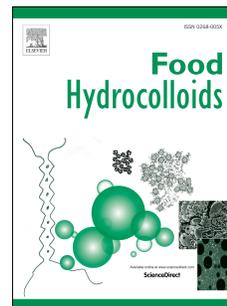


Journal Pre-proof

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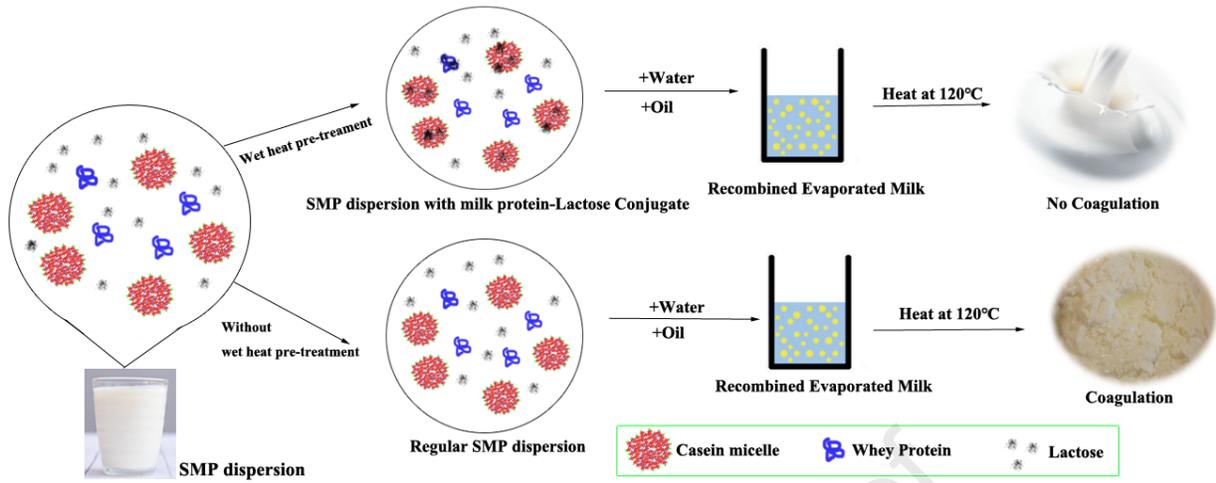
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Improved heat stability of recombined filled evaporated milk emulsions by wet heat pretreatment of skim milk powder dispersions

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Abstract

In this study, skim milk powder (SMP) aqueous dispersions were subjected to a preliminary wet heat incubation at various temperatures (70-90 °C) to improve the heat stability of recombined filled evaporated milk (RFEM) emulsions. The determination of the free amino group and free lactose content and SDS-PAGE revealed that both whey proteins and caseins were involved in the conjugation with lactose upon preliminary wet heating at different temperatures. The results of the non-sedimentable serum protein content, the particle size and relative viscosity of the SMP dispersion indicated that both association of whey proteins with casein micelles and casein dissociation from the micelles occurred during the incubation. The heat stability of the subsequently produced RFEM emulsions was greatly enhanced: RFEM emulsions could withstand a typical in-container sterilization procedure (i.e. 30 min of heating at 120 °C) without coagulation ($D_{4.3} < 5 \mu\text{m}$ and consistency coefficient $< 50 \text{ mPa}\cdot\text{s}$) when selecting an appropriate incubation time. Generally, the higher the incubation temperature, the shorter the incubation time needed to enable a heat-stable RFEM emulsion. However, prolonged incubation had a negative effect on the heat stability of RFEM emulsions, and a narrower incubation time interval that enabled an improved heat stability was observed at a higher incubation temperature.

Key words: skim milk powder; recombined filled evaporated milk emulsion; wet heat pretreatment; conjugation; heat stability

1. Introduction

According to the United States Code of Federal Regulations (April 2006), evaporated milk is the liquid food obtained by partial removal of water only from milk. It contains not less than 6.5 percent by weight of milk fat, not less than 16.5 percent by weight of milk solids not fat, and not less than 23 percent by weight of total milk solids. Recombined evaporated milk (REM) is an O/W emulsion product of similar composition which is typically obtained by homogenization of a highly concentrated aqueous dispersion of skim milk powder (SMP) and anhydrous milk fat (Kasinos, Le, & Van der Meeren, 2014). When the milk fat is replaced by an alternative fat source,

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40 such as a (cheaper) vegetable oil, these products are referred to as recombined filled evaporated
41 milk (RFEM; ([Kjaergaard, 1982](#)). Native and recombined (filled) evaporated milk is widely used
42 for drinking after dilution, as well as for infant food applications, or as a coffee whitener. One of
43 the biggest challenges in the manufacturing of (recombined) evaporated milk is the possible
44 coagulation during the intense sterilization ([Crowley, et al., 2015](#); [Dumpler & Kulozik, 2016](#)).
45 According to [Liang, et al. \(2017\)](#), this heat-induced emulsion droplet aggregation is a direct
46 consequence of the heat-induced unfolding and association of non-adsorbed globular whey
47 proteins (WPs), such as β -lactoglobulin, with interfacial and other non-adsorbed proteins to
48 initiate large flocculates and/or aggregates via non-covalent and/or disulfide bonds. Finally, this
49 may lead to the formation of a visible coagulum, which severely damages the product quality and
50 production equipment. Until now, various strategies have been proposed to improve the heat
51 stability of (recombined filled) evaporated milk, such as the addition of a heat stabilizer and
52 pre-heating. Heat stabilizers like lecithin can be used before packing and sterilization of milk, but
53 the addition of heat stabilizers is against the current trend of natural and clean-label products
54 ([Asioli, et al., 2017](#)). Intense pre-heat treatment is now a standard practice in manufacturing
55 high-heat SMP or evaporated milk. It refers to a relatively short-time-high-temperature heat
56 treatment prior to evaporation, e.g. 90 °C for 5 min or 120 °C for 1 min.

57 As the heat instability of (recombined) evaporated milk largely depends on protein interactions
58 and other protein-related changes, the Maillard conjugation of milk protein and sugar via
59 controlled heating, which has been proven to be an effective strategy to improve the functional
60 properties of milk proteins including their heat stability, might be an effective approach to improve
61 the heat stability of (recombined filled) evaporated milk ([Wu, et al., 2021](#)). [Liu and Zhong \(2015\)](#)
62 found that a short-time-high-temperature dry heating (130 °C for less than 30 min) of whey
63 protein isolate (WPI) and lactose could improve the heat stability at various acidity and ionic
64 conditions. [A'yun, et al. \(2020\)](#) reported that dry heating of WPI and lactose enabled a constant
65 particle size and viscosity of WPI-stabilized O/W emulsions against heating at 80 °C for 20 min.
66 Thanks to the indigenous sugars (mostly lactose) present, no additional ingredient and processing
67 is needed to produce glycated proteins starting from SMP, which is favored for industrial
68 application. [Hiller and Lorenzen \(2010\)](#) reported the Maillard conjugation in SMP upon dry heat
69 incubation, but a detrimental effect on the heat stability of SMP dispersions was found. Some
70 studies focused on the lactosylation in dairy products during processing, such as concentration,
71 sterilization, and storage ([Balde & Aider, 2019](#); [Chandra Roy, Zhang, Liu, & Zhou, 2020](#); [Liu, et
72 al., 2019](#)). However, an enhanced heat stability of dairy emulsions has not been reported under
73 these conditions. Previously, [Wu, Chen, Sedaghat Doost, A'yun, and Van der Meeren \(2020\)](#) found
74 that the dry heating of SMP greatly improved the heat stability of RFEM emulsions. Compared
75 with dry heating, the wet heat treatment of aqueous SMP dispersions is much easier to be operated
76 and automated in industry. Furthermore, the time required to obtain a desired extent of conjugation
77 is mostly reported to be shorter in wet heating ([Doost, Nasrabadi, Wu, A'yun, & Van der Meeren,
78 2019](#)).

79 In this study, SMP dispersions were incubated at different temperatures (70-90 °C) at such a
80 concentration (i.e. 17.6% SMP, w/w) that RFEM with 6.5% fat and 23% of total solids was
81 obtained upon addition of a fat phase and subsequent homogenization. The characterization of the

82 Maillard conjugation during incubation was carried out by color measurement, the determination
 83 of the free amino group and free lactose content, and SDS-PAGE. Moreover, the protein
 84 composition of the non-sedimentable serum protein, as well as the particle size and relative
 85 viscosity of the SMP dispersion was determined. Finally, the effect of a preliminary wet heat
 86 incubation of the SMP dispersion on the heat stability of the resulting RFEM emulsions was
 87 investigated via particle size analysis and viscosity measurements.

88

89 **2. Materials and methods**

90 **2.1 Materials**

91 Low-heat SMP, characterized by a whey protein nitrogen index (WPNI) of 6.1, was obtained from
 92 Milcobel Dairy Corporation (Kallo, Beveren, Belgium). According to the manufacturer, this SMP
 93 contained 37.7% of protein (7.6% whey protein, 30.1% casein), 51.5% of lactose and 0.8% of fat
 94 (w/w). Sodium caseinate and whey protein isolate (WPI) used as controls in SDS-PAGE were
 95 purchased from Sigma-Aldrich (Overijse, Belgium). The sunflower oil used for the preparation of
 96 the recombined filled evaporated milk was purchased from a local supermarket.

97

98 **2.2 Wet heat pre-treatment of SMP dispersions**

99 A typical composition of recombined evaporated milk without fat was used to prepare the SMP
 100 dispersions: 16.5 g SMP was reconstituted in 77 g of 0.02% NaN₃ solution (to prevent microbial
 101 contamination). Hence, the concentration of SMP was 17.6% (w/w). These SMP dispersions were
 102 subsequently incubated in a water bath at 70, 80 and 90 °C. As chemical reactions occur faster at
 103 higher temperatures, the incubation times were reduced at higher temperatures accordingly, i.e. up
 104 to 24 h, 4 h and 2 h at 70, 80 and 90 °C, respectively. The level of water inside the water bath was
 105 sufficient to completely immerse the SMP dispersion in the plastic containers. After that, the
 106 incubated dispersions were cooled down in cold water immediately.

107

108 **2.3 Characterization of Maillard reaction during wet heat incubation of SMP dispersions**

109 **2.3.1 Color measurements**

110 Color measurements in terms of the L*, a*, and b* values at the specular component excluded
 111 (SCE) mode were performed using a colorimeter (Minolta Model CM-2500D Spectrophotometer,
 112 Konica Minolta Sensing, Tokyo, Japan). The values were calculated from the average of 10
 113 measurements. The total color difference (ΔE) was calculated using Eq. (1),

$$114 \quad \Delta E = \sqrt{(L^* - L_0^*)^2 + (a^* - a_0^*)^2 + (b^* - b_0^*)^2} \quad (1)$$

115 where L*, a* and b* were the color parameters of the incubated SMP dispersions, whereas L₀*,
 116 a₀* and b₀* were the color parameters of the untreated SMP dispersions.

117 **2.3.2 Determination of free amino group content**

118 As described in previous studies (Setiowati, Vermeir, Martins, De Meulenaer, & Van der Meeren,
 119 2016), all samples were diluted 10 times in 1% SDS solution before further analysis. Subsequently,
 120 0.25 ml of each sample was added into a test tube with 2 ml of phosphate buffer (0.2125 M, pH
 121 8.2) and 2 ml of 0.01% 2,4,6-trinitrobenzene sulfonic acid (TNBS) solution. The mixture was
 122 shaken and incubated in a water bath in a dark environment at 50 °C for 1h. Subsequently, 4 ml of

123 0.1 M HCl was added to terminate the reaction. The absorbance of the samples was finally read at
124 a wavelength of 340 nm (UV-1600 PC spectrophotometer, VWR, PA, USA).

125 **2.3.3 Determination of free lactose content**

126 The content of free lactose in reconstituted SMP was determined by the 3,5-dinitrosalicylic acid
127 (DNS) method as reported previously (Listiohadi, Hourigan, Sleigh, & Steele, 2005; Svorc,
128 Miertus, & Barlikova, 1990). DNS in alkaline solution can be reduced by the free carbonyl group
129 of reducing sugars to 3-amino 5-nitro salicylic acid with an orange color. A concentration series of
130 lactose (0-1.5 mg/ml) was used to determine the standard curve. The DNS reagent contained 1%
131 (w/w) DNS, 0.4 M NaOH and 30% (w/w) of potassium sodium tartrate. Subsequently, 0.5 ml
132 diluted sample and 0.5 ml DNS reagent were well mixed and heated in a boiling water bath for 5
133 min. The mixtures were immediately diluted with 5 ml H₂O and cooled down in cold water. The
134 absorbance was measured at 540 nm against the blank, and the free lactose content was calculated
135 from the standard curve.

136 **2.3.4 SDS-PAGE analysis**

137 SDS-PAGE, as described by Laemmli (1970), was performed on 15% polyacrylamide gels under
138 reducing conditions to analyze the compositional changes in the reconstituted SMP during the wet
139 heat incubation. Sodium caseinate and WPI were used as controls. The samples were diluted 30
140 times with demineralized water to a protein concentration of 2.2 mg/ml, while the concentration of
141 the controls was 0.5 mg/ml. The sample volume was 15 μ l. The electrophoresis was performed at
142 110 V for 1.5 h. The proteins were finally visualized by gel staining with Coomassie Brilliant Blue
143 R-250.

145 **2.4 Characterization of the incubated SMP dispersions**

146 **2.4.1 Non-sedimentable protein analysis**

147 SMP dispersions before and after incubation were ultracentrifuged using a Beckman L7-55
148 ultra-speed centrifuge with SW40 Ti-rotor (Pasadena, CA, USA) at 120,000 \times g and 25 °C for 60
149 min to separate the serum from the casein micelles. The protein concentration of the supernatant
150 was determined by a simplified Lowry method as described by Schacterle and Pollack (1973).

151 The supernatant was diluted 30 times and subjected to SDS-PAGE analysis as mentioned in 2.3.4.
152 The protein concentration of the diluted supernatant was 0.5 mg/ml, while the concentration of the
153 controls was 0.5 mg/ml. The gel was scanned with a gel imager (Bio-Rad, CA, USA). For
154 quantitative analysis, the band densities of individual bands in the gel were calculated using the
155 software ImageJ in grayscale mode to obtain integrated grey values.

156 **2.4.2 Particle size determination**

157 The particle size of the SMP dispersions was measured before and after wet heat pre-treatment
158 using photon correlation spectroscopy (PCS) with a spectrometer 100 SM (Malvern Instruments,
159 Malvern, UK) equipped with a 15 mW He-He laser and a K7032 multi 8-bit correlator (Malvern
160 Instruments, Malvern, UK). The dispersions were diluted 1500 times using a Ca-imidazole buffer
161 (20 mM imidazole, 5 mM CaCl₂, pH 6.8) to prevent micelle disruption during the measurement
162 (Joyce, Brodkorb, Kelly, & O'Mahony, 2017). All of the measurements were done within 15min
163 after the dilution. The measurements were carried out at a scattering angle of 150° at 25 °C with
164 100 μ m aperture. The Z-average mean diameter was obtained by cumulant analysis.

165 **2.4.3 Relative viscosity of SMP dispersions**

166 The relative viscosity (η_r) of the SMP dispersions was defined as the ratio of the dynamic
 167 viscosity of the SMP dispersion (η) to the dynamic viscosity of the serum phase after
 168 ultracentrifugation of the SMP dispersion as described in 2.4.1 (η_o), which were measured using
 169 an Ubbelohde viscometer (Type 53201/0a, Schott-Geraete, Germany).

170 The voluminosity of the casein micelles in SMP dispersions can be calculated from their viscosity
 171 using the model developed by Krieger and Dougherty (1959). This model enables to calculate the
 172 volume fraction of casein micelles (ϕ) from the relative viscosity (η_r) and the maximum packing
 173 fraction of the dispersed particles (ϕ_{max}) using Eq. (2).

$$174 \quad \phi = \phi_{max} \cdot \left(1 - \eta_r^{-1/[\eta] \cdot \phi_{max}} \right) \quad (2)$$

175 The voluminosity v (in ml/g of casein) can be directly calculated by Eq. (3), based on the
 176 volumetric concentration of casein in the SMP dispersion (c), assuming the intrinsic viscosity $[\eta]$
 177 to be equal to 2.5. The volumetric casein concentration (0.0564 g/ml) follows from the mass
 178 fraction of casein in the SMP dispersion (0.0531 g/g), multiplied by the density of the SMP
 179 dispersion (1.0615 g/ml).

$$180 \quad v = \frac{\phi}{c} \quad (3)$$

181 **2.5 Heat stability of recombined filled evaporated milk (RFEM) emulsions**

182 **2.5.1 Preparation of RFEM emulsions**

183 Sunflower oil (6.5g) was added to the SMP dispersions (93.5 g) to prepare RFEM emulsions.
 184 Hence, the final composition of the RFEM emulsions was 16.5% SMP and 6.5% oil (w/w). The
 185 mixtures were then pre-homogenized using a high-speed blender (Ultra-Turrax, type S50N-G45F,
 186 IKA-Werke, Germany) for 1 min. They were subsequently homogenized using a Microfluidizer
 187 110S (Microfluidics Corporation, Newton, MA, USA) at 55 °C and a compressed air pressure of
 188 0.2 MPa (corresponding to a liquid pressure of 28 MPa) for 2 min. After emulsification, the
 189 recombined filled evaporated milk emulsions were cooled down at room temperature. All of the
 190 emulsions were adjusted to an identical pH value ($\text{pH } 6.40 \pm 0.02$) and stored in a refrigerator for
 191 at least 12h before the heat coagulation test for ionic equilibration.

192 **2.5.2 Heat stability test**

193 According to the heat stability test developed by Kasinos, Karbakhsh, and Van der Meeren (2015),
 194 the RFEM samples (10ml) were contained in 20-mL headspace vials (75.5×22.5 mm, 1st
 195 hydrolytic class; Grace, Deerfield, IL, USA) with an aluminum crimp seal and immersed in a
 196 temperature-controlled oil bath (Fritel turbo SF, 5L capacity, Vanden Borre, Gent, Belgium). The
 197 level of the oil inside the bath was sufficient to completely immerse the RFEM emulsions in the
 198 glass vial. The temperature of the oil bath was set at 120 ± 4 °C and kept uniform by stirring the oil
 199 by an IKA RW20 stirrer (Janke & Kunkel). After heating for 20 or 30 min, the samples were taken
 200 out and cooled in cold water immediately.

201 **2.5.3 Particle size and viscosity of RFEM emulsion**

202 The particle size of the RFEM emulsions was measured before and after heating using a
 203 Mastersizer 3000 (Malvern Instruments Ltd, Malvern, UK), assuming a refractive index of 1.47
 204 and an absorption index of 0.01 for sunflower oil. Viscosity measurements of RFEM emulsions
 205 were carried out using a programmable LV-DV-II+ viscometer (Brookfield, Stoughton, MA, USA)
 206 as described previously (Kasinos, et al., 2015).

207

208 **2.6 Statistical analysis**

209 The single factor analysis of variance (one-way ANOVA) of SPSS19.0 software was used to

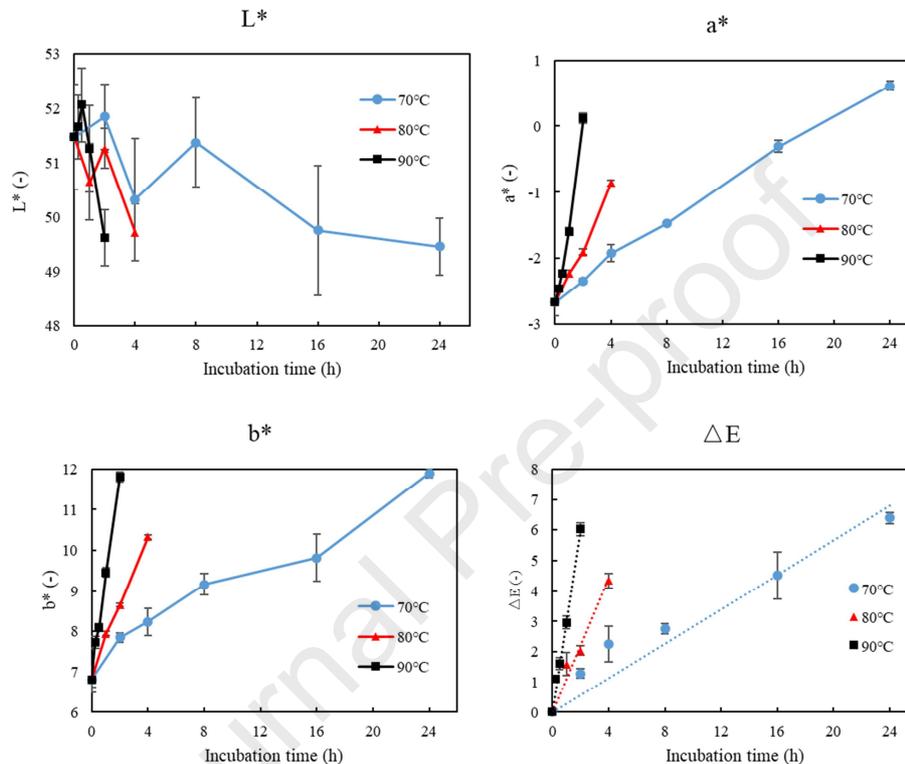
210 analyze significance of the data. Excel 2010 and Origin Pro 8 was used to calculate the mean and
 211 standard deviation and to draw figures.

212

213 3. Results and discussion

214 3.1 Characterization of Maillard reaction during wet heating of SMP dispersions

215 3.1.1 Color measurements



216

217 Fig. 1 Changes in color parameters of the SMP dispersions during wet heat pre-treatment at
 218 different temperatures; L^* represents the luminance, a^* expresses the redness and greenness, b^*

219 indicates the yellowness and blueness and ΔE is the total color difference.

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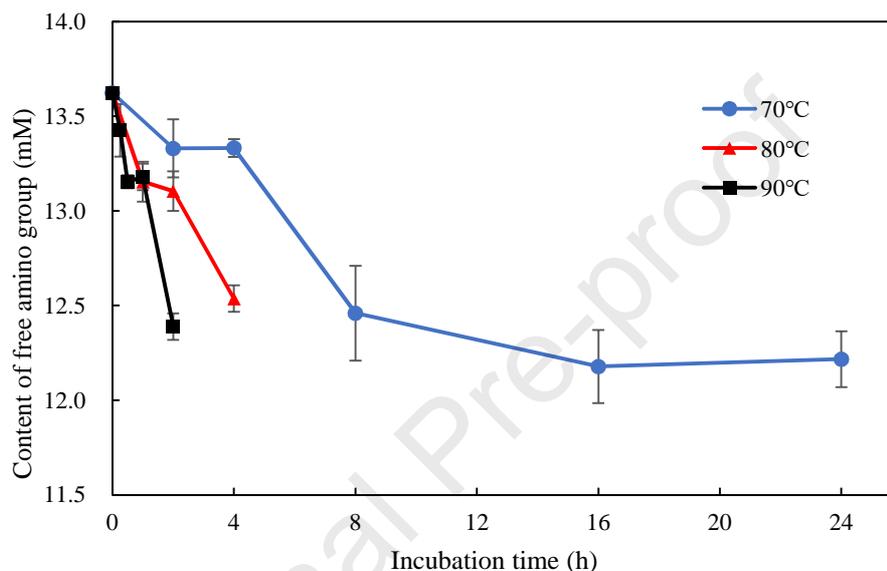
221 Whereas browning is desired for some food systems like bakery products, it is undesirable for
 222 dairy products. Hence, it is necessary to monitor the browning development to avoid
 223 over-processing. From Fig. 1, a decrease in luminance (L^*) was observed during incubation at the
 224 different temperatures, while a more pronounced increase in redness (a^*) and yellowness (b^*) was
 225 shown. Additionally, the total color difference data in Fig.1 show that a high temperature provoked
 226 a higher reaction rate: the slope of the linear regression is 0.28, 1.10 and 3.03 h^{-1} for 70, 80 and
 227 90 °C, respectively.

228 Brown color formation can be due to both Maillard reaction and sugar caramelization. The
 229 production of brown color is primarily related to the products formed in the advanced stages of the
 230 Maillard reaction, including unsaturated and nitrogenous polymers (such as melanoidins), and
 231 nitrogen-free products formed from condensation reactions of furfurals and dehydroreductones

232 (Drapala, Auty, Mulvihill, & O'Mahony, 2016; Liu, Kong, Han, Sun, & Li, 2014). Amongst these,
 233 the nitrogen in nitrogenous polymers is commonly provided by the lysyl residues in milk proteins
 234 (Devi, Buckow, Singh, Hemar, & Kasapis, 2015). In a kinetic study of brown color formation
 235 during the conjugation of casein and either lactose or glucose, Morales and Van Boekel (1998)
 236 also reported an increasing reaction rate of browning at a higher temperature. For completeness, it
 237 has to be mentioned in previous research that brown color formation may also be attributed to the
 238 caramelization of lactose (Devi, et al., 2015).

239

240 3.1.2 Determination of the free amino group content



241

242 Fig. 2 Changes in free amino group content in reconstituted SMP during wet heat pre-treatment at

243

70, 80 or 90 °C

244

245 It can be assumed that the free amino groups of milk proteins were consumed by saccharides via
 246 the Maillard reaction during wet heat incubation. In Fig. 2, the content of free amino groups at 70 °C
 247 decreased gradually in the initial 8 h, whereas the speed slowed down afterwards until 24 h. The
 248 concentration decreased from 13.6 mM to 12.2 mM after 24 h of incubation at this temperature,
 249 deducing $(13.6-12.2)/13.6=10.3\%$ of degree of glycation. A similar trend was observed at 80 and
 250 90 °C: the content of free amino groups decreased gradually until 2h and 4h, respectively.
 251 Generally, the glycation between milk proteins and lactose proceeds faster at a higher temperature.
 252 It took 4 h and 2 h at 80 and 90 °C, respectively, to reach a comparable content of free amino
 253 groups with wet heating at 70 °C for 8 h.

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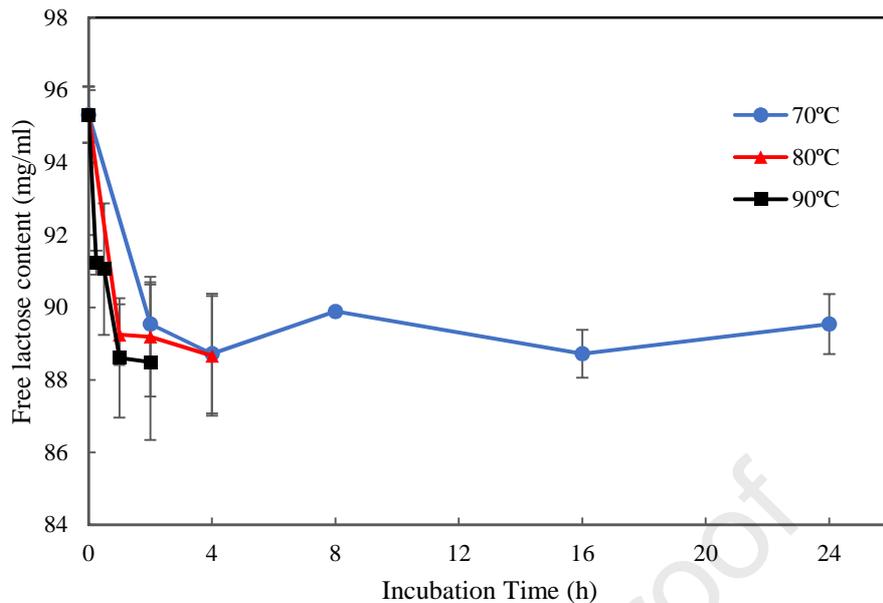
255 In the following discussion, β -lactoglobulin (β -lg), α -lactalbumin (α -la) and bovine serum albumin
 256 (BSA) in a 5:3:1 weight ratio were considered for the whey protein fraction, while α_{s1} -casein
 257 (α_{s1} -CN), α_{s2} -casein (α_{s2} -CN), β -casein (β -CN), and κ -casein (κ -CN) in a 4:1:4:1 weight ratio were
 258 considered for the casein fraction. Considering the amino acid composition and molar weight of
 259 the different proteins (Supplementary material), it is estimated that the amount of free amino
 260 groups linked to the casein fraction, the whey protein fraction and the total milk protein in the
 original SMP dispersion corresponds to 49.1, 15.1 and 64.2 mM, respectively. By comparing the

261 amount of amino groups in reconstituted SMP before and after ultracentrifugation (13.6 mM
262 versus 5.1 mM), it was deduced that the amount of amino groups of the caseins and whey proteins
263 was 8.5 mM and 5.1 mM, respectively. Hence, the predicted values based on the composition are
264 much higher than the measured values. This is possibly due to the compact structure of proteins.
265 A'yun, et al. (2020) suggested that TNBS had a limited access to whey proteins due to their
266 globular structure, leading to a higher degree of glycation calculated from the results of the TNBS
267 method as compared to that estimated by diffusion NMR. The casein micelle structure might also
268 adversely affect the accessibility of TNBS, although most of the micellar structure was destroyed
269 in the presence of 1% SDS during the measurement (Lefebvre-Cases, Gastaldi, & Tarodo de la
270 Fuente, 1998). Finally, the limited accessibility of TNBS to milk proteins might lead to an
271 overestimation in the degree of glycation.

272 According to our previous research, a degree of glycation of 51.0% was achieved after dry heat
273 incubation of SMP for 24 h at 60 °C and 74% relative humidity based on the free amino group
274 content determined by TNBS method (Wu, et al., 2020). In the present study, the achieved degree
275 of glycation is lower (i.e. 10.3%) after the same incubation time at an even higher temperature
276 (70 °C). Generally, it is believed that the Maillard reaction proceeds slower in dry state than in wet
277 state due to the limited mobility of the reactants and the less effective contact between reactants
278 (Doost, et al., 2019). However, the high concentration of SMP (i.e. 17.6%, w/w) during the
279 incubation in this study could play an important role in the Maillard reaction. Zhuo, et al. (2013)
280 demonstrated that reactions at a high concentration (e.g. 10%, w/w) in wet state preferably
281 proceeded in the direction of products that have smaller excluded volumes, such as Maillard
282 reaction products, whereas products with larger excluded volume produced by protein
283 denaturation and polymerization were minimized. Hence, the lower degree of glycation in this
284 study (as compared to dry heating) might be explained from a lower degree of protein
285 polymerization which may also reduce the availability of free amino groups (Setiowati, et al.,
286 2016).

287

288 **3.1.3 Determination of free lactose content**



289

290

Fig. 3 Changes in free lactose content of reconstituted SMP during wet heat pre-treatment at 70, 80 or 90 °C

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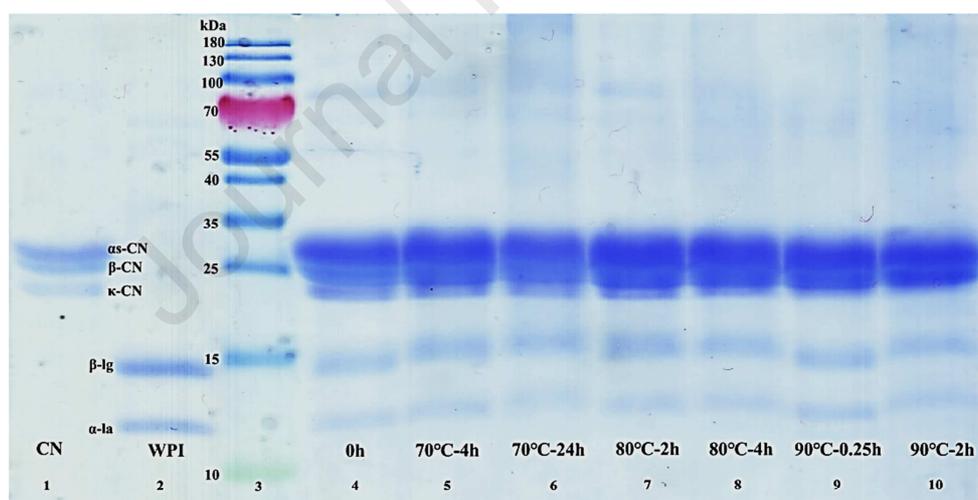
As the Maillard reaction involves both free amino groups and reducing sugars, it follows that the extent of this reaction can be quantified not only by the determination of the free amino group content in milk proteins, but also from the free sugar content. Hereby, lactose was assumed to be the only reducing sugar present in SMP dispersions, despite of traces of other reducing sugars being present in milk. Since the lactose bound with milk proteins no longer exhibits a reducing ability (Lertittikul, Benjakul, & Tanaka, 2007), it is feasible to assess the Maillard reaction by determining the free lactose content, which can be done via the DNS method.

It was observed in Fig. 3 that the free lactose content decreased rapidly from 95.3 mg/ml to 89.5 mg/ml in the first 2 h of incubation at 70 °C, whereas it fluctuated around 90 mg/ml afterwards with a free lactose content of 89.5 mg/ml after 24 h. A faster decrease in lactose concentration was found at a higher temperature in the initial period: it took 1h at 80°C and 90°C to reach a comparable free lactose content compared to 2 h incubation at 70 °C without significant difference ($P>0.05$).

The measured free lactose content in the original sample (i.e. 95.3 mg/ml) is close to the theoretical value: mixing 16.5 g SMP with 77 g of water, a volume of 88 ml was obtained with an expected lactose concentration of 96.5 mg/ml based on a lactose content of 51.5% in the SMP, indicating that this method is reliable to analyze the free lactose content. After 24 h of incubation at 70 °C, $(95.3-89.5)/95.3=6.1\%$ of the lactose was bound with milk proteins. Considering the average molecular weight of milk proteins (21.4 kDa) and lactose (342 Da), the number of reactive groups per molecule (i.e. 19.1 in protein, as compared to 1 in lactose), and the ratio of protein to lactose in SMP (i.e. 37.7% protein, and 51.5% lactose, w/w), the estimated ratio of amino groups in protein to carbonyl groups in lactose is approximately $(37.7/21400*19.1)/(51.5/342*1) = 1:4.46$. As one carbonyl group in lactose reacts with one amino group in protein

316 during the Maillard reaction, the estimated degree of free amino group reduction is
 317 $6.1\% \times 4.46 = 27.2\%$. On the other hand, the degree of glycation estimated by the TNBS method was
 318 only 10.3% when considering that all the amino groups are accessible. If part of the amino groups
 319 is not accessible for TNBS, the degree of glycation should be even less. The discrepancy between
 320 the results from the free lactose and the free amino group is even more pronounced at a higher
 321 incubation temperature: 31.2% versus 8.0% at 80 °C after 4 h and 32.0% versus 9.0% at 90 °C
 322 after 2 h. This could be attributed to lactose caramelization which is also an important pathway
 323 during heating of milk. Generally, in the presence of proteins, the Maillard reaction is considered
 324 as a low-energy pathway for the decomposition of sugars compared with caramelization, and
 325 hence the Maillard reaction is preferable (O'Brien, 2009). However, lactose caramelization is also
 326 occurring during heating of milk, especially at a temperature above 100 °C (Van Boekel, 1996).
 327 Pellegrino, De Noni, and Resmini (1995) even reported that a considerable amount of lactulose
 328 (23 mg/ml), which is an indicator of lactose caramelization, was generated in milk after heating at
 329 70 °C for 1h, and the lactulose level at a higher temperature could be several times higher than the
 330 furosine level which is a Maillard reaction indicator. Rozycki, Buera, Piagentini, Costa, and
 331 Pauletti (2010) also confirmed the significant role of caramelization in the development of color in
 332 concentrated milk during heating. Therefore, lactose caramelization could play a role in the loss of
 333 free lactose under the conditions of this study, whereby concentrated skim milk was heated at
 334 70-90 °C for several hours.

335

336 **3.1.4 SDS-PAGE**

337

338 Fig. 4 SDS-PAGE of SMP dispersions incubated at different temperatures for various times. Lane
 339 1–2, Sodium caseinate and WPI samples, respectively; Lane 3, Molecular weight marker; Lane 4–
 340 10, samples incubated at different temperatures for different periods. The concentration in Lane
 341 1-2 was 0.5 mg/ml, while the protein concentration in Lane 4-10 was 2.2 mg/ml.

342

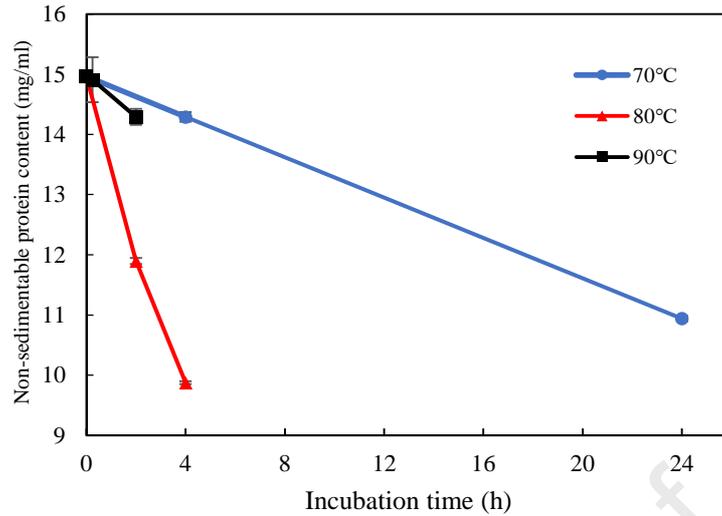
343 As shown in Fig. 4, several bands representing the major proteins in the original SMP dispersion
 344 were well visualized in lane 4, including caseins (α_s -CN, β -CN and κ -CN) and whey proteins (β -Ig,
 345 α -la). Compared with the original sample (lane 4), the bands corresponding to β -Ig and α -la, of
 346 samples incubated at 70 °C (lane 5 and 6) shifted towards a higher molecular weight with
 347 incubation time. Similarly, the bands of β -Ig and α -la in samples heated at 80 and 90 °C (lane 7-10)

348 obviously moved to higher molecular weight to various degrees, except from the sample incubated
349 at 90°C for a very short time (i.e. 0.25 h). This is most probably due to the fact that it takes some
350 time before the temperature of the sample increases from room temperature to the temperature of
351 the heating bath. As expected, the molecular weight of the whey proteins increased during wet
352 heating of SMP at 70-90 °C, confirming the formation of conjugates of whey proteins and lactose.
353 A similar trend in SDS-PAGE was found in the study of wet heating of a mixture of WPI and
354 lactose performed at 50 °C for 7 days (Wang, Bao, & Chen, 2013).
355 As compared to the whey proteins, the shift of the bands representing the caseins was less obvious.
356 Nevertheless, the casein bands became smeared and less featured after wet heating, which
357 indicated the formation of a mixture of conjugates of caseins and lactose with various molecular
358 weights. It was observed that the shift of the bands of α_s -casein and β -casein was limited in the
359 samples incubated at 90 °C (lane 9 and 10), whereas the band of κ -casein almost disappeared
360 because it moved upwards and became mixed with the bands of α_s -casein and β -casein. It is well
361 known that caseins are embedded in micellar structures in milk, in which the casein aggregates are
362 wrapped with a hairy κ -casein layer (Horne, 2020). The effect of the casein micellar structure on
363 the conjugation between caseins and sugar still remains controversial. According to the model of
364 casein micelles, it can be assumed that the κ -casein on the surface of casein micelles is readily
365 accessible in the conjugation with lactose. A limited improvement in the emulsifying properties
366 after the conjugation of casein and pectin was possibly due to the existence of the micellar
367 structure of casein (Einhorn-Stoll, Ulbrich, Sever, & Kunzek, 2005). In the study of Moeckel,
368 Duerasch, Weiz, Ruck, and Henle (2016), a comparable quantity of Amadori products in wet
369 heating of micellar casein or nonmicellar sodium caseinate with glucose was reported, whereas the
370 advanced Maillard reaction products in the glycosylated casein micelles and sodium caseinate were
371 significantly different. Anema, Pinder, Hunter, and Hemar (2006) observed that incubation at 50 °C
372 for 10 days induced a comparable lactosylation level of individual casein proteins in the micellar
373 state in milk protein concentrate, indicating that the micellar structure did not affect the
374 conjugation between caseins and lactose. A similar pattern in the changes of molecular weight for
375 α_s -casein, β -casein and κ -casein in SDS-PAGE was also observed upon dry heating of SMP in the
376 study of Wu, et al. (2020).
377 When samples were incubated at 70 °C for 24 h and 90 °C for 2 h (lane 6 and 10), a distinct
378 smeared band in the range of 40 kDa to 180 kDa (and possibly above 180 kDa) was found. This
379 corresponds to protein aggregates formed during the incubation at a high temperature (e.g. 90 °C)
380 or at a relatively low temperature for a long time (e.g. 70°C for 24h). Whereas protein aggregates
381 formed by disulfide bonds should be eliminated under the reducing conditions used in SDS-PAGE,
382 aggregates via Maillard reaction products could remain present (Le, Bhandari, Holland, & Deeth,
383 2011). Overall, it was confirmed from the results in Fig. 4 that both whey proteins and caseins
384 were engaged in the conjugation with lactose.

385

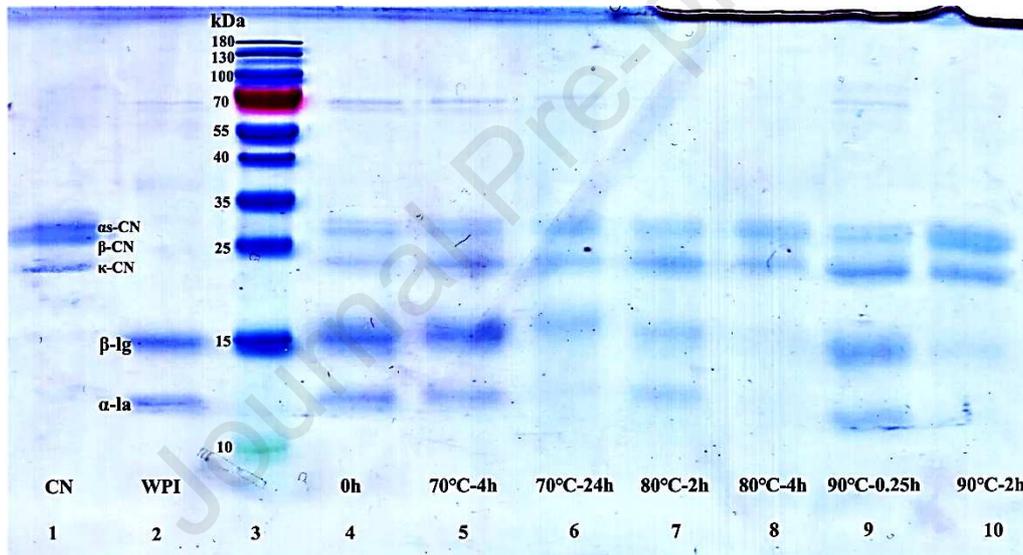
386 **3.2 Characterization of the incubated SMP dispersions**

387 **3.2.1 Non-sedimentable protein fraction**



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Fig. 5 Changes in non-sedimentable protein content in SMP dispersions with wet heat pre-treatment at different temperatures



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Fig. 6 SDS-PAGE of the non-sedimentable protein fraction in SMP dispersions with wet heat pre-treatment at different temperatures for various times. Lane 1–2, Sodium caseinate and WPI samples, respectively; Lane 3, Molecular weight marker; Lane 4–10, non-sedimentable protein fraction in samples incubated at different temperatures for different periods. The concentration in all lane was 0.5 mg/ml.

Table 1 Integrated grey values of various compositions in non-sedimentable protein in SMP dispersions with various wet heat pre-treatment obtained from bands in SDS-PAGE

T (°C)	t (h)	Absolute grey value (a.u.)			
		β-Ig	α-la	α _s /β-CN	κ-CN
-	0	29.6	23.6	19.8	18.9
70	4	29.6	23.0	20.0	22.7
	24	22.6	18.0	21.1	21.7
80	2	19.9	20.5	20.2	23.2

	4	16.9	16.1	22.0	21.1
90	0.25	25.3	23.3	22.1	27.2
	2	18.2	17.9	27.5	27.4

401

402 As shown in Fig. 5, the non-sedimentable protein content exhibited a considerable decrease with
 403 incubation time at 70 °C: it decreased from 14.9 mg/ml to 10.9 mg/ml after 24 h. The original
 404 level is in line with the whey protein content: as the SMP dispersions contained 16.5 g of SMP in a
 405 total volume of 88 ml, the whey protein content is supposed to be 14.3 mg/ml based on 7.6% (w/w)
 406 of whey protein. The observed decrease in the non-sedimentable protein content may be attributed
 407 to the formation of WP-casein micelle complexes which were removed from the serum after
 408 ultracentrifugation. The decrease in the non-sedimentable protein content during wet heating at
 409 80 °C was faster: it took only 2 h or 4 h to reach a value of 11.9 mg/ml and 9.9 mg/ml,
 410 respectively. However, the change in the non-sedimentable protein content after the incubation at
 411 90 °C for 2 h was limited as compared to the incubation at 80 °C.

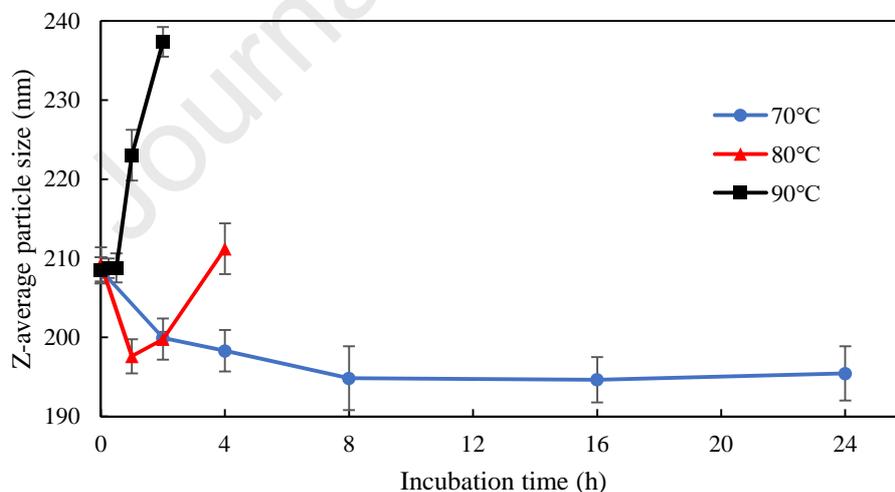
412 During wet heating, there are complicated protein interactions leading to the changes in
 413 non-sedimentable protein content, such as the association of whey proteins with casein micelles
 414 and the dissociation of caseins from casein micelles. To better understand the protein interactions
 415 during the incubation, SDS-PAGE analysis of the non-sedimentable protein fraction was
 416 performed. As shown in Fig.6 the bands representing the major whey proteins (β -lg and α -la) of
 417 the original sample (lane 4) were well visualized with the less distinct bands corresponding to
 418 caseins. To quantitatively analyze the compositional changes in the non-sedimentable protein
 419 fraction during the incubation, the band intensities (i.e. integrated grey values) were calculated via
 420 ImageJ software in grayscale mode. Due to the low content of casein in the serum after
 421 ultracentrifugation, coupled with the unresolved bands after conjugation, α_s casein and β -casein
 422 were regarded as a whole in the calculation of the absolute grey values. As shown in Table 1,
 423 no apparent changes in the bands of β -lg and α -la were observed after 4h of incubation at 70 °C,
 424 whereas prolonged incubation (24h) induced a considerable decrease in the absolute grey values
 425 obtained from the bands of β -lg and α -la. On the other hand, 2 h of incubation at 80 and 90 °C
 426 was found to be sufficient to induce a considerable decrease for both β -lg and α -la. Denaturation
 427 of the whey proteins, especially of β -lg, occurs upon heating at a temperature above 70 °C at
 428 native pH, and subsequently the denatured whey proteins associate with casein micelles through
 429 sulfhydryl/disulfide bond exchange (Wijayanti, Brodkorb, Hogan, & Murphy, 2019). Hence, the
 430 micelle-bound complexes were removed from the serum after ultracentrifugation, leading to a
 431 decrease in the non-sedimentable whey protein fraction. Donato and Guyomarc'h (2009)
 432 suggested that increasing the heating temperature (70-95 °C) promotes the whey protein
 433 denaturation and the formation of micelle-bound complexes for a constant heating duration.
 434 Corredig and Dalgleish (1999) demonstrated that both β -lg and α -la were engaged in the
 435 interaction between whey proteins and casein micelles. They also found that this interaction was
 436 generally faster at a higher temperature (75-90 °C). The interaction between β -lg and casein
 437 micelles may proceed directly through κ -casein binding, whereas β -lg may also be involved in
 438 complexes of α -la and κ -casein via a soluble intermediate formed between these two whey
 439 proteins.

440 The changes in α_s/β -casein and κ -casein after wet heat incubation at 70 or 80 °C were limited, but
 441 a considerable increase in both α_s/β -casein and κ -casein in the serum was found after incubation at

442 90°C. The heat-induced dissociation of caseins from micelles, which leads to an increase in casein
 443 content in the serum, has been widely studied. The dissociation of caseins is generally considered
 444 to be pH-dependent: the dissociation of caseins occurs at pH above 6.7 in skim milk regardless of
 445 the temperature (Anema & Klostermeyer, 1997). However, the high concentration of SMP in this
 446 study could play an important role. As compared with normal milk, the critical pH of dissociation
 447 in concentrated milk shifts toward a lower value, and a higher extent of κ -casein release in
 448 concentrated milk is found at the same pH (Singh, 2004). Consequently, casein dissociation could
 449 occur during heating at pH lower than 6.7. The pH of the SMP dispersions in this study was
 450 around 6.60 before wet heat incubation, and dropped to varying degrees after incubation, reaching
 451 pH 6.39 upon incubation at 70 °C for 24 h, and pH 6.46 and 6.44 upon incubation at 80 °C for 4 h
 452 or 90°C for 2 h, respectively. Anema (1998) reported that a considerable quantity of casein,
 453 especially κ -casein, was found to be soluble in concentrated milk (with total solid content of 25%)
 454 after heating at the normal pH of milk (pH 6.6-6.7). Furthermore, the quantity of soluble caseins,
 455 including α_s -casein, β -casein and κ -casein, increased with higher temperature.
 456 Combining the results of the non-sedimentable protein content and the SDS-PAGE results, the
 457 combined effect of whey protein deposition onto casein micelles and of casein micelle
 458 dissociation becomes clear. With limited dissociation of casein, the non-sedimentable protein
 459 content decreased with the incubation time at 70 and 80 °C due to the association of whey proteins
 460 with casein micelles. On the other hand, the more pronounced casein micelle dissociation during
 461 the incubation at 90 °C compensated the loss of whey proteins in the serum, leading to a limited
 462 overall change in the non-sedimentable protein content.

463

464 3.2.2 Particle size of SMP dispersions



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466 Fig. 7 Z-average hydrodynamic diameter of particles in SMP dispersions upon wet heat
 467 pre-treatment at different temperatures
 468

469

470 The measured particle size in reconstituted SMP using PCS primarily refers to the hydrodynamic
 471 diameter of casein micelles. Home (2020) mentioned that the size of casein micelles is closely
 472 related to their functional properties, including their emulsifying activity and heat stability.

473 Before wet heat incubation, a z-average casein micelle diameter of about 210 nm was found,
 which is in line with the value of about 220 nm, reported by Gebhardt, Doster, Friedrich, and

474 Kulozik (2006). As shown in Fig. 7 the mean particle size decreased slightly after incubation at
475 70 °C or at 80 °C for less than 2 h, whereas an increase was observed after wet heat treatment at
476 80°C for a prolonged time or at 90 °C. A similar trend was observed in the study of Anema (2008):
477 the particle size in skim milk decreased after heating at a lower temperature, while the size
478 increased upon heat treatment at a higher temperature.

479 A large number of changes occur in the SMP dispersions during wet heating, which can all
480 contribute to variations in the size of casein micelles. One of the most important changes is the
481 association of whey proteins on the surface of casein micelle which is largely dependent on the
482 heating temperature (Fairise, Cayot, & Lorient, 1999; Martin, Williams, & Dunstan, 2007).
483 Heating temperatures of 80 or 90 °C actually are sufficient for whey proteins to unfold their
484 globular structure. These unfolded whey proteins can easily associate with casein micelles via
485 disulfide bonds, resulting in an increase in the casein micelle size. This interaction was considered
486 to be promoted for a longer incubation time at a higher temperature, which has been verified by
487 the results of the non-sedimentable protein content. Moreover, it was observed that the peak in the
488 particle size distribution shifted towards higher size when the Z-average particle size increased
489 rather than appearing an additional peak in the higher size range (data not shown), indicating that
490 the increase in the particle size was more likely due to the association of whey proteins with
491 micelles rather than whey protein aggregation. Anema and Li (2003) suggested that the level of
492 whey protein association with the micelles was more important than whey protein aggregation
493 under slow heating conditions, e.g. using a water bath as is done in this study, due to the fact that
494 whey proteins cannot efficiently form larger aggregates under slow heating conditions. A
495 comparable magnitude of size increase (approximately 30~35 nm) was found as in this study upon
496 the formation of complexes of casein micelle and β -lg after heating at 100 °C for 1 h (Anema, et
497 al., 2003). In addition, the conjugation of micellar casein with lactose could further facilitate the
498 increase in the size of the micelles: after glycation, the amount of bound water may be increased,
499 which may affect the hydrodynamic diameter of particles (Wu, et al., 2020).

500 On the other hand, some changes occurring during wet heating could be responsible for a decrease
501 in the micelle size. Upon heating, the strength of hydrophobic interactions is increased, leading to
502 a more compact micellar structure (Beliciu & Moraru, 2009). Furthermore, dissociation of α_s/β
503 casein and κ -casein during the heating process could be responsible for a slight decrease in the
504 micelle size upon incubation at a lower temperature (such as 70 °C) or for a relatively short time at
505 80 °C (Dalgleish & Corredig, 2012).

506

507 **3.2.3 Relative viscosity of SMP dispersions**

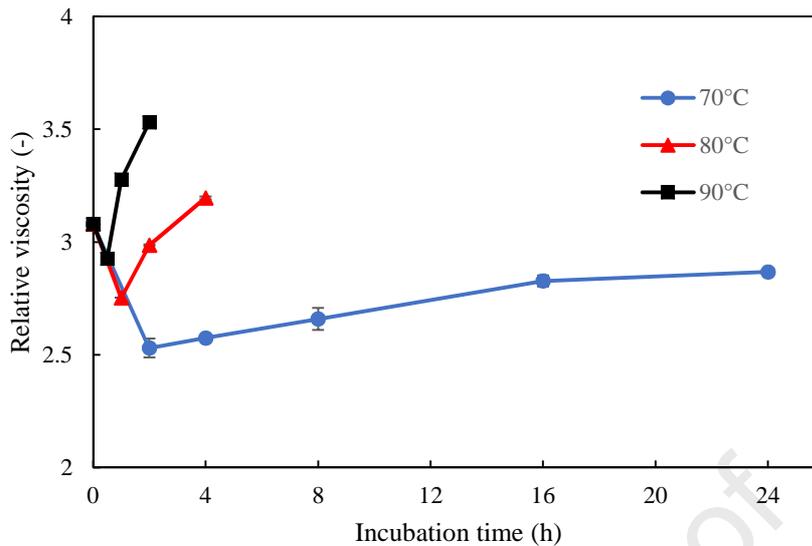


Fig. 8 Changes in relative viscosity of SMP dispersions during wet heat pre-treatment at different temperatures

As the viscosity of SMP dispersions is proportional to the volume fraction of the casein micelles, their relative viscosity was measured to evaluate the voluminosity (which expresses the volume per unit mass of casein) of the casein micelles before and after wet heat pre-treatment. As the relative viscosity was based on the viscosity measured both before and after ultracentrifugation, only the casein micelles were taken into account.

As shown in Fig. 8, the relative viscosity of the original sample was 3.48. Using Eq. (2) and (3), the voluminosity of the casein micelles can be calculated provided that ϕ_{max} in Eq. (2) is fixed. Nöbel, Weidendorfer, and Hinrichs (2012) proposed a maximum volume fraction of 0.64 for casein micelles as ideal monodisperse hard spheres, while Walstra (1999) suggested 0.9 as a reasonable figure as the particles varied widely in size in milk, leading to a difference in the calculated voluminosity (5.73 versus 6.28 ml/g). It was observed that wet heating at 70 °C gave rise to a (limited) decrease in relative viscosity, which indicates a smaller voluminosity. The latter is in line with the decreasing trend of the z-average diameter (Fig. 7). In contrast, the relative viscosity initially decreased upon incubation at the different temperatures, whereas it increased

after prolonged incubation, particularly at 80 or 90 °C. The values after 4 h of wet heating at 80 °C or 2 h at 90 °C were even higher than that of the original SMP dispersion. A similar trend was also observed for the z-average diameter of the casein micelles, as shown in Fig. 7.

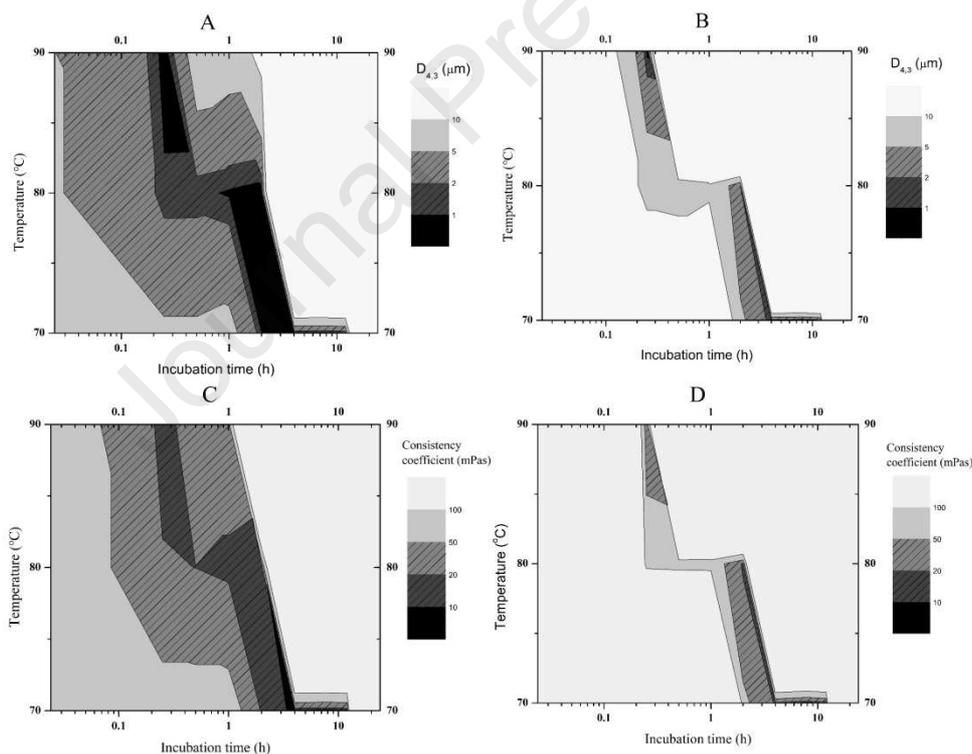
Some structural changes of casein micelles during heating might favor a decrease in voluminosity and hence in relative viscosity (Nöbel, Kern, Sonne, Bähler, & Hinrichs, 2016; Nöbel, et al., 2012). For example, wet heat incubation could increase the protein hydrophobicity and retard the migration of highly water binding β -casein from the inside to the surface (Nöbel, et al., 2012).

These complicated changes related to the casein micelles may result in a decrease in the voluminosity in the initial period of wet heat incubation. On the other hand, some changes that favor an increased voluminosity of casein micelles become more pronounced upon prolonged

536 incubation. It is generally considered that the voluminosity of casein micelles increases upon
 537 glycation (Colas, Gobin, & Lorient, 1988; Mahran, Haggag, Youssef, & Ali, 2011). Colas, et al.
 538 (1988) demonstrated that the viscosity and voluminosity of casein micelle dispersions had a
 539 positive correlation with the degree of glycation with galactose, which could be attributed to the
 540 increased net charge density of the proteins and steric hindrance provided by the attached sugar
 541 molecules. Moreover, more complexes of whey proteins and casein micelles, which greatly favors
 542 an increase in the voluminosity, are formed upon a prolonged incubation, especially at higher
 543 temperatures, e.g. 80 and 90 °C. Anema, et al. (2003) suggested that upon heating at 90 °C, the
 544 highly hydrated whey proteins associated with the micelles, or the whey proteins were arranged at
 545 the micelle surface in such a way that a substantial quantity of solvent was entrapped at the
 546 micelle surface, which led to a measurable increase in the viscosity of the milk and the volume
 547 fraction of casein micelles. Furthermore, a higher temperature favors the dissociation of caseins
 548 from the micelles (as shown in the SDS-PAGE analysis of the non-sedimentable protein fraction),
 549 leading to a looser and more porous micelle structure, and an increase in voluminosity (Belicic, et
 550 al., 2009).

551

552 3.3 Heat stability of RFEM emulsions stabilized by wet-heated SMP



553

554 Fig. 9 Contour plot of particle size $D_{4,3}$ (A, B) and consistency coefficient (C, D) of RFEM
 555 emulsions stabilized by SMP with wet heat pre-treatment at different temperatures for various
 556 incubation times after heating for 20min (A, C) or 30min (B, D) at 120°C

557

558 The different wet heated SMP dispersions were subsequently used to prepare recombined filled
 559 evaporated milk (RFEM). In order to evaluate their heat stability, the particle size as well as the
 560 viscosity of these RFEM samples upon sterilization for 20 or 30 min at 120 °C was measured. The

561 results are summarized in Fig. 9 as contour plots showing the volume-weighted average diameter
562 ($D_{4,3}$), as well as the consistency coefficient as a function of wet heat incubation temperature and
563 time. In these contour plots, zones with similar values of the volume-weighted average diameter
564 (A, B) or consistency coefficient (C, D) are indicated by the same color. In Fig. 9, darker colors
565 indicate lower values for both particle size and consistency coefficient after heating, which is
566 desirable, whereas lighter colors indicate high values and hence increased heat-induced
567 coagulation. As heat-stable RFEM samples were characterized by a volume-weighted average
568 diameter below 5 μm and a consistency coefficient below 50 $\text{mPa}\cdot\text{s}$, it follows that heat stable
569 samples were located within the shaded zones (corresponding to the 3 darkest grey levels),
570 whereas heat-labile samples were located in the non-shaded zones with the 2 weakest grey levels.
571 The average particle size and viscosity results of RFEM emulsions exhibited a similar trend upon
572 heating at 120 $^{\circ}\text{C}$ for 20 or 30 min. RFEM emulsions stabilized by the original SMP had a
573 relatively poor heat stability: after 20 min of heating (Fig. 9 A and C), the $D_{4,3}$ and consistency
574 coefficient increased from 0.36 μm and 4.5 $\text{mPa}\cdot\text{s}$ (for the unheated RFEM) to 5.5 μm and 64.3
575 $\text{mPa}\cdot\text{s}$, respectively, as indicated by the light grey color, and visible coagulation appeared. Wet
576 heating incubation at 70 to 90 $^{\circ}\text{C}$ for appropriate times enabled to improve the heat stability of
577 RFEM emulsions, as reflected by the initially gradually increasing darker shades at increasing
578 incubation times. The optimum heat stability was characterized by the darkest regions in the
579 contour plots. Generally, the higher the adopted incubation temperature, the shorter the incubation
580 time needed to accomplish a desired improvement in heat stability, e.g. withstanding 30 min
581 heating at 120 $^{\circ}\text{C}$ without visible coagulation ($D_{4,3} < 5 \mu\text{m}$ and consistency coefficient < 50
582 $\text{mPa}\cdot\text{s}$). At 70 $^{\circ}\text{C}$, the incubation time needed to acquire heat stability against 30 min of heating at
583 120 $^{\circ}\text{C}$ without coagulation was more than 2 h (Fig.9 B and D). Incubation at 80 or 90 $^{\circ}\text{C}$ for 2 h
584 and 0.25 h was sufficient to enable RFEM emulsions to withstand 30 min heating at 120 $^{\circ}\text{C}$
585 without coagulation, respectively (Fig. 9 B and D). However, the heat stability of RFEM
586 emulsions decreased when the SMP dispersions were subjected to prolonged incubation, as
587 observed by the lighter colors at long incubation times. In addition, a narrower incubation time
588 interval that enabled an improved heat stability was observed at a higher temperature.
589 The enhanced heat stability of RFEM emulsions stabilized by incubated SMP can be attributed to
590 the conjugation of milk proteins and lactose, which was clearly observed in the results of
591 SDS-PAGE. Because of conjugation, the denaturation temperature of whey proteins is increased.
592 Hence, the extent of denaturation of the whey proteins during heating will be less, which is
593 thought to be beneficial for the heat stability of RFEM emulsions (Chen, Chen, Guo, & Zhou,
594 2015). In addition, the steric hindrance provided by glycosylated sugar could play a role. Liu and
595 Zhong (2013) reported that the glycation of WPI with lactose greatly improved the heat stability
596 and enabled transparent dispersions after heating at 88 $^{\circ}\text{C}$ for 2 min. They attributed the improved
597 heat stability to the extra steric hindrance provided by glycosylated sugars. Furthermore, the highly
598 hydrated sugar can bring more bound water around the glycosylated milk proteins against
599 heat-induced aggregation (Wu, et al., 2020). According to Sagis and Scholten (2014), the greater
600 thickness of the interfacial layer in emulsions stabilized by conjugated proteins can also contribute
601 to the stability of oil droplets against flocculation. A higher incubation temperature generally
602 promotes the process of conjugation. Therefore, the incubation time needed to acquire a heat

603 stable emulsion is shorter. Liu, et al. (2015) reported that WPI became more heat stable by a
604 short-time high-temperature incubation of WPI and lactose (i.e. 130 °C for 30 min). Considering
605 that the degree of glycation was relatively low upon incubation at 90 °C for 0.25 h (as shown from
606 the of free amino group results and SDS-PAGE), the enhanced heat stability at 90 °C could be
607 associated with the formation of complexes between casein micelles and whey proteins. It is
608 indeed well known that a certain amount of complexes between casein micelles and whey proteins
609 can prevent whey proteins from aggregation (Kelleher, et al., 2020).
610 The detrimental effects of prolonged incubation on the heat stability of RFEM emulsions could
611 result from complicated protein interactions. As shown by the SDS-PAGE results of the
612 non-sedimentable protein, the dissociation of caseins from micelles was more pronounced after a
613 longer incubation time or at a higher incubation temperature. This also directly explains the
614 narrower incubation time interval that enabled an improved heat stability at a higher incubation
615 temperature. The dissociation of κ -casein from micelles has a greatly negative impact on the
616 colloidal stability of casein micelles as they are prone to aggregate with each other without the
617 protection of the hairy layer of κ -casein (Dumpler, Wohlschläger, & Kulozik, 2017). WP-casein
618 complexes were formed upon prolonged incubation (as shown from the decreasing
619 non-sedimentable protein content), as well as larger casein micelles with a higher voluminosity
620 (relative viscosity). These effects could contribute to the decreased heat stability (Yang, Zhang,
621 Wen, Zhang, & Liang, 2014). Amongst these, the formation of WP-casein complexes has a
622 complicated impact on the heat stability, which depends on the balance between soluble and
623 micelle-bound complexes (Donato, et al., 2009; Donato, Guyomarc'H, Amiot, & Dalgleish, 2007).
624 Considerable complexation between whey proteins and κ -casein is considered to favor the
625 dissociation of κ -casein from the casein micelles upon heating in concentrated milk systems like
626 RFEM emulsions in this study (Huppertz, 2016). It was also reported that the association of whey
627 proteins with caseins plays an important role in the heat-induced coagulation of concentrated milk
628 (Dumpler, et al., 2017).

629

630 4. Conclusions

631 Heat-induced coagulation is one of the biggest challenges in dairy sterilization, particularly in
632 manufacturing products with a high concentration of milk protein, like (recombined) evaporated
633 milk. The coagulation is mostly due to the destabilization of milk proteins during heating. Hence,
634 it is of great importance to improve the heat stability of milk proteins, especially the thermolabile
635 whey proteins. Until now, most studies focused on conjugation in model systems to improve the
636 heat stability of milk proteins, such as WPI and pure sugars. However, in commercial dairy
637 products like SMP, the simultaneous existence of caseins and whey proteins and the more
638 complicated mineral environment could bring more uncertainty when applying the acquired
639 knowledge from model systems to commercial products. Therefore, to fill this knowledge gap, the
640 effects of conjugation of indigenous lactose and milk proteins in SMP via preliminary wet heating
641 on the heat stability of RFEM emulsions was studied. Thereby, no extra ingredient was added,
642 which is in accordance with the current “clean” label trend (i.e. without E-numbers) (Asioli, et al.,
643 2017). Additionally, after wet heating, the SMP dispersions (containing 17.6% SMP, w/w) were
644 directly used to prepare RFEM.

645 SDS-PAGE indicated that lactosylation of both whey proteins and caseins occurred upon heating
646 of SMP dispersions at 70-90 °C, which was the most important reason for the enhanced heat
647 stability of the derived RFEM emulsions. RFEM emulsions stabilized by SMP with appropriate
648 incubation times could withstand a typical in-container sterilization procedure (30 min of heating
649 at 120 °C) without visible coagulation ($D_{4,3} < 5 \mu\text{m}$ and consistency coefficient $< 50 \text{ mPa}\cdot\text{s}$).
650 Generally, the higher the incubation temperature, the shorter the incubation time needed to enable
651 a heat-stable emulsion against 30 min of heating at 120°C: 4 h, 2 h and 0.25 h of wet heating was
652 needed upon incubation at 70, 80 and 90 °C, respectively. However, prolonged incubation had a
653 negative effect on the heat stability of RFEM emulsions, and a narrower incubation time interval
654 that enabled an improved heat stability was observed at a higher temperature. This could be
655 related to the considerable dissociation of κ -casein and the formation of complexes of whey
656 proteins and caseins upon prolonged wet heating, which was indicated from the results of the
657 non-sedimentable protein content and composition, as well as of the particle size and relative
658 viscosity of SMP dispersions.
659 The current research implies that wet heat pre-treatment of SMP dispersion might be a promising
660 alternative to improve its heat stability, which is significant in the applications where a high heat
661 stability is desired, such as in recombined filled evaporated milk or infant formulas.

662

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666 **References**

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- 835

1 **Appendix A**

2

3 Table A1 Basic information¹ of the molecular weight and the number of free amino groups in the
4 various whey proteins and caseins in bovine milk based on UniProt database (Consortium, 2018)

Protein	Molecular weight (kDa)	Number of free amino groups per molecule ² (-)	Molar ratio ³ (mol/mol)	Average molecular weight (kDa)	Average number of free amino group per molecule (-)
Whey protein	β -lg	18	19	55	17.7
	α -la	14	14	42	
	BSA	66	83	3	
Casein	α_{s1} -CN	23	21	39	22.2
	α_{s2} -CN	24	31	10	
	β -CN	23	16	39	
	κ -CN	19	15	12	
Total milk protein	/	/	/	21.4	19.1

5 ¹ At native pH6 ² Based on the number of lysine and arginine residues, as well as the terminal amino group of the
7 protein8 ³ Molar ratio of individual proteins within the whey protein or casein fraction, respectively.

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11 **References**12 Consortium, T. U. (2018). UniProt: a worldwide hub of protein knowledge. *Nucleic Acids Research*,
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14

- Wet heating of SMP dispersions gave rise to the formation of conjugates of milk proteins and lactose
- SDS-PAGE revealed glycation of both whey proteins and caseins
- Pre-heated SMP-stabilized REM emulsions could withstand at least 30min of heating at 120°C without coagulation
- The higher the incubation temperature, the shorter the incubation time needed to enable a heat-stable REM
- Prolonged incubation had a devastating effect on the heat stability of REM
- A narrower incubation time interval that enabled an improved heat stability of REM

Conflict of interest

We declare that we do not have any commercial or associative interest that represents a conflict of interest in connection with the work submitted.

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