

# Comprehensive two-dimensional temperature-responsive × reversed phase liquid chromatography for the analysis of wine phenolics

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## Abstract

Phenolic compounds are an interesting class of natural products because of their proposed contribution to health benefits of foods and beverages and as a bio-source of organic (aromatic) building blocks. Phenolic extracts from natural products are often highly complex and contain compounds covering a broad range in molecular properties. While many 1D-LC and mass spectrometric approaches have been proposed for the analysis of phenolics, this complexity inevitably leads to challenging identification and purification. New insights into the composition of phenolic extracts can be obtained through online comprehensive two-dimensional liquid chromatography (LC×LC) coupled to photodiode array and mass spectrometric detection. However, several practical hurdles must be overcome to achieve high peak capacities and to obtain robust methods with this technique. In many LC×LC configurations, refocusing of analytes at the head of the 2D column is hindered by the high eluotropic strength of the solvent transferred from the 1D to the 2D, leading to peak breakthrough or broadening. LC×LC combinations whereby a purely aqueous mobile phase is used in the 1D and RPLC is used in the 2D are unaffected by these phenomena, leading to more robust methods. In this contribution, the combination of temperature-responsive liquid chromatography (TRLC) with RPLC is used for the first time for the analysis of phenolic extracts of natural origin to illustrate the potential of this alternative combination for natural product analyses. The possibilities of the combination are investigated through analysis of wine extracts by TRLC×RPLC-DAD and TRLC×RPLC-ESI-MS.

## Keywords

- Comprehensive two-dimensional liquid chromatography (LC×LC)
- Temperature-responsive liquid chromatography (TRLC)
- Analyte refocusing
- Phenolics
- Flavonoids
- Wine

## Highlights

- Temperature-responsive × reversed phase comprehensive LC×LC-UV-MS for natural product analysis
- Separation of phenolics through simplified 2D method development
- TRLC×RPLC-UV can be performed on a commercial system in a fully automated manner including a new column selectivity and temperature programming in 1D.

## 47 1. Introduction

48 Due to its versatile nature allowing physical separation of most classes of solutes, excellent reliability,  
49 reasonable speed, and because it can be combined with a variety of detectors, sample preparation approaches,  
50 or other separation techniques, High Performance Liquid Chromatography (HPLC) has become a central  
51 technique in chemical analysis. Nevertheless, the analysis of samples containing more than 50 analytes usually  
52 results in at least partially overlapping peaks in conventional HPLC [1]. Various approaches such as ultra-high  
53 pressure HPLC (UHPLC), the introduction of core-shell particles, and the development of monolithic phases  
54 have led mainly to faster analyses, with some improvements in peak capacity in one-dimensional LC (1D-LC).  
55 While implementation of long columns in combination with high-temperature LC and/or elevated pressures  
56 offers the most possibilities to enhance the peak capacity in 1D-LC [2–4], by far the largest gains in peak capacity  
57 can be obtained in (optimized) comprehensive two-dimensional liquid chromatography (LC×LC) [1,5,6]

58 After the first introduction of LC×LC in 1978 [7], and its more recent commercialization, the technique has  
59 increasingly proven to be a powerful tool for the analysis of complex samples [8]. As the full first dimension  
60 (1D) effluent is collected in fractions and transferred through a high-pressure switching valve for separation on  
61 a second column [9], two optimally orthogonal selectivities become exploitable, offering high separation power  
62 and increased peak capacities [8,10,11]. This recurrent transfer of fractions in an automated way characterizes  
63 the online comprehensive mode. If higher peak capacities need to be achieved, at the cost of higher analysis  
64 times, offline or stop-flow approaches can be used [12,13]. In offline LC×LC, fractions of the 1D effluent are  
65 collected and injected onto a 2D column without a valve interface, whereas in stop-flow mode the 1D flow is  
66 stopped following transfer of each fraction to the second dimension (2D) column. Despite its benefits, the  
67 theoretically achievable gain in peak capacity of online LC×LC is hard to obtain in practice due to 1D  
68 undersampling, suboptimal orthogonality, and analyte refocusing problems, which are issues interlinked with  
69 every step of the method development process, such as the chosen separation modes, column dimensions, the  
70 mobile phase compositions, flow rates, and injection volumes. One of the most relevant experimental hurdles is  
71 the effective transfer of fractions from the 1D to the 2D, which is hampered by solvent incompatibility or the use  
72 of solvents of too high eluotropic strength [14]. This leads to band broadening in the 2D or even complete analyte  
73 breakthrough [1], reducing peak capacities and hindering robust implementation and method repeatability  
74 [15]. This phenomenon is common for some of the most used separation combinations such as NPLC×RPLC,  
75 HILIC×RPLC, GPC-SEC×RPLC, and to a lesser degree also RPLC×RPLC [1].

76 To reduce these effects, a decrease of the 1D fraction volume transferred to the second dimension is pursued by  
77 miniaturization [16,17], reduction or splitting of the flow rate [12,18], by dilution with a weaker solvent [19,20],  
78 or by complete exchange of the 1D effluent via e.g. vacuum evaporation [21] or using trap columns instead of  
79 the common loop interface [20]. However, most of these modifications affect detection sensitivity due to  
80 dilution issues, sample loss, decreased sample loading capacity, incomplete analyte recovery or more  
81 complicated method development [8,14,15,20,22]. The development of the Active Solvent Modulation (ASM)  
82 valve has allowed circumvention of these issues to some extent, at the cost of a minor increase in modulation  
83 time [15]. However, a few combinations of separation modes inherently allow for complete refocusing of  
84 analytes at the 2D column head, for example aqueous SEC [14], IEC [8], or temperature-responsive  
85 chromatography (TRLC) [23], which are commonly used in combination with RPLC in 2D [23,24]. SEC, however,  
86 is limited by lower separation efficiency (making it practically inadequate for small molecule analysis), and IEC  
87 requires ionic solutes and high non-volatile salt concentrations in the mobile phase, complicating subsequent  
88 hyphenation to MS in 2D. By contrast, TRLC allows for reversed-phase type retention and separation of neutral  
89 organic molecules under the, for LC×RPLC, optimal purely aqueous separation conditions in 1D.

90 Temperature-responsive stationary phases are based on smart polymers coupled to silica particles. Retention  
91 is governed by changes in solubility of the polymer in water as a function of temperature. As a consequence,  
92 this offers a tunable separation and retention based on hydrophobicity in purely aqueous media [23,25–28].  
93 TRLC has thus far been mainly based on Poly (*N*-isopropylacrylamide) (PNIPAAm) immobilized on silica. This  
94 polymer undergoes a phase transition at the so-called lower critical solution temperature (LCST), whereby

95 below the LCST the polymer is soluble in water at any given polymer:solvent ratio, while above this value it  
96 becomes insoluble, in this way forming an apolar layer on the silica surface. For PNIPAAm, this reversible  
97 transition occurs around 32°C [23,25,29,30]. In past research, TRLC has been used to separate parabens,  
98 steroids, sulfonamides, peptides, and pesticides, etc. in 1D-LC [25,31–34]. In 2017, TRLC was for the first time  
99 used in 2D-LC as a pre-treatment column for the heart-cut analysis of biomolecules [35]. Recently, we  
100 introduced proof-of-principle studies illustrating the potential of TRLC×RPLC in comprehensive 2D-LC [23,24].  
101 First, it was demonstrated that due to the use of water as a mobile phase, basically unlimited volumes of eluent  
102 can be transferred from the first to the second dimension with near-perfect peak refocusing, giving perspective  
103 for simplified modulation in comprehensive 2D-LC [23]. In a recent study, TRLC×RPLC was used for impurity  
104 determination in pharmaceutical analysis using temperature-programming in state-of-the-art LC×LC  
105 instrumentation [24]. In the present work, PNIPAAm-based TRLC×RPLC is for the first time coupled online to  
106 mass spectrometry and used for the analysis of natural product extracts, specifically the analysis of wine  
107 polyphenols.

108 Polyphenols are secondary plant metabolites, produced in response to stress factors like UV irradiation, and  
109 are especially known for their influence on food characteristics, such as color and taste [36–38]. Additionally,  
110 their antioxidant properties and prophylactic and therapeutic potential are of interest, as the role of oxidative  
111 stress is increasingly being investigated in diseases such as cancer and cardiovascular diseases [39–42]. In  
112 addition to their natural occurrence, polyphenols are often added to food or cosmetics to increase the product  
113 shelf-life. Modern techniques are used for identification and quantification of these micronutrients [37] in  
114 different matrices, where LC-MS or LC-high resolution MS (LC-HRMS) became the workhorses in the field [36–  
115 38,43]. The complexity of natural product polyphenol extracts is not only a consequence of the large number of  
116 compounds present, but also the fact that they cover a broad chemical space in terms of structural diversity,  
117 polarity, and molecular mass (<150 Da for phenolic acids to >30000 Da for polymeric compounds), while  
118 occurring at vastly different concentrations (from µg/kg to hundreds of mg/kg) [37,43,44]. Therefore, online,  
119 offline, and stop-flow LC×LC methods are increasingly being used for enhanced quantitation or MS-based  
120 structural elucidation [12,17,45]. As summarized in recent literature [6], the most used configuration for the  
121 analysis of polyphenols is RPLC×RPLC, followed by either HILIC×RPLC or RPLC×HILIC and to a lesser extent  
122 NPLC×RPLC [6,12,36,37,46–48]. The aqueous mobile phases applied in TRLC allow for a relatively  
123 straightforward implementation of LC×LC, and therefore show great potential for improving 2D-LC analysis of  
124 polyphenols.

## 125 **2. Experimental**

### 126 **2.1 Reagents and materials**

127 Acetonitrile (ACN, HPLC grade) was obtained from Sigma-Aldrich (Steinheim, Germany). Milli-Q grade water  
128 was purified and deionized in-house with a Milli-Q plus instrument from Millipore (18.2 mΩ) (Bedford, USA).  
129 Formic acid (FA) was purchased from Acros (Geel, Belgium). Small molecular weight phenolic standards were  
130 obtained from Sigma-Aldrich ((+)-catechin hydrate (≥98%), (-)-epicatechin (≥90%), gallic acid, quercetin  
131 (≥95%), (+)-rutin hydrate (94%), vanillic acid (97%), Fluka AG (caffeic acid (97%), naringin (≥95%)) or TCI  
132 Europe (kaempferol hydrate (>97%), trans-resveratrol (>99%)). The first dimension TRLC column (100×2.1  
133 mm, 5 µm d<sub>p</sub>, 100 Å) was obtained by reversible addition-fragmentation chain-transfer (RAFT) polymerization  
134 of NIPAAm followed by immobilization on aminopropyl silica (for more information, see the supplementary  
135 data section S1). The structure of the temperature-responsive stationary phase is displayed in Figure S1. In the  
136 second dimension, a Zorbax Eclipse Plus C18 RRHD column was used (50×3 mm, 1.8 µm d<sub>p</sub>, 95 Å) (Agilent  
137 Technologies, Waldbronn, Germany).

### 138 **2.2 Sample preparation**

139 The stock solutions of the low molecular weight phenolic standards were prepared at 1 mg/mL in pure MeOH  
140 or 50:50 MeOH/H<sub>2</sub>O (v/v) in case of vanillic acid, gallic acid and caffeic acid. The one-dimensional work was  
141 performed with individual solutions at a concentration of 200 µg/mL for kaempferol, quercetin, and resveratrol  
142 and 100 µg/mL for all other standard solutes. The stock solutions were thereby diluted with a premixed solution  
143 of 50:50 MeOH: H<sub>2</sub>O (v/v) to their final concentrations in the samples before analysis. To remove unwanted

144 anthocyanins, and to avoid matrix-effects or co-elution with e.g. polymeric tannin fractions, phenolic extracts  
145 from a young South African red wine (Stellenbosch, 2019) were prepared. Therefore, 100 mL of the wine were  
146 extracted three times with 100 mL diethyl ether. The combined ether fractions were subsequently evaporated  
147 under reduced pressure at room temperature until less than 1 mL remained. The remaining liquid was  
148 reconstituted to 1 mL with MeOH and stored in the freezer. The final sample was diluted 1:5 in ACN prior to  
149 analysis, in accordance to the procedure described by Muller et al. [19]. The samples were filtered through 0.45  
150  $\mu\text{m}$  PTFE-filters (Millipore).

### 151 2.3 Instrumentation

152 Measurements were performed using the 1290 Infinity II 2D-LC System controlled by the OpenLab CDS  
153 ChemStation Edition software (C.01.08[210], Agilent Technologies, Waldbronn, Germany). This includes two  
154 binary pumps, a vial autosampler, and a 2-position/8-port valve mounted to a valve drive interface equipped  
155 with two (non-standard) 200  $\mu\text{L}$  loops. To allow for inverse temperature gradients, a multicolumn thermostat,  
156 with two InfinityLab Quick-Connect heat exchangers (0.12 mm ID, 1.6  $\mu\text{L}$ ) for solvent pre-heating in the  $^1\text{D}$  and  
157  $^2\text{D}$  were incorporated into the multicolumn thermostat. Detection was carried out with two diode array UV  
158 detectors containing a nano-flow cell in the  $^1\text{D}$  (path length 10 mm, volume 1  $\mu\text{L}$ ) and a max-light cartridge cell  
159 in the  $^2\text{D}$  (path length 60 mm, volume 4  $\mu\text{L}$ ). UV-VIS spectra were recorded between 200 and 600 nm (80 Hz).  
160 As a second detector in the  $^2\text{D}$ , an ion trap MS<sup>(n)</sup> (LCQ<sup>TM</sup> Thermo Finnigan, San Jose, CA, USA) equipped with an  
161 electrospray ionization source was used, controlled by Xcalibur<sup>TM</sup> 2.0. LC raw data was converted to a data  
162 matrix using GC image R1.9b6 software (GCimage, Lincoln, U.S.A.) and contour plots were constructed using  
163 Origin Software (OriginPro 8.5, OriginLab Corporation). 3D plots and contour plots of the total and extracted  
164 ion chromatograms (for MS-based compound identification) were processed with python code (version 3.8)  
165 and PyCharm (Community Edition 2020.2.3 by JetBrains). Peak capacity and surface coverage were calculated  
166 using Microsoft Excel 2016 and MATLAB Software R2019b (The Mathworks, Natwick, USA).

### 167 2.4 One-dimensional methods

168 In all the described 1D and 2D analyses, 5  $\mu\text{L}$  sample volumes were injected. The mobile phase consisted of Milli-  
169 Q water containing 0.1 vol-% FA. DAD detection was performed at 210, 254, 280, and 360 nm. Van Deemter  
170 analysis (Supplementary info S2) was performed with epicatechin, vanillic acid, caffeic acid, and resveratrol at  
171 45 and 15°C at 0.025, 0.05, 0.1, 0.2, 0.5, and 0.75 mL/min in triplicate (%RSD of the retention times in S2, Table  
172 S1). For the construction of Van't Hoff plots all 10 solutes were analyzed once, at a constant flow rate of 0.1  
173 mL/min, in the isothermal mode at 11 different temperatures in steps of 5-degree intervals from 0 to 50°C.

### 174 2.5 Two-dimensional methods

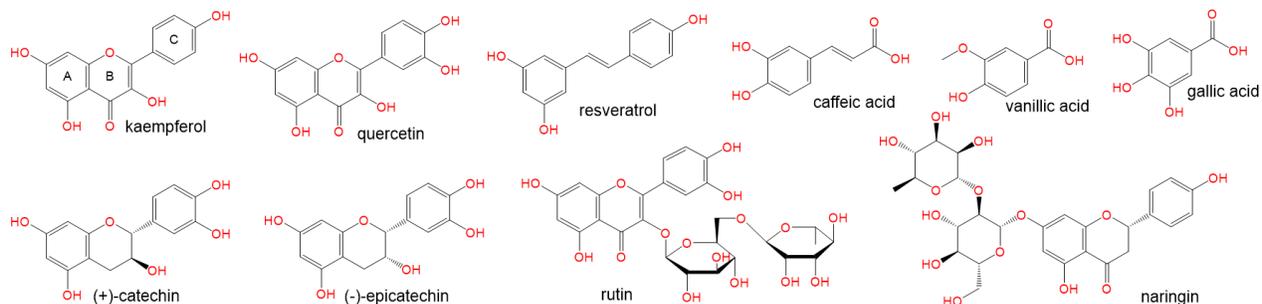
175 The  $^1\text{D}$  separations were performed on a TRLC column (100 $\times$ 2.1 mm, 5  $\mu\text{m}$   $d_p$ , 100  $\text{\AA}$ ), at an isocratic flow of 0.1  
176 mL/min H<sub>2</sub>O with 0.1 vol-% FA. An inverse temperature gradient was applied with the static air oven on the  $^1\text{D}$   
177 column (0-30 min: 45°C, 30.1 min: 0°C). The second-dimension column (Zorbax Eclipse Plus C18 RRHD  
178 50 $\times$ 3mm, 1.8  $\mu\text{m}$ , 95  $\text{\AA}$ ) was operated at 50°C at a flow rate of 2.6 mL/min. A mobile phase composed of A: H<sub>2</sub>O+  
179 0.1% FA (v/v) and B: ACN with 0.1% FA (v/v) was used in the gradient mode as outlined below. The modulation  
180 time  $t_m$  was set to 0.96 min, the gradient time  $t_g$  was 0.7 min, leaving 0.26 min for the re-equilibration of the  $^2\text{D}$   
181 column. The 8-port valve was equipped with two 200  $\mu\text{L}$  loops (custom-made by Agilent Technologies,  
182 Waldbronn). DAD detection was performed at 254, 280, and 360 nm. The gradient applied in the first dimension  
183 was: 0% B from 0-220 min; 0-50% B in 220-230 min; 50-100% B from 230- 231 min; 100% B from 231-235  
184 min. The gradient conditions used for the second dimension were: 1-45% B in 0-0.68 min; 45-100% B in 0.68-  
185 0.69 min; constant 100% B from 0.69-0.70 min, 1% B from 0.71 to 0.96 min. Repeatability data for three non-  
186 consecutive injections of the wine sample are given in the supplementary section S3. The  $^2\text{D}$  effluent was split  
187 (1/10) prior to the UV detector such that 0.26 mL/min was directed towards the MS. UV absorbance data was  
188 recorded between 200 and 600 nm at 80 Hz acquisition rate. The LCQ MS<sup>(n)</sup> was equipped with an electrospray  
189 ionization source operated in negative ionization mode. The MS<sup>(1)</sup> mass range was set from 100 to 700 amu.  
190 The sheath gas flow (N<sub>2</sub>) was operated at 60 and the auxiliary gas flow was set to 20. The spray voltage was 4.5  
191 kV and the capillary was heated at 250°C. Collision-induced dissociation was operated at 8V to avoid the  
192 formation of clusters. The acquisition time was 240 min. The reconstructed total ion chromatograms and

193 extracted ion chromatograms for the identified compounds are given as 3D or 2D plots in the supplementary  
194 information, section S4 - S6. Peak capacity was calculated following usage of a peak detection algorithm from  
195 Peters et. al., [49]. A detailed explanation is provided in the supplementary information section (S7).

### 196 3. Results and discussion

#### 197 3.1 Investigation of the retention behavior of common natural phenolic compounds in TRLC

198 Phenolic compounds are aromatic in nature and comprise one or more hydroxyl functionalities, ether bridges,  
199 or carboxylic groups which can be esterified. They often occur as glycosides or can form larger oligomeric  
200 structures. The (semi-) polar nature of polyphenols makes them particularly suitable for TRLC analysis under  
201 purely aqueous conditions in the first dimension of a comprehensive 2D-LC setup. To assess whether the TRLC  
202 separation mode is indeed suitable for the separation of phenolics while allowing satisfactory (and controllable)  
203 retention, acceptable elution times, and tunable selectivity, several representative standards, covering a  
204 relatively broad molecular space, were selected that can commonly be found in phenolic extracts from natural  
205 products. The standards used were selected to cover a range of polyphenol classes, including: flavonols  
206 (kaempferol, rutin and quercetin), flavanols (catechin and epicatechin), flavanones (naringin) and non-  
207 flavonoid compounds such as stilbenes (resveratrol), hydroxybenzoic acids (vanillic acid and gallic acid), and  
208 hydroxycinnamic acids (caffeic acid). The structures of these selected standard compounds are represented in  
209 Figure 1.

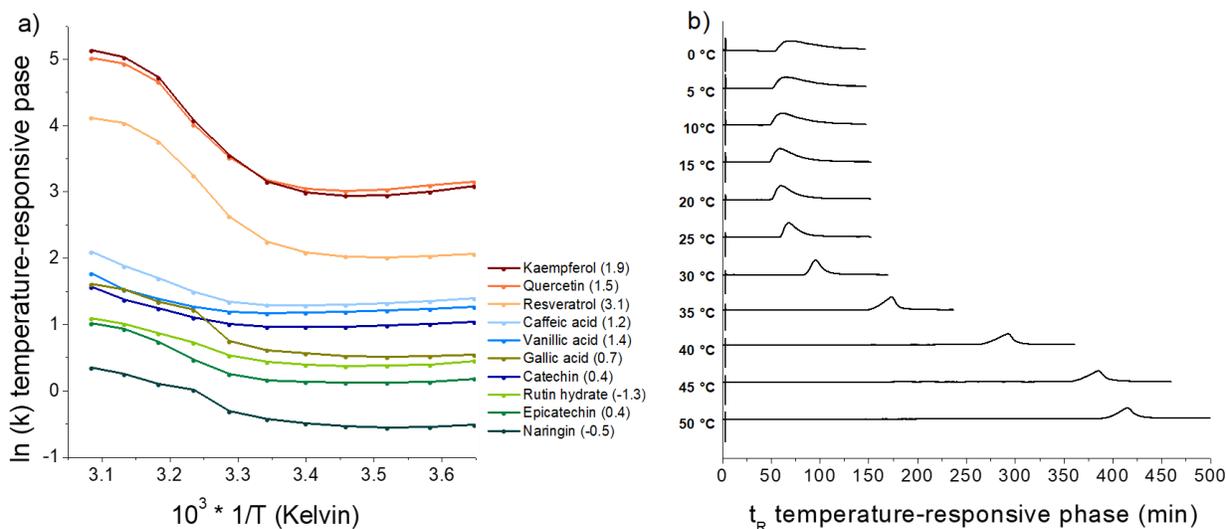


210

211 Figure 1: Structures of the phenolic standards used for the 1D method development.

212 To evaluate the influence of temperature on the retention of each standard, their retention was measured at 11  
213 temperatures ranging from 50 to 0°C at a flow rate of 0.1 mL/min. The results are summarized in the van't Hoff  
214 plot presented in Figure 2a, where  $\ln(k)$  is plotted against  $1/Temperature (Kelvin)$ . LogP values of the solutes are  
215 displayed in brackets behind the compound name in Figure 2a, taken from pubchem.com. While resveratrol has  
216 the highest logP value, it elutes earlier than kaempferol and quercetin, confirming what was already described  
217 in earlier TRLC work [25]: while retention increases on both TRLC (PNIPAAm) and RPLC (C18) columns based  
218 on an increase of hydrophobicity within a linear series of a compound family, the retention mechanisms differ  
219 for analytes depicting different polarity and functional groups [25]. Polar functionalities on relatively  
220 hydrophobic molecules can lead to increased retention in TRLC in comparison to RPLC [23], leading to  
221 selectivity differences and as such potentially higher orthogonality. Together with epicatechin, the rather large,  
222 glycosylated flavonoids naringin and rutin hydrate show the least retention, being the most hydrophilic.  
223 Interestingly, the steric difference of (-)-epicatechin and (+)-catechin resulted in an almost twice as high  
224 retention factor of the latter at 50°C. A further interesting observation is that an inversion in elution order takes  
225 place between kaempferol and quercetin below the LCST at around 20°C, resulting in higher  $\ln(k)$  values for  
226 quercetin at low temperatures. The polymer chains are collapsed on the silica surface at a higher temperature  
227 and expanded in the aqueous mobile phase at low temperature, which might allow for more interaction with  
228 the additional hydroxyl group of quercetin, while hydrophobic interactions dominate at higher temperatures  
229 (leading to higher retention of this solute). The acids (caffeic-, vanillic- and gallic acid) are also well retained in  
230 TRLC due to the use of a low pH in the mobile phase comprising 0.1% formic acid. For visualization of the  
231 temperature-responsive effect, Figure 2b shows the retention time shift for the compound with the highest  
232 retention at high temperatures, kaempferol. This figure also demonstrates that the temperature dependency

233 depicts a gradual change rather than an “on-off” switch, enabling for example the use of reverse temperature  
 234 gradients as a tool for compound elution. The retention factor (*k*) for kaempferol changes from 171 at 50°C to  
 235 22 at 0°C at a flow rate of 0.1 mL/min with a mobile phase consisting of H<sub>2</sub>O + 0.1 vol-% formic acid,  
 236 corresponding to an almost 8-fold decrease. Note that a slight increase in retention is observed from 15°C to  
 237 0°C. This is because this temperature range is below the relevant transition zone between the apolar and polar  
 238 orientations of the polymer. Hence, in this temperature bracket, the normal thermodynamic behavior in HPLC  
 239 (whereby retention increases with decreasing temperature) is dominant. Consequently, the lowest retention  
 240 for kaempferol is obtained at 15°C, whereby a 10-fold decrease in retention compared to the analyses at 50°C  
 241 is obtained.

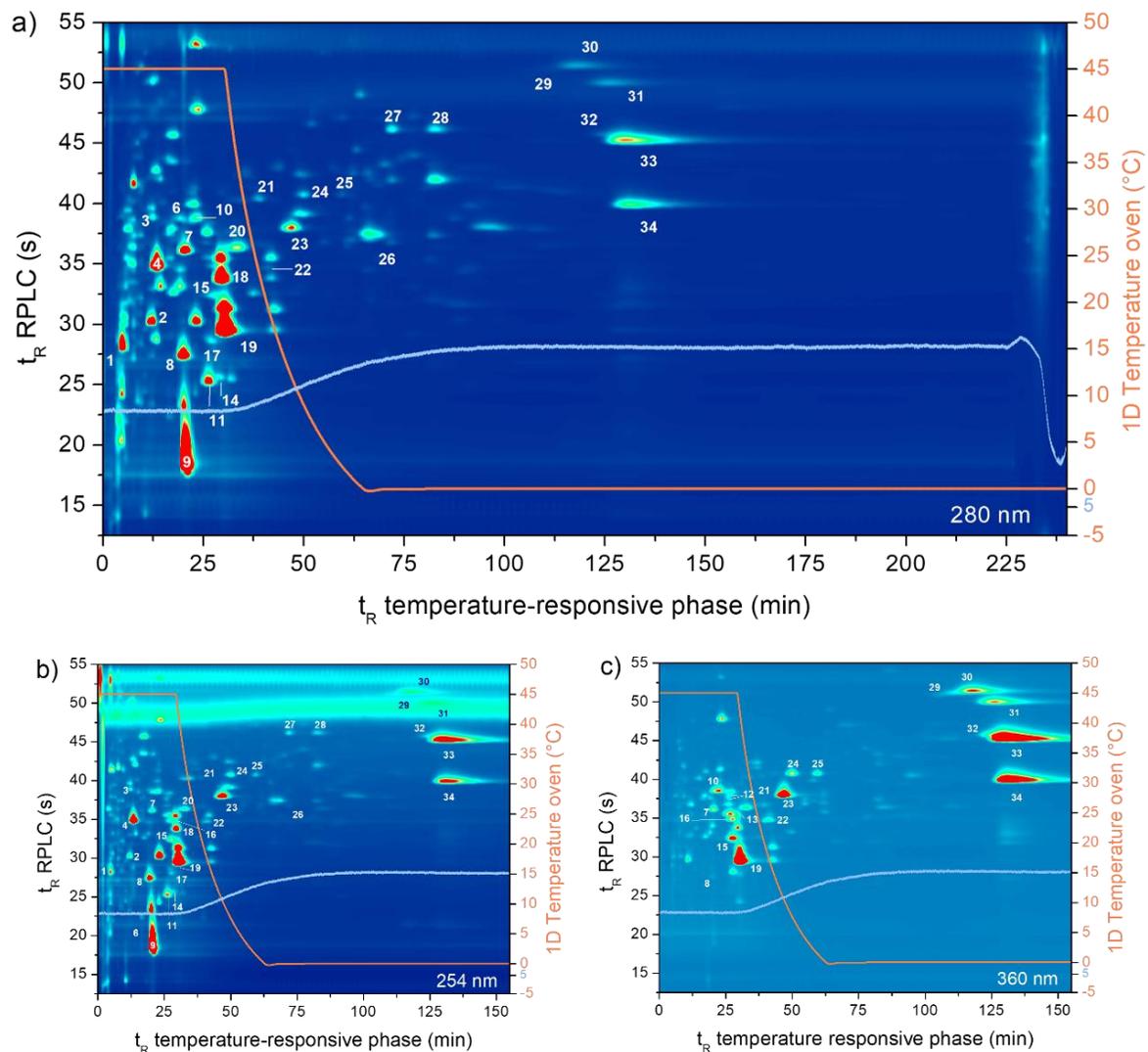


242  
 243 Figure 2: a) Van't Hoff plot of 10 different phenol standards at 0.1 mL/min H<sub>2</sub>O + 0.1% v/v formic acid for  
 244 temperatures ranging from 0-50°C in steps of 5°C. The TRLC column dimensions are 100×2.1mm, 5 μm, 100 Å.  
 245 LogP values taken from pubchem.com are given in brackets behind the compound name b) Presentation of the  
 246 increase of retention as a function of temperature shown for kaempferol under these conditions.

247 In TRLC the optimal efficiency is currently lower compared to state-of-the-art RPLC. However, this is not  
 248 necessarily prohibitively problematic in LC×LC because relatively broad peaks in the first-dimension limit first  
 249 dimension under-sampling and allow longer 2D analysis times and therefore peak capacities. The rationale in  
 250 TRLC×RPLC is that for this combination the limitations in 1D efficiency is outweighed by the enhanced peak  
 251 refocusing, simplicity of interfacing, and alternative selectivity it offers, as outlined in earlier work [23,24] and  
 252 further for polyphenols in the present study. To obtain information on the peak broadening and ensuing peak  
 253 volumes in TRLC, and on the performance of the specific TRLC columns developed for this work, plate height  
 254 data were measured as a function of the flow rate. The corresponding Van Deemter curves were constructed  
 255 for several representative solutes (epicatechin, vanillic acid, caffeic acid, and resveratrol) at temperatures  
 256 below and above the transition temperature of PNIPAAm and are presented in Figure S2. The test solutes were  
 257 selected to cover analytes in the low, medium, and high retention range and different flavonoid and non-  
 258 flavonoid compound classes. The data illustrates that at the optimal velocity plate heights of ~20 μm are  
 259 obtained, which is 2x higher compared to what can be obtained on C18 based RPLC on the same particle size  
 260 [24,25,27]. This lower efficiency is related to the slower mass transfer in the (thicker) stationary phase, the in-  
 261 house packing of the columns and due to the size dispersity of the aminopropyl silica used. The columns  
 262 depicted optimal velocities around 0.2 mm/s (25 μL/min) [25] at 45°C. At temperatures below the LCST, the  
 263 polymers are expanded, which detrimentally affects plate heights. However, because retention is obtained  
 264 above the LCST, in practice TRLC is mainly performed above the LCST whereby the temperature is gradually  
 265 lowered to reduce retention.

### 266 **3.2 Optimization of conditions for the comprehensive two-dimensional analysis of phenolic compounds**

267 The most important benefit of TRLC×RPLC is that the purely aqueous nature of the first TRLC dimension allows  
268 for complete refocusing at the head of the second-dimension column, independent of the transferred volume  
269 and hence of the loop size and the flow rate used in TRLC. This approach also avoids the pernicious necessity to  
270 miniaturize the internal diameter of the first dimension in LC×LC relative to the second-dimension column,  
271 which may lead to easily overloaded (<sup>1</sup>D) columns and less sensitivity due to dilution. It is noteworthy that  
272 alternative LC×LC combinations for the analysis of phenolics may involve offline or stop-flow hyphenation to  
273 overcome such issues [12]. In this work, online LC×LC was used with passive modulation to transfer all fractions  
274 to the <sup>2</sup>D. While many combinations of <sup>1</sup>D flow rates, loop volumes and <sup>2</sup>D modulation times could be chosen  
275 due to the flexibility of TRLC×RPLC, a flow rate of 0.1 mL/min was selected in the <sup>1</sup>D, which corresponds to a  
276 higher than optimum velocity, but provides shorter analysis time. However, the analysis time was also strongly  
277 influenced by the application of the inverse temperature gradient in the static air oven of the system. Due to the  
278 thermal mass of this device, cooling from 45 to 0°C required about 60 min, as estimated based on the <sup>1</sup>D pressure  
279 signal (shown in Figure 3, purple line) which stabilized at the lowest temperature (and highest ΔP value) after  
280 this period. The second dimension was operated under fairly conventional state-of-the-art LC×LC conditions,  
281 using a Zorbax Eclipse Plus C18 RRHD column (50×3 mm, 1.8 μm d<sub>p</sub>, 95 Å) at a flow rate of 2.6 ml/min. These  
282 column dimensions and particle type allow for relatively high flow rates, while still providing reasonable  
283 resolution even under the high linear velocities required in the <sup>2</sup>D. Further, the column was found to provide  
284 excellent stability performance over extended LC×LC operation times. The gradient of 1-45% ACN was chosen  
285 as it led to successful occupation of the separation space by the phenolic compounds in wine [19]. The obtained  
286 <sup>2</sup>D retention time repeatability of less than 0.7% (Supplementary info S3) and the absence of wrap-around in  
287 the <sup>2</sup>D suggest appropriate method conditions were selected. The modulation time and the loop volume were  
288 set at 0.96 min and 200 μL, respectively. These were both selected such as to allow for optimal <sup>2</sup>D analysis time  
289 without causing undersampling of the <sup>1</sup>D effluent. These method conditions result in a loop filling of 48%. It was  
290 advised, to fill loops to not more than 50% of the loop volume to avoid analyte loss due to the parabolic flow  
291 profile of the mobile phase in narrow loops [1]. An advisable filling volume of 50 – 80% dependent on the  
292 acceptable level of sample loss was further confirmed via simulations and practical work [50]. Due to the limited  
293 coiling of the loop and the relatively high <sup>1</sup>D flow rate, a conservative approach in loop filling volume was  
294 chosen. The <sup>2</sup>D column effluent was split (1/10) after the <sup>2</sup>D column to ensure compatibility with the ESI-MS  
295 detector [37]. Retention time repeatability (%RSD) for selected compounds can be found in the supplementary  
296 information (S3), for three injections of the wine sample. As a comparison, a measurement was performed  
297 keeping the 1D column isothermal at low temperature (0°C) to investigate lowest analysis times for the wine  
298 analysis (supplementary info S8). The overall analysis time was reduced by about 30 min, which roughly  
299 corresponds to the 30 min at temperatures above the LCST, which are applied in the here presented and  
300 optimized methods to assure best resolution and higher retention for the compounds early eluting in TRLC.



301  
 302 Figure 3: Contour plots obtained by TRLC×RPLC separation of the phenolic fraction of a young South African  
 303 red wine at (a) 280 nm, (b) 254 nm and (c) 360 nm. The first dimension was performed using a reversed  
 304 temperature gradient from 45 to 0 $^{\circ}$ C at 30 min, indicated by the orange signal. The experimental pressure signal  
 305 in the first dimension is shown by the light blue line as indication of actual column temperature change. Peak  
 306 numbers correspond to Table 1 and represent peak identification via MS, literature research, and UV spectra.

### 307 3.3 TRLC×RPLC-UV-MS analysis of phenolic compounds extracted from wine

308 To assess the ability of the TRLC×RPLC setup to analyze natural products, ether extracts of a young red wine  
 309 were prepared to concentrate the phenolic compounds of interest, and to remove anthocyanins and higher  
 310 molecular weight polyphenols such as tannins. Even though MS (and ideally MS/MS) is ultimately necessary to  
 311 identify phenolic compounds in complex natural mixtures, the benefits offered by the much-enlarged separation  
 312 space obtained by TRLC×RPLC separation prior to the UV and MS detection can be observed in the contour plots  
 313 represented in Figure 3. It is also evident from this figure that neither 1D TRLC nor RPLC separation would  
 314 allow complete separation of all phenolics present in the ether extract for compound identification. Considering  
 315 the observed complexity of the sample, direct analysis by ESI-MS would prove of little benefit and would only  
 316 allow tentative identification of the more concentrated solutes depicting unique (fragment) masses, while  
 317 providing little to no insight into absolute or relative concentrations of the phenolic solutes. This is due to the  
 318 large differences in ionization efficiencies of organic molecules and matrix effects occurring for large numbers

319 of compounds covering a large concentration range in the electrospray source. Hence the physical separation  
320 as obtained allows for more facile analysis of solutes by MS and also by UV/DAD. The latter offers e.g. easier  
321 visual insight into families of solutes. This can e.g. be illustrated through the UV spectra of flavonols which are  
322 distinct, while MS can only differentiate e.g. the glycosides of quercetin and kaempferol, when high-collision  
323 energy *collision* induced dissociation (CID) is an option [37]. As polyphenols of different classes are  
324 characterized by distinct UV/VIS spectra, a wide wavelength range (200-600 nm) was recorded to assist in the  
325 detection, identification, and peak purity assessments. Several corresponding, and for phenolic solutes relevant,  
326 contour plots were extracted at 280 nm (flavanols, procyanidines, flavanones), 254 nm (hydroxybenzoic acids),  
327 and 360 nm (flavonols, flavones) (Figure 3a, b, and c, respectively)[37,51]. Also note that in the TRLC×RPLC-  
328 ESI-MS combination only volatile mobile phase additives are used in both dimensions.

329 34 compounds were assigned based on the MS (and UV) data (Table 1, Figure 3), of which 17 were flavonols  
330 (the aglycones myricetin, quercetin, kaempferol, syringetin, laricitrin and isorhamnetin, as well as eleven of  
331 their glycosylated derivatives), two flavanols (epicatechin, catechin), three flavanonols (dihydromyricetin,  
332 dihydrokaempferol and dihydroquercetin), four stilbenes (cis- and trans-piceid, cis- and trans-resveratrol) and  
333 three B-type procyanidins. Additionally, the hydroxybenzoic acid derivatives syringic acid and gallic acid, as  
334 well as the hydroxycinnamic acids fertaric acid, p-coumaric acid, and caffeic acid were also identified. Note that  
335 anthocyanins were not detected because neither the extraction nor the separation protocol were optimized for  
336 these solutes (typically requiring e.g. 5% formic acid to allow effective RPLC separation [52]). Compounds were  
337 tentatively identified based on their online UV spectra, relative RPLC retention [19] and MS molecular ion [19]  
338 and fragmentation data (no MS/MS data available) compared to literature [19,41,61–63,53–60].

339 Table 1: Tentative identification of the phenolic compounds in wine by TRLC×RPLC-DAD-MS: A) flavonols, B)  
340 flavonol-glycosides, C) dihydroflavonols (flavanonols), D) stilbenes, E) flavanols, F) hydroxybenzoic acids and  
341 derivatives G) hydroxycinnamic acids, H) procyanidins. Deprotonated [M-H]<sup>-</sup> ions and fragmentation patterns  
342 were mainly compared to Muller et. al. and other sources for confirmation of fragmentation patterns [13,19,61-  
343 64,53–60]

	No.	Compound	t <sub>R</sub> <sup>1D</sup> TRLC (min)	t <sub>R</sub> <sup>2D</sup> RPLC (s)	Theoretical monoisotopic mass (Da)	Exp. mass [M-H] <sup>-</sup> (m/z)	MS/MS Fragments m/z negative mode	Fragments confirmed by literature
H	1	Fertaric acid	4.80	28.20	326.26	325.0		
E	2	Epicatechin	12.48	30.22	290.08	289.1	245, 203, [2M-H] <sup>-</sup> : 579	[19,56-59]: 245 [19]: 203 [56]: 579
D	3	Cis-/trans-piceid	12.48	38.86	390.08	389.1	227	[19]: 227
F	4	Syringic acid	13.44	34.86	198.05	197.1	124, 169	[19]: 124, 169
	5	(Epi-) gallic acid	16.32	19.99	306.07	305.0	179	[58]: 179
D	6	Cis-/trans-piceid	19.20	38.78	390.13	389.2		
B	7	Syringetin-3-O-galactoside	20.16	36.10	508.12	507.1	345	[19]: 345
E	8	Catechin	20.16	27.42	290.08	289.1	245, 203, [2M-H] <sup>-</sup> : 579	[19,56-59]: 245 [19]: 203 [56]: 579
F	9	Gallic acid	21.12	18.34	170.02	169.0		
B	10	Syringetin-3-O-glucoside	23.04	38.81	508.12	507.1	345	[19]: 345
H	11	Procyanidin dimer	25.92	25.92	578.14	577.0	425	[13,56,58]: 425
B	12	Isorhamnetin-3-O-galactoside	25.92	37.66	478.11	477.3	447, 315, 314,	[19]: 447, 314, 315 [56,57]: 315
B	12	Isorhamnetin-3-O-glucoside	26.88	38.08	478.11	477.3	447, 314, 315	[19]: 447, 314, 315 [56,57]: 315
B	13	Laricitrin-3-O-galactoside/galactoside	26.88	35.52	494.11	493.1	330	[19]: 330
H	14	Procyanidin dimer	26.88	28.65	578.14	576.9	425	[13,56,58]: 425, 289
B	15	Myricetin-3-O-galactoside/galactoside	27.84	32.38	480.09	479.1	316	[19,55]: 316
B	16	Quercetin-3-O-glucoside/galactoside	27.84	34.84	464.1	463.1	301, 300	[58]: 301 [19,53-55]: 300 [53,56]: 179 [19] 163
H	17	Procyanidin dimer	28.80	25.62	578.14	577.0	425, 289	[13,56,58]: 425, 289
G	18	p-coumaric acid	29.76	33.79	164.05	163.0	119	[19]: 119
G	19	Caffeic acid	30.72	29.55	180.04	179.1	135	[19,58]: 135

C	20	Dihydro-quercetin	33.60	36.35	304.06	303.0	285, 125	[19,58]: 285, 125
C	21	Dihydro-kaempferol	39.36	40.39	288.06	287.1		
B	22	Myricetin-3-O-rhamnoside*	41.28	34.71	464.09	463.1	317, 316	[60]: 317
B	23	Quercetin-3-O-rhamnoside*	47.04	38.00	448.10	447.1	301, 300, 271	[57,58]: 301 [54]: 300, 271 [55]: 271
B	24	Kaempferol-3-O-rhamnoside*	49.92	40.72	432.11	431.1	285	[41][64]: 431
B	25	Quercetin-3-O-acetylglucoside	59.52	40.74	506.11	505.0	301, 300	
C	26	Dihydro-laricitrin	68.16	35.36	334.07	333.1		
D	27	cis-/trans-Resveratrol	72.00	46.14	228.08	227.2		
D	28	cis-/trans-Resveratrol	82.56	46.16	228.08	227.2		
A	29	Syringetin	111.02	51.24	346.07	345.2	315	[19]: 315
A	30	Isorhamnetin	118.08	51.44	316.06	315.2	301, 300, 271	[63]: 301 [56]: 300 [19]: 271
A	31	Kaempferol	125.76	49.99	286.05	285.1		
A	32	Laricitrin	129.91	45.26	332.05	331.1	316, 179	[19]: 316, 179
A	33	Quercetin	130.56	45.24	302.04	301.2	179, 151, [2M-H]: 603	[13,19,53,56,58,61,62]: 179, 151
A	34	Myricetin	131.52	39.91	318.04	317.1	179, 151, 137	[19,56,62]: 137 [19,56,58,62]: 179, 151

344 \* Neutral loss of m/z 146 implies the sugar moiety is a deoxyhexose, most likely rhamnose

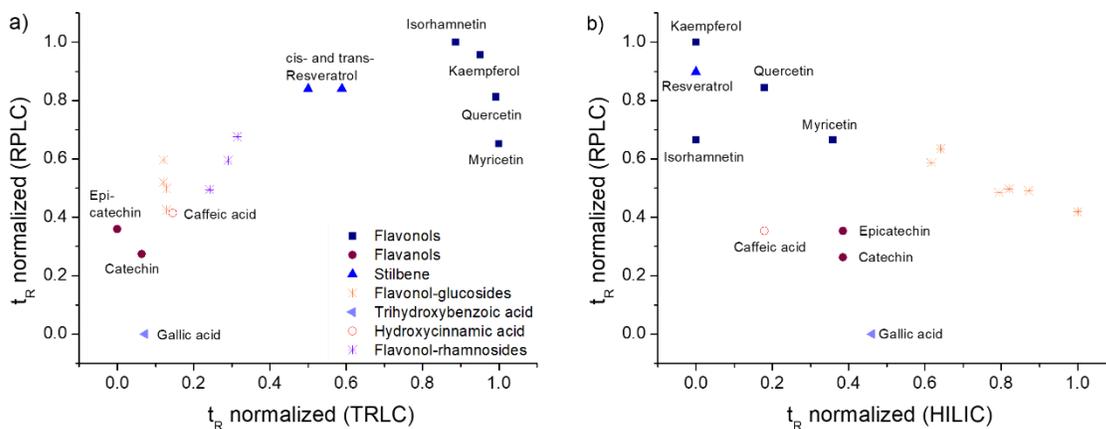
345 The elution order of flavonoid aglycones in RPLC follows the expected order: flavanols < flavanones < flavonols  
346 < flavones [37,65,66]. This is influenced by the hydrophobicity of the molecules, determined by the number of  
347 methoxy substituents or adversely affected by the number of hydroxyl groups, as confirmed here for the  
348 flavanols and flavonols found.

349 The elution order for glycosylated flavonoids in RPLC follows the trend: galactosides < glucosides <  
350 arabinosides < xylosides < rhamnosides [37]. In accordance with literature, in the present work, flavonol-  
351 glucoside and/or -galactoside as well as -rhamnoside species were detected in the wine extract. The flavonol-  
352 hexoside isomers containing glucoside and galactoside moieties could not be differentiated based on the  
353 available MS data, except in instances where they are chromatographically resolved, where the known RPLC  
354 elution order (galactoside < glucoside) could be used to tentatively assign them. In this case two signals were  
355 found for Isorhamnetin-galactoside and -glucoside and Syringetin-galactoside and -glucoside. Based on the  
356 usual elution order, it is assumed that the later eluting analyte corresponds to the glucoside variant. Flavonols,  
357 most abundant in the berry skins, provide relatively selective absorption at 360 nm (since anthocyanins are not  
358 present in the ether extracts); Figure 3c shows the selective contour plot obtained at this wavelength for the  
359 wine sample.

360 The hydroxybenzoic and hydroxycinnamic acids were mostly detected in free as opposed to esterified forms,  
 361 with the exception of fertaric acid, the tartaric acid ester of ferulic acid. One of the most abundant and well-  
 362 known stilbenes, trans-resveratrol [37], was also detected in the wine extract, together with its cis-isomer as  
 363 two signals for  $m/z$  227 were found. Interestingly, while these isomers could not be separated in the short 2D  
 364 RPLC gradient, they were well resolved in the TRLC dimension. Resveratrol-glucoside, piceid, was also  
 365 identified based on its MS spectral data.

366 The general elution order of the compound classes in TRLC seems to be flavanols and hydroxybenzoic acids,  
 367 followed by flavonol-glycosides and hydroxycinnamic acids, dihydroflavonols, the aglycone stilbenes and finally  
 368 the aglycone flavonols, which are highly retained on the TRLC phase. This was further confirmed with the  
 369 available standards, supporting the compound identification through MS. While the elution order of TRLC does  
 370 show some correlation compared to RPLC, as both are based on hydrophobicity, good distribution of the analyte  
 371 peaks in the two-dimensional space is nevertheless obtained.

372 The group-type separation of the wine phenolics is demonstrated in Figure 4, which shows the normalized  
 373 retention times for selected compounds in TRLC×RPLC. To get a better impression of the selectivity differences  
 374 between TRLC×RPLC and HILIC×RPLC, a common LC×LC configuration used for phenolic analysis, values for  
 375 the same compounds taken with permission from Muller et al. [19] are shown in Figure 4b. In both studies a  
 376 young South African red wine extract was analyzed, using the same RP column in the 2D. Furthermore, the 2D  
 377 mobile phases and gradients are similar between these studies, albeit with slight differences in the time-  
 378 dependent shifts in the gradient, making the gradient a little shallower for the TRLC×RPLC analysis. The major  
 379 difference between Figure 4a and b is therefore due to the separation mode used in the first dimension, which  
 380 gives an indication of the selectivity differences of TRLC and HILIC for the same phenolic compounds.  
 381 HILIC×RPLC is popular for phenolic analysis due to the high orthogonality of this combination and its ability to  
 382 provide group-type separation. This is evident in Figure 4b, where compound classes elute in the same vicinity,  
 383 although with some overlap. Retention in HILIC increases with increasing glycosylation, while RPLC separates  
 384 molecules based on the type of sugar (e.g. flavonols) [13]. Similarly, the TRLC×RPLC separation also shows  
 385 grouping of the phenolic compound classes, although in different sequence and regions. In general, better  
 386 separation of the apolar constituents (stilbenes and flavonol aglycones) from other phenolic classes is observed  
 387 in TRLC×RPLC, whereas more polar glycosylated and acidic compound classes overlap somewhat in the earlier  
 388 retention window. In this case, the flavonol aglycones elute as the last compound group – these show the  
 389 strongest temperature-responsive effect in combination with the reversed temperature gradient used here, as  
 390 presented in the Van't Hoff plot earlier. However, complete separation of the free flavonols and their  
 391 glycosylated forms is relatively challenging for all three separation modes, demonstrating the benefit gained  
 392 here by the two-dimensional separation.



393

394 Figure 4: Plots of the normalized retention times ( $t_{R, 1D} / t_{R, 2D}$ ) taken from contour plots of selected phenolic  
395 compounds obtained for the analysis of young red wines by TRLC×RPLC and HILIC×RPLC. a) TRLC×RPLC  
396 analysis: TRLC in the <sup>1</sup>D (100×2.1 mm, 5 μm; reverse temperature gradient) and RPLC in the <sup>2</sup>D (Zorbax Eclipse  
397 Plus 50×3mm, 1.8 μm; fixed solvent gradient); b) HILIC×RPLC analysis, values taken with permission from  
398 Muller et. al. [19], using HILIC in the <sup>1</sup>D (Xbridge Amide column (150×1.0 mm, 1.7 μm, Waters; solvent gradient)  
399 and RPLC in the <sup>2</sup>D (Zorbax Eclipse Plus 50×3mm, 1.8 μm; fixed solvent gradient).

400 To estimate the orthogonality of the TRLC×RPLC combination, the Asterisk method [67] was used, providing a  
401 value of 65% for  $A_0$  for the wine sample; this is very similar to the  $A_0$  of 66% reported for HILIC×RPLC in [19].  
402 The fractional surface coverage, here calculated following the convex hull method [68], was 52% for the  
403 TRLC×RPLC separation, again similar to 59% for HILIC×RPLC [19] (S7). This indicates that despite the  
404 similarities in selectivity between TRLC and RPLC for phenolics based on hydrophobicity, good exploitation of  
405 the two-dimensional space can be achieved for this combination. This may be partly ascribed to selectivity  
406 differences for hydrophobic molecules with additional hydroxyl groups, which are highly retained in TRLC at  
407 temperatures above the LCST, as shown in the van't Hoff plot and Figure 2.

408 Our findings therefore indicate the suitability of the in-house produced TR columns used in TRLC×RPLC for the  
409 facile 2D-LC analysis of phenolic compounds as a complementary LC×LC technique in the field of phenolic  
410 analysis. The implementation of TRLC does not add instrumental complexity as temperature programming is  
411 possible with common air-driven column thermostats, as e.g. used to heat up the <sup>2</sup>D column, allowing for  
412 traceable data collection with standard software. Further improvement in the methodology can however be  
413 realized. While the relatively generic RPLC gradient used in the <sup>2</sup>D in the present work led to acceptable  
414 separation of the wine phenolics, further improvements may be obtained by using shifted gradients or parallel  
415 gradients, which show particular benefit for partially correlated separation systems such as TRLC×RPLC [69].  
416 Furthermore, improvements in the temperature gradient used for TRLC should prove beneficial. The  
417 temperature gradient used here in the first dimension was successful in decreasing retention for highly retained  
418 compounds but leaves room for improvement regarding the efficiency of temperature transfer between the  
419 system and the column. In the present work, the effective temperature gradients are rather slow, and further  
420 hindered by the low flow rates applied in the first dimension. This leads to long measurement times, thus  
421 limiting the achievable peak capacity rates to values lower than alternative LC×LC approaches. For example,  
422 practical peak capacities corrected for undersampling and finite surface coverage of around 1300 were  
423 reported for HILIC×RPLC separation of wine phenolics in an analysis time of an hour [19]. For the TRLC×RPLC  
424 method used here, a practical peak capacity corrected for undersampling and fractional surface coverage of 850  
425 was obtained for an analysis time of two hours. This nevertheless represented a drastic improvement in  
426 comparison to earlier TRLC×RPLC work [23,24].

#### 427 **4. Conclusions**

428 In this work, TRLC×RPLC combined with photodiode array and mass spectrometry detection was for the first  
429 time applied for the analysis of natural product extracts. Satisfactory separation was obtained for red wine  
430 phenolic extracts with the presented TRLC×RPLC set-up in comparison to other state-of-the-art LC×LC  
431 separations, with much less complicated method development. TRLC×RPLC provided at least partial group-type  
432 separation, especially prominent for flavonols, which show strong temperature-dependent behavior. The  
433 approach generally appears to allow for sensitive detection and identification of phenolic solutes, which can be  
434 further improved using high resolution MS instrumentation. The complexity of the samples and the possibilities  
435 of the technique are further highlighted through the TRLC×RPLC-DAD data.

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