doi:10.1093/hropen/hoy024

human reproduction open

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# Two decades of embryonic stem cells: a historical overview

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Submitted on October 30, 2018; editorial decision on November 14, 2018; accepted on December 10, 2018

**STUDY QUESTION:** How did the field of stem cell research develop in the years following the derivation of the first human embryonic stem cell (hESC) line?

**SUMMARY ANSWER:** Supported by the increasing number of clinical trials to date, significant technological advances in the past two decades have brought us ever closer to clinical therapies derived from pluripotent cells.

**WHAT IS KNOWN ALREADY:** Since their discovery 20 years ago, the use of human pluripotent stem cells has progressed tremendously from bench to bedside. Here, we provide a concise review of the main keystones of this journey and focus on ongoing clinical trials, while indicating the most relevant future research directions.

**STUDY DESIGN, SIZE, DURATION:** This is a historical narrative, including relevant publications in the field of pluripotent stem cells (PSC) derivation and differentiation, recounted both through scholarly research of published evidence and interviews of six pioneers who participated in some of the most relevant discoveries in the field.

**PARTICIPANTS/MATERIALS, SETTING, METHODS:** The authors all contributed by researching the literature and agreed upon body of works. Portions of the interviews of the field pioneers have been integrated into the review and have also been included in full for advanced reader interest.

**MAIN RESULTS AND THE ROLE OF CHANCE:** The stem cell field is ever expanding. We find that in the 20 years since the derivation of the first hESC lines, several relevant developments have shaped the pluripotent cell field, from the discovery of different states of pluripotency, the derivation of induced PSC, the refinement of differentiation protocols with several clinical trials underway, as well as the recent development of organoids. The challenge for the years to come will be to validate and refine PSCs for clinical use, from the production of highly defined cell populations in clinical grade conditions to the possibility of creating replacement organoids for functional, if not anatomical, function restoration.

**LIMITATIONS, REASONS FOR CAUTION:** This is a non-systematic review of current literature. Some references may have escaped the experts' analysis due to the exceedingly diverse nature of the field. As the field of regenerative medicine is rapidly advancing, some of the most recent developments may have not been captured entirely.

**WIDER IMPLICATIONS OF THE FINDINGS:** The multi-disciplinary nature and tremendous potential of the stem cell field has important implications for basic as well as translational research. Recounting these activities will serve to provide an in-depth overview of the field, fostering a further understanding of human stem cell and developmental biology. The comprehensive overview of clinical trials and expert opinions included in this narrative may serve as a valuable scientific resource, supporting future efforts in translational approaches.

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**STUDY FUNDING/COMPETING INTEREST(S):** ESHRE provided funding for the authors' on-site meeting and discussion during the preparation of this manuscript. S.M.C.S.L. is funded by the European Research Council Consolidator (ERC-CoG-725722-OVOGROWTH). M.P. is supported by the Special Research Fund, Bijzonder Onderzoeksfonds (BOF01D08114). M.G. is supported by the Methusalem grant of Vrije Universiteit Brussel, in the name of Prof. Karen Sermon and by Innovation by Science and Technology in Flanders (IWT, Project Number: 150042). A.V. and B.A. are supported by the Plataforma de Proteomica, Genotipado y Líneas Celulares (PT1770019/0015) (PRB3), Instituto de Salud Carlos III. Research grant to B.H. by the Research Foundation—Flanders (FWO) (FWO.KAN.2016.0005.01 and FWO.Project G051516N). There are no conflicts of interest to declare.

#### TRIAL REGISTRATION NUMBER: Not applicable.

ESHRE Pages are not externally peer reviewed. This article has been approved by the Executive Committee of ESHRE.

**Key words:** pluripotency / human embryonic stem cell (hESC) / human-induced pluripotent stem cells (hiPSC) / regenerative medicine / differentiation / clinical trials

### WHAT DOES THIS MEAN FOR PATIENTS?

We reviewed the history of human pluripotent stem cell (hPSC) derivation, in the context of the scientific and technical environment at the time, giving a historical account of the development of the field of stem cell research with a special emphasis on regenerative medicine and clinical applications. Furthermore, we organized interviews with several stem cell scientists at the forefront of basic and clinical research to give the reader a qualitative account of the field, as well as a perspective on the future developments at the cutting edge of research.

This 20th anniversary of the derivation of human embryonic stem cell (hESC) lines offers an ideal opportunity to look back over the past 20 years in this field, as well as to look forward to what the future may hold. With this review, we hope to inspire young investigators today to continue working on their research in this fascinating topic.

### Introduction

In 1998, a report published in the scientific journal *Science* marked the beginning of the modern era of regenerative medicine (Thomson et al., 1998). For the first time, scientists were able to derive stem cells from a human embryo and show that these cells could be maintained in the so-called pluripotent state.

Pluripotency is a unique characteristic of stem cells; a pluripotent cell can divide indefinitely into daughter cells, while at the same time retaining the capacity to differentiate into any cell type of the human body when submitted to the appropriate stimuli. Human embryonic stem cells (hESCs) are pluripotent, and their derivation sparked new possibilities, from the production of 'spare parts' to treating a plethora of degenerative conditions, the study of early embryonic development, to revolutionizing drug screening and development and broadening the spectrum of human toxicology research.

In the 20 years since, some of these promises have been fulfilled, some roadblocks have appeared and some new players have entered the stage of pluripotency. The aim of this review is to tell the story of this amazing journey and to reveal its most salient moments through the voice of some of the pioneers in this exciting field.

### **Materials and Methods**

The literature search for the preparation of this non-systematic review was carried out in PubMed including articles written in English between 1998 and 2018. Moreover, suggestions from the pioneers interviewed on seminal contributions were included in the paper. Further, reports in the

media were scanned to include up to date information for topics not always reported in the scientific literature. Finally, the database ClinicalTrials.gov and other Clinical Trials Registries were scanned for relevant ongoing trials.

### The derivation of the first hESC line

In the mid-90s of the last century, one of the most active centers of stem cell research, at that time restricted to mouse work in most countries, was the Wisconsin National Primate Research Center in the USA. It was here that scientists had derived in 1994 the first primate ESC line from rhesus macaque embryos. As customary at the time, the line was cultured over a feeder layer of mouse fibroblasts and the scientists were able to show the cells ability to continually grow in culture for more than I year. Moreover, once released from stem cell culture condition and injected into immuno-deficient mice these cells could differentiate spontaneously into derivatives of the three germ layers: endoderm, ectoderm and mesoderm, a test of pluripotency still used to this day (Thomson et al., 1995).

Without any doubts, their experience with rhesus ESCs accelerated their learning curve in primate stem cell handling, and the same team of scientists led by Dr J. A Thomson was able to report, 4 years later, the derivation of the first hESC line (Thomson *et al.*, 1998) (Fig. 1). The researchers used 36 embryos from patients who underwent IVF, who donated their embryos after completing their treatment. From those embryos, 14 inner cell masses (ICMs) were isolated, and five hESC lines were derived (Fig. 2). It is interesting to note that one of those lines, H9, has been used in clinical trials several years following its derivation.

Around the same time, hESC derivation was also attempted at the National University Hospital in Singapore. In 1994, Bongso et al. cultured whole blastocysts on human tubal ampullary epithelium and succeeded in



Figure I Historical timeline of human embryonic stem cells. hESCs: human embryonic stem cells, hiPSC: human-induced pluripotent stem cells, CRISPR/Cas 9: clustered regularly interspaced short palindromic repeat/ CRISPR associated 9.



**Figure 2** Types of human pluripotent stem cells. Three pathways to the generation of pluripotent cells are described: hESC derivation from preimplantation-stage embryos (hESC; top), derivation after somatic cell nuclear transfer (SCNT-SC; middle) and derivation through reprogramming of somatic cells (iPS; bottom). SCNT-SC: somatic cell nuclear transfer-stem cells; iPS: induced pluripotent stem cells.

obtaining cells that retained stem cell-like morphology (Bongso et al., 1994). Although these cultures differentiated after several passages, this was the first report of the successful isolation of human ICM cells and their continued culture in vitro. A few years later, in 2000, Reubinoff et al., independently reported the establishment of hESC lines with similar properties to those of Thomson et al. (1995), obtaining ICM clusters from human blastocysts using immunosurgery and culturing them on mouse embryonic fibroblasts. Their report further validated the potential of hESCs by demonstrating that they could be directed toward the neuronal lineage, through isolation and culture of neuronal progenitor cells from differentiating hESCs. Dr A. Trounson, a pioneer in the field, offers his testimonial: 'When Martin Pera joined me from Oxford he thought we had human ESCs, so I sent Ben Reubinoff (our PhD student) to Singapore to make them again. He brought back some of the colonies, which converted to hESCs and we set about characterizing them, using markers Martin had for setting up the teratoma assays, just before we received the Thomson paper for review.' (Supplementary data).

This research certainly paved the way for the large number of pluripotent stem cell lines produced to date and generated considerable optimism regarding stem cell biology. Notably, the scientific and medical potential of hESCs could not have been realized without the progress made in the field of assisted reproduction at the time, and particularly the use of surplus IVF embryos, donated by patients for research purposes.

The news and promise of hESCs sparked the imagination of scientists and the general public alike, and the race to repeat the results was fierce, compiled by the fact that very few researchers had at the time seen primate stem cells. As Dr M. Stojkovic, whose team derived the first hESC line in the UK, recalls: 'I remember very well the following picture: in the front of our microscope was Thomson's paper and images of hESC colonies and Majlinda Lako and myself starting our open-air work: cutting the colony, passaging the cells... both of us had never seen or worked with hESCs before.' (Supplementary data).

The hope that stem cells could provide potential therapies in regenerative medicine prompted further research toward the directed differentiation of hESCs toward specialized cell types (Trounson, 2006). Derivation reports showed that suboptimal culture conditions led to spontaneous differentiation of hESCs (Reubinoff *et al.*, 2000, Thomson *et al.*, 1998). Subsequently, Schuldiner *et al.* demonstrated that the differentiation of hESCs *in vitro* could be controlled by using several factors to enrich for specific embryonic germ layers (Schuldiner *et al.*, 2000). This work provided a valuable framework for the directed derivation of a number of specialized cell types from hESCs, including mature neurons (Reubinoff *et al.*, 2001, Zhang *et al.*, 2001), cardiomyocytes (Kehat *et al.*, 2001, Mummery *et al.*, 2003) and insulin-producing cells (Assady *et al.*, 2001). These early reports were fundamental for further *in vitro* manipulations of pluripotent stem cells (PSCs), setting the stage for future clinical applications.

### Induced PSCs

In 2006, the PSC field was revolutionized again by the generation of induced PSC (iPSC), a technology pioneered by Dr S. Yamanaka's lab in Kyoto, Japan. Yamanaka's team demonstrated that forced expression of four transcription factors (Pou5fI, pou class 5 homeobox I; Sox2, SRY-Box 2; Klf4, kruppel like factor 4 and c-Myc, c-myc) could reprogram adult mouse cells into a pluripotent state remarkably similar to that of ESCs (Fig. 2) (Takahashi and Yamanaka, 2006). Less than I year later, the same technology was used for the derivation of human iPSCs (hiPSCs) (Takahashi *et al.*, 2007; Yu *et al.*, 2007), providing an alternative source of human pluripotent stem cells (hPSCs) without the need to use human embryos, thus alleviating some of the ethical concerns associated with hESCs (Fig. 1). The profound impact of iPSC technology on the study of cell biology, and especially nuclear reprogramming, was recognized when

Dr S. Yamanaka, along with Dr J. Gurdon, received the Nobel Prize for Physiology or Medicine in 2012 (Fig. 1). Interestingly, when asked about the name iPSC, S. Yamanaka explained: 'In naming iPS cells, I used a small letter for "i" after the model of "iPod" hoping that the name would be easy to be remembered.' (Supplementary data).

The initial methods for iPSC derivation used retroviral or lentiviral vectors to deliver the four reprogramming factors (Takahashi *et al.*, 2007; Yu *et al.*, 2007), which resulted in the integration of the foreign DNA into the host cell genome, thus carrying the risk of insertional mutagenesis. To overcome this issue, various non-integrating methods for human iPSC generation have been developed, including episomal DNA plasmids (Okita *et al.*, 2011a), Sendai virus (Fusaki *et al.*, 2009), adenovirus (Stadtfeld *et al.*, 2008), synthesized modified mRNAs (Warren *et al.*, 2010) and proteins (Kim *et al.*, 2009). Several cell sources and combination of fewer, or different, factors have also been successfully used for reprogramming, making it safer and more efficient in the process (reviewed in (Takahashi and Yamanaka, 2016)).

One of the main advantages of human iPSCs is their potential to model disease in vitro. In contrast to hESCs, which can also carry a genetic disease but are derived from embryos after PGD, the genotype of iPSCs can be directly linked to the disease phenotype in the patient/cell donor. iPSCs can be derived from patients carrying a disease-causing mutation and differentiated into disease-relevant cell types, offering an unlimited source of cells for studying genotype-phenotype relationships. Already in 2009, the derivation of patient-specific iPSCs from a child with spinal muscular atrophy showed disease-related deficits in the motor neurons generated in vitro (Ebert et al., 2009). Since then, an increasing number of disease models with iPSCs have been generated, especially for monogenic diseases, such as Rett syndrome (Marchetto et al., 2010) and type 2 long QT syndrome (Itzhaki et al., 2011), but also for genetically complex or sporadic diseases, such as Alzheimer's disease (Israel et al., 2012) and Parkinson's disease (Nguyen et al., 2011). hiPSCs can successfully recapitulate disease pathogenesis in vitro and are now increasingly used for validating and screening new therapeutic compounds (reviewed in (Suh, 2017)). In addition, iPSCs hold great promise for developing personalized treatments. Patient-specific iPSCs may be used for predicting the patient's response to specific treatment strategies, as was indicated in a recent study that showed concordant results for pharmacological response between iPSCs and a patient with type 3 long QT syndrome (Malan et al., 2016). Furthermore, iPSCs may be used in regenerative medicine either as an autologous cell source or as a HLA-matched allogeneic cell source for transplantation, minimizing the risk of rejection and the use of long-term immunosuppression. In 2014, the first clinical study using hiPSC-derived cells was initiated (Fig. 1).

# Somatic cell nuclear transfer derived stem cells

Somatic cell nuclear transfer (SCNT), colloquially known as cloning, is the process of transferring the nuclear DNA of a donor somatic cell into an enucleated oocyte, followed by embryo development (Fig. 2) (Wilmut *et al.*, 2002). When the SCNT embryo is transferred to a surrogate recipient with the aim to achieve a live birth, the process is defined as reproductive cloning. The first success in mammals was achieved with the birth of Dolly the sheep in 1996 (Wilmut *et al.*, 1997), cloned from a differentiated mammary epithelial cell. This successful attempt proved that it is possible to revert the differentiated status of the somatic nucleus to totipotency (reprogramming) (Wilmut *et al.*, 2002). However, when pluripotent SCNT stem cells are harvested from the reconstructed SCNT embryo, the process is called therapeutic cloning, aiming at deriving pluripotent stem cells for future cell therapy and research purposes (Fig. 2). The advantage of therapeutic cloning over ESCs is that SCNT stem cells, like iPSCs, are

genetically identical to the somatic cell they are derived from, thereby overcoming immune rejection, inherently valuable for future clinical applications. Somatic cell nuclear transfer was first attempted in amphibians due to the comparatively large size of the eggs, enabling easier micromanipulation coupled with the possibility of using considerable numbers of eggs and embryos. Tadpoles developed following transfer of nuclei from early cleavage stage embryos to enucleated eggs (Briggs and King, 1952). Subsequently, the group of Dr J. Gurdon (Gurdon *et al.*, 1958) transplanted the nucleus of a tadpole intestinal cell into an enucleated frog egg, succeeding in the creation of viable tadpoles that were genetically identical to the one from which the intestinal cell was obtained. This was the first experiment to show that differentiated cells could be set back to an embryonic state.

Since Dolly, several attempts have been made to generate SCNT-ESCs in several mammalian species, due to their potential benefits in biomedical applications such as allo-transplantation and personalized drug selection (Matoba and Zhang, 2018). These attempts have further enabled optimization of the SCNT process, including cell cycle synchronization between donor cells and recipient oocytes, erasure of epigenetic marks by using donor cells of varying ages and from different tissues, as well as the addition of small molecules and the modification of culture conditions (Akagi et al., 2011). The first primate SCNT-ESCs were derived in the rhesus macaque from adult skin fibroblasts, partly owing to the non-invasive removal of the spindle-chromosome complex by polarized microscopy (Byrne et al., 2007). Although very successful in all species tested, pseudoblastocyst development following human SCNT was not achieved, with most SCNT embryos arresting at the stage of embryonic genome activation (Heindryckx et al., 2007). The first successfully reconstructed human SCNT pseudoblastocysts were reported by French et al. (2008), however the derivation of SCNT-ESC lines was not attempted. The key to success was minor SCNT technological adjustments and the use of in vivo matured oocytes from young donors. Subsequently, Noggle and collaborators adjusted the conventional SCNT approach by transferring the somatic nucleus into a non-enucleated recipient oocyte. The reconstructed embryos developed well, and several SCNT-ESC lines were derived, albeit triploid (Noggle et al., 2011).

The group of Dr S. Mitalipov (Tachibana et al., 2013) was the first to succeed in the production of SCNT-hESCs lines (Fig. 1), later reproduced by a handful of groups (Chung et al., 2015; Wolf et al., 2017). As S. Mitalipov highlights himself: 'We demonstrated that cytoplasmic factors present in mature human oocytes are capable of converting the transplanted nuclear genomes from somatic cells (skin fibroblasts) to become "oocyte-like". We then used such skin-derived oocytes to develop into blastocysts and ESCs.' (Supplementary data).

The biggest hurdle for human SCNT applications remains the scarcity of human oocytes. A highly debated research question is whether the SCNT-ESC represent a better reprogramming method compared to iPSC (Matoba and Zhang, 2018). An issue of SCNT-ESCs and iPSCs is their propensity to retain a so-called somatic epigenetic memory, i.e. a partial epigenetic state, characteristic of the somatic cell used to derive them, which may lead to biases or limitations in their fate choice following differentiation into cells of a particular lineage, as shown in the mouse. In-depth analysis of mouse SCNT-PSCs has shown that they are molecularly closer to and functionally indistinguishable from mESCs derived from IVF-fertilized embryos, as compared to both mouse and hiPSCs (Ma et al., 2014; Mishra et al., 2018).

# Toward clinical-grade hPSCs: development of fully defined culture conditions

Currently, thousands of hPSC lines have been derived and are available for research purposes (https://hpscreg.eu/; https://cells.ebisc.org; http://

www.hipsci.org/). However, most of these lines are not suitable for clinical use as they have been derived and maintained in complex and poorly defined culture systems containing several xenogeneic components. Conversely, clinical-grade hPSC need to be generated and maintained in fully defined, xeno-free culture conditions, in compliance with current good manufacturing practices (GMPs).

The importance of clinical-grade cells has been recognized for several years now, as expressed in the words of pioneer Dr O. Hovatta: '[...] we saw that the hESC lines derived using mouse cells as feeder cells, and using bovine serum and other ingredients with animal origin in the culture medium, were quite suboptimal in quality thinking of infection risks and functional quality in clinical work. Hence, we decided to develop better derivation systems.' (Supplementary data).

In fact, as mentioned by Dr O. Hovatta, the first methods described for the derivation of hESC entailed the use of mouse feeder cell layers and medium containing fetal bovine serum (FBS), two factors that may contain animal pathogens and immunogens. FBS can be replaced by Knock-Out Serum Replacement (SR), which contains several amino acids, vitamins, antioxidants and trace elements, but also proteins including lipid-rich albumin which seems to play an important role in hPSC self-renewal (Garcia-Gonzalo and Izpisua Belmonte, 2008). Although more defined than FBS, SR is still xenogeneic and therefore maintains the risk for pathogenic contamination of hPSCs, which may be transmitted to patients upon transplantation (Martin et al., 2005). The use of human cells, mainly foreskin fibroblast cells, as feeder layers was the first important step toward xenofree hPSC cultures (Richards et al., 2002; Amit et al., 2003; Hovatta et al., 2003). However, if used for clinical-grade hPSC derivation and culture, the human feeder cells must also be produced under current GMP conditions (Prathalingam et al., 2012).

The first feeder-free hESC derivation was reported in 2005, using extracellular matrix extracted from mouse embryonic fibroblasts (Klimanskaya et al., 2005). They applied a serum-free medium with high concentrations of basic fibroblast growth factor (bFGF) to support hESC growth in the absence of fibroblasts. One year later, Ludwig and colleagues composed the first defined hESC derivation and culture medium, termed TeSRI. The formulation of the medium was created by testing the effect on hESC marker expression after the systematic addition of growth factors. One of the major advantages of this approach was that bFGF and transforming growth factor  $\beta$  I (TGF $\beta$ I), important factors for hESC maintenance, were identified. These factors are significantly different than those that were known for mouse ESCs (mESC) (Ludwig et al., 2006). Ludwig and colleagues also generated an artificial human extracellular matrix with a combination of human collagen IV, laminin, fibronectin and vitronectin to support hESC derivation and long-term culture. These conditions, for the first time, completely eliminated the use of animal products (Ludwig et al., 2006) (Fig. 1). Further progress in the study of the interactions between different media components led to the formulation of a completely chemicallydefined albumin-free medium, composed of eight factors: Essential 8 medium (E8). E8 is composed of insulin, selenium, transferrin, L-ascorbic acid, bFGF and TGF $\beta$  (or Nodal) in DMEM/F12 with pH adjusted with NaHCO<sub>3</sub> (Chen et al., 2011).

The first surface coatings used to substitute feeder cells were often protein mixtures obtained from cell cultures from which the exact composition can vary significantly from lot to lot (Klimanskaya *et al.*, 2005; Ludwig *et al.*, 2006). Matrigel, for example, is an extracellular protein mixture secreted by Engelbreth–Holm–Swarm mouse sarcoma cells, consisting of structural proteins such as laminin or collagen, growth factors (like TGF $\beta$ ) and other proteins in small amounts (Hughes *et al.*, 2010). During their search for more defined coatings, several groups identified extracellular matrix proteins with primary roles in supporting hPSC self-renewal and pluripotency. The recombinant forms of these proteins were then used for derivation and maintenance of hPSC lines. Known examples are vitronectin, laminin and fibronectin (Braam et al., 2008; Rodin et al., 2010). In 2014, Rodin et al. produced a specific subtype of laminin, LN-521, which allowed single-cell passaging of hPSC (Rodin et al., 2014), until then only possible with the use of Rho-associated coiled-coil containing protein kinase (ROCK) pathway inhibitors (Watanabe et al., 2007). Moreover, the combination of LN-521 with E-cadherin highly improved the efficiency of clonal hPSC culture and allowed hESC derivation from single blastomeres (Rodin et al., 2014).

As an alternative to recombinant proteins, synthetic polymers such as amino-propylmethacrylamide (APMAAm), poly(methyl vinyl ether-alt-maleic anhydride) (PMVE-alt-MA) and poly[2-(methacryloyloxy)ethyl dimethyl-(3-sulfopropyl) ammonium hydroxide] (PMEDSAH) have been used, resulting in a fully defined surface coating for hPSC culture (Shao et al., 2015). Finally, physical methods have also been used for surface optimization. Successful hPSC cultures have been described on both oxygen plasma-etched and UV ozone radiation modified tissue culture polystyrene (PE-TCP) (Mahlstedt et al., 2010; Saha et al., 2011).

One of the most crucial points of improvement for the future will be the upscaling of hPSC cultures through 3D systems. Large-scale hPSC production will be essential for their successful application in regenerative medicine. The number of cells required for effective cell therapy treatment varies according to the therapeutic goal but is expected to range between millions and billions of cells (Serra *et al.*, 2012). While the 2D culture systems remain time-consuming and labor-intensive, 3D automated culture systems, e.g. with stirred-tank bioreactors, may provide a solution for the mass production of high quality hPSC with minimal labor and costs (Steiner *et al.*, 2010; Fluri *et al.*, 2012; Shafa *et al.*, 2012). To avoid hydrodynamic stress and agglomeration of growing spherical aggregates, researchers have attempted to encapsulate hPSCs in hydrogel scaffolds (Li *et al.*, 2018). With this last approach, systems can be set up that are simple, scalable, highly efficient, defined and GMP-compatible.

An important aspect to keep in mind is that culture conditions may affect the genetic and epigenetic stability of the hPSC cells. An elegant study by Jacobs et al. showed that the decreased pH of the culture medium, resulting from high-density culture, has a direct influence on DNA damage and genomic instability of the cells (|acobs et al., 2016). Highly recurrent chromosomal abnormalities over culture passages have been reported by various labs worldwide, reflecting the progressive adaptation of hPSCs to culture conditions and the culture advantage conferred to the cells by these genetic changes (Spits et al., 2008; International Stem Cell et al., 2011; Nguyen et al., 2013). An example is the duplication of the long arm of chromosome 20. This abnormality has been reported in  $\sim$ 20% of hPSC lines worldwide, and culture takeover of the mutant cells is driven by overexpression of the B-cell lymphoma 2 like 1 (BCL2L1) gene, which leads to resistance to apoptosis upon dissociation (Avery et al., 2013; Nguyen et al., 2014). Similarly, also at the epigenetic level, the loss of DNA methylation and specific histone modifications has been linked to suboptimal culture conditions (Nazor et al., 2012; Geens et al., 2016; Geens and Chuva De Sousa Lopes, 2017).

# States of pluripotency: a model of early embryonic development

In the past two decades, insights into early embryo development have broadened our perception of pluripotency. As such, pluripotency is no longer viewed as a fixed state but rather a highly dynamic, malleable signaling network (Wu and Izpisua Belmonte, 2015; Weinberger *et al.*, 2016; Smith, 2017). Unraveling the complete potency spectrum and its transitions will remain central to our understanding of lineage commitment.

mESCs are one of the earliest and better characterized models of pluripotency (Xue *et al.*, 2011). Derived from the ICM of mouse blastocysts, mESCs demonstrated characteristic features of pluripotency, including long-term self-renewal, ability to differentiate toward all germ layers, high single-cell clonogenicity and efficient contribution to chimeras (Evans and Kaufman, 1981; Martin, 1981) (Fig. 3). hESCs derived from human preimplantation embryos, however, were markedly different from mESCs (Thomson *et al.*, 1998); hESCs had an epithelial morphology, could not be propagated efficiently as single cells and had different growth requirements (Thomson *et al.*, 1998). It soon became evident that hESCs rely on different signaling pathways to maintain pluripotency (Vallier *et al.*, 2005; Nichols and Smith, 2009) (Fig. 3).

Some years later, mouse epiblast stem cells (mEpiSCs) were isolated from post-implantation embryos and were found to share many similarities with hESCs (Brons et al., 2007; Tesar et al., 2007). Their transcriptome was similar to that of the post-implantation epiblast (Brons et al., 2007; Tesar et al., 2007), indicating that hESCs were more representative of later stages of embryo development. Subsequently, two states of pluripotency were proposed: naive and primed (Nichols and Smith, 2009) (Fig. 3). Accordingly, mESCs exist in a naive state, which constitutes the functional in vitro equivalent of the preimplantation epiblast, while hESCs are in a primed state. The naive or ground state of pluripotency is characterized by a seemingly unbiased differentiation potential, low variability in pluripotency linked gene expression, global DNA hypo-methylation and two active X-chromosomes in female cells (Nichols and Smith, 2009; Hackett and Surani, 2014; Davidson et al., 2015; Van der Jeught et al., 2015; Weinberger et al., 2016) (Fig. 3). Conversely, cells in the primed state display distinct pluripotency associated gene patterns, DNA hypermethylation, X-chromosome inactivation and inefficiency in forming chimeras; this state corresponds to the transition of naive epiblast cells toward a more committed state in vivo (Nichols and Smith, 2009; Hackett and Surani, 2014) (Fig. 3).

The notion that pluripotency exists in at least two distinct forms prompted further research toward the identification of in vitro culture conditions that stabilize the naive state in humans. Naive-like hESCs were first obtained by transgene-mediated reprogramming of primed hESCs (Hanna et al., 2010) (Fig. 1). However, the resulting naive hESCs required continued expression of integrated transgenes for long-term self-renewal. To address this limitation, several groups attempted to modify the cell culture conditions in order to induce naive characteristics in hESCs (Xu et al., 2010; Gu et al., 2012). Soon after, optimized chemically defined conditions were established, with the naive human stem cell medium (NHSM) allowing rapid conversion of primed hESCs to the naive state (Gafni et al., 2013). The resulting hESCs retained molecular and functional properties similar to naive mESCs. In a more systematic approach, Theunissen et al. identified a combination of five kinase inhibitors that generated hESCs expressing genes associated with human preimplantation development and the ground state of pluripotency (Theunissen et al., 2014). However, several hESC lines presented with an abnormal karyotype, leading to the notion that naive hPSCs may be more prone to genomic instability in culture. Takashima et al. reported that short-term overexpression of NANOG and kruppel like factor 2 (KLF2) were sufficient to reset the human pluripotency network (Takashima et al., 2014). To date, several other conversion protocols have been established (Chan et al., 2013; Valamehr et al., 2014; Ware et al., 2014; Duggal et al., 2015; Carter et al., 2016). Derivation of naive hESCs directly from the blastocyst ICM has also been achieved, albeit generally at low efficiency (Gafni et al., 2013; Theunissen et al., 2014; Ware et al., 2014) and frequently resulting in an abnormal karyotype (Theunissen et al., 2014; Guo et al., 2016).

The generation of naive hESCs allowed for their molecular signature to be described in detail. However, the methods used to generate naive hESCs vary considerably, restricting the primed state at different molecular levels. In the embryo, the transition to lineage commitment is highly efficient (Smith, 2017), yet during *in vitro* culture, altered conditions may modify this progression, contributing to inconsistent and inefficient directed



**Figure 3** States of pluripotency: primed vs naive. A comparison between potency states of cells in the developing embryo from mice (top) and human (bottom) and the *in vitro* manipulation necessary to maintain/convert each stage to the other. LIF/STAT, leukemia inhibitory factor/signal transducer and activator of transcription 3; PKCi, protein kinase C inhibitor; E, day of embryonic development; FGF, fibroblast growth factor; ERK, extracellular regulated kinase.

differentiation (Warrier et al., 2017). Notably, current naive hESCs do not readily differentiate and must first undergo a 'priming' step (Takashima et al., 2014; Irie et al., 2015; Smith, 2017).

The possibility to obtain different types of stem cells illustrates that pluripotency is highly determined by the synergistic interplay between embryo developmental stage and the micro-environment. Considering future therapeutic applications, unraveling the full potency spectrum of hESCs may enhance efficiency and control over directed differentiation. Exploring cellular plasticity during embryogenesis and recapitulating this potential *in vitro* will undoubtedly have profound effects on both the reproductive and stem cell fields.

### **Differentiation of PSCs**

To date, hPSCs have been differentiated to many cell types, either by directed differentiation (to a specific cell type of interest, for example cardiomyocytes, dopaminergic neurons or pancreatic beta-cells) or by undirected differentiation (for example in embryoid bodies).

For some purposes, the generation of a single-cell type may be desirable (e.g. to model heart disease, Parkinson's disease, diabetes). However, the initial population of PSCs is usually rather heterogeneous, hence differentiation protocols need constant optimization to increase their efficiency. A limitation of *in vitro* differentiation is that the final maturation of differentiated cells in culture is often partial, corresponding to a 'fetal' phenotype instead of a more 'adult' (or mature) one. Scientists are still attempting to identify factors that may be used to mature the cells further. In this sense, it is important to note that initiatives to help determine the exact 'maturation level' of a differentiated cell are emerging. One such initiative is KeyGenes, a platform that includes transcriptomics data of human fetal and adult organs and compares the transcriptional profile of differentiated cells to *in vivo* organs at different developmental stages (Roost *et al.*, 2015). For example, this platform was used to demonstrate the fidelity of differentiation of kidney-organoids to the kidney, providing fast and reliable quantification of the differentiation protocol used and revealing that after 16 days of differentiation the organoids resembled first trimester kidneys (Takasato *et al.*, 2015). Another initiative that will surely contribute to increase our understanding of specific cell types and signals produced at every stage of maturation to help improve the quality and efficiency of differentiation protocols is the Human Cell Atlas project (Rozenblatt-Rosen *et al.*, 2017) that will generate single-cell transcriptomics data from human organs.

### **Organoids and chimeras**

More recently, there has been a significant effort to generate human organoids (or mini-organs) by taking advantage of patient-specific PSCs for drug testing and disease modeling. Organoids are typically free-floating hollow spheres made of cells, with self-organizing (and self-patterning) properties that recapitulate some aspects of development and organ morphogenesis. Typically, they have epithelial or epithelial and mesenchymal architectural compartments, with the apical part of the epithelial compartment on the inside bordering a fluid-filled cavity. Organoids are usually maintained long-term in defined culture medium on Matrigel-scaffolds. However, Matrigel is an undefined product, making organoids unsuitable for regenerative medicine applications. To date, hPSCs have been used to generate organoids from the digestive system (liver, small intestine, stomach), urogenital tract (kidney), neural system (brain, pituitary gland, inner ear, retina), exo/endocrine glands (mammary glands, thyroid) and respiratory system (lung) (Huch and Koo, 2015; Kretzschmar and Clevers, 2016; McCauley and Wells, 2017) (Fig. 1). One of the advantages of organoids is the potential for scaling up at an industrial level for translational applications. With the current screening technologies, organoid systems could be exploited to model patient-specific drug response to tumorigenesis (Arai et *al.*, 2015; Crespo et *al.*, 2017; Qu et *al.*, 2017) or infectious diseases (Zika virus) (Qian et *al.*, 2016).

In addition to organoids, efforts are also underway to generate fully grown organs in interspecies-chimeras (organism composed of a mix of at least two genetically distinct cells), including human-animal chimeras, broadening the application of patient-specific PSCs. Initial ground-breaking work from Kobayashi and colleagues in 2010 showed that wild-type rat PSCs could integrate in mice blastocysts mutants for PDX1 (pancreatic and duodenal homeobox 1) (that develop to birth but die shortly afterward, as they lack the pancreas) and resulted in viable PDX1-/- mouse progeny with a fully functional pancreas made of rat cells (Kobayashi et al., 2010). This successful application was taken a step further and the technology to generate pig blastocyst mutants for PDX1 was subsequently developed (Matsunari et al., 2013). Next steps include investigating whether human PSCs have the potential to integrate into mutant pig blastocysts, such that a human pancreas can be developed in the pig. This concept known as 'organ farming' may have important implications to resolve the shortage of organs available for transplantation (heart, kidney, pancreas), as it would be possible to generate patient-specific human organs in pigs. However, recent work introducing rat and human PSCs in porcine and bovine blastocysts has demonstrated limited integration and development of chimeric pig embryos until mid-gestation (Wu et al., 2017) (Fig. 1).

### In vitro gamete derivation

Producing gametes *in vitro* by directed differentiation of hPSCs represent a complex goal, which may be used in the future to provide for an unlimited source of *in vitro*-produced gametes for toxicology and pharmacology studies, but possibly also for granting certain infertile couples the possibility to have genetic children.

Germ cell development is a highly orchestrated process, controlled by a unique set of genetic and epigenetic regulators, taking into account important variables like primordial germ cell (PGC) state, meiosis and gonadal somatic cells with important differences between mouse and human models (Eguizabal et al., 2016). Thus far, researchers have been successful in deriving *in vitro* germ cells from mouse PSCs, however many obstacles remain to be overcome for the robust generation of mature gametes in humans (Yamashiro et al., 2018).

# *In vitro* differentiation of h**PSC**s into male germ cells

An important first step to achieve functional gametes from PSCs is to reach the PGC state. Putative PGC-like cells have already been derived *in vitro* from hPSCs (Fig. 1) (Clark *et al.*, 2004) by several culture approaches such as embryoid body formation (Bucay *et al.*, 2009), monolayer differentiation (Tilgner *et al.*, 2008, 2010) or co-culture with fetal gonads (Park *et al.*, 2009). Also the PGC state can be reached from different pluripotency states (primed and naive) (Mitsunaga *et al.*, 2017; Sasaki *et al.*, 2015).

The second step for male germ cell differentiation is reaching the post-meiotic stage of spermatozoa. Unfortunately, differentiation past the PGC stage has proven challenging in human. One of the strategies employed includes supplementing the differentiation medium with growth factors such as bone morphogenetic protein (BMP)-4 (Tilgner et al., 2008), bFGF, retinoid acid and R115866 (Eguizabal et al., 2011)

and hormones such as insulin and testosterone (Easley et *al.*, 2012). Several groups have attempted overexpression of specific male germ cell genes, such as deleted in azoospermia (DAZ), deleted in azoospermia like (DAZL) and BOULE (Kee et *al.*, 2009; Panula et *al.*, 2011) or VASA (Tilgner et *al.*, 2008, 2010; Medrano et *al.*, 2012) to guide differentiation toward spermatozoa.

Recently, hiPSCs from azoospermic and normospermic males were directly transplanted into mouse testis, partially colonizing the testicular niche and showing signs of early spermatogenesis (Ramathal *et al.*, 2014), raising the question of whether an initial *in vitro* differentiation step is needed at all. In a mouse model, it has been demonstrated that transplanting *in vitro*-produced PGC-like cells from PSCs into mouse testis resulted in functional sperm, capable of producing healthy and fertile offspring (Hayashi *et al.*, 2011; Nakaki et al., 2013), representing the most important proof of functional male germ cells from PSCs.

So far, the need of a natural testicular niche to obtain mature functional spermatozoa is still present, and *in vitro* production of spermatozoa is currently not possible in humans (Zhou *et al.*, 2016).

# In vitro differentiation of hPSCs into female germ cells

In 2003, Dr H. Schöler's group demonstrated for the first time that mESCs were able to form follicle-like structures comprising oocyte-like cells, however their functionality was not proven (Hubner *et al.*, 2003). Several years later, in 2012, Hayashi *et al.* used female mPSCs and induced them into PGC-like cells, which were then aggregated with fetal ovarian somatic cells and transplanted under the ovarian bursa. PGC-derived immature oocytes were obtained and were subsequently matured and fertilized *in vitro* and the resulting embryos were transplanted into foster mothers (Hayashi *et al.*, 2012).

Later, Hikabe *et al.* reconstituted the complete process of oogenesis *in vitro* from mESCs and iPSCs (derived from fetal and adult tail tip fibroblasts) to generate oocyte-like cells, however with lower success rates in comparison to Dr K. Hayashi's earlier results (Hikabe *et al.*, 2016).

Recently, Jung et al. (Jung et al., 2017) showed that DAZL and BOULE can modulate hESC entry into meiosis, and that growth differentiation factor 9 (GDF9) and BMP15 can induce folliculogenesis in differentiated hESCs. The follicle-like cells derived resembled *in vivo* primordial follicle, as proven by transplantation experiments.

At present, robust *in vitro* production of functional human oocytes from pluripotent cells remains a distant prospect.

### Genetic modifications in hPSCs

Over the past decades, several gene editing strategies have been employed in hESCs and hiPSCs, each with benefits and limitations.

The first efforts to manipulate the hESC genome involved untargeted transgenic approaches, used to monitor cellular differentiation (Eiges *et al.*, 2001). Bacterial plasmids or viral vectors were used to randomly integrate reporter genes, using cell-specific promoters to drive expression (Eiges *et al.*, 2001; Gerrard *et al.*, 2005). Similarly, a number of fluorescent reporter lines were generated to monitor differentiation toward specific lineages, allowing for the identification of a variety of hESC derivatives (Lavon *et al.*, 2004; Singh Roy *et al.*, 2005; Huber *et al.*, 2007) However, untargeted transgenic technology was soon replaced by targeted approaches for gene editing in order to achieve specificity.

Homologous recombination (HR)-based gene editing allowed integration of a nucleotide sequence into a specific site within the hESC genome (Mansour et al., 1988; Meyn, 1993; Giudice and Trounson, 2008). HRmediated methods utilize the innate DNA repair machinery of the cell to alter or replace a specific nucleotide sequence by a homologous one

(Leavitt and Hamlett, 2011; Brookhouser et al., 2017). Gene targeting by HR in mESCs (Smithies et al., 1985; Thomas and Capecchi, 1987) proved paramount for characterizing gene function and investigating human disease. However, classical methods of HR in mESCs did not prove straightforward in hESCs. The first report demonstrating HR-based gene editing in hESCs targeted the X-linked, hypoxanthine-guanine phosphoribosyl transferase (HPRT) gene and pluripotency marker POU5FI (Zwaka and Thomson, 2003), providing key parameters for further efforts in the field, at the time. Notably, HR-based gene targeting was also applied to correct gene mutations in hESCs and model disease (Urbach et al., 2004; Ruby and Zheng, 2009). Although these reports demonstrated the viability of HRbased gene editing they also underscored the technical challenges involved. Poor single-cell survival resulted in considerable cell death and low transfection efficiencies in hESCs (Zwaka and Thomson, 2003; Urbach et al., 2004; Irion et al., 2007; Di Domenico et al., 2008; Ruby and Zheng, 2009; Brookhouser et al., 2017). Moreover, as recombination activity is heavily dependent on cell type and cell cycle, applications of this method were relatively limited (Eid and Mahfouz, 2016; Chandrasekaran et al., 2017).

Other approaches aimed at promoting HR-based targeting in hESCs proved more successful. In the past decades, enzymatically induced DNA double-strand breaks (DSBs) significantly increased the efficiency of HR-mediated gene editing (Donoho *et al.*, 1998). Genomic DSBs are generated by engineered sequence specific nucleases and repaired either by non-homologous end-joining (NHEJ) or homology-directed repair (HDR). The synthetic nucleases used for editing DNA in hESCs include zinc-finger nucleases (ZFNs), transcription activator-like effector nucleases (TALENs) and clustered regularly interspaced short palindromic repeat (CRISPR)-associated Cas nucleases (Chandrasekaran *et al.*, 2017). There have been several reports demonstrating the efficacy and value of all three approaches for editing hPSC genomes.

ZFN applications range from the introduction of reporter genes to monitor pluripotency and differentiation (Hockemeyer et *al.*, 2009), to the correction of disease-causing mutations in hiPSCs, including sickle cell anemia (Sebastiano et *al.*, 2011),  $\alpha$ -thalassemia (Chang and Bouhassira, 2012) and several neurodegenerative diseases (Fong et *al.*, 2013; Kiskinis et *al.*, 2014). TALENs emerged as an alternative to ZFNs, although similar in architecture, the binding affinity and assembly of functional TALENs is inherently more successful (Li et *al.*, 2014). TALEN-mediated approaches have been employed by several groups for the generation of hPSC reporter lines (Luo et *al.*, 2014), biallelic gene knockout and to generate various diseases models using hiPSCs (Soldner et *al.*, 2011; Ding et *al.*, 2013).

Unlike ZFNs and TALENs, limited by their high cost and poorer specificity, Cas nucleases rapidly became the preferred enzymes for genome editing due to their higher efficiency and versatility (Plaza Reyes and Lanner, 2017). The most commonly applied Cas9 enzyme is guided by a short single guide RNA (gRNA) molecule, which can be easily engineered. CRISPR/Cas9 gene editing undoubtedly revolutionized human stem cell research, providing vast opportunities for genetic manipulation, further exemplified by the plethora of basic and translational applications to date. To explore underlying mechanisms of gene regulation, Liao et al., for instance, describe the targeted disruption of active DNA methyltransferases (DNMTs) in hESCs, unraveling the role of these enzymes (Liao et al., 2015) (Fig. 1). Furthermore, gene knock-in by HDR-mediated CRISPR/Cas has been applied for the generation of numerous reporter lines (Balboa et al., 2017) and serves as a powerful therapeutic strategy for the correction of specific mutant genes, as well as modeling human disease by generating mutant hPSCs. The HDR-based approach may also be useful in cases where generating patient-specific hiPSCs is unfeasible, creating opportunities for studying a wide range of genetic pathologies (Zhang et al., 2017). The CRISPR/Cas9 system has been applied for the study of genetic diseases including Duchenne muscular dystrophy (Young et al.,

2016), Huntington's disease (Shin *et al.*, 2016),  $\beta$ -thalassemia (Xie *et al.*, 2014) and sickle cell anemia (Hanna *et al.*, 2007).

Along with its success, several limitations remain to be overcome prior to clinical applications of gene editing in hPSCs. Off target effects, resulting from the random integration of nucleotides, still persist, while exploring novel safe delivery strategies is also necessary (Zhang *et al.*, 2017). Moreover, the safety and efficacy of the edited cells require evaluation prior to implementation in a clinical setting. Elucidating the full extent of off target effects and improving editing efficiencies in hPSCs will require constant innovation in both gene editing and stem cell research. Nevertheless, the complementary nature of these two fields has certainly allowed remarkable progress. Manipulating the hPSC genome to unravel gene function and underlying processes of human development will continue to enhance stem cell technologies. Concurrently, disease modeling and therapeutic approaches will further foster the ultimate vision of clinical applications through personalized regenerative medicine.

### **Clinical trials with hPSC**

Cell therapies with hPSC are emerging as a possible solution to degenerative diseases. As Dr P. Andrews points out: '[...] it is remarkable that 20 years on from Jamie Thomson's landmark paper, clinical trials of pluripotent stem cell derivatives are in hand or on the near horizon for a range of medical conditions [...]' (Supplementary data). hPSCs are in clinical trials for a range of conditions, including macular degeneration, spinal cord injury, type I diabetes, heart disease and Parkinson's disease (Trounson, and De Witt, 2016). About 30 clinical trials are currently ongoing with hESC-derived cells and the first patients are being treated with hiPSCderived cells (Table I).

Most of the information regarding the results of clinical trials with PSCs in this section have been obtained from sponsor company press releases or press articles. Whenever the results arise from a scientific publication, the reference is provided.

The first clinical trial with cells from hPSCs was launched in 2010 for patients with spinal cord injury (Fig. 1). Geron corp. initiated a Phase I study to evaluate the safety of the use of oligodendrocyte precursors derived from hESCs (GRNOPC1), in patients with recent spinal cord injury. One year later, the company canceled the trial for economic reasons, reporting that no significant side effects were observed in any of the five patients treated. In 2014, Asterias Biotherapeutics reinitiated the assay (by renaming the product as AST-OPC1), initiating a Phase I/II trial in which it intended to treat 35 patients with different cell doses. Preliminary data reported by the company at the ISCCR meeting in June 2017 showed cavitation, improved myelin coating, neovascularization and the production of neuronal growth stimulating factors, in addition to the absence of relevant side effects and good tolerance for the immunosuppressive treatment.

In 2011, advanced cell technologies (ACTs) initiated a Phase I trial for the treatment of age-related macular degeneration (AMD) and Stargardt's disease by transplantation of retinal pigmented epithelium (RPE) cells derived from hESC (MA09-hRPE). ACT has published preliminary (Schwartz et al., 2012) and medium term results (Schwartz et al., 2015) without side effects and improved vision in 17 out of 18 treated patients. Currently, ACT (which was renamed Ocata therapeutics and later Astellas Institut for Regenerative Medicine) has four other clinical trials underway for the treatment of macular degeneration in the USA and UK. In addition, MA09-hRPE is also being used in two Phase I/II trials in South Korea with similar positive results (Song et al., 2015). Dr Coffey's group have reported primary and secondary outcomes from the first two patients in a Phase I clinical trial sponsored by Pfizer in the UK (da Cruz et al., 2018). One year post-treatment, the best corrected visual acuity (BCVA) improved from

No.	Disease	Cell origin	Device	Derived cells	Sponsor	Country	Phase	Start date	Final date	No. of pat.	Status
NCT01217008	SCI	hESC	GRNOPCI	Oligodendrocytes	Asterias Biotherapeutics	USA	I	Oct-10	Jul-13	5	Completed
NCT01344993	AMD	hESC	MA09-hRPE	Retinal pigmented epith.	Astellas Inst. Regen.Med.	USA	1/11	Apr-11	Aug-15	13	Completed
NCT01345006	SMD	hESC	MA09-hRPE	Retinal pigmented epith.	Astellas Inst. Regen.Med.	USA	1/11	Apr-11	Aug-15	13	Completed
NCT01469832	SMD	hESC	MA09-hRPE	Retinal pigmented epith.	Astellas Inst. Regen.Med.	UK	1/11	Nov-11	Sep-15	12	Completed
NCT01625559	SMD	hESC	MA09-hRPE	Retinal pigmented epith.	CHABiotech CO., Ltd	Korea	I	Sep-12	Jun-15	3	Unknown
NCT01674829	AMD	hESC	MA09-hRPE	Retinal pigmented epith.	CHABiotech CO., Ltd	Korea	I/lla	Sep-12	Apr-16	12	Unknown
NCT01691261	AMD	hESC	PF-05206388	Retinal pigmented epith.	Pfizer	UK	I	Jun-15	Nov-16	10	Ongoing
NCT02057900	IHD	hESC		CD15+ IsI-1+ progen.	Assistance Pub. Hôp. Paris	France	I	Jun-13	Jun-18	6	Recruiting
NCT02239354	TIDM	hESC	VC-01™	$\beta$ Cell progenitors	ViaCyte	USA	1/11	Sep-14	Jan-21	40	Ongoing
NCT02286089	AMD	hESC	OpRegen	Retinal pigmented epith.	Cell Cure Neurosciences	Israel	1/11	Mar-15	Sep-19	15	Recruiting
NCT02302157	SCI	hESC	AST-OPC1	Oligodendrocits progenit.	Asterias Biotherapeutic.	USA	1/11	Mar-15	Dic-18	35	Recruiting
NCT02445612	SMD	hESC	MA09-hRPE	Retinal pigmented epith.	Astellas Inst. Regen.Med.	USA	1/11	Jul-12	Dic-29	13	Ongoing
NCT02452723	PD	phESC	ISC-hpNSC	Neural stem cells	Cyto Therapeutics Pty Lim.	Australia	I	Mar-16	Mar-19	12	Recruiting
NCT02463344	AMD	hESC	MA09-hRPE	Retinal pigmented epith.	Astellas Inst. Regen.Med.	USA	1/11	Jul-12	Dic-29	11	Ongoing
NCT02464956	AMD	hiPS autol		Retinal pigmented epith.	Moorfields Eye Hosp. NHS	UK	I	Jul-15	Apr-16	10	Unknown
NCT02590692	AMD	hESC	CPCB-RPEI	Retinal pigmented epith.	Regenerative Patch Tech.	USA	1/11	Oct-15	Sep-22	20	Recruiting
NCT02749734	AMD; SMD	hESC	ESC-RPE	Retinal pigmented epith.	Southwest Hospital, China	China	I	May-15	Dec-17	15	Recruiting
NCT02755428	AMD	hESC	MA09-hRPE	Retinal pigmented epith.	Chinese Academy Sc.	China	I	Apr-17	Dec-20	10	Recruting
NCT02903576	AMD; SMD	hESC		Retinal pigmented epith.	Federal Univ. São Paulo	Brazil	1/11	Aug-15	Jun-19	18	Recruiting
NCT02923375	GVHD	hiPSC allog	CYP-001	MSC	Cynata Therapeutics	Australia	I	Mar-17	Sep-19	16	Recruiting
NCT02941991	SMD	hESC	MA09-hRPE	Retinal pigmented epith.	Astellas Inst. Regen.Med.	UK	1/11	Jun-13	Dec-19	11	Ongoing
NCT03046407	AMD	hESC	ESC-RPE	Retinal pigmented epith.	Chinese Academy Sc.	China	I	Mar-17	Dec-20	10	Recruiting
NCT03119636	PD	hESC		Neural precursors	Chinese Academy Sc.	China	1/11	May-17	Dec-20	50	Recruiting
NCT03162926	TIDM	hESC	VC-02	β Cell progenitors	ViaCyte	USA	I	Jul-17	Jun-18	15	Recruiting
NCT03163511	TIDM	hESC	VC-02	$\beta$ Cell progenitors	ViaCyte	USA	1/11	Jul-17	Dec-20	55	Recruiting
ChiCTR-OCB-15005968	SOSD	hESC		Corneal epithelium	Eye Institute Xiamen Univ.	China	1/11	Oct-15	Dec-18	20	Recruiting
ChiCTR-OCB-15007054	AMD	hESC	ESC-RPE	Retinal pigmented epith.	Chinese Academy Sc.	China	I	Jun-16	Jun-17	10	Recruiting
ChiCTR-OCB-15007055	RPD	hESC	ESC-RPE	Retinal pigmented epith.	Chinese Academy Sc.	China	I	Sep-15	Dec-17	10	Recruiting
	AMD	hiPSC allog		Retinal pigmented epith.	RIKEN Center for Dev.Biol	Japan	I	Feb-17		5	Recruiting
UMIN000011929	AMD	hiPS autol		Retinal pigmented epith.	RIKEN Center for Dev.Biol	Japan	I	Sep-14	Sep-15	I	Suspended
	PD	hiPSC allog		Dopamine-secreting nerve	Center for iPS Cel Res.	Japan	I	2018			

Table I Clinical trials with cells derived from human embryonic stem cell and human-induced pluripotent stem cells.

hESC, human embryonic stem cell; hiPSC, human-induced pluripotent stem cell; MSC, mesenchymal stem cell; allog, allogenic; epith, ephitelial; progen, progenitors; pat, patient; autol, autologous.

 $10\ to\ 39\ and\ from\ 8\ to\ 29\ letters\ in\ Patients\ 1\ and\ 2,\ respectively. Reading speed improved from 1,7\ to\ 82,8\ and\ from\ 0\ to\ 47,8\ words/min.$ 

In an article published recently in *Ophtalmology* (Mehat *et al.*, 2018), the authors did not find any evidence of uncontrolled proliferation or inflammatory responses after the transplantation. Borderline improvements in BCVA in four participants either were unsustained or were matched by a similar improvement in the untreated contralateral eye. Microperimetry demonstrated no evidence of benefit at 12 months in the 12 participants.

Other clinical trials for the treatment of macular degeneration by hESCderived RPE have been registered such as those carried out by Pfizer (UK), Regenerative Patch Technologies (USA), Cell Cure Neurosciences (Israel), Federal University of São Paulo (Brazil), Chinese Academic of Science (China), Southwest Hospital, Chongqing (China), all registered at Clinical Trials.gov (https://clinicaltrials.gov). In the Chinese Clinical Trial Register (www.chictr.org), there are two clinical trials for macular degeneration from The Chinese Academy of Sciences and one trial from The Eye Institute of Xiamen University with corneal epithelium derived from hESCs for severe ocular surface disease.

In 2013, the first Phase I clinical trial for the treatment of six patients with cardiac ischemia with cardiac progenitors derived from hESCs was started in the Assistance Publique Hôpitaux in Paris. The preliminary results of the first treated patient were published by Menasche et al. (2015) and reported an improvement of cardiac function and absence of important side effects.

In 2014, a Phase I/II study for the treatment of 65 patients with type I diabetes was initiated by Viacyte. A device (VC-01) consisting of a semipermeable membrane that encapsulates the  $\beta$  cell progenitor cells had been designed. This device, implanted subcutaneously, allows the entry of oxygen and nutrients, as well as the release of insulin and other hormones, while protecting the cells from the autoimmune reaction that causes type I diabetes. The company announced in August 2017 two more trials with a modified device (VC-02) that allows direct vascularization into itself.

In 2017, the Australian company Cyto Therapeutics Pty Limited initiated a study in 12 patients with Parkinson's disease with neuronal progenitors from parthenogenetic hESCs (ISC-hpNSC). After 6 months of treatment, a reduction of the off period was observed in the treated patients, as well as an improvement in motor and cognitive abilities. Another clinical trial for Parkinsons's disease had been announced in June 2017 by The Chinese Academy of Sciences consisting of the transplantation of neuronal precursors derived from hESCs (50 patients; Phase I).

In 2014, the Riken Institute in Japan treated a patient with cells obtained from hiPSCs for the first time (Fig. 1). These were RPEs derived from autologous hiPSCs to avoid immune rejection. The patient did not present any side effects after the treatment. The trial was suspended when attempting to treat a second patient as mutations were detected in the generated hiPSCs (Mandai *et al.*, 2017). Due to these findings and to the fact that the generation of patient-specific iPSCs is time-consuming and expensive, Riken opted for the use of tested and safe allogenic hiPSCs. A new clinical trial has been initiated involving the generation of a hiPSC bank from peripheral blood samples with the most frequent homozygous HLA haplotypes that could match a sufficient proportion of the general population (Okita *et al.*, 2011b). Riken announced the treatment of the first patient with RPE derived from allogeneic hiPSCs in February 2017.

The Moorfields Eye Hospital NHS Foundation Trust (UK) reported in May 2015 that the first AMD patient was treated with hiPSC-derived RPE. To date, no results have been published so far.

Cynata Therapeutics (Australia) started a clinical trial in May 2017 with mesenchymal cells from allogeneic hiPSC (CYP-001) for the treatment of graft versus host disease (GVHD). Improvement in the severity of the GVHD in the first eight patients was announced in January 2018. The Center for iPS Cell Research in Japan reported in February 2017 that a trial for the treatment of Parkinson's with allogeneic hiPSCs will soon be conducted.

Clinical trials registered in Clinical Trials.gov and other registries are shown in Table I.

# Misconduct in pluripotent cell research

# Stimulus-triggered acquisition of pluripotency

In January 2014, two *Nature* papers seemed to revolutionize stem cell derivation by showing that somatic cells could be 'reprogrammed' to PSCs simply by subjecting them to certain types of stress, e.g. submersion in weak acid, physical trauma or bacterial toxins. However, within weeks of the paper's publication errors were reported and scientist trying to replicate this stimulus-triggered acquisition of pluripotency (also known as STAP) method all failed. Lead author Dr H. Obokata was accused of misconduct and in August 2014 the papers were retracted.

### Human SCNT embryos

Dr W. Hwang and his former colleagues at Seoul National University, South Korea claimed in 2004 and 2005 to have produced human SCNT embryos and the successful derivation of ESCs. This purported breakthrough was published in *Science*, however both papers were soon retracted based on suspicions of fraud. The ball started rolling when it was found that two female scientists in the lab donated eggs for this research, a violation of international ethics guidelines. The scientific claims were further examined and the final report from the investigation committee of Seoul National University concluded that the authors of the two papers published in *Science* engaged in research misconduct, with the papers containing fabricated data. Once considered a national hero in South Korea, receiving huge financial support from the government, Dr W. Hwang became an outcast.

### Conclusion

The first 20 years following the derivation of hESCs have proven rich in developments. The multi-disciplinary nature of the field with tremendous potential for a vast range of diagnostic and therapeutic applications has been generating immense excitement in medical research. From the derivation of iPSCs to the discovery of different states of pluripotency, and the refinement of differentiation protocols and gene editing technologies. At present, several clinical trials are underway and preliminary results are promising. In the years to come, pluripotent cells will come of age, and regenerative therapies in several fields of medicine will profit from the production of highly defined cell populations in clinical grade conditions. Although the road to the seamless application of pluripotent human cells in the clinic is still treacherous, exceptional advancement in just 20 years provides a positive outlook on the future of this discipline. To conclude with the words of Dr P. Andrews: 'Predicting the future is always dangerous and the only certain thing is that we will use stem cell biology in the future in ways that we do not imagine today.'

### Supplementary data

Supplementary data are available at Human Reproduction Open online.

### Acknowledgements

The authors wish to thank Shinya Yamanaka, Alan Trounson, Outi Hovatta, Peter W Andrews, Miodrag Stojkovic and Shoukhrat Mitalipov for their contribution, and Sarai Brazal for assistance.

## **Authors' roles**

C.E., B.A., S.M.C.S.L., M.G., B.H., S.P., M.P., R.V. and A.V. concieved and designed the study, performed literature search and manuscript writing. All authors revised and approved the final manuscript.

## Funding

ESHRE provided funding for the authors' meeting on-site and discussion during the preparation of this manuscript. S.M.C.S.L. is funded by the European Research Council Consolidator (ERC-CoG-725722-OVOGROWTH). M.P. is supported by the Special Research Fund, Bijzonder Onderzoeksfonds (BOF01D08114). M.G. is supported by the Methusalem grant of Vrije Universiteit Brussel, in the name of Prof. Karen Sermon and by Innovation by Science and Technology in Flanders (IWT, Project Number: 150042). A.V. and B.A. are supported by the Plataforma de Proteomica, Genotipado y Líneas Celulares (PT1770019/0015) (PRB3), Instituto de Salud Carlos III. Research grant to BH by the Research Foundation–Flanders (FWO) (FWO. KAN.2016.0005.01 and FWO.Project G051516N) and Innovation by Science and Technology in Flanders (IWT, Project Number: 150042).

### **Conflict of interest**

None declared.

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doi:10.1093/hropen/hoy024

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## INTERVIEWS WITH PIONEERS IN THE STEM CELL FIELD OF RESEARCH

### Shinya Yamanaka

Center for iPS Cell Research and Application, Kyoto University, Japan and Gladstone Institute of Cardiovascular Disease, Gladstone Institutes, San Francisco, CA, USA

Q: Please tell us about the iPSC experiments leading to the influential articles in Cell 2006 and 2007. How did it happen? Were the results as expected? How long did it take? etc

A: I got extremely excited when I first read James Thomson's paper on human ES cells because of their huge potential in regenerative medicine. Because of the work, I started a project to make pluripotent stem cells from adult human cells instead of embryos.

I first expected it would take a few decades to achieve the goals but thanks to the talented students and staff, previous research and database, we successfully generated mouse iPS cells in 6 years. Repetitive experiments gave us confidence in our achievement. From mouse to human, it took almost two decades with ES cells. However, thanks to human ES cells, we knew exactly how we should culture human iPS cells. Because of this it took less than a year with iPS cells.

## Q: How has the iPSCs influenced the field of stem cells in your opinion?

A: I believe that the biggest advantages of iPSCs is that we can generate pluripotent stem cells from patients or healthy individuals with solid medical history.

### Q: What does the future hold for pluripotent stem cells? What are the major hurdles at the moment?

A: Clinical trials using pluripotent stem cells in some diseases are already ongoing and much more are expected to follow them. We need to carefully see whether these trials will turn out to be safe and efficient, and I hope the fruits will contribute to new treatments as soon as possible.

iPS cell technology is also expected to pioneer new basic research such as elucidating the mystery of life and conservation of endangered species.

One of the big questions to puzzle out is the mechanism of reprogramming. In terms of iPS cells, how we can evaluate safety and qualities of iPS cells and iPS cell-derived differentiated cells is another question we need to answer.

## Q: Could you tell us an anecdote related to your work with pluripotent stem cells?

A: When we first invented ES cell-like cells from mature cells, I named them 'induced pluripotent stem cells' abbreviated as iPS cells. In naming iPS cells, I used a small letter for 'i' after the model of 'iPod', hoping that the name would be easy to be remembered.

#### Alan Trounson

Hudson Institute of Medical Research and Monash University, Clayton, Victoria, Australia.

#### Q: What have been the main results of your work?

A: We had been working on development of hESCs from human blastocysts while I was on sabbatical leave 1994–5 with Ariff Bongso in Singapore. We were growing these lumpy colonies that looked different from mouse ESCs. I asked the mouse ESC experts and they thought that they had differentiated. This wasn't in fact the case. When Martin Pera joined me from Oxford he thought we had human ESCs, so I sent Ben Reubinoff (our PhD student) to Singapore to make them again. He brought back some of the colonies which converted to hESCs and we set about characterizing them using markers Martin had setting up the teratoma assays. Then Jamie Thomson paper arrived in 1998 for reviewing for the journal Science—the establishment of human ESCs. We knew he was right because we had them in the lab but had not as yet characterized them as teratomas. We went on to show you could direct them into the neural lineage in our paper in Nature Biotech in 2000. We had made these hESCs from a small number of donated blastocysts grown in Arrif Bongo's lab.

#### Q: What have been the consequences for the field?

A: This work showed that we could develop immortal pluripotent stem cells that had the potential to be very important in cell therapy and drug discovery. Clinical trials using these cells have begun in work to repair spinal cord injury, macular degeneration, heart disease, type I diabetes and Parkinson's Disease (see Trounson & DeWitt, Nature Reviews Molecular Cell Biology 17: 194–200, 2016). They were also critical in working out the transcription factors needed to make iPSCs from somatic cells and now for new developments in drug discovery (see: Zhang et al. Cell Stem Cell 21: 161–65. 2017).

#### Q: How do you foresee the future in this field?

A: I think we shall see many new developments and among them those that have good evidence for progress include:

- The use of pluripotent stem cells for the targeted destruction of cancer stem cells an area I am working on myself with a group of colleagues (see: www.Catherics.com.au).
- Gene editing oocytes and embryos to remove inherited genetic diseases.
- The use of blastocyst complementation to form human organs for transplantation.
- The development of artificial ovaries for sterile women or those at risk of reproductive sterility due to cancer therapies.
- Development of synthetic embryos for study of the human stem cell differentiation and organization of the human developmental processes.
- Production of human gametes via iPSCs or their derivatives for research and possible clinical applications.

#### Outi Hovatta

Emerita Professor, Karolinska Institutet, Stockholm, Sweden

Q: How did you get into the field of stem cells? Please tell us about the embryonic stem cell derivations. How did it happen? Were the results as expected? How long did it take? etc

A: I was leading a large *in vitro* fertilization (IVF) unit at Karolinska University Hospital in Stockholm, Sweden, since 1998. At that time, there was a wide discussion regarding human embryonic stem cells (hESC). In Sweden, the attitudes were accepting also ethically, thinking of the large potential benefits in regenerative medicine. Hence, we obtained ethics approval for establishing hESC lines from donated embryos that could not be used in infertility treatment. We saw that the hESC lines derived using mouse cells as feeder cells, and using bovine serum and other ingredients with animal origin in the culture medium, were quite suboptimal in quality thinking of infection risks and functional quality in clinical work.

Hence, we decided to develop better derivation systems.

## Q: What do you think is the main achievement of your work?

A: In our team, we developed a completely animal substance free chemically defined derivation and culture systems for hESC. We took them to Good Manufacturing Practice (GMP) grade laboratory. We now have hESC lines that fulfill the requirements for clinical treatment.

### Q: What do you think is next in this field?

A: hESC can already now be differentiated to many cell types, such as retinal pigment epithelial cells, dopamine producing neurons and motor neurons for clinical treatment. In the near future these cells be used in clinical trials and treatment.

#### Peter W Andrews

Centre for Stem Cell Biology, Department of Biomedical Science, The University of Sheffield, Sheffield S10 2TN, UK

### Q: How did you get in the field of stem cells?

A: My introduction to pluripotent stem cells came in 1974 when, for my first postdoc position, I joined the group of François Jacob at the Institut Pasteur in Paris, studying mouse embryonal carcinoma (EC) cells, the malignant predecessor of embryonic stem cells. After a short interlude I moved to the Wistar Institute in Philadelphia in 1978 where, working with Barbara Knowles and Davor Solter, we set out to characterize the equivalent human EC cells as tools for studying human development biology, though human and mouse EC cells proved somewhat different in certain respects.

## Q: What do you think is the main achievement of your work?

A: From the point of view of the field, probably the most impact has come from my early work characterizing the key cell surface marker antigens of human EC and ES cells, particularly SSEA3, SSEA4, TRA-1–60 and TRA-1–81, and then later from finding that human ES cells in culture are subject to non-random karyotypic changes that mimic similar changes typical of EC cells, their malignant counterparts. However, from my own point of view, maybe the most satisfying was finding that the pluripotent human EC cell line, NTERA2, differentiates in response to retinoic acid, a result that was used later to show that retinoic acid induces *HOX* gene expression in a concentration dependent manner, that is co-linear with the positions of the genes in the *HOX* gene cluster and with the anterior posterior embryonic axis.

### Q: What do you think is next in this field? What is, according to you, the future of stem cells?

A: Predicting the future is always dangerous and the only certain thing is that we will use stem cell biology in the future in ways that we do not imagine today. Nevertheless, it is remarkable that 20 years on from Jamie Thomson's landmark paper, clinical trials of pluripotent stem cell derivatives are in hand or on the near horizon for a range of medical conditions, including macular degeneration, Parkinson's disease and diabetes, while other applications are in development. If these are successful, however, it will still take quite a few years to convert them to routine, affordable treatments. On the other hand, may be the greatest, more immediate impact of stem cell biology will come from the use of stem cell derivatives in disease modeling, and drug discovery.

#### **Miodrag Stojkovic**

Faculty of Medical Sciences, Department of Genetics, University of Kragujevac, 34000 Kragujevac, Serbia. Spebo Medical, Leskovac, Serbia.

## Q: How did you get in the field of stem cells? Anecdotes are welcome!

A: Before I moved to England in 2002, I was working at Munich's University. Germany at that time had very conservative and restrictive policies for human embryos and human embryonic stem cell (hESC) research. One day I was reading Nature Jobs and found that NHS and Newcastle Fertility Centre are looking for a research embryologist who will be responsible for derivation of hESC using surplus and donated embryos. I started there but working conditions for derivation were more than modest: one fridge, one stereomicroscope and one incubator, no laminar flow, no centrifuge, no UV light microscope. I started with the work, but after several months I had to go abroad and asked my wife Petra to change media since I noticed some small outgrowth of inner cell mass cells. When I went back the colony was bigger and I remember very well the following picture: in the front of our microscope was Thomson's paper and images of hESC colonies and Majlinda Lako and myself starting our open-air work: cutting the colony, passaging the cells... both of us had never seen or worked with hESC before. Nevertheless, the first hES-NCL1 line was born and it was the first fully characterized UK line. In mid 2004, hES-NCLI and King's College line were the first approved research grade lines for depositing in the UK Stem Cell Bank. Free of contamination and mycoplasma. And after that I got a new contract, readership, then professorship... and many laminar flows!

## Q: What do you think is the main achievement of your work?

A: I'm very proud that we derived many more lines, including the first line from arrested, non-developing embryos, also to clone the first human blastocyst, to develop protocols for growth and targeted differentiation of hESC while we were at the same time fighting for open and transparent science, but also, that based on this work, the small lab became a huge centre for stem cell biology and cellular therapy. Own scientific achievements are important but it also makes me more happy to see that so many young scientists worldwide use hESC as an irreplaceable and unique tool to learn about normal and abnormal human development, pluripotency, reprogramming, differentiation, improving at the same time tissue engineering, regenerative medicine, testing new chemicals and drugs...

### Q: What do you think is next in this field? What is, according to you, the future of stem cells?

A: Besides of all the already mentioned fields, stem cells will continue to spread

and 'occupy' more and more labs and help to address different issues including repair and creation of organs, cancer stem cell biology, and the complexity of immunology.

#### **Shoukhrat Mitalipov**

Center for Embryonic Cell and Gene Therapy, Oregon Health & Science University, Portland, OR, USA.

Division of Reproductive & Developmental Sciences, Oregon National Primate Research Center, Oregon Health & Science University, Beaverton, OR, USA.

### Q: What was the main result of your work. How did it happen? (Anecdotes are welcome)

A: We demonstrated that cytoplasmic factors present in mature human oocytes are capable of converting the transplanted nuclear genomes from somatic cells (skin fibroblasts) to become 'oocyte-like'. We then used such skin-derived oocytes to develop into blastocysts and ESCs.

## Q: What have been the consequences of your work in the field?

A: Our study was reproduced within a year by two labs signifying that our human SCNT protocols are real.

## Q: What do you think is next in this field (future perspectives)?

A: I believe the most immediate application of human SCNT is generating haploid oocytes for treatment of female infertility.

Thank you so much for including us in your interview.