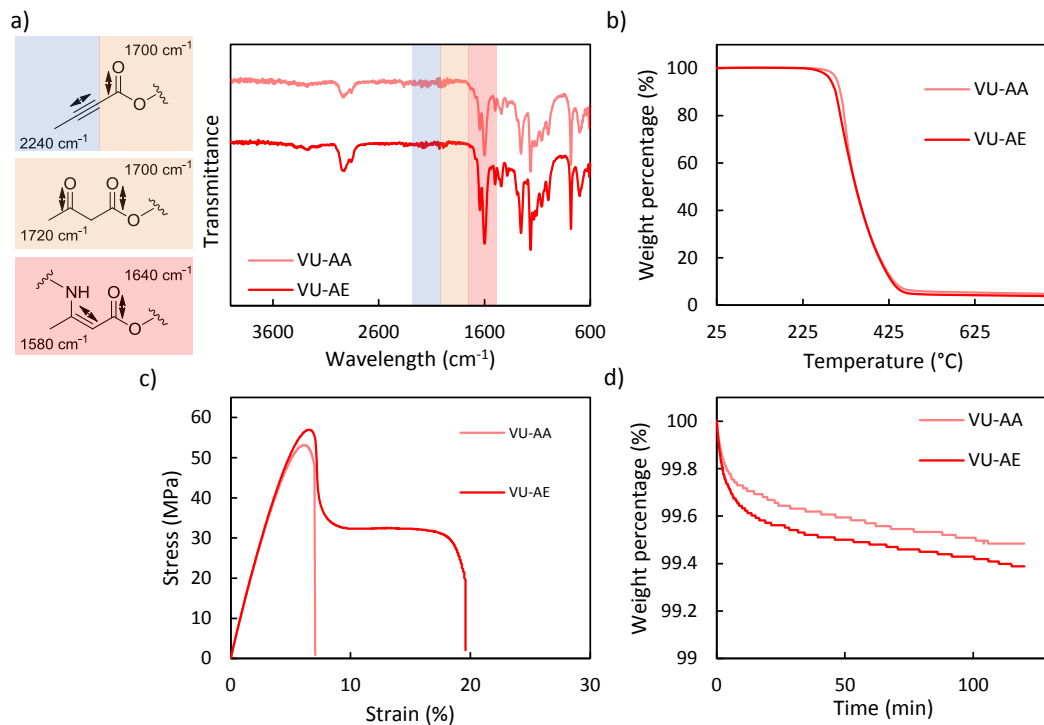
In a following stage, the AE chemistry was investigated at VU vitrimers level. Vitrimers based on either AE and AA should in principle be chemically identical and lead to similar material

properties. However, due to the aforementioned side reaction observed for AE, it should be checked how this would impact the properties. Thus, a comparative study was made between materials prepared from a 1,6-hexanediol functionalised with either AE (Hex-AE) or AA moieties (Hex-AA). Moreover, in order to assess the possible formation of alkyne amide side product during the material preparation, Hex-AE was reacted with 2.5 eq. of butylamine (leading to the model compounds Hex-AE-VU and Hex-AA-VU). NMR and LCMS analyses were used to follow the model reaction (Figure S.9 and S.10) and indicated the presence of 4.4 % alkyne amide product.

Hex-AE and Hex-AA were both combined with isophorone diamine (IPDA) and tris(2-aminoethyl)amine (TREN) in a ratio of 1:0.735:0.21 respectively as shown in Scheme 3 to obtain VU vitrimers with 5 % excess amine.



**Scheme 3:** The used composition for both VU-AE and VU-AA.



**Figure 5:** a) FT-IR spectrum of VU-AA and VU-AE. b) TGA of VU-AA and VU-AE with a temperature ramp from 25 to 800 °C at 10 °C.min<sup>-1</sup>. c) Stress-strain curves of VU-AA and VU-AE. d) Isothermal TGA of VU-AA and VU-AE at 160 °C for 120 minutes.

Full conversion of Hex-AE and Hex-AA into their respective networks (VU-AE and VU-AA) was confirmed *via* FT-IR measurements (Figure 5a). Indeed, the characteristic VU stretch (1640 cm<sup>-1</sup> and 1580 cm<sup>-1</sup>) could be observed while the AE (2240 cm<sup>-1</sup> and 1700 cm<sup>-1</sup>) or the AA (1720 cm<sup>-1</sup> and 1700 cm<sup>-1</sup>) stretches were no longer visible. Following this, their thermal properties were investigated *via* DSC and TGA analysis and the results are shown in Table 2. Both networks were found to possess very similar  $T_g$  values (67 °C and 69 °C for VU-AE and VU-AA respectively). Moreover, they displayed good thermal stability with  $T_{d5\%}$  values above 280 °C (Figure 5b) and less than 1 % weight loss after 120 minutes at 160 °C (Figure 5d). These thermal stability values for VU-AE are promising, as it shows that the side-products formed during network formation do not greatly influence the service temperature.

Then, the network integrity was investigated *via* swelling and solubility experiments in THF. The swelling ratio of VU-AE was nearly 4 times larger with a value of 1010 % compared to 285 % for VU-AA (Table 2). This large difference could be related to several factors. It could be that a small percentage of side-product can have a drastic influence on the network connectivity or that the side-product is more prevalent on material level than the model studies suggest. Another explanation could be that the VU formation reaction has a lower reaction efficiency when starting from AE monomers compared to starting from AA monomers. Moreover, the difference in network connectivity was also observed in the soluble fractions, as VU-AE lost over a quarter of its weight in THF, while VU-AA only lost 7 %.

**Table 2:** Number of recycling steps, thermal properties, swelling ratio and soluble fractions, tensile properties, activation energy and characteristic relaxation times of the investigated vitrimers.

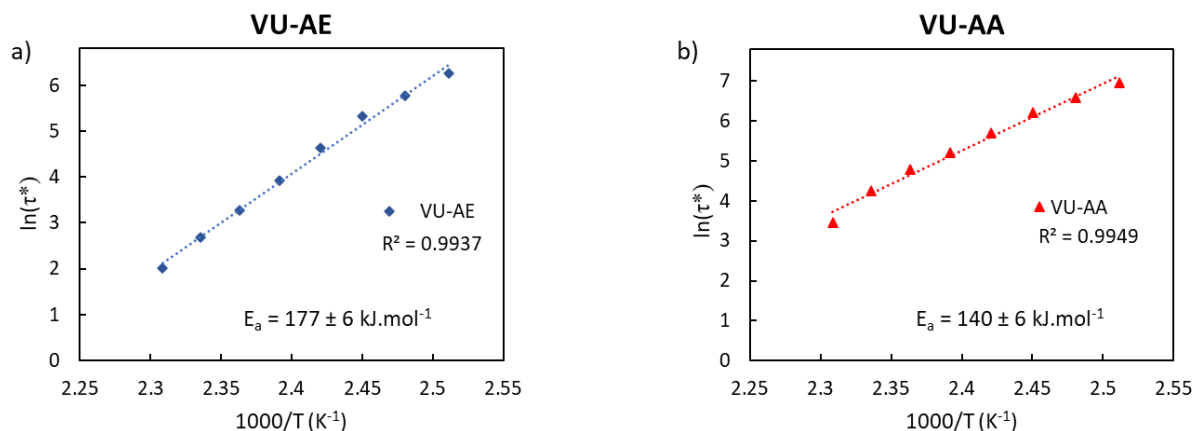
Networks	Recycling steps	T <sub>g</sub> <sup>a</sup> (°C)	T <sub>d5%</sub> <sup>b</sup> (°C)	Iso TGA <sup>c</sup> (wt%)	Swelling Ratio <sup>d</sup> (%)	Soluble Fraction <sup>e</sup> (%)	ε <sup>f</sup> (%)	E' <sup>f</sup> (MPa)	E <sub>a</sub> (kJ.mol <sup>-1</sup> )	τ <sup>*</sup> 150°C (s)
VU-AE	Rx0	67	289	0.61	1010	26	28.8 ± 20.5	1300 ± 49	177 ± 6	27
	Rx1	65	287	0.76	*	*	15.2 ± 13.5	1280 ± 75	*	*
	Rx2	65	288	0.65	*	*	5.2 ± 0.9	1230 ± 40	*	*
	Rx3	66	288	0.58	*	*	2.7 ± 1.2	1140 ± 62	*	*
	Rx4	67	288	0.68	510	17	3.7 ± 2.0	1250 ± 37	218 ± 11	78
VU-AA	Rx0	69	304	0.52	285	7	6.7 ± 2.0	1190 ± 87	140 ± 7	120

<sup>a</sup> Glass transition temperature (T<sub>g</sub>) determined during second heating by differential scanning calorimetry (DSC) with a heating and cooling rate of 10 °C.min<sup>-1</sup>. <sup>b</sup> Temperature at which a mass loss of 5% is observed by thermogravimetric analysis (TGA). <sup>c</sup> Mass loss after an isothermal TGA measurement of 120 minutes at 160 °C. <sup>d</sup> Determined after 24h in THF via Equation S.2; <sup>e</sup> Determined via Equation S.1 after 24h in THF and dried for 24h in a vacuum oven at 40 °C. <sup>f</sup> Elongation at break (ε) and apparent Young's modulus (E') determined from tensile testing (0.05N preload and 10mm.min<sup>-1</sup>) with strain being measured as [actuator displacement]/[gauge length]. These values are relative and used for comparison purposes only. \* Not performed.

In a next step, the tensile properties of both vitrimers were investigated. Unsurprisingly, VU-AE, with an elongation of  $28.8 \pm 20.5 \%$ , turned out to be more flexible than VU-AA, which only displayed an elongation of  $6.7 \pm 2.0 \%$  (Figure 5c and Table 2). This large difference comes from the fact that VU-AE exhibited a high amount of necking and drawing, most likely due to the plasticising effect of the side-product and the lower cross-link density. Furthermore, despite this lower cross-link density and longer elongation, the  $E'$  values for VU-AE and VU-AA were approximately the same (1.3 GPa).

Lastly, the viscoelastic properties of the materials were analysed *via* stress-relaxation. The stress-relaxation of VU-AE, as seen in Figure S.24a, occurred at a remarkably faster rate than the one of VU-AA (Figure S.24b). Indeed, relaxation times of 26.5 s and 120 s at 150 °C were obtained for VU-AE and VU-AA respectively. This faster relaxation rate is ascribed to a reduced cross-link density and the presence of low molecular weight compounds and thus a higher internal mobility of the chains for VU-AE. Additionally, hydroxyl groups have an accelerating effect on the stress-relaxation rate<sup>33</sup> and, due to the transamidation side reaction, a small amount of these groups should be present in VU-AE. Furthermore, the Arrhenius plots (Figure 6a and b) display a highly linear behaviour and high activation energies were obtained ( $177 \pm 6$  and  $140 \pm 7$  kJ.mol<sup>-1</sup> for VU-AE and VU-AA respectively). These high activation energies could be the result of the used diamine. IPDA (Scheme 3) has two primary amines located on differently substituted carbons (primary vs secondary carbon), which were already found to behave differently in the VU synthesis and to have an influence on the transamination reaction. Therefore, the higher  $E_a$  could be the result of the difference in steric hindrance of the primary amines.





**Figure 6:** a) and b) Arrhenius plot of the obtained relaxation times of VU-AE and VU-AA, respectively.

Furthermore, VU-AE could also be recycled up to four times *via* compression moulding (150 °C for 35 minutes) after the material was broken into smaller pieces (Figure S.32). Tensile and thermal properties, as well as FT-IR were measured after each recycling step (Table 2 and Figure S.13, S.18 and S.29). The thermal properties and the chemical nature of the material remained practically unchanged. Furthermore, the apparent Young's modulus of VU-AE also stayed virtually the same with only a 50 MPa difference between the pristine and the four times recycled sample. However, the elongation was drastically reduced after the second recycling step, as the material seemed to have lost its ability for necking. Additionally, after four recycling steps, the swelling and soluble fraction were measured again, and lower swelling ratio (510 %) and soluble fraction (17 %) were observed. These latter changes indicate either the presence of some undesired side-reactions, changes in the matrix or the oxidation of pendent amines and seem to also result in further discolouration of the material (Figure S.32). Furthermore, the stress-relaxation rate also slowed down as a relaxation time of 78 s was obtained at 150 °C compared to 27 s for the unrecycled sample. Moreover, the calculated activation energy also increased to  $218 \pm 11 \text{ kJ.mol}^{-1}$  and the linearity in the Arrhenius plot decreased (Figure S.25).

These results could be related to the oxidation of pendent amines and the potential presence of additional cross-linking reactions as this could affect the viscous flow behaviour of the material and the resulting viscous flow activation energy. Nevertheless, the VU-AE material is proven to be recyclable up to four times with only minor loss of material properties.

All these observations indicate that, despite the theoretical possibility of obtaining two chemically identical materials from AE and AA monomers, significant experimental differences are observed. However, this amide formation does not seem prohibitive for network synthesis, and may even be exploited to the benefit of the material design.

### ***One-pot water-free VU epoxy vitrimers***

Lastly, to showcase the possibilities of AE monomers to be used as additive in epoxy resins, some vinylogous urethane containing epoxy vitrimers were prepared. As previously mentioned, the advantage of AE type monomers is that they can be used as an additive in epoxy resins as a real drop-in technology to produce water-free VU containing epoxy vitrimers. Moreover, the material composition can be easily tuned with AE monomers regarding cross-link density and VU content, as it only needs to be mixed with the epoxy resin. First, an epoxy vitrimer (referred as OPN<sub>42/5</sub>-AE) was obtained by mixing AE functionalised ethylene glycol (EG-AE) together with EPIKOTE™ Resin MGS LR 135, TREN and 1,3-bis(aminomethyl)cyclohexane (BAC). This composition allowed the use of an epoxy vitrimer network (OPN<sub>42/5</sub>) based on acetoacetylated ethylene glycol, previously reported by our group, as a reference material. The same synthetic procedure and ratios as the ones utilised for OPN<sub>42/5</sub> were also used for the synthesis of OPN<sub>42/5</sub>-AE. The latter was then fully characterised and compared to the reported OPN<sub>42/5</sub> (see Table 3). FT-IR spectra were also obtained and can be found in the SI (Figure S.14-17).

**Table 3:** Ratio of bisamine:trisamine, number of recycling steps, thermal properties, swelling ratio and soluble fractions, tensile properties, activation energy and characteristic relaxation time of the investigated vitrimers.

Networks	Ratio amines <sup>a</sup>	Recycling steps	T <sub>g</sub> <sup>b</sup> (°C)	T <sub>d5%</sub> <sup>c</sup> (°C)	Iso TGA <sup>d</sup> (wt%)	Soluble Fraction <sup>e</sup> (%)	ε <sup>f</sup> (%)	E' <sup>f</sup> (MPa)	E <sub>a</sub> (kJ.mol <sup>-1</sup> )	τ <sup>*</sup> <sub>150°C</sub> (s)
OPN <sub>42/5</sub> -AE	3.5:1	Rx0	69	249	0.70	2.4	10.7 ± 5.0	1520 ± 79	92 ± 1	4
		Rx1	72	242	0.89	*	5.3 ± 1.5	1710 ± 79	*	*
		Rx2	70	252	1.49	*	3.2 ± 0.3	1760 ± 43	*	*
OPN <sub>42/5</sub> <sup>33</sup>	3.5:1	Rx0	74	250	0.9	5.0	10.6 ± 2.0	1680 ± 25	100 ± 1	7
		Rx1	74	*	*	*	3.9 ± 1.7	1710 ± 101	*	*
		Rx2	73	*	*	*	3.7 ± 0.8	1620 ± 36	*	*

<sup>a</sup> The ratio of the used bisamine:trisamine. <sup>b</sup> Glass transition temperature (T<sub>g</sub>) determined during second heating by differential scanning calorimetry (DSC) with a heating and cooling rate of 10 °C.min<sup>-1</sup>. <sup>c</sup> Temperature at which a mass loss of 5% is observed by thermogravimetric analysis (TGA). <sup>d</sup> Mass loss after an isothermal TGA measurement of 120 minutes at 160 °C. <sup>e</sup> Determined by Soxhlet extraction in toluene at reflux temperature for 24 h via Equation V.3. <sup>f</sup> Elongation at break (ε) and apparent Young's modulus (E') determined from tensile testing (0.05N preload and 10mm.min<sup>-1</sup>) with strain being measured as [actuator displacement]/[gauge length]. These values are relative and used for comparison purposes only. \* Not performed.

In line with the comparison between VU-AE and VU-AA, similar thermal properties (T<sub>g</sub>, T<sub>d5%</sub> and weight loss in isothermal TGA) were obtained for OPN<sub>42/5</sub>-AE and OPN<sub>42/5</sub> (Table 3, Figure S.19 and S.23). However, in contrast to the previous comparison, a lower soluble fraction for the AE network was obtained. This could be ascribed to multiple factors, such as a lower swelling due to slightly changed matrix or the occurrence of unexpected cross-linking reactions in combination with the epoxy matrix. Nevertheless, the lower soluble fraction is definitely a good sign for the potential of utilising AE monomers in combination with epoxy resins. Following this, similar trends in tensile properties are observed, in which the elongation decreases with recycling steps and the Young's modulus remained the same or increased slightly (Table 3 and Figure S.30). Lastly, the viscoelastic properties were compared (Figure S.26). As expected, the stress-relaxation rate of OPN<sub>42/5</sub>-AE was found to be faster than the one of OPN<sub>42/5</sub> with a

relaxation time of 4 s compared to 7 s at 150 °C. Again, this could be due to the larger presence of hydroxyl moieties in the AE network or because of the presence of low molecular weight compounds acting as a plasticiser. Furthermore, a near perfect linear behaviour could be observed in the Arrhenius plot of Figure S.26. The resulting viscous flow  $E_a$  of OPN<sub>42/5</sub>-AE ( $92 \pm 1 \text{ kJ.mol}^{-1}$ ) was similar to the one of OPN<sub>42/5</sub> ( $100 \pm 1 \text{ kJ.mol}^{-1}$ ).

These results clearly validate the use of AE monomers in order to obtain vinylogous urethane containing epoxy vitrimers in a water-free manner and further highlight the versatility of this novel functional handle for the formation of VU vitrimers. Additional materials were also synthesised to highlight the versatility of the AE approach and can be found in the supporting info (section IV).

## Conclusion

This study demonstrated that alkyne esters can replace acetoacetate monomers for the preparation of vinylogous urethane vitrimers, leading to a more attractive polyaddition process of the dynamic networks, avoiding the release of water. Therefore, AE offers a solution for one of the main technical issues regarding the direct synthesis of VU vitrimer materials without water-induced defects. Small molecule model studies, supported by DFT calculations, indicate that AE can indeed be used to synthesise VU, however, these also showed that an alkyne amide side-product is obtained next to the desired VU. Its formation can be reduced to below 5% in these model studies. Here, it was also noticed that AE have a much higher reactivity towards amines than acetoacetates as binding partners, and that VU bonds can also be obtained from less reactive amine monomers, not usable in classical VU polymerization, thus also increasing the scope of viable VU amine monomers. VU network synthesis also showed this side reaction, but nevertheless, besides a higher soluble fraction, the network obtained from an AE monomer

displayed similar or better properties compared to a network obtained from an identical AA monomer. Moreover, VU-AE network displayed significantly faster stress-relaxation compared to VU-AA, possibly related to the hydrogen bond donating character of the amide side product as an embedded or internal catalyst. Additionally, polyaddition curing of epoxy vitrimers could be easily achieved with this novel approach, in a one-pot method, contacting amine, epoxy and AE monomers, giving defect-free epoxy networks. This also means that AE monomers can be seen as an easy drop-in method to obtain processable epoxy materials, as they can be easily added into epoxy resins as additives, with easy tuneability of the final VU content and cross-link density as a result.

## **Associated content**

**Supporting Information.** Supplemental figures, tables, schemes and pictures, additional material characterization and coordinates for the computational calculations.

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### **Author Contributions**

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

### **Notes**

The authors declare no competing financial interest.

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