

An international field study to investigate the psychometric properties of the updated EORTC QLQ-LC29 module for assessing quality of life in patients with lung cancer

Running head: Questionnaire for lung cancer patients

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Summary

Background

The EORTC QLQ-LC13 assesses quality of life (QoL) in patients with lung cancer (LC) and was the first EORTC module developed for use in international clinical trials. Since its publication in 1994, major treatment advances have occurred. This calls for an update of the module to improve the assessment and management of side effects, symptom burden, and quality of life. The paper presents results from the international psychometric validation study of the updated module.

Methods

This was an international, observational field study to investigate the psychometric properties of the updated LC-module. Psychometric analyses included confirmatory factor analysis and methods from classical test theory.

Findings

523 patients with confirmed diagnosis of lung cancer (either NSCLC or SCLC; 270 [51.6%] NSCLC IV, 315 [60.2%] male, Karnofsky Performance Status median 80 [IQR = 20]) from 19 centers in 12 countries participated. The updated module consists of 29 items, keeping 12 from the previous QLQ-LC13. Confirmatory factor analysis suggested five multi-item scales (Coughing, Shortness of breath, Fear of progression, Hair problems, Surgery-related symptoms) and 15 single items: RMSEA = 0.075, GFI = 0.934, NFI = 0.877, CFI = 0.901. Analyses of convergent and divergent validity confirmed this solution. Internal consistencies of all multi-item scales ranged between 0.73 and 0.86. Test-retest reliabilities ranged between 0.82 and 0.97. Four of the five multi-item scales yielded known group differences when patients with lower vs. higher Karnofsky Performance Status were contrasted ($p < 0.007$); so did 10 of the 15 single items. Three of the five multi-item scales showed responsiveness to change over time ($p < 0.050$); so did 9 out of 15 single symptoms.

Interpretation

The Phase 4 study determined the psychometric properties of the updated LC module, which is ready for use in international clinical lung cancer studies.

Funding

EORTC Quality of Life Group

KEY WORDS: quality of life, patient-reported outcomes (PRO), lung cancer, clinical trials, cross-cultural validation, EORTC QLQ-C30, EORTC QLQ-LC29

Research in context

Evidence before this study

At the beginning (Phase 1) of this project to update the EORTC QLQ-LC13, a professional medical librarian performed an encompassing literature search for publications that related to the EORTC QLQ-LC13. The literature search covered the years from 01.01.1994 to 31.12.2013 and made use of a total of 36 databases, including the Cochrane Database of Systematic Reviews, the Cochrane Central Register of Controlled Trials, MEDLINE, EMBASE, PSYINDEX, PsycINFO, Social SciSearch, and the Health Technology Assessment Database. The syntax contained the term “LC13” in any combination with the terms EORTC, quality of life (questionnaire), lung, and module. This search was supplemented by a hand search.

A total of 240 studies were included in the analysis, of which 109 (45.2%) were randomized controlled clinical trials (RCTs). This literature review proved the frequent utilization and acceptance of the QLQ-LC13.

In addition, interviews with patients and health care professionals were conducted to provide a comprehensive list of issues that are relevant with respect to the quality of life of patients with lung cancer. It became apparent that numerous side effect issues were missing that are relevant with regard to newly available therapeutic options. Phase 2 of the project provided an amended provisional questionnaire, and Phase 3 comprised an international study to investigate comprehensibility and acceptance of this provisional questionnaire.

Added value of this study

The Phase 4 study determined the psychometric properties of the updated lung cancer module, in terms of reliability, validity and responsiveness to change. The updated module contains a total of 29 items. It retained 12 of the 13 original QLQ-LC13 items and was supplemented with new items that assess side effects of targeted therapy, immunotherapy, radio-chemotherapy, and surgery. It is composed of five multi-item scales (coughing, shortness of breath, hair problems, fear of progression, surgical symptoms) and 15 single items. 24 items should be administered to lung cancer patients in a standard fashion; the five-item surgical sub-scale is optional and is only applicable for patients who have had thoracic surgery (24 plus 5 item module concept).

Implications of all the available evidence

The EORTC QLQ-LC29 promises to be the new standard for QoL assessment in patients with lung cancer. It is available in numerous validated translations and is ready to be used in international clinical lung cancer trials.

Introduction

According to the GLOBOCAN analysis on the worldwide cancer incidence, lung cancer is the most commonly diagnosed malignancy (1.82 million) that also accounted for the highest number of cancer deaths (1.6 million deaths) ¹.

Smoking is widely acknowledged as the leading cause of lung cancer ². In addition, genetics, pollution and occupational exposure, socioeconomic factors, and gender play a role in the epidemiology of lung cancer.

Quite generally, lung cancer has a poor prognosis, although the 5-year relative survival rates for all types of lung cancer increased from 10.7% to 19.8% in the past four decades ³.

This progress can be attributed to improved standards including early detection, molecular characterization, staging, surgery, radiotherapy, and systemic therapies including targeted therapy and immunotherapy ^{4,5}

These treatments, often combined in a multimodality approach ⁶, not only promise to improve survival, but may affect patients' quality of life (QoL). QoL refers to patients' subjective experience of their illness in the somatic, psychological and social domains. Clearly, QoL may be impaired by the disabling disease itself, but also by side-effects of the therapy. Thus, an overall assessment of a therapy can only be made when traditional clinical endpoints are considered in combination patients' perception of the illness and therapy. Hence, the availability of valid measures for patient-reported outcomes (PROs) such as QoL are of the utmost importance. Such measures need to be clinically meaningful and methodologically sound.

A standard instrument that fulfills these criteria and hence has been used in hundreds of lung cancer trials is the EORTC QLQ-LC13 ^{7,8}. This module has been developed for use alongside the core questionnaire EORTC QLQ-C30 ⁹, was published in 1994 ¹⁰ and has been widely used in clinical trials

Given that the new therapeutic options bring along new efficacy measures and novel side effects that are different from those associated with classic anticancer treatments, the EORTC initiated a research project to update the QLQ-LC13. The first three phases of the research project have led to a new lung cancer module QLQ-LC29, which preserved 12 of the 13 original items, and added items on relevant and common side effects and a surgical subscale (Table 1). The new module proved to be acceptable and comprehensible and was perceived as highly relevant by lung cancer patients in an international Phase 3 study ¹¹.

The present paper reports the international Phase 4 field study, which was designed to investigate the scale structure and the psychometric properties of the QLQ-LC29.

Methods

Overview

The EORTC Quality of Life Group has implemented a systematic, stepwise methodology to develop modules ¹². Results from phases 1 to 3 are summarized in Appendix p 1 ^{10, 11}. The present paper reports the final Phase 4 of the project, the international validation of the module. For this purpose, international translations of the questionnaire according to the EORTC translation guidelines were available ¹³.

Approval was obtained from the Ethics Committee of the University of Regensburg (16 March 2016, reference number 16-101-0059). In addition, the study protocol was approved by local ethical committees of participating centers according to the national requirements. The study was registered with clinicaltrials.gov (ClinicalTrials.gov, Identifier: NCT02745691).

Patient eligibility criteria

Patient were eligible for this study if they had a confirmed diagnosis of lung cancer (either based on histology, cytology and/or pathology), no previous other primary tumor, were mentally fit with sufficient language skills to understand and complete the questionnaire, were above 18 years of age, and gave written informed consent to participate in the study.

Procedure

Local investigators informed patients about the purpose of the study and obtained signed informed consent during a hospital visit. Patients were asked to fill in the paper versions of the core questionnaire EORTC QLQ-C30 plus QLQ-LC29 on their own, but received assistance upon request. Time frames for QoL assessment were specified in relation to the primary therapy patients underwent at the time of study enrollment (Appendix p 2). Time frames were chosen so that the module would be able to tap into therapy-related side effects.

Investigators used a debriefing interview form to record patients' perception of the questionnaire (time of completion, need for help, confusing or upsetting questions, or comments about the questionnaire). The responsible investigators completed a case report form to document disease and therapy-related information.

Furthermore, investigators were required to select approximately half of their patients to fill in the questionnaire at a second time-point two to four weeks later, either in hospital or via regular mail. These patients should either appear to be clinically stable (rendering appropriate for assessing test-retest reliability) or prone to changes in their well-being due to side-effects or due to the palliative effect of the therapy. Patients who filled in the questionnaire at a second time point responded to an anchor question to indicate if they had experienced changes in their health and symptoms between the two assessment points (better, unchanged, worse).

Sample size considerations

The primary aims of the study are to evaluate the factor structure and psychometric properties of the EORTC QLQ-LC29 in patients with lung cancer. Sample size is determined by the number of items in the questionnaire. The LC29 contains 24 items that apply to all lung cancer patients and additional 5 items that only apply to surgical patients. According to

the 'rule of thumb', 10-15 cases per item are needed ¹⁴. Therefore, the required number of patients ranges between 290 and 435. A further aspect that needs to be considered is the distribution across response options. It has been argued that at least 5% responses in each category are required to obtain stable parameter estimation ¹⁵. Thus, we decided to include a minimum of 450 patients for the main psychometric analyses, and this minimum number was allowed to inflate to compensate for missing responses.

Statistical analyses

The primary endpoints were the assessments of the scale structure and psychometric properties including reliability, sensitivity and responsiveness to change of the updated EORTC QLQ-LC29.

Scale structure was analysed using confirmatory factor analysis (CFA), exploratory factor analyses (EFA) as well as convergent and discriminant validity. CFA was used to verify the hypothesized factor structure of the variable set. Fit indices determine that appropriateness of a tested model. Factor loadings refer to item/scale correlations, whereby a factor loading should be ≥ 0.40 to indicate a sufficient correlation.

Based on results of Phase 3, it was hypothesized that the QLQ-LC29 includes five multi-item scales (coughing, shortness of breath, tumor progression, side effects, and surgical symptoms) and five single items (cough up blood, pain in chest/arm or shoulder/other parts of your body, weight loss). As the CFA did not confirm the hypothesized factor structure, EFA were conducted to identify the underlying structure of variables. To verify the new factor structure from EFA, CFA as well as convergent and discriminant validity were calculated. Convergent validity is based on item-own scale correlations (corrected for overlap) and discriminant validity is based on item-other scale correlations. A definite scaling error existed if an item correlates significantly less to its own scale than to another scale. Thus, scaling errors were determined by comparing the correlation coefficients. Items showing a definite scaling error should be excluded from a scale.

Reliability was calculated by means of Cronbach's alpha (internal consistency) and intra-class coefficient (ICC, test-retest reliability).

Sensitivity of the module was assessed by means of known group differences according to the Karnofsky Performance Status (independent t-tests).

Responsiveness to change over time was calculated using the differences between the second and first assessment and patients' responses to an anchor question ("relative to my first assessment my symptoms got better/did not change/got worse). The three groups of patients were compared using ANOVA.

In addition, all scores for the EORTC QLQ-C30 were calculated and known group differences and responsiveness to change were reported.

All tests were two-tailed with a significance level of $p < 0.05$. Descriptive statistics included counts (n), percentages (%), means (m), medians (med) and interquartile range (IQR). Occasionally percentages may not add up to 100% due to missing responses.

SAS 9.4 (SAS Institute Inc., Cary, NC, USA) was used to calculate CFA, and IBM SPSS Statistics 25.0 (IBM Corporation, Armonk, NY, USA) was the statistical analysis tool for all other analyses.

Role of the funding source

The funder of the study had no role in the collection, analysis, or interpretation of the data, nor in the writing of the report or research paper. The corresponding author had full access to all of the data and the final responsibility to submit the manuscript for publication.

Results

Based on the results of the Phase 3 study, we started with the hypothesis that the QLQ-LC29 is composed of five multi-item scales (coughing, shortness of breath, tumor progression, side effects, and surgical symptoms) and five single items (cough up blood, pain in chest/arm or shoulder/other parts of your body, weight loss). First, we conducted a CFA to verify whether the hypothesized factor structure could be supported. CFA yielded poor fit for this model. Table 1 (original model) presents results of CFA and thresholds for acceptable and good fit of the fit indices which show how well the hypothesized factors were measured¹⁶. The following indices were used: Chi-Square (χ^2), root mean square error of approximation (RMSEA), global goodness-of-fit-index (GFI), adjusted goodness-of-fit-index (AGFI), normed-fit index (NFI), Tucker-Lewis index (TLI), and comparative-fit index (CFI).

We then computed EFA to find an appropriate factor structure. EFA supported the factors coughing, shortness of breath, tumor progression, and surgical symptoms. The items of the hypothesized side effect factor and single items were grouped in four additional factors. The only factor that was clinically interpretable and had a sufficiently high Cronbach's alpha was a dimension related to hair, composed of item nos. 39 (hair loss) and 50 (thin hair).

We then repeated CFA based on the findings of the EFA, hypothesizing the factors coughing, shortness of breath, tumor progression, surgical symptoms, hair problems, and an overall side effect/symptom factor. The fit parameters slightly improved but were still not satisfactory (Table 1 adapted model). In particular, nine out of the 15 items had standardized factor loadings (relationship between variable and underlying factor) < 0.40 (ranging between 0.17 and 0.36). We therefore decided to treat all 15 side effect/symptoms as single items rather than as a coherent factor. This model yielded acceptable to good values for four out of six fit indicators (Table 1 final model). All factor loadings of the individual items were above the threshold of 0.40, and 23 of the 29 factor loadings were > 0.70 (Table 2).

We also conducted analyses according to classical test theory. The criterion of convergent validity was set at > 0.40 (corrected for overlap). The factors coughing, shortness of breath, tumor progression, surgical symptoms, and hair clearly met this criterion (Table 3). The correlation pattern of the coefficients of the symptoms/side effects factor supported the decision to treat the 15 symptoms as single items rather than as a coherent factor. The criterion of discriminant validity was set at < 0.40 . Even though some correlations between an item and another scale were above 0.40, no definitive scaling error occurred. Thus, the revised scale structure of the EORTC QLQ-LC29 was supported.

As can be seen in Table 2, internal consistency of the proposed multi-item scales coughing, shortness of breath, tumor progression, hair problems, and surgical symptoms were above the commonly accepted threshold of 0.70¹⁷, with Cronbach's alphas ranging between 0.73 and 0.86. Furthermore, the 15 single symptoms/side effects items added up to scale with acceptable Cronbach's alpha = 0.71.

Altogether, 195 (37.3%) of 523 patients filled in a second questionnaire (median follow-up time = 14 days, IQR = 15.3 days) and 81 (41.5%) of these 195 patients reported that they had experienced no change in their symptoms between the first and second assessment. Thus, these patients were used for computing test-retest reliability by means of the Intraclass-Coefficient (ICC, Table 2). ICC values were high, ranging between 0.82 and 0.97. Even ICCs for single items were < 0.70 in five out 15 items. The symptom/side effect burden score had an ICC = 0.89.

At the second assessment point, patients indicated whether their symptoms got worse, got better, or whether there was no change. For each QoL scale we computed pre-post change scores and compared the three groups using one-way ANOVA. There should be no pre-post difference in the “no change” group, a change to the positive in the “got better” group, and a change to the negative in the “got worse” group. A significant one-way ANOVA would indicate responsiveness to change for a given scale. Three out of the five QLC-LC29 scales (shortness of breath, fear of progression, hair problems) showed group differences, as did the symptom/side effect burden score (Table 4). In addition, nine out of the 15 single items evidenced responsiveness to change.

Physicians' assessment of the Karnofsky Performance Status was taken as an indicator of patients' overall health at the time of the first QoL assessment. Based on median split, two groups of patients were compared, those with relatively good health (≥ 80) and those with poorer health (≤ 70). As to be seen in Table 5, four out of the five QLQ-LC29 scores (coughing, shortness of breath, fear of progression, surgery-related symptoms) showed significant mean differences in the expected directions (p s < 0.0001), as did 10 out of the 15 single symptoms (p s < 0.050).

Furthermore, all scores of the QLQ-C30 evidenced known-group differences in the expected direction.

Appendix p 3 shows the means of the 15 single symptoms as well as their summary score across the treatments that patients have received at the time of their QoL assessment. The two highest means per symptom are displayed in bold. As can be seen, there is a variation of single symptoms across treatments, and statistically significant differences were found for 12 out of the 15 symptoms. Furthermore, the overall symptom/side effect burden score was statistically significant. The overall score was most pronounced for patients undergoing radiotherapy and patients undergoing targeted therapy.

Between April 12, 2016 and September 26, 2018, 523 patients in 19 centers from 12 countries, representing English-speaking, Northern European, Southern European, Eastern European, and non-European regions were recruited for this international multicenter study (Table 6). Median age was 66 years (IQR = 14 years), and the majority were male (315 [60.2%] out of 523 patients) (Table 6). Most patients had advanced disease (NSCLC IV, 270 [51.6%] out of 523 patients) and received treatment with palliative intent (351 [67.1%] out of 523 patients). The median time from diagnosis to study enrollment was six months (IQR = 16.2). More than half of the patients (279 [53.3%] of 523) received more than one therapy in the course of their patient career. Patients were enrolled and characterized according to their ongoing therapy at the time of the QoL assessment (Appendix p 2).

More than half of patients (277 [53%] of 523 patients) spent less than 10 minutes completing the questionnaire, while 23 (4.4%) out of 523 patients needed 21 minutes or longer. Two thirds of the patients (334 [63.9 %] out of 523 patients) filled in the questionnaire themselves. In cases where assistance was needed (168 [32.1%] out of 523), this was provided by a family member or a member of the research team and consisted of explaining/clarifying questions or reading them out.

80 (15.3%) out of 523 patients found some questions difficult to understand. These included items related to hair loss (item no. 39), thin hair (item no. 50), decrease in physical capabilities (item no. 53), and weight loss (item no. 54). Only 15 (2.9%) out of 523 patients found questions upsetting. These included items related to tumor progression and future

health (items no. 49 and 51), but also financial difficulties (item no. 28), and being reminded about health problems quite generally.

Overall, compliance was high, with a rate of missing items as low as 237 (0.8%) out of 28005 responses to the QLQ-C30 and QLQ-LC29 (excluding the surgical items) in the first assessment, and 107 (1.0%) out of 10530 in the second assessment. The items with the highest numbers of missing responses (8 [1.5%] out of 523) were three items of the QLQ-C30 (depressed, difficulty remembering, financial difficulties), and two items of the QLQ-LC29 (shortness of breath when climbing stairs, thin hair).

Discussion

The scale structure of the updated module to assess quality of life in lung cancer patients EORTC QLQ-LC29 was best compatible with a model that is composed of five multi-item scales (Coughing, Shortness of breath, Fear of progression, Hair problems, Surgery-related symptoms) and 15 single items (e.g., Tingling hands or feet or Dizziness). This solution is supported by CFA. Four out of the six goodness-of-fit-indices showed acceptable to good values ¹⁶. The chi-square test was significant in all three models, but the appropriateness of this statistic is under dispute ^{18, 19}, whereas the other fit indices are recommended. Importantly and in line with CFA requirements, the factor loadings of the individual items exceed the > 0.40 threshold. The proposed CFA solution may not be perfect, but is backed by classical test theory in terms of convergent and divergent validity and lack of scaling error.

The initial model hypothesized a 12-item side effect scale (in addition to four multi-item scales and five single items), and the adapted model hypothesized a 15-item side effect scale. None of these models were supported by CFA. The critical component was the symptom/side effects factor and reflects the fact that symptoms may vary across diagnoses, disease states and course of therapies. Hence their clustering in a syndrome may be dependent on a patient's actual situation ²⁰. As Appendix p 3 illustrates, single symptoms varied according to the type of therapy that the patients received at the time of study. For instance, mouth soreness was most pronounced in patients undergoing radio-chemotherapy or targeted therapies, decrease in physical capabilities was rated highest in patients with relatively recent surgery, and skin problems were most prevalent in patients undergoing targeted therapies or immunotherapy.

It is also interesting to note that the original lung cancer module also contained a high number of single items, namely 10 single items out of a total of 13 items. Also other EORTC modules have relatively high numbers of single items, e.g. the colorectal cancer module QLQ-CR29 with 19 single items ²¹. Nevertheless, for informative purposes it may be useful to sum up the 15 single items in the sense of an overall lung cancer symptom/side effects burden score, which also showed an acceptable internal consistency according to Cronbach's alpha.

All five multi-item scales had acceptable to very good internal consistency, and good to excellent test-retest reliability (Table 2).

The new QLQ-LC29 also proved to be sensitive. With regard to known-group differences, four out of the five multi-item sales discriminated between patients with high versus low scores on the Karnofsky Performance Status; so did ten out of the 15 single symptoms, as well as the symptom/side effect burden score (Table 5). We also observed responsiveness to change: three out of the five multi-item scales (shortness of breath, fear of progression, hair problems) discriminated between patients who indicated that their health improved, got worse or remained unchanged between the two QoL assessment points. Further, nine of the 15 single symptoms as well as the symptom/side effect burden score were responsive to change.

It should be noted that most of the analyses discussed above were also conducted for the core questionnaire QLQ-C30. As has been shown so often previously, the QLQ-C30 proved to be reliable, cross-culturally valid as well as sensitive in terms of known-group differences and responsiveness to change. Importantly, this shows that the QLQ-C30 contains a number of symptoms that are relevant also for innovative therapies (for instance, diarrhea as side

effect of immunotherapy). Therefore, the new lung cancer module QLQ-LC29 should always be used in conjunction with the QLQ-C30.

Patients found the questionnaire highly acceptable and the number of missing responses to questionnaire items was low. From a user perspective, the QLQ-LC29 displays three qualities at the same time: traditional, innovative and flexible. “Traditional” in the sense that 12 out of the 13 items were retained in the new module, which underscores the relevance of the previous QLQ-LC13. Thus Items 31 to 42 were taken from the original questionnaire, whereas items 43 to 59 were newly added (Appendix p 4). This allows for comparability of data from studies that employed the original QLQ-LC13. Only the item “Did you take any medicine for pain” was removed after Phase 3 because of its low relevance ratings and notoriously high number of missing responses.

The QLQ-LC29 is innovative in the sense that the module contains new symptom items, such as splitting fingernails and burning eyes that specifically address toxicity of novel systemic therapies, such as EGFR-targeted agents. The module also contains a 5-item scale specifically designed to address issues of patients who had undergone surgery for lung cancer (e.g., pain in the area of surgery, sensitivity of the wound). It enables thoracic surgeons to assess the outcome of surgical management and its relation to multi-modal treatment ²².

The QLQ-LC29 is flexible because the surgery-scale may be omitted in studies that involve patients who have never undergone thoracic surgery for lung cancer. Removing the surgery-scale does not lead to loss of information or interference with other scales because it is the final scale on the questionnaire. Thus, the QLQ-LC29 should be regarded as a QLQ-LC24 plus 5 module.

EORTC is now employing a dynamic test strategy, in the sense that additional items from an encompassing item library may be added to the core questionnaire and/or to a module²³. This permits evaluation of specific, relevant issues that may be relevant in a given context, when they are not included in the established module of choice. This tailored strategy helps to even better capture the patient perspective. ²³

The QLQ-LC29 is also competitive in relation to other currently available measures for lung cancer ^{24–28}. The new module has been developed according to a rigorous state-of-the-methodology in a cross-cultural setting ¹². The contents of the updated module reflect side effects that may come along with newly available therapeutic options for lung cancer. Very importantly, patients were an integral part in the entire development process with more than 800 patients being involved throughout Phases 1 to and 4 to shape the scope of the new module ¹¹. Thus, patients were given a strong voice, which fulfills an important methodological criterion of questionnaire development demanded by regulatory bodies ^{29, 30}.

Limitations of the study relate to the less-than perfect CFA results and the relatively low number of patients involved in responsiveness of change analyses. Since questionnaire validation can be thought of as an ongoing process, further data are welcome to amend the present findings. Furthermore, the present study was not designed to conduct cross-cultural comparisons. This should be a topic for future trials. Most importantly, however, further large scale RCTs are needed that show how the module performs in the context of clinical trials.

In conclusion, the QLQ-LC29 retained 12 of the 13 original QLQ-LC13 items and contains new items that assess therapy side effects of targeted therapy, immunotherapy, radio-

chemotherapy, as well as thoracic surgery. The Phase 4 study proved the psychometric properties and cross-cultural validity of the updated lung cancer module. The QLQ-LC29 is available in numerous validated translations and is ready to be used in international clinical lung cancer trials.

Contributors

OS, KH, TY, GI, AH, CDJ, KAT, SaSe, MP, LW, AJ, WC, JIA, CP, WJ, MH, DK, LG, and CS recruited patients, commented on previous versions of the manuscript, and approved the final manuscript. In addition, MHJ helped finalizing the study protocol. TC recruited patients and commented on previous versions of the manuscript. OM recruited patients and approved the final manuscript. AB helped in designing the study and interpreting the study results, commented on previous versions of the manuscript, and approved the final manuscript. KM and MK were responsible for the statistical analyses. MK designed the study, was responsible for the conduct of the study and drafted the first version of the paper.

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Declaration of interest

MK reports grants from EORTC to conduct this study; outside the submitted work he received personal fees from Janssen-Cilag, Lilly and MSD outside. MH joined Boehringer Ingelheim after she finished recruiting patients to this study. MP reports personal fees from AMGEN ING, USA, and case payments for recruiting patients to this study. TY, TC, GI, KT, LW, and JA report case payments for recruiting patients to this study. All other authors report no competing interests.

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Data sharing

Data will be shared according to the EORTC data release policy (<https://www.eortc.org/data-sharing/>).

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Tables

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Table 1 Confirmatory factor analysis: Global fit for all models tested

N = 523	χ^2	df	p	χ^2/df	RMSEA	GFI	AGFI	NFI	TLI	CFI
Thresholds for acceptable fit ²¹			≥ 0.050	≤ 5.00	≤ 0.080	≥ 0.800	≥ 0.850	≥ 0.800	≥ 0.800	≥ 0.850
Thresholds for good fit ²¹			≥ 0.010	≤ 3.00	≤ 0.050	≥ 0.900	≥ 0.950	≥ 0.950	≥ 0.950	≥ 0.950
Original Model	1098.790	225	< 0.0001	4.88	0.090	0.828	0.771	0.634	0.607	0.680
Adapted Model	825.549	247	< 0.0001	3.34	0.070	0.860	0.830	0.725	0.763	0.788
Final Model	370.233	100	< 0.0001	3.70	0.075	0.934	0.801	0.877	0.726	0.901

χ^2 = Chi-Square, df = degrees of freedom, RMSEA = root mean square error of approximation, GFI = global goodness-of-fit-index, AGFI = adjusted goodness-of-fit-index, NFI = normed-fit index, TLI = Tucker-Lewis index, CFI = comparative-fit index

Table 2 Internal consistency, Test-retest reliability, standardized factor loadings

Scale	Item #	Cronbach's alpha N = 523	Test-retest reliability ICC N=81	Standardized Factor Loading (Final Model) N = 523
Coughing	31, 52	0.73	0.89	0.645 - 0.891
Shortness of breath	33 - 35	0.82	0.93	0.573 - 0.964
Fear of progression	49, 51	0.83	0.84	0.721 - 0.972
Hair problems	39, 50	0.78	0.82	0.457 - 1.370
Surgery-related symptoms²	55 - 59	0.86	0.97	0.685 - 0.813
Side effects				
Symptom/side effect burden score	32, 36-38, 40-48, 53, 54	0.71	0.89	
Single items	32. Coughed up blood		0.49	0.886
	36. Sore mouth or tongue		0.76	0.999
	37. Problems swallowing		0.67	0.975
	38. Tingling hands or feet		0.70	0.974
	40. Chest pain		0.43	0.859
	41. Pain in arm or shoulder		0.74	0.952
	42. Pain in other parts of body		0.67	0.917
	43. Allergic reactions		0.68	0.942
	44. Burning or sore eyes		0.56	0.988
	45. Dizziness		0.61	0.904
	46. Splitting fingernails or toenails		0.79	0.967
	47. Skin problems		0.75	0.949
	48. Problems speaking		0.34	0.963
	53. Decrease in physical capabilities		0.51	0.887
	54. Weight loss problem		0.67	0.950

Table 3 Convergent and discriminant validity

	N items	First assessment (n = 523)			Second assessment (n = 195)		
		Convergent	Discriminant	Scaling error	Convergent	Discriminant	Scaling error
Coughing	2	0.58 to 0.58	0.04 to 0.49	0	0.65 to 0.65	-0.02 to 0.42	0
Shortness of breath	3	0.55 to 0.81	0.07 to 0.48	0	0.53 to 0.79	-0.02 to 0.56	0
Fear of progression	2	0.70 to 0.70	0.12 to 0.42	0	0.72 to 0.72	0.04 to 0.63	0
Hair problems	2	0.65 to 0.65	0.04 to 0.29	0	0.69 to 0.69	-0.02 to 0.34	0
Surgery-related symptoms	5	0.63 to 0.75	-0.15 to 0.50	0	0.57 to 0.79	0.06 to 0.59	0
Symptom/side effect burden score	15	0.16 to 0.45	-0.08 to 0.47	0	0.14 to 0.56	-0.14 to 0.55	0

Convergent validity: item-scale correlations with own scale corrected for overlap

Discriminant validity: item-scale correlations with other scales

Scaling error: number of definitive scaling errors, i.e. cases in which an item was significantly higher correlated with another scale

Table 4 Responsiveness to change

	N items	Worse (n = 50)	No change (n = 81)	Better (n = 60)	p
QLQ-C30					
Physical functioning	5	-12.87	2.04	3.28	0.001
Role functioning	2	-16.33	2.71	9.04	0.001
Emotional functioning	4	-4.17	3.44	11.3	0.001
Cognitive functioning	2	-2.00	2.71	2.22	0.332
Social functioning	2	-13.33	2.74	2.22	0.002
Global QoL	2	-11.05	-0.63	10.56	0.001
Fatigue	3	14.44	-4.05	-12.05	0.001
Nausea/vomiting	2	2.00	0.62	-6.21	0.153
Pain	2	5.67	-1.23	-5.28	0.093
Dyspnea	1	8.67	-6.17	-13.56	0.001
Sleep	1	6.67	-4.94	-11.29	0.009
Appetite loss	1	12.24	0.41	-12.99	0.001
Constipation	1	9.03	-5.35	-11.86	0.001
Diarrhea	1	1.33	2.5	-0.57	0.778
Financial difficulties	1	5.44	0	1.11	0.476
QLQ-LC29					
Coughing	2	-10.00	-3.7	-9.04	0.202
Shortness of breath	3	9.98	-2.4	-6.87	0.001
Fear of progression	2	8.84	-4.12	-5.93	0.005
Hair problems	2	8.00	-0.62	7.91	0.032
Surgery-related symptoms	5	-1.48	-2.01	-2.67	0.962
Symptom/side effect burden score	15	6.75	-0.92	-2.53	< 0.0001
32. Coughed up blood	1	0.04	-0.02	-0.08	0.148
36. Sore mouth or tongue	1	0.30	0.04	-0.03	0.019
37. Problems swallowing	1	0.26	-0.04	-0.14	0.004
38. Tingling hands or feet	1	0.36	-0.01	-0.10	0.003
40. Chest pain	1	0.12	0.14	-0.20	0.034
41. Pain in arm or shoulder	1	0.02	-0.02	-0.14	0.412
42. Pain in other parts of body	1	0.04	-0.10	-0.19	0.473
43. Allergic reactions	1	-0.04	-0.09	0.02	0.560
44. Burning or sore eyes	1	0.20	0.04	-0.05	0.094
45. Dizziness	1	0.36	0.01	-0.05	0.005
46. Splitting fingernails or toenails	1	0.06	-0.06	0.14	0.105
47. Skin problems	1	0.31	-0.14	0.17	0.011
48. Problems speaking	1	0.29	-0.07	-0.07	0.005
53. Decrease in physical capabilities	1	0.45	-0.14	-0.22	< 0.0001
54. Weight loss problem	1	0.40	0.07	-0.17	0.001

Means denote the changes between the second and first assessment; negative values in functioning (Physical to Global QoL) or positive values in symptoms (all other scores) indicate change for the worse. Means are presented for three groups of patients, according to their self-ratings on an anchor scale: worse, better or no change (compared to first assessment).

Table 5 Known group differences: Karnofsky Performance Status

	First assessment			Second assessment		
	Karnofsky ≤ 70 n = 157	Karnofsky ≥ 80 n = 364	p	Karnofsky ≤ 70 n = 51	Karnofsky ≥ 80 n = 143	p
QLQ-C30						
Physical functioning	49.98	74.74	< 0.0001	49.93	74.13	< 0.0001
Role functioning	39.25	67.91	< 0.0001	50.00	70.28	< 0.0001
Emotional functioning	60.22	74.01	< 0.0001	73.86	75.49	0.669
Cognitive functioning	74.30	83.38	< 0.0001	79.41	85.08	0.117
Social functioning	48.39	73.02	< 0.0001	56.21	71.36	0.004
Global QoL	44.52	63.13	< 0.0001	52.61	62.32	0.009
Fatigue	61.75	38.63	< 0.0001	49.46	37.30	0.011
Nausea/vomiting	19.12	10.12	< 0.0001	13.73	8.74	0.124
Pain	45.83	22.34	< 0.0001	37.58	21.41	0.001
Dyspnea	48.60	32.78	< 0.0001	35.29	29.60	0.233
Sleep	40.81	29.21	< 0.0001	31.37	24.24	0.166
Appetite loss	43.57	23.94	< 0.0001	37.91	23.54	0.007
Constipation	38.13	20.66	< 0.0001	24.00	20.28	0.448
Diarrhea	16.34	9.81	0.007	13.33	10.02	0.385
Financial difficulties	29.87	19.41	< 0.0001	26.67	23.40	0.542
QLQ-LC29						
Coughing	37.37	27.38	< 0.0001	23.67	22.11	0.682
Shortness of breath	44.01	27.17	< 0.0001	33.56	27.74	0.135
Fear of progression	49.47	41.12	0.007	39.33	38.97	0.944
Hair problems	20.59	21.66	0.720	20.00	22.80	0.572
Surgery-related symptoms	35.98	22.28	0.001	38.96	15.15	0.007
Symptom/side effect burden score	23.37	14.51	< 0.0001	19.33	14.44	0.003
32. Coughed up blood	1.23	1.09	0.005	1.20	1.05	0.075
36. Sore mouth or tongue	1.44	1.31	0.075	1.38	1.36	0.874
37. Problems swallowing	1.56	1.31	0.001	1.36	1.31	0.651
38. Tingling hands or feet	1.57	1.41	0.049	1.50	1.46	0.731
40. Chest pain	1.98	1.41	< 0.0001	1.72	1.40	0.007
41. Pain in arm or shoulder	1.88	1.43	< 0.0001	1.68	1.38	0.030
42. Pain in other parts of body	2.17	1.68	< 0.0001	2.20	1.66	0.001
43. Allergic reactions	1.19	1.17	0.737	1.14	1.13	0.911
44. Burning or sore eyes	1.35	1.30	0.393	1.24	1.32	0.444
45. Dizziness	1.76	1.41	< 0.0001	1.62	1.44	0.135
46. Splitting fingernails or toenails	1.29	1.29	0.975	1.28	1.31	0.825
47. Skin problems	1.83	1.71	0.179	1.90	1.79	0.483
48. Problems speaking	1.47	1.18	< 0.0001	1.28	1.21	0.427
53. Decrease in physical capabilities	2.96	2.31	< 0.0001	2.60	2.22	0.025
54. Weight loss problem	1.84	1.49	< 0.0001	1.60	1.47	0.355

Functioning scores (Physical to Global QoL) range from 0 (lowest) to 100 (highest functioning). All other scores relate to symptoms ranging from 0 (lowest) to 100 (highest symptom burden). Single side effect items (items 32 to 54) range from 0 (=not at all) to 4 (=very much).

Table 6 Patient characteristics (N = 523)

Median age (IQR)/years	66 (14)
Gender	
male	315 (60.2%)
female	208 (39.8%)
Cohabitation status	
Living with partner	385 (73.6%)
Living alone	92 (17.6%)
Living with others	43 (8.2%)
n.a.	3 (0.6%)
Education	
Less than compulsory school	39 (7.5%)
Compulsory school	219 (41.9%)
Post-compulsory school	174 (33.3%)
University	87 (16.6%)
n.a.	4 (0.8%)
Employment	
Retired	298 (57.0%)
Full time	91 (17.4%)
Part time	53 (10.1%)
Homemaker	23 (4.4%)
Other	54 (10.3%)
n.a.	4 (0.8%)
Smoking status	
Non-smoker	94 (18.0%)
Smoker	112 (21.4%)
Ex-smoker	316 (60.4%)
n.a.	1 (0.2%)
Median pack years (IQR)	35 (32.5)
Disease stage	
SCLC LD	23 (4.4%)
SCLC ED	58 (11.1%)
NSCLC IA	13 (2.5%)
NSCLC IB	13 (2.5%)
NSCLC IIA	26 (5.0%)
NSCLC IIB	25 (4.8%)
NSCLC IIIA	52 (9.9%)
NSCLC IIIB	43 (8.2%)
NSCLC IV	270 (51.6%)
Comorbidity (multiple entries permissible)	
Renal	20
Cardiac	121
Respiratory	101
Rheumatic	26
Diabetes	81
Liver	6
Other	181
Karnofsky Performance Status	
n.a.	2 (0.4%)
30	1 (0.2%)
40	7 (1.3%)
50	24 (4.6%)
60	40 (7.6%)
70	85 (16.3%)
80	181 (34.6%)
90	143 (27.3%)
100	40 (7.6%)
Median (IQR)	80 (20)
Study region	
English-speaking country (U.K.)	119 (22.8%)
Northern European countries (Germany, Norway, Belgium)	174 (33.3%)
Southern European countries (Cyprus, Israel, Italy, Spain, Greece)	115 (22.0%)
Eastern European country (Poland)	29 (5.5%)
Non-European country (Jordan, Taiwan)	86 (16.4%)
Sample matrix (actual therapy at time of QoL assessment)	
Surgery alone	40 (7.6%)
Surgery (late effects)	38 (7.3%)
Chemotherapy alone	170 (32.5%)
Radiotherapy alone	40 (7.6%)
Sequential radiochemotherapy	16 (3.1%)
Concurrent radiochemotherapy	42 (8.0%)
Targeted therapy alone	70 (13.4%)
Targeted therapy in combination	16 (3.1%)
Immunotherapy	91 (17.4%)
Therapeutic approach	

Curative	164 (31.4%)
Palliative	351 (67.1%)
n.a.	8 (1.5%)
