

2 **Performance Evaluation of direct-link Backhaul for** 3 **UAV-aided emergency networks**

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10 **Abstract:** Today's wireless networks provide us reliable connectivity. However, if a disaster occurs,
11 the whole network could be out of service and people cannot communicate. Using a fast deployable
12 temporally network by mounting small cell base stations on Unmanned Aerial Vehicles (UAVs)
13 could solve the problem. Yet, this raises several challenges. We propose a capacity-deployment tool
14 to design the backhaul network for UAV-aided networks and to evaluate the performance of the
15 backhaul network in a realistic scenario in the city center of Ghent, Belgium. This tool assigns
16 simultaneously resources to the ground users -access network- and to the backhaul network, taking
17 into consideration backhaul capacity and power restrictions. We compare three types of backhaul
18 scenarios using a 3.5GHz link, 3.5GHz with Carrier Aggregation (CA) and the 60GHz band,
19 considering three different types of drones. The results show that an optimal UAV flight height
20 (80m) could satisfy both access and backhaul networks; however, full coverage is difficult to
21 achieve. Finally, we discuss the influence of the flight height and the number of requesting users
22 concerning the network performance and proposes an optimal configuration and new mechanisms
23 to improve the network capacity, based on realistic restrictions.

24 **Keywords:** UABS, Backhaul, UAV, Disaster Scenarios, Millimeter Wave.
25

26 **1. Introduction**

27 In normal circumstances, the cellular network is quite reliable and provide connectivity for users
28 in cities and rural areas with high quality and speed. However, they are not exempt from failing
29 during emergencies such as storms, earthquakes, tornados, bushfires, tsunamis or even terrorist
30 attacks. In these cases, people tend to communicate more with their relatives to let them know if they
31 are safe; as a result, cellular networks tend to saturate. Besides, the emergency itself could damage
32 the system to the point that there is no land-based network to communicate. This was the case of the
33 magnitude 7.0 2010 Haiti's earthquake. For two days, the cellular network of the two mobile
34 providers was offline. By the third day, only 70% of the cellular network could be re-established [1,2].
35 Later in 2017, Hurricane Maria in Puerto Rico took down 95% of the land-based network on
36 September 21. One month later, 67.4% of the network was still out of service, and by the end of the
37 year, even 36.0% of the grid was down according to [3]. Likewise, in the Sulawesi earthquake and
38 tsunami in Indonesia on September 2018, 1678 base stations were damaged equally to 40.02% of the
39 land-based network [4]. These are examples of emergencies that destroyed the land-based system
40 severely. A promising solution that is broadly investigated [5–10] is the usage of Unmanned Aerial
41 Vehicles (UAVs) to aid the land-based network that suffered from congestions or physical failure. A
42 base station (BS) is mounted on a UAV, which is positioned close to or above the affected area and
43 provides mobile communications to the uncovered users. These UAVs are called Unmanned Aerial
44 Base Stations (UABSs). Though this is a promising solution, it has several practical challenges. These
45 challenges could be divided into two groups related to (i) the access network where the UABSs
46 provide a connection to the users and (ii) the backhaul link between the UABSs and the Core Network

47 (CN). Although several studies have addressed the access network [10–15] as will be discussed in
48 Section II, limited work has been done on the backhauling.

49 This paper proposes a backhauling architecture for a UABS network. Its performance is
50 evaluated in a scenario of a real 3D model of Ghent city in Belgium. To this end, the capacity-based
51 deployment tool described in [5] has been extended with the proposed backhaul architecture. To the
52 best of the author’s knowledge, a deployment tool for UAV-aided networks that accounts for
53 backhaul connectivity in a realistic scenario does not exist. The novelty of this proposal is that the
54 allocation of ground users is done simultaneously to the allocation of the backhaul resources,
55 accounting for both capacity and power constraints.

56 The remainder of this paper is organized as follows. In Section II, a review of the state-of-art of
57 UABS in emergency networks is presented for both the access and backhaul network. Section III
58 outlines the role of the backhaul in these networks and proposes three scenarios that will be studied
59 based on the methodology described in Section IV. Section V discusses the results of the simulation
60 for a realistic scenario in the city of Ghent, Belgium. Finally, in Section VI, the paper is concluded and
61 future work is presented.

62 2. UAV Serving Ground Users

63 An underlying network architecture for UAVs is presented in [15]. It describes and defines two
64 categories of links: the Control and Non-Payload (CNPL) and the data links. The first one should
65 provide a full-duplex, low latency, high reliability and secure connections; usually with low data
66 rates, for the usage of the UAV. Whereas the latter one is highly dependent on the role of the UAV.
67 In this architecture, neither access nor backhaul links are described. When the application is using
68 UAVs to aid wireless networks, they are called UABS. In this case, these links are divided into three
69 different types: Access, Relay and Backhaul.

70 The problem of using UAVs to support ground communications in disaster scenarios has been
71 covered by diverse authors; however, their relation and constraints with the backhaul are not widely
72 explored. Mazaffari et al. present in [16] one of the most extensive reviews of UAV in wireless
73 networks. It covers various potential application including disaster scenarios, 5G BSs, mmWave
74 communications, relaying and IoT applications. [17] proposes a mathematical framework for 3D
75 cellular network based on UABS. Instead, Gupta et al. collected in [18] a detailed survey into the
76 network-side challenges including physical, data link and network layers from the perspective of
77 UAV networks. Cicek et al. in [10] present a taxonomy classification of location optimization solutions
78 which includes a study of 124 papers related to UABS. Its taxonomy comprises parameters of how
79 the location of the UABS is done statically or dynamically, number of UABS, among others. The
80 backhaul constraints are considered only in static scenarios with exact or PSH solutions. In [19], Zeng
81 et al. describe the potential and challenges of using UAV as users of the cellular networks and
82 includes using to support backhaul network in case of a disaster. Different approaches are discussed
83 from a 2D joint trajectory and scheduling optimization method for only one drone up to multiple
84 relaying Users Equipment (UEs) to extend the coverage area. However, the backhaul is considered
85 only between the core network and a disconnected BS by the usage of multiple UAVs that do not
86 serve users [7]. [20] presents a method to deploy and optimize UABS locations based in a distributed
87 optimization model, to maximize coverage quality, serving time and minimize interference influence.
88 Wu et al. present a 2D trajectory design using a tradeoff model between delay, power and
89 throughput, concluding that the average throughput increased as the speed of the UAV increases at
90 expenses of the delay [21]. [22] introduces a 3D location Mixed-Integer Non-Linear Programming
91 algorithm for only one UABS being served by a terrestrial macro-cell network as a backhaul using
92 2GHz frequency. Mozaffari et al. [14] present a study of multiple UABSs 3D placements for serving
93 users maximizing the coverage area; however, it does not include backhaul limitations. In [9],
94 Deruyck et al. propose a deployment tool to investigate network performance during a disaster, but
95 it does not account for the backhaul. Similar, [8] presents a public safety heterogeneous network with
96 two tiers, the microcell and the UAV network, and their backhaul links are considered to be infinite.
97 Kawamoto et al. in [13] present the first known trial of a UAV serving users using WiFi resource

98 management. They evaluate the impact of motion, antenna directivity and resource allocation in the
 99 experiment. Lime Microsystems demonstrate a 3G call for a drone-mounted lightweight Base Station
 100 with a weight less than 2Kg [23]. In [12], the results of a UAV relaying a user using an existing LTE
 101 network are presented. The increase in user throughput when the flying relay is in operation is
 102 shown. [24] presents the challenges of using millimeter-wave communications to connect UAVs
 103 either for access or for backhaul and focus on the challenges of detection, positioning, interference
 104 mitigation and spectrum sharing in UAVs. [25] proposes a stochastically geometry model to perform
 105 backhaul scenario for UABS in 2.6 and 73GHz. In [26] a study of the backhaul at 3.5 and 30GHz is
 106 done.

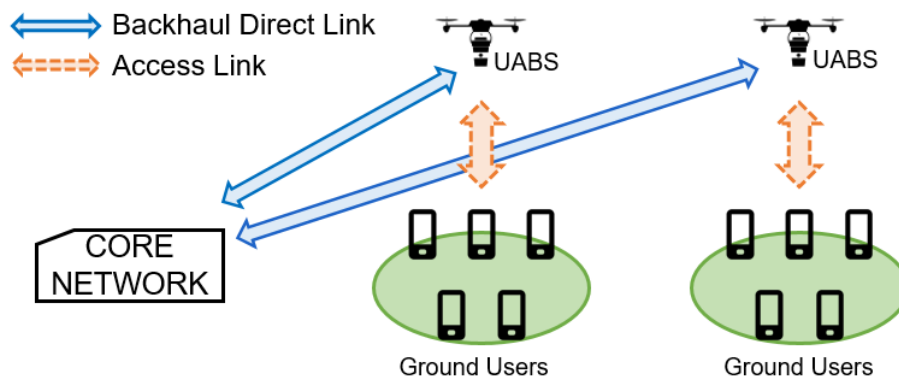
107 3. The architecture of Backhaul for UABS in Emergency Networks

108 3.1. Backhaul Architecture

109 We propose a general architecture for UAV-aided networks as illustrated in Figure 1. It is based
 110 on the architectures described in [7,10,12,15,21]. The access network, indicated in orange, uses the
 111 2.6GHz frequency and connects the users to the UABSs through 3G LTE femtocell base station
 112 technology. This provides essential communication like voice, text and limited data in case of a
 113 disaster. A detailed explanation of the access network in this architecture could be found in [5].
 114 Understanding the capacity requirements of the access network, as well as the restrictions in
 115 emergencies, is vital to determine the appropriate backhaul network. We propose a backhaul link
 116 configuration called direct link. In this backhaul configuration, the UABSs have a direct wireless
 117 connection with the CN, as shown by the blue arrow. This configuration is similar for the BSs of the
 118 terrestrial network, where microwave links are typically used [27] and are therefore considered as
 119 out-of-band backhaul links having the advantage of minimal or none interference with the access
 120 network. The direct link uses Long Term Evolution (LTE) release 11, which can manage Carrier
 121 Aggregation. The usage of a known technology like this, aids in the management of resource
 122 allocation parameters used in this architecture, defining the UAV like a flying user in an LTE network.
 123 We propose to use two different frequency bands namely the 3.5GHz and 60GHz bands that will be
 124 described in the next subsection. Also, the radio frequency parameters are described in section 4.2.

125 Our architecture uses LTE-Advanced Time Division Duplexing (TDD) in the backhaul network.
 126 This configuration aids in the usage of TDD time synchronization that will minimize intra
 127 interference in the proposed architecture [28]. Moreover, because our study assumes that all the land-
 128 based cellular network is offline; further inter-cell interference is ruled out too. Hence, no interference
 129 is considered in our architecture.

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Figure 1. Direct Backhaul scenario for Emergency Network Using UABSs

135 3.2. Frequency selection

136 Backhaul frequency usage serving International Mobile Telephony (IMT) is divided into Out-of-
137 Band or In-Band, having different frequencies or sharing the same frequency band respectively. The
138 first one is the most commonly used due to the versatility of the network and the independence
139 between the access and the backhaul network, which leads to minimal interference [27]. On the other
140 hand, the in-band perspective is included in LTE Release 10 as a relay mechanism to support evolved
141 NodeB (eNBs) that have suffered from lost backhaul connectivity and should rely on a Donor eNB
142 (DeNB) to have access to the CN [29]. In-band backhauling is an attractive option due to the fast
143 reconfiguration time and low operational cost but imposes interference management and resource
144 allocation challenges. Siddique et al. present a comparison of the different frequencies used in the
145 backhaul and the benefits of using sub-6GHz frequencies for UABS arrangements [27]. The authors
146 conclude that licensed sub-6GHz frequencies are the best compromise between coverage and
147 capacity, allowing easy operation and management even in Non-Line-of-Sight (NLoS) conditions.
148 However, limited and expensive spectrum and the need for interference management are considered
149 as significant drawbacks for traditional IMT sub-6GHz bands.

150 To overcome the issue of the limited spectrum, we propose the use of the 3.5GHz band, which
151 is still not broadly occupied, as it is the case for the 800, 900, 1800, 1900 and 2600MHz bands. In the
152 range of the 3400 to 3600 MHz the band 22 is available for Frequency Division Duplexing (FDD)
153 services, the 42 or 43 bands for TDD and the n78 band for 5G New Radio (5G_NR) [30,31]. The main
154 reason for choosing this band is the ability to work with either an out-of-band or in-band
155 configuration, as well as the low occupancy of this band, the licensed protection and the capacity-
156 coverage trade-off [32]. Recent 5G trials have shown data rate peaks of 10.4Gbps using 200MHz of
157 the 3.5GHz band, proving to have the potential to fulfill backhauling requirements [33].

158 Millimeter-wave frequencies have also been studied for 5G Radio Access Networks, due to the
159 ability to support large bandwidth (several GHz) but with high path loss attenuations. There are
160 mainly two groups for mmWave frequencies studied for IMT: the 50 GHz group (45.5-47; 47.0-47.2;
161 47.2-50.2; 50.4-52.6) and the 80GHz Group (66-76; 71-76; 81-86). However, most of them are studied
162 for the access network. Some research is being done for Fixed Wireless Access (FWA) and backhaul
163 in the mmWave V-band. The 60GHz band (57GHz-66GHz) is a promising candidate for backhauling,
164 due to unlicensed or light-licensed operations, no spectrum shortage, high capacity, interference
165 resistance, frequency reuse ability thanks to its narrow beam directivity and the small size of antennas
166 that can be easily mounted in the UAV [34]. Recent trials in Hungary using 60GHz for Fixed Wireless
167 Broadband have demonstrated that V-Band could support up to 1Gbps connectivity [35].

168 We propose to use the 60GHz band (57GHz-66GHz) band for the backhaul link because it
169 supports 9 GHz of unlicensed bandwidth that could be used even with low spectrum efficiency to
170 tolerate the high attenuation of this band and still provide the data rates needed in disaster scenarios.

171 4. Methodology

172 In this section, the emergency network and scenario definitions for the simulation are presented.
173 Then, the UABS path loss model and link budget parameters are defined, following by the description
174 of the types of drones used for the backhaul analysis. Finally, the simulation algorithm for UAV-
175 aided backhaul network planning is proposed.

176 4.1. Emergency network

177 Under normal conditions during the day, 260 concurrent users are possible at the maximum
178 traffic at 5 pm in the city of Ghent, according to real data from a Belgian mobile operator [5]. For this
179 study, we assume a worst-case scenario where the entire land-based network is down in the city
180 center of Ghent (6.85 km²), Belgium. This means that the UABSs will support all the communications
181 and all the users' traffic will go through this network architecture. Figure 2 shows the city center of
182 Ghent with the 260 users uniformly distributed in red circles with indoor and outdoor locations
183 having the same probability. Users inside buildings are positioned at the half of the building height.

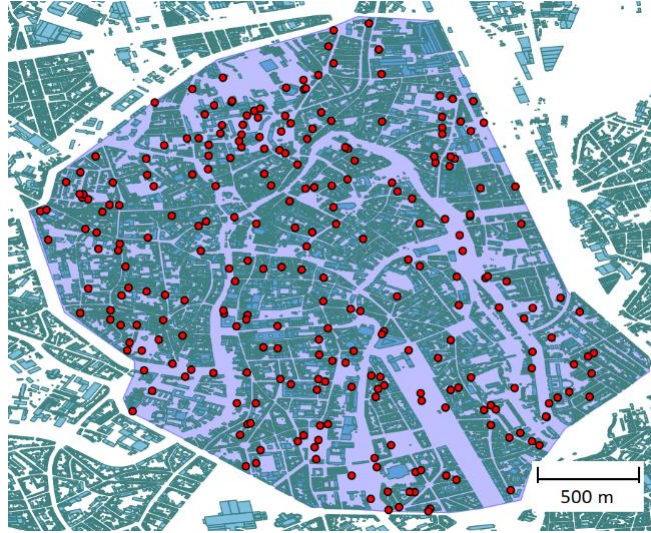


Figure 2. The city center of Ghent with 260 ground users in red circles.

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186 The traffic distribution is essential to define the traffic model that each UABS has to serve in the
 187 access network and consequently, in the backhaul network. For these scenarios, only voice (64kbps)
 188 and data (1Mbps) traffic is used, and its distribution is based on information from the operator. New
 189 active users will be able to connect once an active user goes idle. Hence, 260 voice/data connections
 190 will have to remain active during the whole intervention, which is one hour. However, the
 191 connectivity of a user and the activation of a UABS will depend on the available capacity of the
 192 backhaul network. When the emergency occurs, a facility truck with the UABS goes to the center of
 193 the emergency area and deploys the UABS. They will fly to its defined location and then start to serve
 194 ground users. Finally, handover procedures and inter-cell interference in the access network are
 195 assumed too. In Table 1, the simulation configuration for the scenarios is presented.

196

Table 1. Scenario simulation parameters.

Parameter	Value
Area size	6.85km ² (Ghent, suburban)
Number of users/devices	260 users
User distribution	Uniform
Traffic demand	1Mbps data / 64kbps voice
Facility size	1500 drones
Intervention time	1 hour

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198 4.2. Scenarios definition

199 We propose three different scenarios for serving backhaul to the drones. The first scenario (I)
 200 considers connecting all the UABS to the CN through LTE-Advanced technology using a 3.5GHz
 201 channel with a 20MHz bandwidth. A First in First out (FIFO) based algorithm for Resource Block (RB)
 202 allocation is implemented where user resources are assigned simultaneously based on the backhaul
 203 constraints [36]. The RB is the tiniest component of resources that can be allocated to devices in LTE.
 204 Each RB has 12 subcarriers and seven symbols equivalent to a one time slot in the LTE frame. The
 205 amount of RB is dependent on the carrier bandwidth; for 20MHz 100 RB are used [37]. Also, we assume
 206 no interference between the backhaul network (UABS to CN) and the access network (Users to UABS).
 207 For the second scenario (II), Carrier Aggregation (CA) is included, aggregating five Carrier
 208 Components (CC) to complement a total of 100MHz equivalent to 500 RBs. The third scenario (III), is a
 209 direct link scenario using mmWave connectivity. In this scenario, UABSs are connected to the CN using
 210 a 60GHz link. The radio and link budget parameters for the simulation are presented in Table 2. The
 211 3.5GHz link is using LTE-Advanced Orthogonal Frequency Division Multiple Access (OFDMA)
 212 configuration.

Table 2. Link budget parameters for the simulation.

Parameter	Sub 6GHz Backhaul	mmWave Backhaul
Frequency	3.5 GHz	61.5GHz
Bandwidth	20 MHz	9 GHz
Number of Resource Blocks	100	45000
Number of used subcarriers	1200	540000
Total number of subcarriers	2048	1048576
Max transmission power UABS	43 dBm	10 dBm
Max transmission power CN	43 dBm	10 dBm
Antenna Gain UABS	5 dBi	36 dBi (2.5°)
Antenna Gain CN	5 dBi	36 dBi (2.5°)
Fade margin	10 dB	5 dB
Interference margin	2 dB	2 dB
Receiver Signal-to-Noise Ratio (SNR) for Modulation and Coding Scheme (MCS)	1/3 QPSK = -1.5 dB	
	1/2 QPSK = 3 dB	
	2/3 QPSK = 10.5 dB	1/2 BPSK = 7.39 dB
	1/2 16-QAM = 14 dB	1/2 QPSK = 15.4 dB
	2/3 16-QAM = 19 dB	1/2 16 QAM = 17.5 dB
	1/2 64-QAM = 23 dB	
	2/3 64-QAM = 29.4 dB	
Noise figure in UABS	5 dB	5 dB
Shadowing margin	8.2 dB	8.2 dB
MIMO Gain	0 dB	0 dB
CN antenna height		25 m – 60 m
User height		1.5 m.

214 4.3. Path Loss Models

215 The 3GPP TR 36.777 draft [38] outlines urban and rural environments for UAV implementations
216 over LTE Release 15 networks. The standard proposes system level parameters and channel models,
217 which are included in our proposed scenario. For the 3.5GHz band, we use the model in [38] based on
218 3GPP TR 38.901 [39], which accounts for 3D scenarios and is optimal for our simulation tool
219 requirements. Shi et al. in [26] use this model in the 3.5GHz band. Therefore, we propose to use the
220 Urban Macro (UMa) environment path loss model which is described in equations (1) and (2) for Line-
221 of-Sight (LoS) and NLoS respectively; where f_c is in GHz, d_{3D} and h_{drone} in meters. The shadow fading
222 standard deviation σ_{SF} is 4dB and 6dB for LoS and NLoS, respectively. This model is valid for distances
223 up to 4.5km, and increases as the h_{drone} increases [26]. One of the advantages of using this model is its
224 applicability to frequencies up to 100GHz; hence, it will also be used for the 60GHz band simulations.
225 The path loss $PL_{UMa-LoS}$ in LoS and $PL_{UMa-NLoS}$ in nLoS conditions (in dB) is calculated as follows
226 [34, 35]:
227

$$228 \quad PL_{UMa-LoS} = 28 + 22 \log_{10}(d_{3D}) + 20 \log_{10}(f_c) \quad (1)$$

$$229 \quad PL_{UMa-NLoS} = 13.54 + 39.08 \log_{10}(d_{3D}) + 20 \log_{10}(f_c) - 0.6(h_{drone} - 1.5) \quad (2)$$

231

232 4.4. UAV description

233 The types of drones used in the simulation are essential to determine the performance of the
234 solution apart from the network parameters. We assume that the access and backhaul network
235 equipment weighs about 1.5Kg; hence, the selection of drones are based on this parameter [40]. We
236 propose three types of drones for these simulations. The first type of drone is a commercial off-the-
237 shelf hexacopter drone like the DJI F550 which size and battery capacity are small and its flight time
238 is approximately 15 minutes [41]. The second drone is a professional quadcopter like the MD4-100

239 able to carry more than 1Kg of payload and with enough battery capacity to flight nearly 45 minutes
 240 with the described payload [42]. The third drone is a Harris H4 Hybrid drone, which combines a
 241 traditional quadcopter drone with a 48V battery with an H2000 generator of 1.8KWatts. Both will add
 242 together a total of 37.5Ah per hour, and with a consumption of 1.5L/h from a 4 liters tank, the total
 243 capacity available will be 100Ah [43]. From the facility, 1500 drones are available to serve the
 244 emergency; so when a drone is running out of battery, a new drone from the facility flight to the old
 245 drone's position and replace it until 1500 drones are used. Backhaul handover is considered seamless
 246 because it will happen less than four times during the intervention. All drone specifications are
 247 presented in Table 3.

248

Table 3. Drone specification for simulation.

Drone	Type 1	Type 2	Type 3 Hybrid
Average UAV Speed [m/s]	15	12	15
UAV battery capacity [Ah]	2	17.33	100
UAV battery voltage [V]	14.3	22.2	48.0
UAV average usage [A]	5	13	25
UAV average usage [W]	71.3	288.6	1200
Average Max Flight Time [s]	900	2400	7200
Fly height	Uniformly distributed between 20m and 200m		

249

250 4.5. Deployment Tool and Algorithms

251 The tool used in this paper is an extension of the one used in [5]. The novelty of this tool includes
 252 modifications to the implementation of LTE radio resource allocation using RB to manage the radio
 253 resources and the capacity of the backhaul and the power consumption model for LTE femtocell BSs.
 254 The tool, implemented in Java, presents four different phases to achieve the full network simulation.

255 First, the tool generates a realistic traffic scenario of the users with a uniform distribution in the
 256 studied area, assigns their locations and sets up a facility in the center of the considered area (Step 1 in
 257 Figure 3). Second, a list of possible UABS locations is assigned above of each user in the network at
 258 the configured flight altitude. Then, for each user, this list is organized based in the Signal-to-Noise-
 259 Ratio (SNR) of the link between the user and the UABS locations. Then, for each link, it evaluates the
 260 access-link viability by calculating if the SNR is sufficient for the Modulation and Coding Schemes
 261 (MCS) of the technology. For the UABS location with the best SNR, the tool asks if this UABS is a new
 262 one or an active one. If it is a new one, the algorithm evaluates the backhaul-link viability by checking
 263 the SNR and the MCS similarly to the access-link. If some of the links are not viable, it continues to the
 264 next UABS possible location and repeats the link evaluation process (Step 2 in Figure 3). Third, after
 265 evaluating the access and backhaul link viabilities, it proceeds to assess based on the capacity
 266 constraints. The algorithm calculates if the available backhaul Resource Blocks are enough for the new
 267 bit rate of the UABS. If the link capacity is feasible, the user is assigned to that UABS and finally, the
 268 used network capacity and power usage values are updated (Step 3 in Figure 3). Unlike [44], where
 269 there is a fixed number of UABS, our algorithm assigns UABS when they are needed, based on the
 270 restriction of the facility. Fourth, each new user is subject to the optimization of the active UABS to
 271 reduce transmission power, minimize flight time of the UABS and reduce the number of RB used. The
 272 algorithm finishes when all users are served or when the RBs are fully utilized. (Step 4 in Figure 3).
 273 Finally, the tool collects the result variables and prints them for easy analysis.

274 To achieve stability in the simulation results, we run the tool and obtained stability in 65 runs
 275 per scenario. The number of runs where stability is found depends on the deviation of the averaged
 276 values compared to the average values of five investigated values in 100 runs (Number of locations,
 277 Number of users, Number of drones, Mean flight time and Mean capacity). We defined stability when
 278 the average value of the variables in specific runs has a deviation of $\pm 0.5\%$ of the average value in
 279 100 runs. We look for the number of runs for all values that fulfill this criterion and we found it to be
 280 65.

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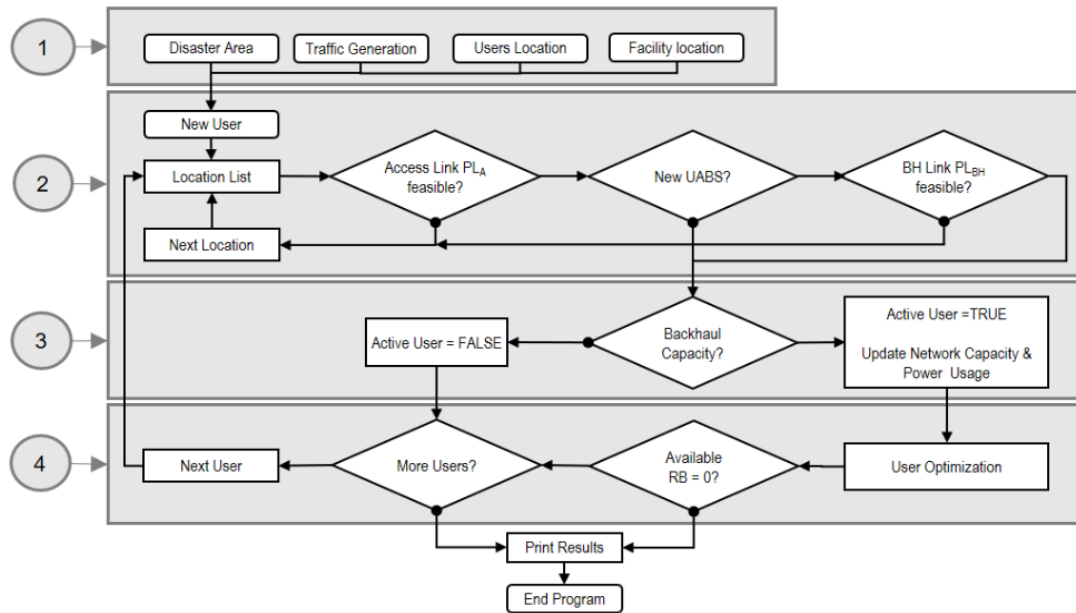


Figure 3. Flow diagram of the algorithm implemented for backhaul analysis.

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284 5. Results

285 In this section, we introduce the simulation results based on the tool described above. Unlike in
286 [5], that the analysis is focused on how many UABS are needed to support the disaster; here we focus
287 the study on the behavior of the network when there are capacity restrictions in the backhaul and
288 different frequencies to evaluate. For this, we divide the results in the three backhaul scenarios
289 starting with the 3.5GHz band with no CA, then with the 3.5GHz with CA and finishing with the
290 60GHz band. Besides, we collect the results of 21 variables in groups related to the UABS, the users,
291 the network and backhaul capacity, and power consumption.

292 5.1 Scenario I: LTE at 3.5GHz no Carrier Aggregation.

293 We evaluate the performance of the network varying the flying height of the UABSs. The values
294 assessed go from 20m to 200m above the ground level. We also study the implication of the facility
295 antenna height and compare an antenna of 25m against a 60m antenna using type 1 drone. In Figure
296 4.a, the required number of UABSs and the served users is presented. It can be seen that if the flight
297 height is low, the number of backhauled UAVs is small due to the buildings that are present in the
298 area. As the altitude increases, the number of Line-of-Sight (LoS) links increases and the viability
299 increases leading to more suitable connections at 80m serving around 45 users. This value is optimal
300 and works under the maximum limitations from the Belgian Civil Aviation Authority, which is 90m
301 for the considered type of drones [45]. Above this altitude, the connected number of UABSs decreases
302 slightly due to the distance between the facility antenna and the UABS. It is vital to notice that the
303 facility antenna height hardly affects the performance of the number of backhauled UABS only in
304 values less than 60m. Above that altitude, the UABS-Users connectivity defines more the
305 performance. Besides, it can be seen that usually, only 45 users are served. This value could not be
306 increased because all of the 100 RBs are allocated. Figure 4.b presents an assessment of the RB
307 efficiency for the backhaul. If all the connection used the best MCS (64QAM-2/3), the maximum
308 bandwidth achievable would be 72Mbps. However, the actual bandwidth is 41.3Mbps, equivalent to
309 0.4Mbps per RB (dotted lines in Figure 4.b), equal to an MCS of 16QAM-2/3.

310 Moreover, the maximum of 72Mbps could hardly be achieved due to the resource block
311 allocation, i.e., a user requesting 1Mbps will need two RB of 720kbps each, leading to 1.4Mbps
312 allocated but only 1Mbps used (69.4% efficiency). This efficiency could be improved if more user's
313 requests are assigned in the available backhaul RB with divers MCS. Our results show that efficiency
314 is nearly 81.9+3.2%, as shown in the straight lines of Figure 4.b.

315 Next, we evaluate the performance of users (50-500 users) asking for resources in the network
 316 using the three types of drones (see Table 3) at three different altitudes (40, 80 and 120m). Figure 4.c,
 317 d and e. present these results. In Figure 4.c, the number of UABS needed depends on the type of
 318 drone used, where the worst case is with the Type 1 drone. Type 2 and Hybrid Type behave similarly
 319 because the autonomy per drone is more than one hour, which is the considered intervention time.
 320 Hence similar results are obtained but with a slight increment from the Type 2 (16 Hybrid drones to
 321 18 Type 2 drones) mainly because of the speed of the drone. The average power used by UABSs as a
 322 function of the served users is presented in Figure 4.d. The power consumption is the sum of the
 323 energy used by the flight from the facility to the serving location and return, plus the power for the
 324 transmission of the access and the backhaul network [46]. The averaged power consumption is almost
 325 independent of the type of drone used, and because the majority of the consumption is used in radio
 326 transmissions, it is proportional to the requesting users. At the optimal height of 80m, a lower amount
 327 of power is consumed because the UABSs have to transmit less power to achieve the same bit rate
 328 due to better LoS links and lower flight times to the serving position. In Figure 4.e, we evaluate the
 329 backhaul network performance. The results show that the network is stable independent of the
 330 number of users. As seen in the UABS altitude evaluation, the maximum allocated users are 45
 331 (Figure 4.a). Moreover, results show how the network usage is reasonably stable and 80m flight-
 332 altitude outcast other altitudes, showing that our tool enables a stable backhaul connection.

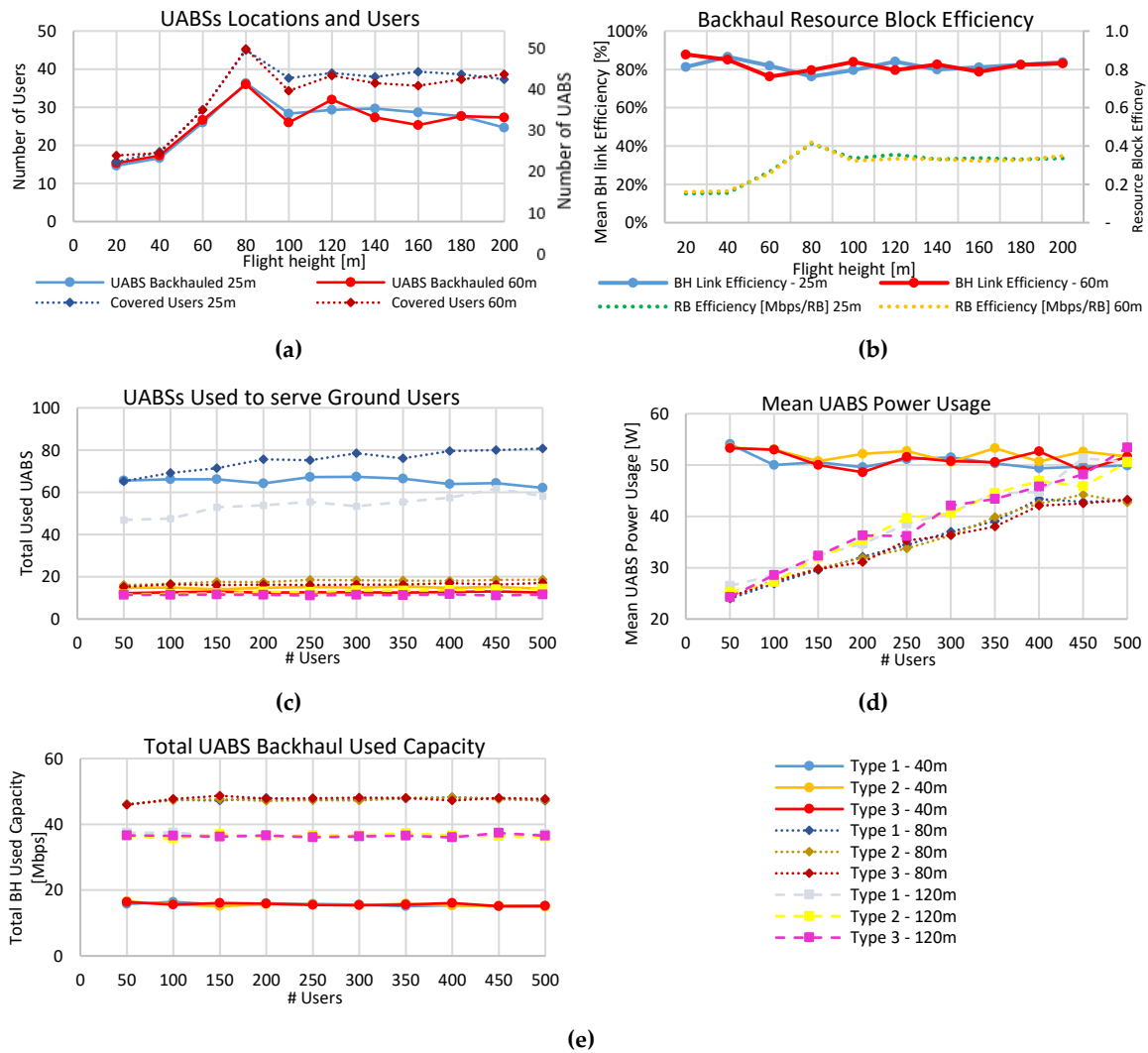


Figure 4. Evaluation results for the Scenario I. (a) Flight performance of UABSs. (b) Backhaul Resource Block performance. (c) UABS needed for different types of drones. (d) Power usage for different types of drones. (e) Backhaul Network utilization for different kinds of drones.

334 5.2 Scenario II: LTE at 3.5GHz with Carrier Aggregation

335 The previous scenario shows that the network was saturated due to the full usage of resource
 336 blocks. To overcome this situation, Carrier Aggregation (CA) the land-based system severely where
 337 five contiguous component carriers of 20MHz each are aggregated for a total of 100MHz and 500 RB.
 338 Figure 5.a compares the backhaul network capacity without (Scenario I) and with CA (Scenario II).
 339 The first scenario only uses 100 RB while the second 500 RB. All the network resources are allocated
 340 at about 190 users assigning nearly 175Mbps, and the capacity usage is independent of the type of
 341 drones. Moreover, when the available RB five-folds, the used capacity only increments 3.7 times
 342 (47.6Mbps to 173.1Mbps). This is because our algorithm organizes the best suitable UABS with higher
 343 SNR and serves them first. Hence, after the first 100 RBs are allocated, the best UABSs are served and
 344 now UABSs with lower SNR and worst MCS are served, decreasing the overall efficiency of the
 345 backhaul link. Similarly, the number of covered users increments 3.5 times (from 54 users to 189
 346 users), but after 200 requested users the number of served ones is constant due to RB saturation in
 347 the backhaul as shown in Figure 5.b. Likewise, the number of UAV used increases only 1.4 times
 348 (from 18.5 T2 drones without CA to 26.5 T2 drones with CA) leading to an optimization of the
 349 backhaul and an improvement of the mean served users by each UABS when using CA.

350 In Figure 5.c and d, we present the results of the coverage in the real scenario in the city of Ghent.
 351 Green stars are the served users, while the red crosses are the uncovered users. Figure 5.c shows that
 352 even when a user could have a viable connection in path loss terms, it is not served due to backhaul
 353 capacity restrictions. When CA is used in Figure 5.d, the number of users served is 354.3% higher and
 354 the average distance of UABS locations increases leading to more distant UABSs from the central
 355 facility as seen by the orange triangles.

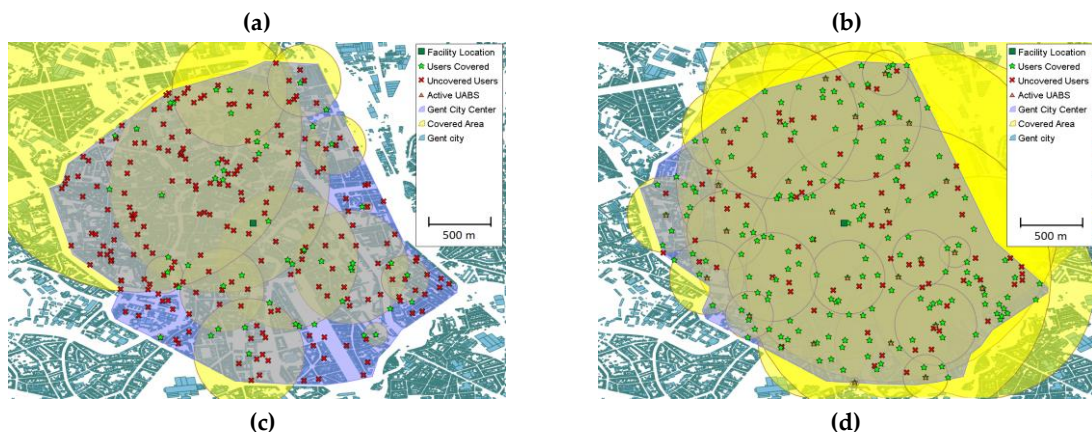
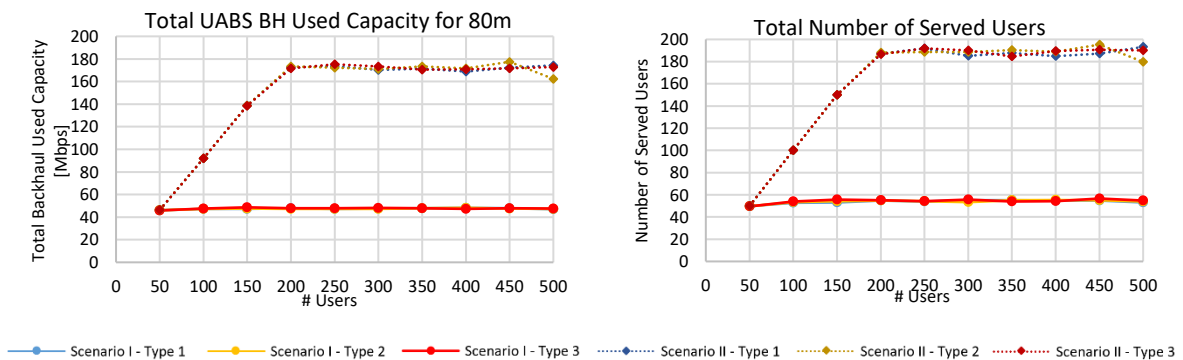


Figure 5. Evaluation results for Scenario II. (a) Used Capacity of the Backhaul for 80m flight height. (b) Provisioned users. (c) Network coverage for 260 users at 80m flight height Type 2 drone in Scenario I (d) Network coverage for 260 users at 80m flight height Type 2 drone in Scenario II (Carrier Aggregation).
 – Facility location (Dark Green Square) – Users Covered (Green Star) – Uncovered Users (Red Cross) –
 Active UABS (Orange Triangle) – Covered Area (Yellow Circle)

357 5.3 Scenario III: LTE with a millimeter-Wave backhaul link

358 Although the usage of Carrier Aggregation as described in the previous scenario, not all the users
 359 could be allocated due to the network restrictions. The proposed solution is to use the 60GHz band
 360 to support uncovered users in a disaster scenario. In Figure 6, a comparison of the three backhaul
 361 link scenarios is presented. Here, it can be seen that the number of locations and served users for the
 362 CA and millimeter-wave is comparable. For lower altitudes, carrier aggregation could serve slightly
 363 more users, and for higher elevations, the millimeter backhaul link could serve more. At the optimal
 364 altitude of 80m, CA performs better, serving 188 users compared to the 177 using 60GHz. It is known
 365 that the 60GHz band has higher path losses, especially when NLoS links are presented, mainly due
 366 to building losses. In some simulations runs (up to 16 runs 24.5%), no UABSs could be connected to
 367 the facility, particularly in elevations under 40m due to high path losses through buildings. This can
 368 be easily overcome by incrementing the flight altitude of the drone, as shown in Figure 6.b. However,
 369 despite 80m height is the best altitude, only 68.3% of the users are covered. This is because only
 370 UABSs locations in a radius of 550m close to the facility are served. According to the link budget
 371 calculations, if a drone is in an NLoS using the 60GHz band, the maximum distance will be 390m.
 372 However, the UABSs between 390m and 550m, are connected through LoS where the angle is high
 373 enough to avoid buildings.

374 In Figure 7.a, the coverage simulation results in the city of Ghent for the 60GHz band are
 375 presented. In this case, all the users in a distant radius of 750m from the facility are served due to the
 376 coverage extension obtained by the UABSs. Some users far away from the facility are connected to
 377 UABSs that have LoS link to the facility. In comparison with CA, 60GHz connections outperform the
 378 served users in altitudes higher than 100m, where NLoS connections are minimal. In Figure 7.b,
 379 the efficiency of the backhaul link as a function of the flight height is shown. It is shown that the
 380 performance of 60GHz (98.7%) is better than CA (96.4%). This is because the MCSs for the 3.5GHz
 381 band could allocate bigger data packets in the RB, leading to a difficult resource allocation that is
 382 simplified in the 60GHz allocation process. This goes with the cost of using up to 4 times more RB
 383 than in the 3.5GHz band. Furthermore, the 60GHz backhaul link has only three schemes with higher
 384 SNR values, compared with the seven in the 3.5GHz band. It uses better connections that lead to
 385 overall better results in resource allocation.

386 Next, we evaluate how the demand of users affects the network (Figure 7.c and d). The results
 387 are proportional to the number of demanding users because the number of RBs for the 60GHz
 388 network are much higher (45000 RBs) compared to the maximum users in our simulation and
 389 backhaul saturation is not achieved. For 500 demanding users, only 3290 RBs are needed, which is
 390 7.3% of the total RBs at 60GHz. Figure 7.c presents the usage of RB for the three scenarios. It shows
 391 that for the 3.5GHz band, the number of the RBs is fully used, but for the 60GHz there is space for
 392 more.

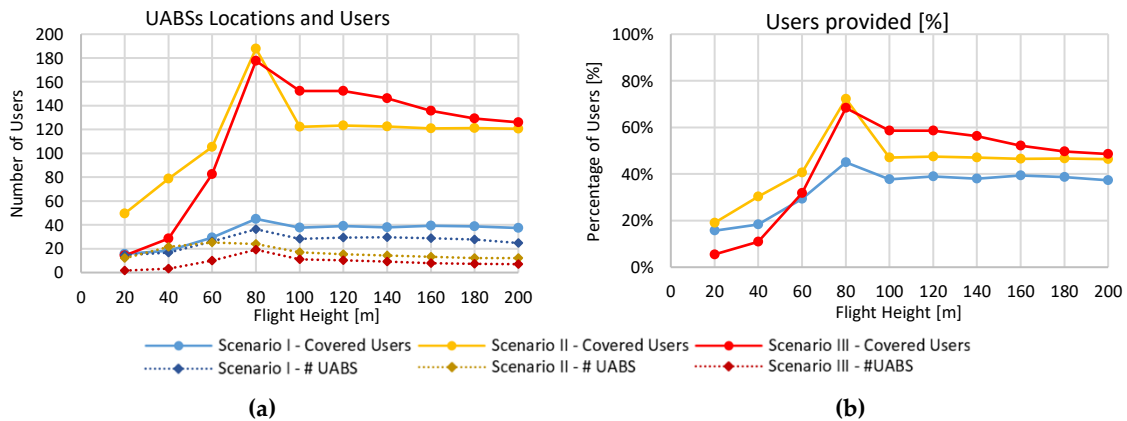


Figure 6. Comparison of network performance in different flight heights (a) Number of Users and Number of UABS. (b) Percentage of served users.

394 On the other hand, the backhaul RB efficiency is stable at around 98.1%±0.8. In Figure 7.d, the
 395 number of users served by each UABS is presented. It is shown that CA and 60GHz perform quite
 396 similar for less than 200 users. From 200 users on, the CA is saturated when having eight users per
 397 UABS, but for 60GHz, it increases more gently. However, using 60GHz, the percentage of covered
 398 users is smaller than 72% due to the propagation restrictions described previously. The capacity
 399 results show that using the simultaneous resource allocation could lead to a feasible solution, serving
 400 up to 54 (21.6%), 188 (72.4%) and 178 (68.5%) users for a 3.5GHz, 3.5GHz with CA and 60GHz
 401 network configurations, respectively.

402 Finally, we present in Table 4 a resume of the principal results of the network performance for
 403 the three scenarios with three types of drones for 260 users and the optimal flight height. Here it can
 404 be seen how Scenario II can support more users (188 users) and slightly outperforms the scenario of
 405 the 60GHz band (178 users). Contrarily, in scenario III, each UABS could support more users (10 users
 406 in III and 8 in II). As expected, the usage of better drones (type 2 and type 3) reduces the number of
 407 drones required in all three scenarios by a factor of four, being the hybrid-type slightly (11%) better
 408 than type 2. Also, the power consumption is stable among the kinds of drones and quite different
 409 among the scenarios, concluding that power consumption is mainly related to the technology and
 410 network requirements rather than drone flight usage. It can be seen that for the scenarios I and II, the
 411 power consumption per UABS is nearly 34 and 36 watts respectively, meanwhile, for scenario III, it
 412 is just 25 watts.

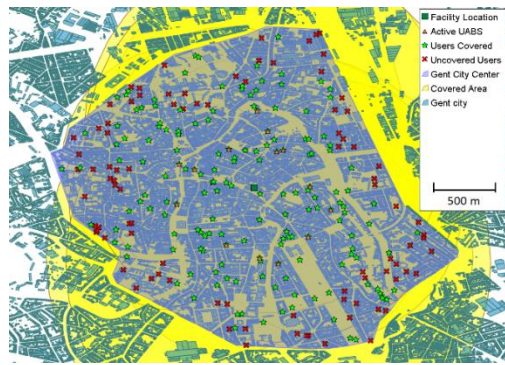
413 Moreover, the total capacity is consequent with the number of users served and scenario II is the
 414 one that outperforms with nearly 173.1Mbps over 163.8 Mbps and 47.6 Mbps for scenarios III and I
 415 respectively. Similarly, to the more users per UABS, scenario III could support more backhaul bitrate
 416 per UABS with 8.8Mbps. Next, due to the differences in the MCS for both frequencies, the 60GHz
 417 band has less spectrum efficiency. Hence, it consumes much more resource blocks compared with
 418 the 3.5GHz band. In can be seen that scenario I and II are saturated in 100 and 500 RB, but for scenario
 419 III, it consumes almost 1620RB still far from its 45000 RB limit. In this case, the scenario I has more
 420 RB capacity with 476.5kbps/RB followed by scenario II and III with 346.2 kbps/RB and 101.2 kbps/RB
 421 respectively. Lastly, the RB efficiency increments as the number of RB per UABS is used. This is due
 422 to the optimization algorithm that organizes the UABS capacity in a better way leading to an average
 423 of 87.1%, 96.2% and 98.5% of efficiency for scenarios I, II and III respectively.

424

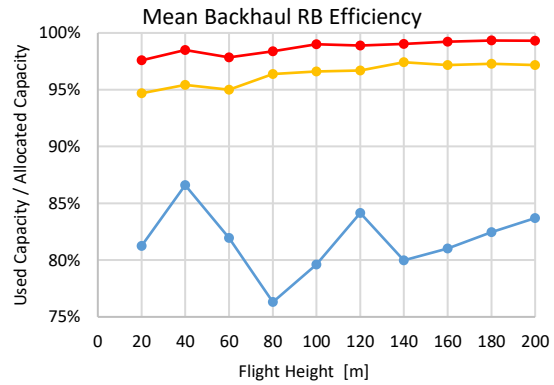
Table 4. Resume of the critical parameters for 260 users at 80m drone altitude.

	Scenario I			Scenario II			Scenario III		
	Type 1	Type 2	Type 3	Type 1	Type 2	Type 3	Type 1	Type 2	Type 3
USERS									
Users served [users]	54	54.2	54.1	188.2	187.9	190.8	177.6	178.1	177.6
Users served [%]	21.6	21.7	21.7	72.4	72.3	73.4	68.3	68.5	68.3
Users per UABS	3.36	3.2	3.4	8.1	7.9	8.1	9.4	10.1	9.6
UABSs									
# UABSs Locations	16.4	17.0	16.2	23.4	23.9	23.6	19.2	18.6	18.8
# Used UABS	75.2	18.5	16.2	110.1	26.5	23.6	76.7	18.6	18.8
Mean Power Usage [w]	34.4	33.7	35.3	36.9	36.2	36.9	24.9	24.9	24.9
CAPACITY									
Total BH Capacity [Mbps]	47.4	47.6	47.9	173.1	171.4	174.7	163.8	164.1	163.5
BH Capacity per UABS [Mbps]	2.9	2.8	2.9	7.4	7.2	7.4	8.7	8.8	8.81
Total RB Usage [RB]	100	99.9	100	500	499.8	499.9	1617.8	1619.1	1620.8
RB Usage per UABS [RB]	6.2	5.9	6.2	21.5	21.0	21.3	85.4	87.1	87.36
RB Capacity [kbps/RB]	474.0	476.5	479.0	346.2	342.9	349.5	101.2	101.3	100.8
BH RB Efficiency [%]	87.0	87.2	87.1	96.2	96.4	96.1	98.4	98.7	98.5

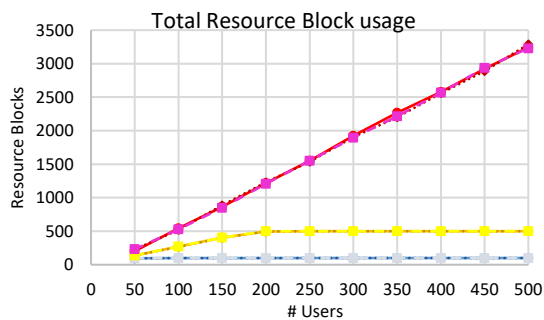
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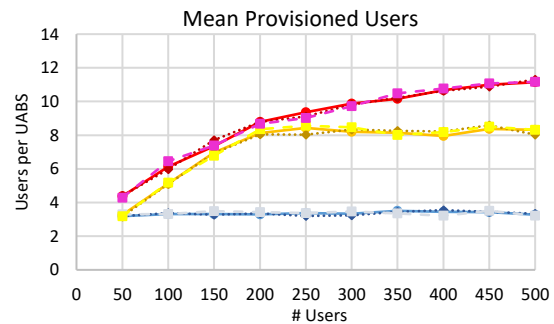
(a)



(b)



(c)



(d)

Figure 7. Performance of 60GHz band and comparison with 3.5GHz Scenarios. (a) Coverage using the 60GHz band. (b) Backhaul RB Efficiency. (c) Resource Block Used. (d) Provisioned users

426

427 6. Conclusions and Future Work

428 Despite that land-based networks are quite reliable, in case of an emergency, they could suffer
 429 from saturation or total failure. Using fast deployable systems mounted on UAVs are a promising
 430 solution, but they arise challenges as optimal drone selection and placement, radio network section
 431 including the access and backhaul. This paper presented a network architecture based on UAVs to
 432 provide wireless connectivity for a disaster scenario and the performance evaluation of the capacity
 433 for the proposed architecture. To this end, we developed a novel simulation tool and applied it on a
 434 realistic scenario in the city center of Gent in Belgium, accounting for capacity and power restrictions
 435 from both the access and backhaul networks. The study focuses on the usage of unoccupied
 436 frequency bands such as 3.5GHz and 60GHz and compares the performance of variables such as the
 437 number of demanding users and UABS' flight altitude.

438 The study also introduces the comparison of traditional off-the-shelf drones with hybrid ones
 439 and concludes that the last one outperforms in flight time and power usage. Further analysis in the
 440 weights of the backhaul equipment needs to be done in the future. Our results show that for the
 441 proposed architecture, 80m is the optimal flight altitude. The capacity results show that by using the
 442 simultaneous access and backhaul resource allocation a real solution could be achieved, serving up
 443 to 17.3%, 72.4% and 68.1% of the users for a 3.5GHz link, 3.5GHz with Carrier Aggregation and
 444 60GHz network configuration, respectively. For the 60GHz link, the main limitation of users served
 445 is due to the distance as a result of path loss building penetration reducing the covered area down to
 446 a radius of 750m. Contrarily, the 3.5GHz solutions are mainly limited by the full usage of resource
 447 blocks.

448 Future work will include the usage of a multi-frequency backhaul network using 3.5GHz and
449 60GHz bands choosing which link is optimal to serve UABSs with different constraints. Moreover,
450 future work may involve the usage of MIMO, multi-channeling to reduce the noise floor and
451 beamforming analysis. Also, investigation when part of the terrestrial network is down, might be
452 included, where inter-cell interference is evaluated in conjunction with in-band and out-of-band radio
453 resource allocations. Different architectures that can be included in future studies are the usage of
454 relay network, including Low-altitude Aerial Platform (LAP) or satellite as suggested in the literature
455 with all its implications like internode interference and multi-antenna devices.

456

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458 G.C. and M.D.; validation, G.C., M.D. and W.J.; formal analysis, G.C.; investigation, G.C.; resources, G.C.; data
459 curation, G.C.; writing—original draft preparation, G.C.; writing—review and editing, G.C., M.D. L.M. and W.J.;
460 visualization, G.C.; supervision, M.D. and W.J.; project administration, M.D. and W.J.; funding acquisition, W.J.
461 and L.M.

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