# 1 Recent progress in oil-in-water-in-oil (O/W/O) double emulsions

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#### 10 Abstract

Oil-in-water-in-oil (O/W/O) double emulsions are recognized as an advanced design route for 11 oil structuring that shows promising applications in the pharmaceutical, cosmetic, and food 12 fields. This review summarizes the main research advances of O/W/O double emulsions over 13 the past two decades. It mainly focuses on understanding the preparation strategies, 14 stabilization mechanism, and potential applications of O/W/O double emulsions. Several 15 emulsification strategies are discussed, including traditional two-step emulsification method, 16 phase-inversion approach, membrane emulsification, and microfluidic emulsification. Further, 17 the role of interfacial stabilizers and viscosity in the stability of O/W/O double emulsions will 18 be discussed with a focus on synthetic emulsifiers, natural biopolymer sand solid particles for 19 achieving this purpose. Additionally, analytical methods for evaluating the stability of 20 O/W/O double emulsions, such as advanced microscopy, rheology, and labelling assay are 21 reviewed taking into account potential limitations of these characterization techniques. 22 Moreover, possible innovative food applications are highlighted, such as simulating fat 23 substitutes to decrease the trans- or saturated fatty acid content and developing novel delivery 24 and encapsulation systems. This review paves a solid way for the exploration of O/W/O 25 26 double emulsions towards large-scale implementation within the food industry.

Keywords: O/W/O double emulsions; emulsification; stabilization mechanism; innovative
food.

#### 29 **1. Introduction**

A double emulsion can be considered as one special emulsion of an emulsion, of which the 30 configuration is that droplets of one dispersed liquid are further dispersed in another liquid. 31 The intermediate phase separates the inner dispersed droplets from the outer phase. The two 32 major types of double emulsions are water-in-oil-water (W/O/W) and oil-in-water-in-oil 33 (O/W/O) emulsions as shown in Figure 1a and 1b(Muschiolik & Dickinson, 2017). Different 34 combinations of the inner oil-in-water (O/W) interface (Figure 1c) and outer water-in-oil 35 (W/O) interface (Figure 1d) allow the construction of O/W/O double emulsion systems with 36 various structures. Due to their complex structure and unique nature, the separated phases of 37 double emulsions can be constructed in such a way to regulate the incorporation of 38 biologically active compounds and nutritional substances. In light of these facts, they show 39 tremendous potential for applications in food, pharmaceutical, and cosmetic fields(Huynh 40 41 Mai, Thanh Diep, Le, & Nguyen, 2019).





Figure 1 Main types of double emulsions. a. O/W/O emulsions; b. W/O/W emulsions; c. Different types of
primary O/W interface: (1) low-Mw hydrophilic surfactants, (2) fibrils, (3) polymers, (4) multilayers, (5)
aggregates, (6) solid particles; d. Different types of outer W/O interface: (1) low-Mw hydrophobic surfactants,
(2) polymers, (3) crystals, (4) solid particles.

In contrast to W/O/W emulsions, less research focuses on O/W/O emulsions in the past decades. Thus, exploration of O/W/O systems are highly desirable, the reason of which could be as follows. First, healthy products can be fabricated by replacing the traditional W/O emulsion with the equivalent O/W/O emulsions, having a lower content of saturated fatty

acid while be of a similar in-mouth perceived texture(Dickinson, 2010a). Second, O/W/O emulsions enable sensitive oil-soluble ingredients (such as essential oils and bioactive components) being protected and encapsulated, and then in turn control the release or delivery of these ingredients during consumption and/or digestion. Third, O/W/O emulsions could act as internal reservoirs to restore matters converted from the external continuous phase into the internal restricted confined phase, aiming to remove the toxic or unhealthy matters(Muschiolik & Dickinson, 2017).

Thus, the formulation of a stable O/W/O structure with food-grade ingredients is highly 58 desirable by food researchers. However, the O/W/O structure is generally thermodynamic 59 unstable and is prone to rupture during storage or when exposed to environmental stress. 60 Noteworthy, the difference between the inner and outer phases will make the as-formed 61 O/W/O emulsion being unstable, like sedimentation, flocculation, coalescence, and Ostwald 62 63 ripening between droplets. It suggests that the O/W/O system should require an additional 64 stability parameter to tailor the phase change(Leister & Karbstein, 2020). To gain further insight of the O/W/O emulsion as well as for their controllable large-scale fabrication, we 65 prepare this review and aim to summarize the preparation methods, the stability mechanisms, 66 67 and their applications.

# 68 2. Preparation of the O/W/O emulsion

An O/W/O emulsion includes two distinctive interfaces that require two different emulsifiers. The internal  $O_1/W$  interface should be stabilized by a hydrophilic emulsifier while the external interface requires a hydrophobic one, which finally forms the  $O_1/W/O_2$  emulsion. Generally, four approaches for preparing the O/W/O emulsion can be considered: traditional two-step emulsification method, one-step homogenization, membrane emulsification, and microfluidic emulsification. Figure 2 shows a schematic diagram of these feasible methods for preparing O/W/O emulsions.

Among these strategies for fabrication of O/W/O emulsion, the most commonly used one is the traditional so-called 'two-step' approach as shown in Figure 2a. At first, a high energic treatment obtained with intensive shearing homogenizer(Triplett & Rathman, 2008), microfluidizer(Kadian, Kumar, Badgujar, & Sehrawat, 2021; Verma, et al., 2021), ultrasound(Xiong, et al., 2019; Y. Zhang, et al., 2018; Zhou, Zhang, Xing, & Zhang, 2021), and high-pressure homogenizer(Bi, et al., 2020; Jiang, Zhang, Zhang, & Peng, 2021) are utilized towards preparation of stable primary O/W emulsions with hydrophilic feature. After

that, the primary emulsion is mixed with the external oil (containing the hydrophobic 83 emulsifier), and then they are subjected to a further stirring treatment, which in turn finally 84 forms O/W/O emulsion. Cho and Park(Y.H. Cho, 2003) prepared a primary O/W emulsion 85 using a microfluidizer at 41 to 82 MPa, in which a maltodextrin/gum arabic mixture was 86 serving as the wall material. The primary emulsion was re-emulsified at 13500 rpm for 10 87 min during a heating treatment in molten hydrogenated palm kernel oil containing 5% 88 emulsifier, after which the final product of an O/W/O emulsion was formed upon cooling. 89 Different from the one that was solely prepared with pure polyglycerin polylysinoleate 90 91 (PGPR), it was found that the mixture of PGPR and sorbitan monooleate (Span 80) resulted in a narrower size distribution of outer droplets in the O/W/O emulsion. In order to meet the 92 consumers' demand of free-synthetic surfactants and achieve the clean-label goal, 93 Patel(Ashok R. Patel, 2017) successfully prepared O/W/O emulsions solely stabilized by 94 natural polysaccharides and plant fat crystals with a two-step emulsification approach. The 95 primary O/W emulsion was formulated by dispersing oil into a gelatin solution and then 96 97 subjected to an ultra-turrax at 11000 rpm. Next, a xanthan gum solution was added in under continuous stirring. The as-prepared O/W emulsion was homogenized with molten palm oil 98 containing different proportions of sunflower oil, which was then stable at 5 °C. These results 99 100 suggested that a stable double emulsion could still be achieved without any involvement of emulsifier when the water content was up to 45 wt% and the corresponding inner oil phase 101 102 down to 15 wt%.

It is worth noting that the methodological selection in the 2<sup>nd</sup> emulsification step (dispersing 103 O<sub>1</sub>/W into O<sub>2</sub>) plays a critical role in the formulation of well-structured multiple emulsion 104 system. On the other hand, it was noticed that the primary emulsion is prone to be unstable in 105 the 2<sup>nd</sup> step, in case it is subjected to high energetic treatment, such as high-shear mixers, 106 high-pressure homogenizers and ultrasound, the reason of which should be due to the 107 extremely intense hydrodynamic force. To address this issue, suitable methods should be 108 109 utilized. So far, numerous strategies, such as reducing the  $O_1$  droplet size, improving the viscosity of the O<sub>1</sub> phase or the water phase, structuring interfacial complexes to improve the 110 stability of inner emulsions, and incorporating surfactants, polymers, and colloidal particles 111 in the outer oil-soluble phase, have been developed(Muschiolik & Dickinson, 2017). 112

Besides, one-step phase inversion was also reported to be a feasible strategy for the formulation of O/W/O emulsions, the mechanism of which was suggested to be attributed to the diffusion of oil from the continuous external phase to the inner phase (Figure 2b). Using

this one-step method, Oh et al.(Oh, Park, Shin, & Oh, 2004) fabricated one kind of O/W/O 116 emulsion system, in which Span 80 was working as a lipophilic emulsifier toward 117 formulation of the W/O emulsion. When Tween 20 was added into the water phase, the stable 118 W/O interface would be out of balance, in turn accelerating the diffusion of the oil phase (1-119 octanol) into water droplets. It was also found that using a polymer in the emulsion system 120 could restrain the transfer of inner liposoluble substances into the other phase and the 121 swelling of W/O interfaces. However, when adding polyethylene glycol (PEG) and 122 hydroxypropyl cellulose (HPC) into the continuous oil phase and discontinuous phase, 123 124 respectively, the micelles (bound to oil molecules) would be promoted to diffuse into the core of the aqueous phase. In light of these facts, it was suggested that the involvement of PEG 125 and HPC in the corresponding phase favoured the formulation of the O/W/O emulsion. Klahn 126 et al.(J.K. Klahn, 2002) claimed that phase inversion was governed by the interplay between 127 droplet coalescence and the escape of the internal droplets; in other words, O/W emulsions 128 would experience catastrophic phase inversion at appropriate shear rates. Thus, we can 129 conclude that the phase inversion could bring about the formation of nano- and fine O/W/O 130 double emulsions. 131



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Figure 2 Popular methods for preparing O/W/O emulsions. a. Traditional two- step approach; b. One-step catastrophic phase inversion; c. Membrane emulsification; d. Microfluidization.

The membrane emulsification technique is acknowledged as another smart strategy for
producing O/W/O emulsions(Akamatsu, Kurita, Sato, & Nakao, 2019) (Figure 2c). Before

passing through the controlled micropore glass membrane, the primary O/W emulsions is 137 generally fabricated via high-pressure homogenizer, sonication, microfluidizer as well as 138 other homogenization methods. During the formation process, the primary emulsion is 139 supplied to the upper chamber of the reactor, and an external oil phase is continuously placed 140 into the counterpart lower chamber. Meanwhile, nitrogen is delivered into the upper chamber 141 for providing a driving force, forcing the primary O/W emulsion passing through the 142 controlled-pore glass membrane into the lower chamber, which generates the final O/W/O 143 emulsion. Interestingly, the mean droplet size of the multiple emulsions can be precisely 144 145 controlled over a wide range by changing the membrane pore size or varying the stirring speed. However, few studies report this technology to prepare O/W/O double emulsions, thus 146 its applications need to be further investigated. 147

A new advanced approach for formulating the O/W/O emulsion was developed, i.e. 148 microfluidic homogenization, which is a modified microchannel emulsification 149 method(Khalid, Kobayashi, Neves, Uemura, & Nakajima, 2018). Figure 2d exhibits a 150 schematic diagram for preparation of an O/W/O emulsion by the microfluidic 151 homogenization approach. It shows that oil droplets with uniform size are injected into the 152 153 middle aqueous phase to form the O/W emulsion via a capillary microfluidic device, after which the primary emulsion flows into the outer oil phase and subsequently the double 154 emulsion is formed. The size and breakup rate of the droplets are determined by the flow rate 155 in the chambers. The amount of microencapsulated aqueous droplets also can be tailored by 156 altering the breakup rates at the junctions. With all these merits, we anticipate that this 157 approach could be well utilized for preparation of monodisperse or multi-disperse double 158 emulsions with highly controlled droplet size(Fang, Cao, Yu, & Li, 2019; Hwang, Oh, & Oh, 159 2005). Therefore, the advantage of this technique is that it can provide a higher degree of 160 control over the double emulsion properties. However, it is not yet applicable to large-scale 161 production. 162

# 163 **3. Stabilization of the O/W/O emulsion**

### 164 **3.1 Instability mechanism of the O/W/O emulsion**

The thermodynamic instability behaviours of traditional O/W and W/O emulsions are mainlyshown as follows:

Flocculation: Several droplets tend to move towards each other and then aggregate.
 Flocculation can lead to an increase in the effective particle size, therefore accelerating

the creaming rate. Besides, it can accelerate the coalescence activities, resulting in thedestabilization of the emulsion(Dickinson, 2019).

- Coalescence: After flocculation occurs, droplets irreversibly merge together to form
  larger droplets that will eventually be separated from the external phase. The driving
  force for emulsion coalescence is disruption of the liquid film between the droplets as
  well as making it thinner(Yarranton, Urrutia, & Sztukowski, 2007).
- Sedimentation or creaming: Gravity affects the migration of the dispersed phase of an emulsion, making resulting in sedimentation or creaming. Particle movement depends on the droplet size and the density difference between the dispersed and continuous phases, as well as viscosity and thixotropy(Loi, Eyres, & Birch, 2019; Zembyla, Murray, & Sarkar, 2020).
- Ostwald ripening: Small droplets with a large curvature dissolve faster than the large droplets with a small curvature. Thus, the small droplets tend to diffuse and finally deposit on the interface of larger droplets. The generation of large droplets is ultimately achieved at the expense of small droplets(Han, Song, Moon, & Choi, 2018). The driving force for Ostwald ripening activity is the difference in solubility between dispersed emulsion droplets(Zeeb, Gibis, Fischer, & Weiss, 2012).
- Compared to traditional emulsions, double emulsions are thermodynamically more unstable 186 because of their complex architecture(Aguiar, das Gracas Fernandes da Silva, Fernandes, & 187 Forim, 2020). The O/W/O system is divided into different phases by two interfaces, so 188 instability phenomena could occur in both internal and external emulsions (shown in Figure 189 3). Generally, the first instability is that the hydrophilic emulsifier and the hydrophobic 190 emulsifier cannot be completely adsorbed on the corresponding interface, resulting in 191 flocculation, coalescence, creaming, Ostwald ripening, and sedimentation between the small 192 inner oil droplets within the water phase, as well as water droplets within outer oil 193 phase(Choi, Kim, Cho, Hwang, & Kim, 2011). Moreover, the second one is that the inner oil 194 phase favours to diffuse and migrate to the external interface due to the osmotic pressure 195 difference (Yang, et al., 2021) or reverse micellar transport effect(Jochen Weiss, 1996). The 196 coalescence of the smaller inner droplets with the interface of the outer droplets would occur, 197 resulting from the thin nonaqueous film formed between the outer continuous phase and the 198 internal oil droplets. Afterwards, this phenomenon irreversibly converts O/W/O emulsions 199 into W/O emulsions with a simple structure, which enables the release of inner lipid-soluble 200 201 substances successfully(Heidari, Jafari, Ziaiifar, & Malekjani, 2021). The former instability

feature results in enlarged average size of the droplets, while the latter causes a complete 202 delivery of the small inner droplets toward the outer phase. Other scientists also suggested 203 that the main reasons for emulsion instability are: 1) the aggregation of the inner and double-204 emulsion droplets; 2) rupture of the intermediate phase on the surface of the inner droplets; 3) 205 seepage of encapsulated complement from the inner to the outer phase; 4) shrinkage and 206 swelling of the inner droplets in the O/W/O double emulsion because of osmotic gradients 207 across the oil-liquid film; 5) phase separation(Dickinson, 2010a). Nonetheless, it should be 208 pointed out the difference between different mechanisms is small, and even some of them are 209 210 essentially similar. Also, the stability of the O/W/O emulsion depends on various factors, i.e. the types of emulsifiers, viscosity, environmental stress, and structural features, which cannot 211 be explained by a simple proposed mechanism(Aserin, 2008). 212



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Figure 3 Schematic representation of instability pathways in the O/W/O emulsion.

# 215 **3.2 Stabilization by macromolecules**

216 Different emulsifiers have been utilized to formulate O/W/O emulsions, including synthetic surfactants and biopolymers. Numerous reports have shown that the types of the emulsifiers 217 directly affect the properties of the interfaces, further altering the stability of emulsions(M. Li, 218 McClements, Liu, & Liu, 2020; Zembyla, et al., 2020). Therefore, the selection of interfacial 219 220 stabilizers is crucial for the stability of the double emulsion. For low-molecular-weight surfactants (i.e. monomeric emulsifiers, such as Span, methyl methacrylate), it is impossible 221 222 to capture the inner phase for long time storage, the reason of which should be attributed to the fact that the monomeric emulsifiers are inclined to migrate from the inner and outer 223 interfaces to the intermediate phase where they aggregate to generate micelles(Pratap, Datir, 224

Mane, & Shukla, 2021). To enhance the stability of the emulsion, polymeric surfactants (such 225 as proteins, polysaccharides, and their mixtures) can be chosen to substitute the monomeric 226 ones, thus improving the degree of interfacial coverage during emulsification, by which better 227 encapsulation and controlled released of the oil was achieved(Ding, Serra, Vandamme, Yu, & 228 Anton, 2019). Since high concentrations of synthetic surfactants, such as Tween and Span, 229 are required to further improve the stability and prolong the shelf life, their wide application 230 in food and drug fields are greatly hindered(Muschiolik & Dickinson, 2017; Weiss, Scherze, 231 & Muschiolik, 2005). Of note, natural macromolecular stabilizers are recognized as a "Clean 232 233 Label" in food and pharmaceutic O/W/O systems(A. Benichou, Aserin, & Garti, 2004; Axel Benichou, Aserin, & Garti, 2007; Dickinson, 2010a; Muschiolik & Dickinson, 2017; Thanh 234 Diep, et al., 2018). Compared with low-molecular-weight emulsifiers, the macromolecules 235 adsorbed at the surface can improve the coverage, thus increasing the emulsion stability. The 236 stabilization feature can be accessed by (1) depletion stabilization caused by unabsorbed 237 polymers that inhibit particle collisions and increase the viscosity of the system; (2) 238 electrostatic repulsion between two droplets with the same type of charge; (3) steric repulsion 239 240 due to hydrophobic interactions between adsorbed polymers. However, macromolecular 241 emulsifiers, such as proteins, polysaccharides and their complexes, are unlikely to completely 242 substitute lipophilic monomeric surfactants in the double emulsion(A. Benichou, et al., 2004).

243 In recent studies, the biopolymers were applied as emulsifiers in food and drug multiple emulsions. Liao et al.(Liao, Luo, Zhao, & Wang, 2012) designed an O/W/O emulsion using 244 modified succinic acid deamidated wheat gluten (SDWG) microspheres to encapsulate fish 245 oil. By optimizing the SDWG concentration and the ratio of fish oil to SDWG, high 246 encapsulation efficiency (EE) of 81.8% could be achieved, in which SDWG could improve 247 the storage stability and release property. Diep et al. (Thanh Diep, et al., 2018) combined 248 sodium caseinate (SC), k-carrageenan (KC) with soy lecithin to stabilize the O/W/O emulsion 249 via a developed one-step emulsification method and found that SC played an emulsifying role 250 while KC served as a emulsifier to inhibit the sedimentation within the aqueous phase. Qin et 251 al.(Qin, Li, & Hu, 2021) developed a composite shell composed of biodegradable chitosan 252 and gelatin to encapsulate n-tetradecanol for the production of the primary O/W emulsion, 253 followed by emulsifying with liquid paraffin to formulate the O/W/O emulsion, and then 254 crosslinked with glutaraldehyde (GTA). The result clarified that the formulated 255 microcapsules possessed great thermal stability. Also, Benichou et al.(Axel Benichou, et al., 256 2007) reported the mixture of whey protein isolates (WPI) and xanthan gum hybrid (XGH) 257

could significantly enhance the stability of primary O/W emulsion droplets, leading to a high encapsulation efficiency of ca. 95% after a two-step emulsification process. The WPI/XGH complex had a synergistic effect on the emulsifying properties compared with the biopolymers alone, and they could act as a thick and efficient barrier against the release of flumethrin.

#### 263 **3.3 Stabilization by solid particles**

Apart from biopolymers and their complexes, solid particles (such as paraffin, 264 triacylglycerols, polymers, or clay) can generate a network and be adsorbed at the interface 265 between different phases, providing a physical barrier to inhibit fusion(Low, Siva, Ho, Chan, 266 267 & Tey, 2020; Szumała & Luty, 2016). Such kind of stabilization mechanism is termed as emulsions Pickering stabilization, and the as-prepared are named Pickering 268 emulsions(Dupont, Maingret, Schmitt, & Héroguez, 2021). Since the irreversibly adsorbed 269 solid particles can function at the oil-water interface by controlling the wettability and contact 270 angle of the solid colloidal particles, the Pickering emulsion possesses higher stability 271 (resistance to deformation) than conventional emulsions for the long-term storage (Low, et al., 272 2020; H. Yu, et al., 2021). Liu et al. (Yuan, et al., 2021) explored the preparation of stable 273 O/W/O Pickering emulsions for the formulation of Pickering emulsion hydrogel microbeads 274 entrapping copper extractants. Given the fact that the cross-contamination ratio (the degree of 275 276 migration between inner and outer oil phases) of O/W/O Pickering emulsions could be reduced to <3% under pre-set conditions, it suggested that further improvement of the 277 stability and preventing the cross-contamination between internal and external phases is 278 possible. In the food science field, tiny fat crystals can be considered as solid particles to 279 stabilize the oil-water interface, forming Pickering emulsions(G. Li, et al., 2021; Ashok R. 280 Patel, 2017; A. R. Patel & Dewettinck, 2016; V. Patel, Andrade, & Rousseau, 2021). 281 Patel(Ashok R. Patel, 2017) chose the mixture of melted palm oil and different 282 concentrations of sunflower oil (POSO) as an external phase to prepare O/W/O emulsions. 283 The formulated O/W emulsions were homogenized with melted POSO, after which the 284 temperature decreased to 5 °C using an ice bath. With the temperature decreasing, fat crystals 285 286 were generated and accumulated in the oil-water interface, following which the formed bulk crystallization stabilized the O/W/O emulsion droplets through physical entrapping in the fat 287 crystal network. It is worth noting that the properties of fat crystals (i.e. mean size, shape, 288 morphology, and crystal compositions) play a crucial role in the stability of the emulsions 289

(Cheng, Kan, Cao, Dudu, & Yan, 2021; Dickinson, 2010b; Ghosh & Rousseau, 2011;
Rousseau, 2013; Yang, et al., 2020).

#### 292 **3.4 Stabilization by viscosity**

293 Controlling the mobility of the different phases in an O/W/O double emulsion tends to 294 prevent flocculation, coalescence, and creaming, as well as reduce the delivery rate of the 295 inner oil from the internal phase to the external phase through the interlayer. To address the 296 issues, some approaches have been proposed: (1) adding polymers into the intermediate 297 aqueous phase; (2) increasing the viscosity or solidification of the inner and/or outer oil 298 phase(Y.H. Cho, 2003).

Viscosity is an important parameter for emulsion stability. Reportedly, the increased viscosity 299 300 of the water phase could markedly improve the resistance of dispersed water droplets towards approaching each other(K. Zhang, et al., 2020). Polysaccharides can be considered as 301 302 stabilizer/thickening agents for increasing the viscosity of the aqueous phase in the O/W emulsion system, thus enhancing the emulsion stability by restricting the movement of oil 303 droplets(Ozturk & McClements, 2016). This has been demonstrated by adding the xanthan 304 gum into O/W emulsions(Boonlao, Shrestha, Sadiq, & Anal, 2020; Matsuyama, et al., 2021; 305 Piroozian, et al., 2021; Xiao, et al., 2021). It was also reported a high concentration of starch 306 can improve the viscosity of the aqueous phase and then strengthen the emulsion 307 stability(Zang, Wang, Yu, & Cheng, 2019). A successful case is that native corn starch (7 308 wt%) was utilized to formulate the O/W/O emulsions forming a strong network structure that 309 suppressed the movement of the droplets and reduced the collision frequency. Besides, a high 310 concentration of the starch could also strengthen the viscosity of the double emulsion system, 311 which in turn reduced the creaming phenomenon and kept stable for 2-week storage(Yang, et 312 313 al., 2021). Similar observations were also reported elsewhere(Cai, et al., 2021; Z. Li, et al., 2020; Xu, et al., 2020). 314

Similarly, the viscosity and density of the oil phase in a W/O emulsion also affects the droplet breakup and mobility, which further influences the stability of the emulsion(Kumar, Roy, Devra, Dhiman, & Prabhakar, 2021). Ushikubo and Cunha(Ushikubo & Cunha, 2014) found that W/O emulsions prepared with hexadecane possessed lower stabilization than that formed with soybean oil, the behind reason of which could be due to the fact that the viscosity of hexadecane was lower than soybean oil, resulting in a higher sedimentation rate and a faster phase separation. Furthermore, the higher interfacial tension at the waterhexadecane interface and the larger difference in density between water and hexadecane
could bring about a faster phase separation of the as-prepared emulsions(Ushikubo & Cunha,
2014).

Solidification has been recognized as another approach for improving the stability of an 325 O/W/O emulsion. It restricts the migration of the internal phase to the external oil phase, 326 which generally occurs in the process of fat spread and drug delivery(Gaonkar, 1994). In 327 order to realize long-term storage of the as-formed O/W/O emulsion, a certain amount of 328 solid fat with special morphology is required allowing the external phase to generate a crystal 329 network around the O/W emulsion droplets(Firouz Jahaniaval, 2003; Pradhan & Rousseau, 330 2012; Thanh Diep, et al., 2018). As an example, a certain concentration of candelilla wax was 331 332 dissolved into soybean oil serving as the outer oil phase for the formation of an O/W/O double emulsion. By adjusting the storage temperature, the outer waxy oil phase was 333 crystallized and solidified. The result is that the as-fabricated O/W/O emulsion with this 334 335 method was more stable at 4 °C than at the high temperatures of 20 °C and 40 °C(S.-C. Yu, et al., 2003). Diep et al. formulated O/W/O emulsions using the mixture of palm oil and 336 sunflower oil (serving as oil phase), after which the emulsion was stored in thermal cabinets 337 at different temperatures(Thanh Diep, et al., 2018). The microscopic results indicated that the 338 structure of the O/W/O emulsion was rapidly destroyed after storage at 15°C for 24 hours. 339 340 When it was stored at 10°C, the sample could be well remained stable for one week. Nonetheless, some of the O/W droplets were merged and larger droplets were formed as 341 confirmed from the optical microscopy. Impressively, any structure changed was not 342 identified when the double emulsion was stored at 5°C after 4 weeks. 343

### **4 Approaches for stability evaluation of the O/W/O emulsions**

Studying the structure of O/W/O emulsion and their inner and outer droplets is crucial for understanding and predicting the stability of the as-prepared emulsion. The present methods for assessing the stability of O/W/O double emulsions are mainly based on advanced microscopy, light scattering, rheology, and labeling assays(Aguiar, et al., 2020; Leister & Karbstein, 2020).

Microscopy can be used to unveil the formation process of O/W/O emulsions, the morphology of droplets, the mean droplet size, and its variation, as well as the degree of filling. All these factors are closely related to the emulsion stability(Qin, et al., 2021; Ren, et al., 2021; Yuan, et al., 2021). By referring to a self-assembled visualization system, including

microscopy, a high-speed camera, a computer, and a light source, Lu et al. recorded the 354 transient formation processes of single-core and multi-core O/W/O double emulsions and 355 determined their filling degree by a co-flowing microfluidic device(Lu, Wu, & Liu, 2017). 356 Using optical microscopy, Hwang et al. observed the effect of the content of surfactants and 357 polymers added into the water phase on the stability of spherical silica particles encapsulating 358 the retinol, which were formulated by the O/W/O emulsion and a sol-gel method(Hwang, et 359 al., 2005). Field emission scanning electron microscopy (FE-SEM) was utilized to explore 360 the aggregation of spherical silica particles in O/W/O emulsions and the influence of the 361 362 involved polymers on the morphology. Pradhan et al. adopted a one-step protocol to prepare O/W/O emulsions, the morphology of which was collected by confocal laser scanning 363 microscopy (CLSM) and differential interference contrast microscopy. Moreover, the two 364 methods were also utilized to confirm the formation of O/W/O emulsions and visualize 365 droplet size evolution over time, respectively(Pradhan & Rousseau, 2012). It depicted that the 366 migration of inner oil droplets to the outer oil phase through hole propagation. However, 367 because of the limits of the microscopy, only qualitative analysis can be carried out. 368

Light scattering is an important tool for measuring the droplet size of an emulsion as well as 369 370 its change over time. It allows us to calculate the degree of flocculation (FD) and coalescence (CD) and evaluate the emulsion stability(J. Li, et al., 2020; Santos, Calero, Trujillo-Cayado, 371 Alfaro, & Muñoz, 2018; Su, et al., 2020; Wang, Zhang, Wang, Xu, & Jiang, 2020). Su et al. 372 formulated lutein Pickering emulsions stabilized by β-lactoglobulin-gum arabic nanoparticles. 373 374 The long-term storage stability of the emulsions was examined by recording the changes in emulsion droplets over 12 weeks using light scattering. Moreover, the FD and CD were also 375 calculated(Su, et al., 2020). However, this measure is generally carried out for primary O<sub>1</sub>/W 376 emulsions before the second emulsification step. 377

As is well known, the rheological behavior could also significantly influence the stability of 378 emulsions, the reason of which should be mainly attributed to the internal structure and its 379 composition(Liu, et al., 2018). Viscosity is usually determined by rheometers and is directly 380 related to the proportion of dispersed phase and the droplet size distribution of the 381 382 emulsions(Malkin, Masalova, Slatter, & Wilson, 2004). In Krieger-Dougherty model, the viscosity of a liquid emulsion can be described as a function of the fraction of dispersing 383 phase(Rahim, Milad, Yusoff, Airey, & Thom, 2021). The theory is also suitable for O/W/O 384 double emulsions(Lutz, Aserin, Wicker, & Garti, 2009). Yang et al. added different amount 385 386 of native starch to the aqueous phase of O/W/O double emulsions toward understanding the

effect of formulation differences on the stability and structure of the emulsions(Yang, et al., 387 2021). As a result, O/W/O double emulsions with 7% native corn starch in the aqueous phase 388 exhibited a higher viscosity compared to other emulsions with counterpart low concentration. 389 It suggests that the high concentration of starch increases the coordination relationships 390 between the molecular chains and changes the intermolecular interactions, subsequently 391 inhibiting the aggregation between the emulsion droplets. Of note, a high concentration of 392 starch can thicken the aqueous phase, resulting in a tight three-dimensional mesh architecture, 393 which improves the rheological properties and emulsion stability(Krstonošić, Dokić, Nikolić, 394 395 & Milanović, 2015).

The encapsulation efficiency and targeted release of active substances in O/W/O double 396 emulsions can be applied to evaluate whether phase transformation is occurred, which is also 397 an important means for evaluation of the emulsion stability, where detection methods include 398 399 infrared spectrophotometry, differential scanning calorimetry (DSC), confocal laser scanning 400 microscopy(CLSM) and nuclear magnetic resonance (NMR) characterizations(C. Laugel 2000; Leister & Karbstein, 2020; McClements, 2015; Svanberg, Wassen, Gustinelli, & 401 Ohgren, 2019). Inspired from the Krieger–Dougherty equation, a method was developed to 402 403 calculate the encapsulation efficiency of O/W/O double emulsions using the viscosity parameters, whose calculation results were consistent with PFG-NMR measurement(Schmidt, 404 405 Bernewitz, Guthausen, & Schuchmann, 2015). Due to the different diffusion behavior, PFG-NMR approach can well differentiate the phases of O/W/O double emulsions. For a spectral 406 407 differentiation between water and oil, it can be detected with high field measurements. Also, it was noticed that high performance liquid chromatography (HPLC) was suitable for 408 investigating the in vitro retinol release and EE of retinol in an O/W/O emulsion(Hwang, et 409 al., 2005). 410

In addition, the filling degree of the aqueous phase could directly affect the overall density of 411 O/W/O double emulsions, leading to the change in the settling rate of the primary  $O_1/W$ 412 droplets. The encapsulation efficiency can be determined by this method as well(K. Pays, 413 2001). Both the settling rate and the post-settling phase fraction can be used to evaluate the 414 415 stability of O/W/O double emulsions. Once the inner oil phase was transferred through the aqueous phase to the outer oil, the total volume of O<sub>1</sub>/W primary emulsion droplets will be 416 417 less, conversely, the volume of the external oil phase will increase. The increase in the volume fraction of the separated oil phase after settling is therefore the amount of oil that 418 419 released from the inner oil phase. Different from the natural settling that generally takes long

time, centrifuge treatment is generally adopted to speed up the process. Balcaen et al. proposed a straightforward method to investigate the capsulated water fraction of W/O/W double emulsions using LUMiSizer dispersion analyzer(Balcaen, Vermeir, Declerck, & Van Der Meeren, 2015). This method could also be effective for the determination of the migration amount of the internal oil phase in O/W/O double emulsion.

#### 425 **5** Potential applications in the food industry

426 O/W/O double emulsions have great potential in the food industry for the following purposes: 427 reduction of trans- and saturated fat content, prevention of deterioration of oxygen-sensitive 428 ingredients, flavour encapsulation, nutrient delivery, and controlled and targeted release of 429 functional components. To date, tremendous attentions have been paid for real application of 430 double emulsions for food industry, while there are few patents and literature yet.

O/W/O double emulsions were originally applied in the pharmaceutical field. It was reported 431 that the pentazocine in O/W/O emulsions allows more effective drug release than the 432 counterpart simple O/W emulsions(Pandit, 1989). For food fabrication, the double O/W/O 433 emulsion system was mainly suggested for the manufacture of spreads(Firouz Jahaniaval, 434 2003; Gaonkar, 1994). Table 1 lists the application cases of the O/W/O emulsion in the food 435 field. Gaonkar constructed O/W/O double emulsions as butter flavor in the inner phase for 436 low-fat spread, which provided a possibility for encapsulating edible essential oil in O/W/O 437 emulsion structure(Gaonkar, 1994). Jahaniaval et al. proposed a novel approach to formulate 438 low-fat products with an O/W/O emulsion structure, which was stabilized with 4% palm and 439 cotton stearin by blending with primary O/W emulsions followed by a supercooling 440 treatment(Firouz Jahaniaval, 2003). To improve the stability and texture of spreads with an 441 O/W/O emulsion structure, other studies were also carried out and reported elsewhere(Dwyer, 442 O'Beirne, Ni Eidhin, & O'Kennedy, 2012; O' Dwyer, O' Beirne, Ní Eidhin, Hennessy, & O' 443 Kennedy, 2013; O'Dwyer, O'Beirne, Ní Eidhin, Hannon, & O'Kennedy, 2013; Ashok R. 444 Patel, 2017). A study on sensory testing of low-fat foods showed that replacing fat with 445 internal water droplets did not markedly alter the perceived intensity of fat-related properties, 446 which has positive implications for developing food products with O/W/O emulsion 447 system(Oppermann, Piqueras-Fiszman, de Graaf, Scholten, & Stieger, 2016). Therefore, 448 449 O/W/O double emulsions can be recognized as fat replacers for improving the water content in foods without changing the sensorial properties. Also, a unique O/W/O emulsion structure 450 451 provides a new pathway for production of bakery fat with required texture and healthy 452 ingredients. Apart from low-fat foods, another important role in the development of the

O/W/O emulsion is its efficient delivery of nutrient and flavour components as the emulsion 453 favours the controlled release of active ingredients. By incorporating polyphenolic extract, 454 Katsouli et al formulated an O/W/O double emulsion with enhanced stability(Katsouli, 455 Giannou, & Tzia, 2020). In this system, coenzyme Q10 or conjugated linoleic acid was 456 loaded and their kinetic and chemical stability was improved. Besides, a concentrated O/W/O 457 emulsion model was successfully elaborated and optimized by encapsulating two model 458 459 fragrances composed of 10 and 13 representative molecules, after which a third fragrance was used to test the stability and feasibility. The results exhibited the encapsulation efficiency of 460 461 the selected O/W/O system was close to 99% (Stasse, et al., 2020).

#### 462 6 Conclusion

Due to human's high desire for a healthy diet, reducing fat intake and replacing trans- and 463 464 saturated fatty acids has become a popular trend, among which structuring edible oils has been being a particularly interesting topic. One of the frontier topics in structured fats and oils 465 466 is the double emulsion, which possesses a special structure typically achieved by multipleinterface stabilization; as reported, it can capture large amounts of liquid oils within a single 467 internal space. Because of the structure features, the O/W/O emulsion is also suitable for 468 efficient nutrient delivery and flavour delivery. In this work, the recent development of 469 O/W/O double emulsions (over the past 20 years) are reviewed, mainly being focused on the 470 formulation of the O/W/O emulsion, stabilization mechanisms, and its potential applications. 471 Although some big progresses have been achieved so far, there are still some obstacles that 472 need to be addressed, such as the primary W/O emulsion breakage due to strong forces during 473 the second emulsification step and product instability during storage, as well as texture 474 control difficulty under different processing conditions. Given the fact that the O/W/O double 475 emulsion could be a promising vehicle for nutrient delivery in food, further study on how 476 477 they function in the human body when delivering nutrients during digestion is needed. Noteworthy, research on the O/W/O double emulsion is currently in the experimental stage in 478 laboratory, achieving large-scale industry application is still on the way, but should be 479 480 accelerated.

Inner oil phase	Aqueous phase	Outer oil phase	Applications	Markers
Oil-soluble flavors	Aqueous soluble/gellable polysaccharides with a hydrophilic emulsifier	Edible triglyceride oils derived from seed oils containing lipophilic emulsifier	Low-fat spreads comprising flavors	Stable multiple emulsions comprising interfacial gelatinous layer were patented(Gaonkar, 1994).
Liquid canola oil	Water with sodium caseinate	Liquid canola oil and palm-cotton stearin containing lecithin	Low solid fats	A novel method to prepare O/W/O emulsions was created(Firouz Jahaniaval, 2003).
Camelina/fish (85/15) oil blend	Water containing sodium caseinate and green tea extract (GTE)	Palm oil/rapeseed oil blend containing polyglycerol polyricinoleate (PGPR) and α-Tocopherol	Omega-3 rich spreads	GTE could enhance the chemical stability of O/W/O double emulsions rich in omega-3 during storage(Dwyer, et al., 2012).
The mixture of camelina oil and fish oil	Water containing sodium caseinate and NaCl	The mixture of palm oil and sunflower oil containing PGPR	Table spreads	After being encapsulated into O/W/O emulsions, omega-3 fatty acids possessed better oxidative stability(O' Dwyer, et al., 2013).
Sunflower oil	Water containing gelation and xanthan gum	Melted Palm oil without or with sunflower oil	Table spreads and cooking fats	The inner oil droplets were stabilized by the gelation of polysaccharides while the water ones were stabilized by fat crystals and the fat network they formed(Ashok R. Patel, 2017).
Liquid paraffin including retinol	Water containing 1,3- butanediol with HCO-60	Oil gel comprising organophilic clay mineral and emalex 600 di-IS or 600 di-O	Nutrient delivery	The stability of retinol encapsulated in O/W/O emulsions was higher than that in W/O or O/W emulsions(Katsunori Yoshida, 1999).

Inner oil phase	Aqueous phase	Outer oil phase	Applications	Markers
Spherical silica particles containing retinol	Water containing Tween 20, NH <sub>4</sub> OH, and polymer	n-decyl alcohol including hydroxypropyl cellulose and Span 80	Nutrient delivery	Adding poly(ethylene glycol)-block poly(propylene glycol)-block-poly(ethylene glycol) (Pluronic P123) into the water phase could cause a higher release degree and encapsulation efficiency of retinol than polyvinylpyrrolidone or polyethylene glycol(Hwang, et al., 2005).
Extra virgin olive oil (EVOO) or olive pomace oil (OPO) containing conjugated linoleic acid (CLA) or CoQ <sub>10</sub>	Water containing polyphenols from the olive kernel and Tween 40	EVOO or OPO containing Span 20 and Tween 40	Nutrient delivery	O/W/O double nanoemulsion system could protect CLA and CoQ <sub>10</sub> presenting high retention during storage(Katsouli, et al., 2020).
Sunflower oil containing lycopene	2.0% sodium alginate solutions with soy protein isolate (SPI)	Sunflower oil containing Span 80	Nutrient delivery	During intestinal digestion, the emulsion micro-gel particles formulated by the internal gelation method is much more stable than those by the external method, therefore slowing down the release rate of encapsulated lycopene(Lin, Kelly, & Miao, 2021)
Corn oil	Water containing whey protein isolate	Corn oil containing PGPR	Delivery system	This O/W/O double emulsion could protect the internal oil from oxidation and control the digestibility of lipid droplets within the gastrointestinal tract(Sung, Xiao, Decker, & McClements, 2015).
Flavor mixture	water with maltodextrin and gum arabic	Molten hydrogenated palm kernel oil containing 5% emulsifier	Flavor encapsulation	The structure of emulsifiers highly influenced the stability of O/W/O emulsions and the mixture of Span 80 and PGPR showed better stability than single PGPR(Y.H. Cho, 2003).
Model fragrances	Water containing NaCl and hydrophilic surfactants (Tween 60, Tween 20, or Tergitol 15-S-12)	Isopropyl myristate containing PGPR or Span 80	Fragrance encapsulation	A unique formulation of O/W/O structure was suitable for encapsulating a large amount of fragrance and inhibiting fragrance deterioration(Stasse, et al., 2020).

Inner oil phase	Aqueous phase	Outer oil phase	Applications	Markers
Satureja hortensis essential oil (SEO)	Water containing alginate solution and Tween 80	Sunflower oil containing Span 80	Essential oil encapsulation	SEO-loaded microparticles could successfully be formulated by o/w/o emulsions/ionic gelation technology(Hosseini, et al., 2013).
Fish oil (FO)	Water containing succinic acid deaminated wheat gluten (SDWG)	Mineral oil with 1% Span 80	Supplementation and functional foods	A delivery system encapsulating FO was formulated using traditional two-step emulsification and subsequent heat-polymerization(Liao, et al., 2012).

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# 489 CONFLICTS OF INTEREST

490 The authors declare no conflict of interest.

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