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1 **HYDROPHILIC THERMOPLASTIC POLYURETHANES FOR THE MANUFACTURING OF HIGHLY**
2 **DOSED ORAL SUSTAINED RELEASE MATRICES VIA HOT MELT EXTRUSION AND INJECTION**
3 **MOLDING**

4

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33 **Abstract**

34 Hydrophilic aliphatic thermoplastic polyurethane (Tecophilic™ grades) matrices for high drug
35 loaded oral sustained release dosage forms were formulated via hot melt extrusion/ injection
36 molding (HME/IM). Drugs with different aqueous solubility (diprophylline, theophylline and
37 acetaminophen) were processed and their influence on the release kinetics was investigated.
38 Moreover, the effect of Tecophilic™ grade, HME/IM process temperature, extrusion speed,
39 drug load, injection pressure and post-injection pressure on in vitro release kinetics was
40 evaluated for all model drugs.

41 ¹H-NMR spectroscopy indicated that all grades have different soft segment/hard segment
42 ratios, allowing different water uptake capacities and thus different release kinetics.
43 Processing temperature of the different Tecophilic™ grades was successfully predicted by
44 using SEC and rheology. Tecophilic™ grades SP60D60, SP93A100 and TG2000 had a lower
45 processing temperature than other grades and were further evaluated for the production of
46 IM tablets. During HME/IM drug loads up to 70% (w/w) were achieved. In addition, Raman
47 mapping and (M)DSC results confirmed the homogenous distribution of mainly crystalline API
48 in all polymer matrices. Besides, hydrophilic TPU based formulations allowed complete and
49 sustained release kinetics without using release modifiers. As release kinetics were mainly
50 affected by drug load and the length of the PEO soft segment, this polymer platform offers a
51 versatile formulation strategy to adjust the release rate of drugs with different aqueous
52 solubility.

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Keywords: hot melt extrusion, injection molding, rheology, thermoplastic polyurethanes,
high drug load, sustained release

66 1. INTRODUCTION

67 Hot melt extrusion (HME) is a continuous process of converting raw materials into a product
68 of uniform shape and density by forcing it through a die under controlled conditions. In
69 general, HME can be defined as a technique where an active pharmaceutical ingredient (API)
70 is processed within a polymer carrier. This technique is one of the most widely applied
71 processing technologies in plastic, rubber and food industries. In pharmaceutical industry
72 HME is used to enhance dissolution rate of poorly water-soluble compounds, to develop
73 sustained release formulations and to mask the taste of APIs. [1][2][3][4][5] HME produces
74 strand-like extrudates that are subsequently processed into the desired end formulation (e.g.
75 tablets, mini-matrices). [6][7][8] Injection molding (IM) is known as an efficient post-process
76 technique for the manufacturing of tablets. [6][9]

77 Sustained release dosage forms have successfully been developed via HME using different
78 polymers, but the majority of the polymers used require the need of a plasticizer to improve
79 the elasticity/flexibility. [10] In addition, the drug load in these formulations is often limited
80 as burst release or processing issues (i.e. high torque values) are observed for hot-melt
81 extruded dosage forms with a high drug content. [10][11][12][13] Therefore, the design of
82 novel sustained release dosage forms using non-conventional polymeric materials with
83 improved characteristics for controlled drug release is of great interest. [13][14] Recently
84 thermoplastic polyurethanes (TPUs) were found to be promising matrix formers for the
85 production of high drug loaded oral sustained release formulations via hot melt extrusion and
86 injection molding (HME/IM), thereby diminishing the amount of excipient needed and
87 creating a major advantage for patient's compliance. [15] TPUs are widely used in advanced
88 wound care, cardiology, drug delivery, medical supplies, orthopaedics, urology and vascular
89 applications. [16][17][18][19][20] Although hydrophobic TPU matrices were successfully
90 produced via HME/IM, drug release modifiers were needed to ensure a complete release of
91 drugs with lower aqueous solubility. [21] Therefore, the evaluation of other (i.e. hydrophilic)
92 TPUs is essential to obtain a flexible polymer platform that allows sustained release of a wide
93 range of highly dosed APIs for oral intake.

94 We evaluated the processability of commercially available hydrophilic aliphatic thermoplastic
95 polyurethanes as matrices for oral sustained release dosage forms. Considering the outcome
96 of the rheological experiments, HME/IM of different model drugs was performed in

97 combination with Tecophilic™ SP60D60, SP93A100 and TG2000 that had a lower predicted
98 processing temperature than other grades, favouring the thermal stability of the drugs.
99 Diprophylline, acetaminophen and theophylline were selected as model drugs as they are all
100 highly dosed and have a different aqueous solubility.
101 All formulations were characterised and *in vitro* dissolution experiments were performed. The
102 effect of HME/IM process temperature, extrusion speed, drug load and injection pressure on
103 *in vitro* drug release was determined to evaluate the formulation robustness.

104 2. Experimental section

105 2.1. Materials

106 Various grades of hydrophilic Tecophilic™ TPUs were obtained from Merquinsa (a Lubrizol
107 Company, Ohio, USA). As shown in **Fig. 1**, the hard segment of these hydrophilic and aliphatic
108 TPUs is hexamethylene diisocyanate (HMDI) in combination with 1,4-butanediol (1,4-BD) as
109 chain extender, while its soft segment is poly (ethylene oxide) (PEO). Different Tecophilic™
110 grades were evaluated: aliphatic extrusion-grade TPUs (HP60D20, HP60D35, HP60D60 and
111 HP93A100), solution-processable TPUs (SP60D60, SP93A100 and SP80A150) and hydrogel
112 TPUs (TG500 and TG2000). As shown in **Table 1.**, each grade has a specific equilibrium water
113 uptake, depending on the length of the PEO soft segment. [22]

114 Theophylline (Theo), diprophylline (Dyph, 7-(2,3-dihydroxypropyl)-theophylline) and
115 acetaminophen (Aceta) (Sigma Aldrich, Bornem, Belgium) were used as model drugs to
116 investigate whether Tecophilic™ grades allowed to sustain release of highly dosed drugs with
117 different aqueous solubility without using release modifiers.

118

119 2.2. Polymer characterisation

120 Thermogravimetric analysis (TGA 2950, TA instruments, Leatherhead, UK) was performed on
121 all types of Tecophilic™ under a dry nitrogen flow (100mL/min). Samples (± 7 mg) were first
122 equilibrated at 30°C and were then heated to 500°C using a heating rate of 10°C/min.

123 The glass transition temperature and the melting temperature of all polymers were
124 determined by modulated differential scanning calorimetry (MDSC) (Q2000, TA Instruments,
125 Leatherhead, UK) using a heating rate of 2°C/min. Tzero pans (TA instruments, Zellik, Belgium)
126 with sample masses varying between 10-15mg were used. The modulation period and
127 amplitude were set at 1min and 0.318°C, respectively (heat-iso method). Dry nitrogen at a
128 flow rate of 50mL/min was used to purge the MDSC cell. The determination of T_m and T_g was
129 done via a heat/cool/heat run between -90°C and 180°C. The first heating cycle was used to
130 determine the melting enthalpy (in the total heat flow signal) and T_m (i.e. inflection point of
131 melting endotherm). The glass transition temperature was determined in the second heating
132 cycle.

133 Size Exclusion Chromatography (SEC) was carried out on an Agilent 1260 system, equipped
134 with a 1260 ISO-pump and a 1260 refractive index detector (RID). Measurements were
135 performed in dimethylacetamide (DMA) containing 50mM LiCl at 50°C, using a flow rate of

136 0.593mL/min. A guard column and two PL gel 5 μ m Mixed-D columns were used in series,
137 calibrated with poly (methyl methacrylate) standards having a molecular weight varying
138 between 2.18-380kDa.

139 ¹H-Nuclear magnetic resonance (NMR) spectra were recorded on a Bruker 300 MHz FT-NMR-
140 spectrometer using deuterated chloroform (CDCl₃) and deuterated dimethyl sulfoxide
141 (DMSO-d₆) as solvents. Chemical shifts (δ) were given in ppm relative to tetramethylsilane
142 (TMS).

143 A Thermo Scientific HAAKE MARS III (Modular Advanced Rheometer System, Thermo Fisher
144 Scientific, Karlsruhe, Germany) was used to determine the rheological properties of each
145 TecophilicTM grade: G' (storage modulus), G'' (loss modulus), $\tan\delta$ and η^* (complex viscosity).
146 A parallel plate (d = 20mm) geometrical set-up was used. The upper plate is connected with a
147 mobile upper mount and the fixed bottom plate is connected with a temperature-controlling
148 unit. All polymer samples were prepared using a hot plate press (set at 180°C; 3bar) and were
149 20mm in diameter and \pm 1mm thick (Carver, USA). To determine the linear viscoelastic region
150 (LVER), an amplitude sweep over a strain range (0.01-10%) was performed on all TecophilicTM
151 grades. Temperature sweeps were performed on all hydrophilic TPUs to determine the
152 temperature range for which η^* is between 1000 and 10 000Pa.s. [23] The samples were
153 loaded at 180°C and equilibrated for 10 minutes to erase all thermal history. Next, samples
154 were cooled to 40°C and equilibrated for 5 minutes. After equilibration, samples were
155 gradually heated at 2°C/min to 200°C. During heating, an angular frequency of 1Hz and a strain
156 of 1% was applied on the sample. Furthermore, frequency sweeps were performed on the
157 TPU grades having the lowest extrusion temperature range (based on the temperature
158 sweeps). The material viscoelastic properties at different time scales were gathered during a
159 frequency sweep for investigation of shear thinning and elastic behaviour.

160 Hot melt extrusion on the pure polymers was done on a lab-scale co-rotating twin-screw
161 extruder at 100rpm (Haake MiniLab II Micro Compounder, Thermo Electron, Karlsruhe,
162 Germany). Minimum processing temperature (i.e. temperature at which torque values did not
163 exceed 20% of maximum torque) was determined and linked with polymer screening data.
164 [23]

165 2.3. Production of HME/IM tablets in combination with different model drugs
166 HME/IM was performed on selected TPUs in combination with diprophylline, acetaminophen
167 and theophylline (aqueous solubility in 1ml at 25°C: 0.33, 0.014 and 0.007g, respectively).
168 Physical mixtures (50% drug load, w/w, in all cases) were extruded using a co-rotating twin-
169 screw extruder (Haake MiniLab II Micro Compounder, Thermo Electron, Karlsruhe, Germany),
170 operating at different screw speeds (50, 75 and 100rpm) and processing temperatures (110,
171 120, 130 and 140°C for SP60D60 formulations; 110°C for SP93A100 formulations and 80°C for
172 TG2000 formulations). To evaluate the effect of drug load, physical mixtures of drug
173 (concentration was varied from 40-80% (w/w)) and Tecophilic™ SP60D60 were processed via
174 HME at 100rpm using a barrel temperature of 110°C.
175 After HME, the extrudates were immediately processed into tablets via injection molding
176 (Haake MiniJet System, Thermo Electron, Karlsruhe, Germany) at a temperature equal to the
177 extrusion temperature. During the IM process an injection pressure of 800bar (during 10s)
178 forces the material into the mold. A post-pressure of 400bar (during 5s) avoids expansion by
179 relaxation of the polymer. As not only HME processing parameters might affect drug release,
180 injection molding pressure and post-injection pressure were varied from 600-1000bar and
181 200-600bar, respectively. For all experiments, one parameter was varied at a time while
182 keeping the other parameters constant.

183

184 2.4. Characterization of HME/IM tablets

185 Crystallinity of the APIs was evaluated using two techniques: MDSC and XRD. A MDSC Q2000
186 (TA Instruments, Leatherhead, UK) with a refrigerated cooling system (RCS) was used to
187 determine glass transition temperature, melting point, and melting enthalpy (ΔH) of pure
188 components, physical mixtures and extruded tablets. As melting temperature of theophylline
189 is higher than the degradation temperature of the polymers, (M)DSC data of the physical
190 mixtures and IM tablets containing theophylline were not recorded. The MDSC data of all
191 other physical mixtures and IM tablets (sample mass 7-15mg) were analysed using Tzero pans
192 (TA instruments, Zellik, Belgium) at a heating rate of 2°C/min. The modulation period and
193 amplitude were set at 1min and 0.318°C, respectively (heat-iso method). The MDSC cell was
194 purged using dry nitrogen at a flow rate of 50mL/min. A heat/cool/heat run was performed
195 between -90 and 180°C to analyse the thermal characteristics (T_m , T_g , melting enthalpy) of
196 pure components, physical mixtures and IM tablets. T_m was analysed in the first heating cycle.

197 Analysis of T_g was done during the first and second heating cycle for IM tablets and physical
198 mixtures, respectively. XRD of pure components and IM tablets is performed using a D5000
199 $\text{CuK}\alpha$ diffractor ($\lambda = 0.154\text{nm}$) (Siemens, Karlsruhe, Germany) with a voltage of 40mA in the
200 angular range of $10^\circ < 2\theta < 60^\circ$ using a step scan mode (step width = 0.02° , counting time =
201 1s/step).

202 Attenuated total reflection Fourier-transform infrared (ATR FT-IR) measurements were
203 performed to detect possible chemical interactions between API and polymer. Spectra ($n=5$)
204 were collected of pure substances, physical mixtures and IM tablets using a Nicolet iS5 ATR
205 FT-IR spectrometer (Thermo Fisher Scientific). A diamond ATR crystal was pressed against the
206 samples. Each spectrum was collected in the $4000 - 550\text{ cm}^{-1}$ range with a resolution of 4 cm^{-1}
207 and averaged over 64 scans. FT-IR spectral data analysis was done using SIMCA P+ v.12.0.1
208 (Umetrics, Umeå, Sweden). Different spectral ranges were evaluated via principal component
209 analysis. All collected FTIR spectra were preprocessed using standard normal variation (SNV).

210 The homogenous distribution of the drugs in the different IM tablets was evaluated by Raman
211 microscopic mapping using a Raman Rxn1 Microprobe (Kaiser Optical System, Ann Arbor, MI,
212 USA) equipped with an air-cooled CCD detector. The laser wavelength employed was 785nm
213 from a Invictus NIR diode laser having a laser power of 400mW. Raman microscopic mapping
214 was done on the surface and on a cross-section of the injection-molded tablets, these areas
215 were scanned by a 10x long working distance objective lens (spot size $50\mu\text{m}$) in mapping mode
216 using an exposure time of 4s and a step size of $50\mu\text{m}$ in both the x (18points) and y (13points)
217 direction (=234 spectra or $850 \times 600\mu\text{m}$ per mapping segment). Data collection and data
218 transfer were automated using HoloGRAMS™ data collection software (version 2.3.5, Kaiser
219 Optical Systems), the HoloMAP™ data analysis software (version 2.3.5, Kaiser Optical Systems)
220 and Matlab software (version 7.1, The MathWorks, Natick, MA, USA).

221 Each mapping was analysed using multivariate curve resolution (MCR) approach to determine
222 the composition homogeneity of the samples. Therefore, for each mapping segment all 234
223 spectra were introduced in a data matrix. Because each sample consisted of two components,
224 2-factor MCR was applied. Additionally, both a spectrum of pure drug and TPU were added to
225 this data matrix. The spectral range was narrowed until $800\text{-}1500\text{cm}^{-1}$, containing specific
226 peaks for both components. First, all spectra were baseline corrected using Pearson's method
227 and subsequently they were normalized, obtaining data matrix **D** containing the pre-
228 processed spectra. MCR aims to obtain a clear description of the individual contribution of

229 each pure component in the area from the overall measured variation in **D**. Hence, all
230 collected spectra in the area are considered as the result of the additive contribution of all
231 pure components involved in the area. Therefore, MCR decomposes **D** into the contributions
232 linked to each of the pure components in the system, described by the equation 1:

$$\mathbf{D} = \mathbf{CS} + \mathbf{E} \quad (1)$$

233
234 where **C** and **S** represent the concentration profiles and spectra, respectively. **E** is the error
235 matrix, which is the residual variation of the dataset that is not related to any chemical
236 contribution. Next, the working procedure of the resolution method started with the initial
237 estimation of **C** and **S** and continued by optimizing iteratively the concentration and response
238 profiles using the available information about the system. The introduction of this information
239 was carried out through the implementation of constraints. Constraints are mathematical or
240 chemical properties systematically fulfilled by the whole system or by some of its pure
241 contributions. The constraint used for this study was the default assumption of non-negativity;
242 that is, the data were decomposed as non-negative concentration times non-negative spectra.

243

244 2.5. *In vitro* dissolution

245 Drug release from the injection-molded tablets (n=3) was determined using the paddle
246 method on a VK 7010 dissolution system (VanKel Industries, New Jersey, USA) with a speed of
247 100rpm. Distilled water was used as dissolution medium (900mL) at 37±0.5°C. Samples were
248 withdrawn at predetermined time points (0.5; 1; 2; 4; 6; 8; 12; 16; 20 and 24h) and
249 spectrophotometrically (UV-1650PC, Shimadzu Benelux, Antwerp, Belgium) analysed using a
250 wavelength of 244, 273 and 273nm for acetaminophen, theophylline and diprophylline,
251 respectively. *In vitro* drug release data were fitted to zero order release kinetics and R² values
252 were calculated. At the same time points, formulations (n=3) were withdrawn from the
253 medium and weighed after removing excess surface water. Images were made with a digital
254 camera (C3030 Olympus) attached to an image analysis system (analySIS®) to visualize swelling
255 behaviour. A digital calliper (Bodson, Luik, Belgium) was used to measure the height and
256 diameter of the injection-molded tablets (n=3) in order to determine the axial and radial
257 swelling, respectively. The water uptake (% weight gain of the hydrophilic TPU) was calculated
258 from the weight of the IM tablets (n=3), taking into account the drug released as described in
259 equation 2. [8]

260

261
$$\% \text{ water uptake} = \frac{(W_w - D_r t) - (W_i - D_r 0)}{(W_i - D_r 0)} \times 100 \text{ (2)}$$

262

263 Where W_w = weight of the IM tablet at time 't' (hours after immersion)

264 W_i = initial weight of the IM tablet before dissolution

265 $D_r 0$ = amount of drug in the IM tablet before dissolution

266 $D_r t$ = amount of drug in the IM tablet at time 't' (hours after immersion)

267

268 The effect of HME/IM process temperature, extrusion speed, drug load and injection pressure

269 on *in vitro* drug release and swelling behaviour was determined to evaluate robustness.

270 3. Results and discussion

271 TGA indicated that no thermal degradation of the different TPUs occurred below 220°C. All
272 grades had similar glass transition temperatures varying between -42.5°C and -50.5°C,
273 explaining the flexibility of the TPUs at room temperature. Except Tecophilic™ HP60D35,
274 SP60D60, TG500 and TG2000, each TPU grade showed multiple endothermic peaks on the
275 MDSC thermograms (detailed values are listed in **Table 2**), probably due to the presence of
276 different crystal lattices in the hard segments of the hydrophilic TPU. As each grade was
277 characterized by different melts, a specific extrusion temperature could not be predicted
278 based on DSC data. In addition, samples were not subjected to shear stresses during (M)DSC
279 experiments, further hindering the prediction of processing temperature. [24] In order to
280 ensure maximum drug stability, processing temperature should be predicted and kept as low
281 as possible. [25] Although MDSC did not allow to predict processing temperature of the
282 hydrophilic TPUs, it was a useful tool to determine the plate press temperature, needed for
283 rheology sample preparation as all polymers were molten at 180°C.

284 As displayed in **Table 2**, large differences were observed in rheological properties among the
285 different TPUs. *Gupta et al.* linked the observed torque values during HME of Soluplus™ to
286 the complex viscosity of rheology experiments. The viscosity of a melt should be within a
287 specific range in order to avoid the torque limit of the extrusion equipment to be exceeded,
288 whereas a certain melt viscosity is needed to ensure sufficient mixing. [23] It was stated that
289 HME processing of polymers was possible if the complex viscosity was between 1000 and
290 10000Pa.s. [23] Although Soluplus™ and TPUs have different physicochemical properties,
291 similar temperature sweep experiments (**Fig. 2**) were performed on all hydrophilic TPUs to
292 verify the influence of increasing temperature on the polymer processability and to predict
293 the temperature range in which acceptable complex viscosity values (i.e. 1000 - 10 000Pa.s)
294 were obtained. As expected, the complex viscosity of all polymers was lower at higher
295 temperatures, predicting lower torque values during processing at higher temperatures. As all
296 TPUs had a storage modulus larger than the loss modulus at lower temperatures, they can all
297 be considered as elastic solids. At higher temperature, the viscous properties of all TPUs
298 increased and a cross-over point (i.e. temperature at which material starts to flow) was
299 reached when $\tan\delta$ (i.e. storage modulus/loss modulus) equals 1. Among the hydrophilic TPU
300 grades, differences were observed for: the cross-over point (i.e. $T_{\tan\delta = 1}$) and the temperature
301 range in which processing was considered to be acceptable (i.e. $T_{\eta^* = 1000 - 10\ 000\text{Pa.s}}$). To link

302 rheological properties to molecular weight, SEC analysis was performed on all grades. It is
303 known that differences in average molecular weight can significantly affect rheological
304 properties of polymers with similar chemical structures. [25] SEC analysis provided an
305 explanation for the observed differences in rheological parameters: with increasing molecular
306 weight of the hydrophilic TPU higher $T_{\tan\delta = 1}$ and $T_{\eta^* = 1000\text{Pa}\cdot\text{s} - 10\,000\text{Pa}\cdot\text{s}}$ values were observed
307 during rheology measurements. To validate these predictions, all grades were extruded at a
308 temperature ranging between $T_{\eta^* = 10\,000\text{Pa}\cdot\text{s}}$ and $T_{\eta^* = 1000\text{Pa}\cdot\text{s}} \pm 10^\circ\text{C}$. For all TPUs the extrusion
309 temperature (T_{extr}) was considered as the temperature at which torque values did not exceed
310 20% of the maximum torque. After HME of pure TPUs, it was found that SEC and rheology
311 data predicted the lower extrusion temperatures of the Tecophilic™ grades SP60D60,
312 SP93A100 and TG2000. Moreover, $^1\text{H-NMR}$ spectroscopy indicated that all grades TPUs have
313 different soft segment to hard segment ratios (**Table 3**), resulting in a different water uptake
314 capacity and thus potential differences in release kinetics. Due to their low predicted
315 processing temperatures (i.e. ensuring the thermal stability of the API), and large differences
316 in water uptake capacity, Tecophilic™ grades SP60D60, SP93A100 and TG2000 were further
317 evaluated for the production of HME/IM oral sustained release dosage forms.

318 During a first series of HME/IM experiments, three selected hydrophilic TPUs were processed
319 with different model drugs. Although 50% (w/w) drug loading was used, the torque values
320 only slightly increased in comparison to the extrusion of pure polymers. Therefore, processing
321 of the mixtures remained possible and was done at the same temperature of the pure
322 polymers, i.e. 80°C for Tecophilic™ TG2000, 110°C for Tecophilic™ SP60D60 and Tecophilic™
323 SP93A100. Similar to the observations reported by *Claeys et al.* no adhesion to the mold was
324 observed for hydrophilic TPU-based tablets. [15] In addition, all different compositions
325 resulted in a non-crushable tablet making them less susceptible to abuse. A phenomenon that
326 was linked to the low T_g of the TPUs (i.e. flexibility at room temperature).

327 In a next series of experiments, drug loading and process parameters were varied. For all
328 model drugs, except theophylline, 70% (w/w) drug loading could be achieved without the
329 need to increase the process temperature. As complex viscosity values were inversely
330 correlated to the extrusion temperature, torque values were successfully lowered by increasing
331 the barrel temperature and even higher drug loads (up to 80%, w/w) could be used. Notably,
332 injection pressure and post-injection pressure did not affect the dimensions of the IM tablets.

333 MDSC data indicated that the API remained mainly crystalline (varying between 71% and 99%)
334 after processing as shown in **Fig. 3** and **Table 4**. Diffractograms of hydrophilic TPU, model drug
335 and HME/IM tablets are shown in **Fig. 4**. Peaks corresponding to crystalline drug were present
336 in all injection-molded tablets for all drugs used, confirming the unchanged crystalline state
337 of the drug after processing.

338 The MCR contribution plots in **Fig. 5** showed that contributions of API and hydrophilic
339 polyurethane were similar in all spectra, indicating that the drug was homogeneously
340 distributed throughout the tablet, for both cross sections and surfaces.

341 As shown in **Fig. 6**, drug release depended on the hydrophilic TPU grade: 58, 67 and 94%
342 acetaminophen was released after 24h from matrices containing Tecophilic™ SP60D60,
343 SP93A100 and TG2000, respectively. Whereas complete drug release of theophylline and
344 diprophylline was not obtained without the use of release modifiers in hydrophobic TPU
345 matrices, a complete and sustained release was observed when using hydrophilic TPU
346 matrices. [21] Since the rate and extent of swelling might influence the drug release
347 mechanism and kinetics, swelling of the IM tablets was plotted as a function of dissolution
348 time. As the soft segment/hard segment ratio increased, more PEO was present in the
349 polymer structure (SP60D60<SP93A100<TG2000). This resulted in a higher water uptake,
350 which could be linked to the faster release kinetics. Although the water uptake of formulations
351 containing TG2000 was about 6- and 10- fold higher than the water uptake of formulations
352 based on SP93A100 and SP60D60, respectively, the *in vitro* release kinetics did not reflect this
353 observation in the same magnitude. In addition, a fast water uptake was observed for all
354 formulations during the first 8 hours, without burst release issues. Both phenomena, as earlier
355 reported by *Verhoeven et al.* and *Siepmann et al.*, might be attributed to the instantly formed
356 gel outer layer upon contact with the dissolution medium which delays drug release as
357 displayed in **Fig. 7** and **Fig. 8**. [8][26]

358 Besides the length of the soft segment (i.e. Tecophilic™ grade), drug release was affected by
359 drug loading as TPU matrices with a high drug load (up to 70%, w/w) yielded faster release
360 kinetics (**Fig. 9**). Similar to the results described by *Claeys et al.* for hydrophobic TPU matrices,
361 no burst-effect issues were observed for hydrophilic TPU formulations containing up to 70%
362 (w/w) drug. [15] In addition, release kinetics of all model drugs were not affected by modifying
363 HME screw speed, barrel temperature nor by changing downstream processing parameters
364 (i.e. injection pressure and post-injection pressure).

365 **4. Conclusion**

366 Based on their lower processing temperatures (predicted via rheology), Tecophilic™ SP60D60,
367 SP93A100 and TG2000 were successfully used for the manufacturing of high drug loaded (up
368 to 70%, w/w) oral sustained release dosage forms via HME/IM. In addition, Raman mapping
369 and (M)DSC results indicated a homogeneous distribution of mainly crystalline API in all
370 matrices. As the in vitro drug release from the hydrophilic TPU matrices depended on the
371 length of the PEO soft segment, this concept provides a versatile system to adjust the drug
372 release of different types of drugs without using release modifiers.

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448 **Figures**

449 **Fig. 1.** Chemical structure of the aliphatic hydrophilic TPU Tecophilic™.

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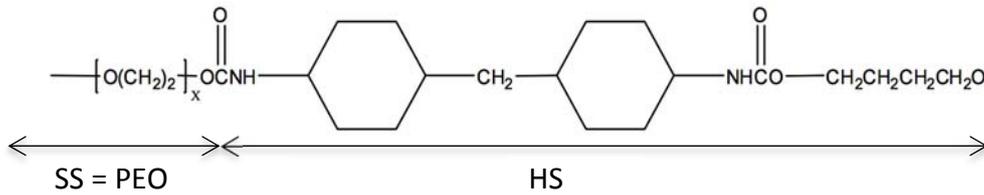
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456 **Fig. 2.** Output of temperature sweep experiment on Tecophilic™ TG2000. Cross-over point
 457 ($T_{\tan\delta = 1} = 56^\circ\text{C}$) and predicted processing temperature range (i.e. $T_{\eta^*} = 1000 - 10\,000\text{Pa}\cdot\text{s} = [73-$
 458 $150^\circ\text{C}]$) are shown.

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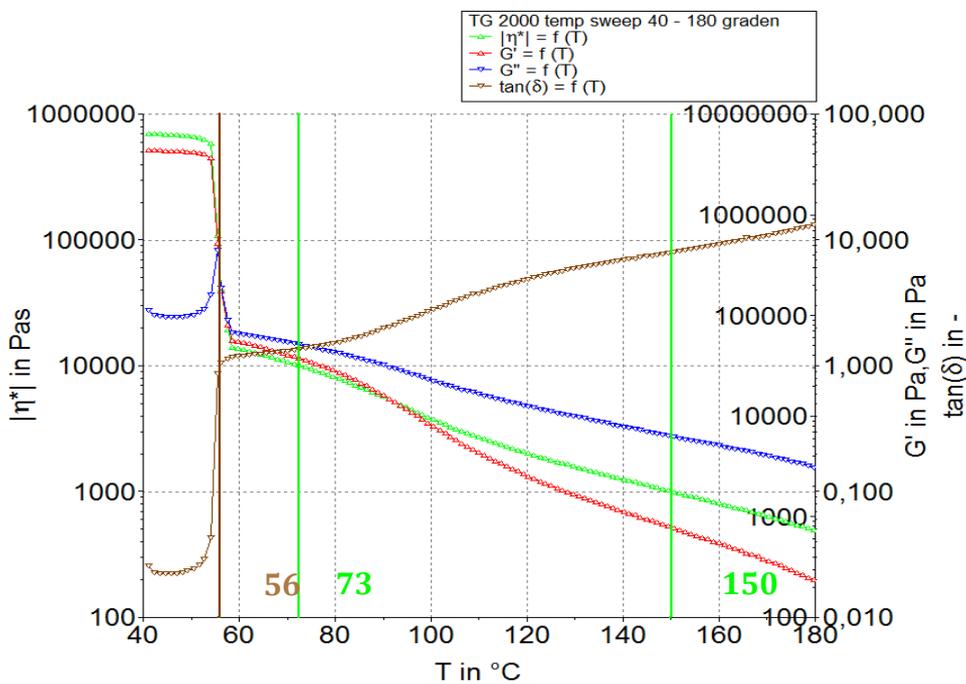
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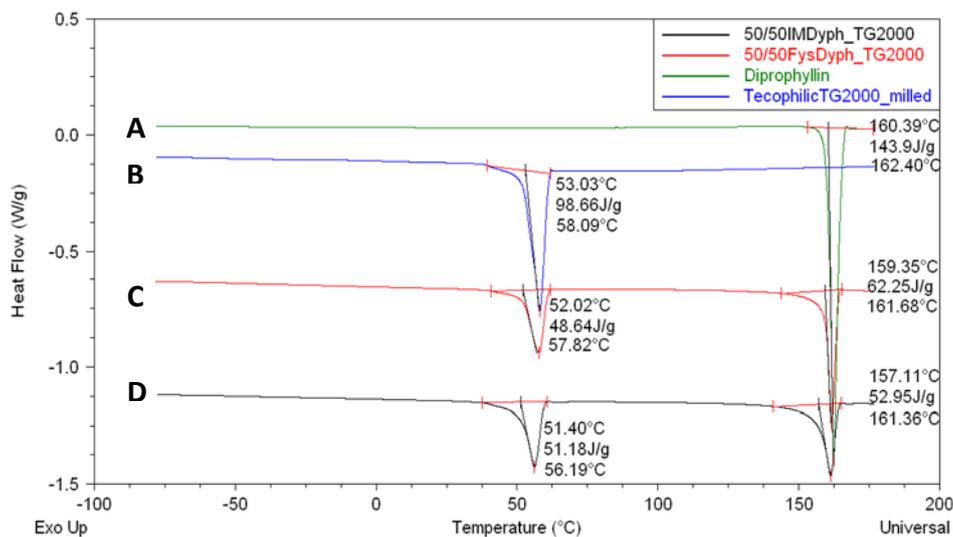
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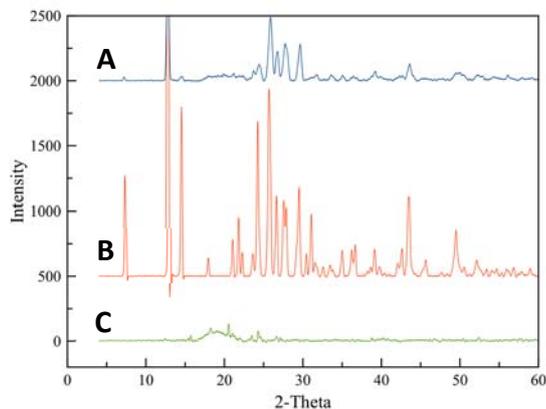
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470 **Fig. 3.** MDSC thermograms of pure diprophylline **(A)**, pure polymer Tecophilic™ TG2000 **(B)**,
471 physical mixture diprophylline/TG2000 (50/50, w/w) **(C)** and IM tablet diprophylline/TG2000
472 (50/50, w/w) **(D)**.



484 **Fig. 4.** XRD patterns of **(A)** IM tablet theophylline/SP60D60 (50/50, w/w) **(B)** theophylline and
485 **(C)** Tecophilic™ SP60D60.



494 **Fig. 5.** Raman spectra of **(A)** IM tablet cross section diprophylline/SP60D60 (50/50, w/w), **(B)**
495 diprophylline and **(C)** Tecophilic™ SP60D60. MCR contribution plot showing the equal
496 contribution of diprophylline **(D)** and Tecophilic™ SP60D60 **(E)** to the Raman spectrum of the
497 IM tablet.

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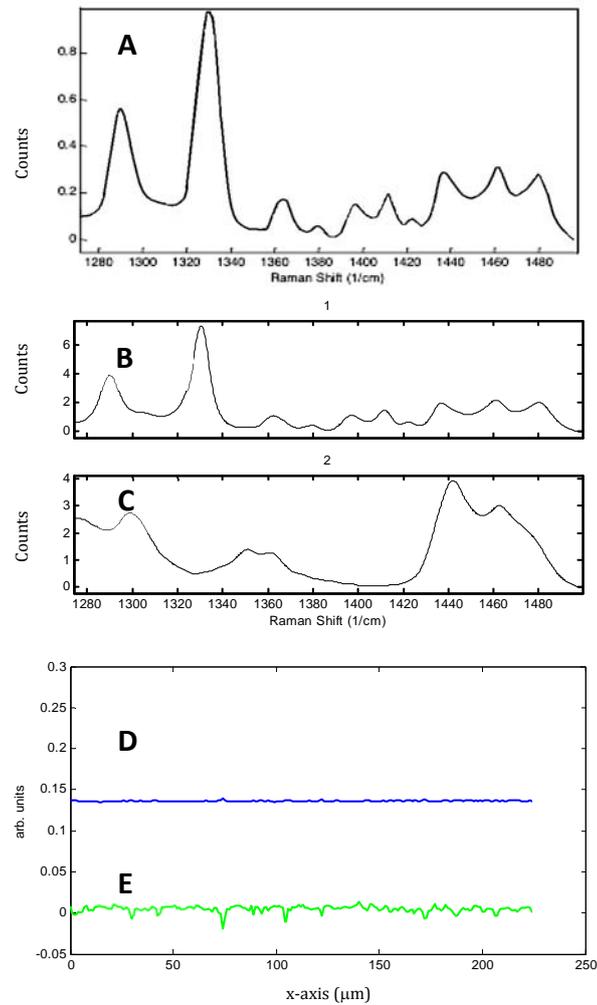
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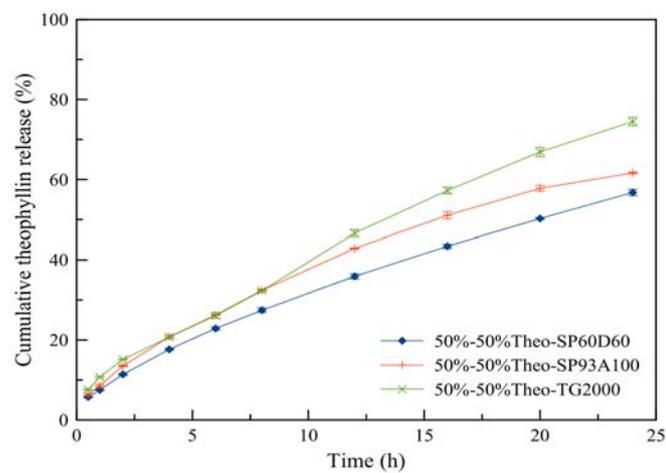
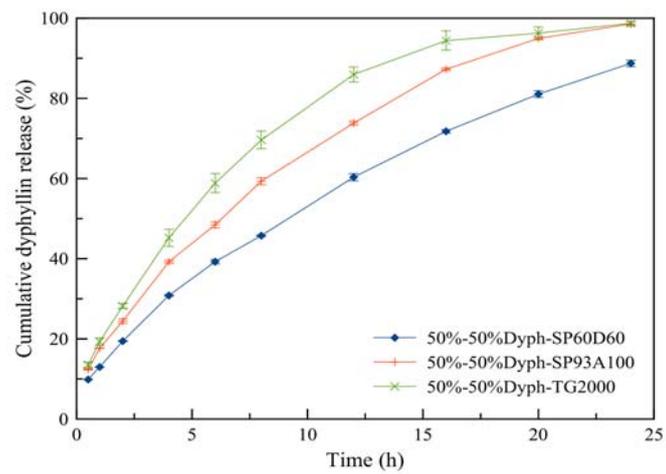
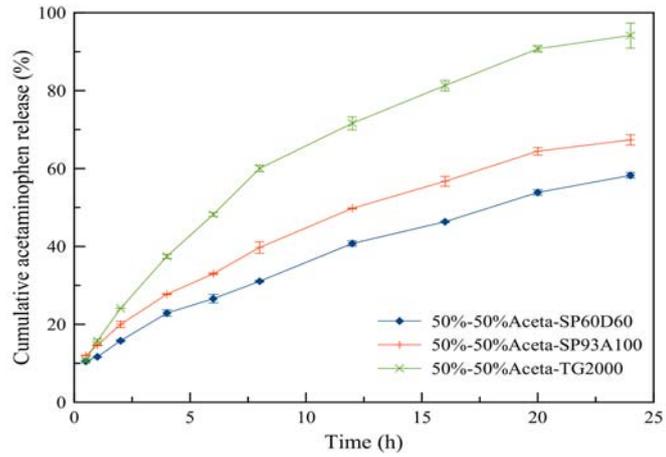
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515 **Fig. 6.** Influence of length of the soft segment (poly ethylene oxide) on the *in vitro* release
 516 kinetics (mean \pm SD, n=3) of drugs with different aqueous solubility from TPU-based matrices
 517 formulated with different TPU grades (SP60D60, SP93A100 and TG2000).



545 **Fig. 7.** Influence of length of the soft segment (poly ethylene oxide) on the swelling behavior
546 (mean \pm SD, n=3) of TPU matrices containing 50% (w/w) diprophylline and different TPU grades
547 (SP60D60, SP93A100 and TG2000).

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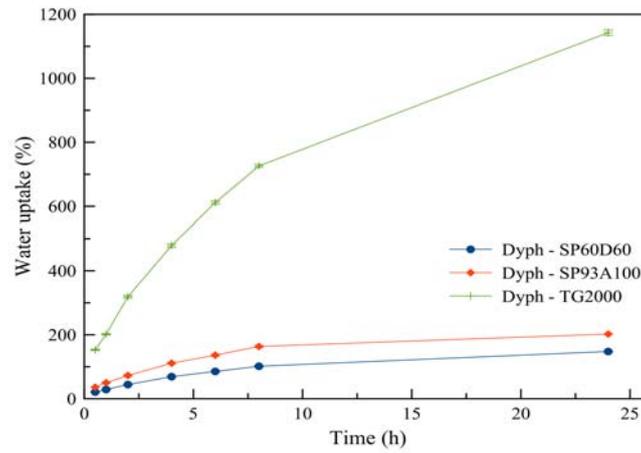
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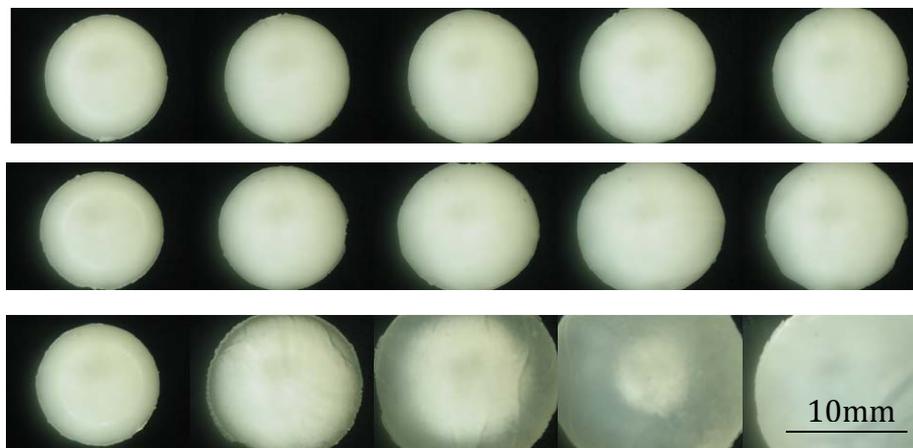
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558 **Fig. 8.** Pictures of IM tablets containing 50% (w/w) diprophylline in combination with
559 Tecophilic™ SP60D60, SP93A100 and TG2000 (top to bottom), at different sampling time
560 points (0h, 1h, 4h, 8h and 12h) (left to right).

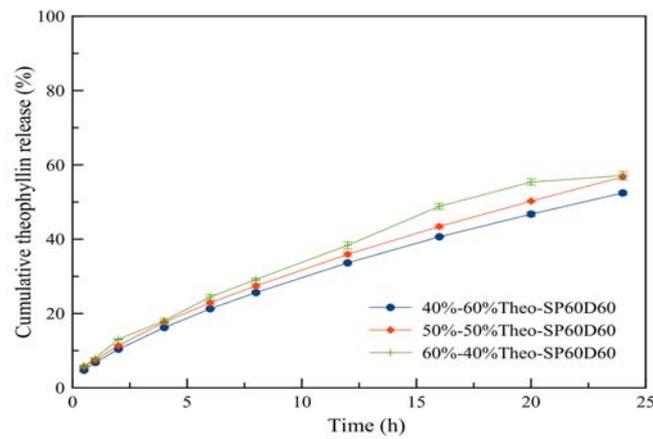
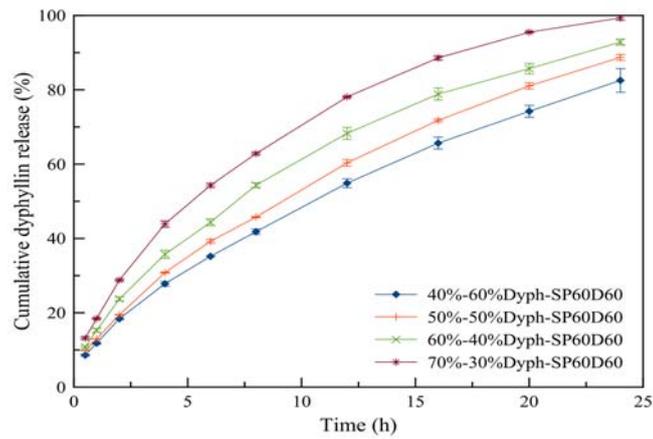
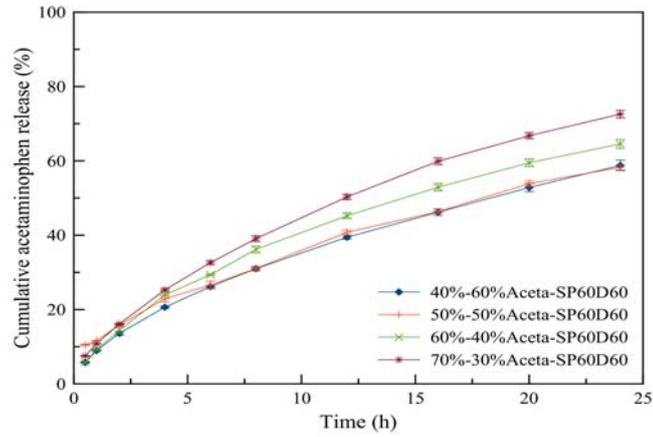
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562 **Fig. 9.** Influence of drug load on the *in vitro* release kinetics (mean \pm SD, n=3) of formulations
563 containing drugs with different aqueous solubility and different TPU grades (SP60D60,
564 SP93A100 and TG2000).

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566



567 **Tables**568 **Table 1.** Overview of different Tecophilic™ grades and their equilibrium water uptake. [11]

Tecophilic™ grade	Equilibrium water uptake (w/w, %)
HP60D20	20
HP60D35	35
HP60D60	60
HP93A100	100
SP60D60	60
SP93A100	100
SP80A150	150
TG500	500
TG2000	900

569

570 **Table 2.** Overview of screening data and extrusion temperature of all hydrophilic TPU grades.571 Minimum processing temperature T_{extr} was defined as the temperature at which torque values
572 did not exceed 20% of maximum torque.

Tecophilic™ grade	T_g (°C)	T_m (°C)	M_n (g/mol)	M_w (g/mol)	$T_{\tan\delta = 1}$ (°C)	$T_{range10000 - 1000Pas}$ (°C)	$T_{extr.}$ (°C)
HP60D20	-46.8	55.1; 86.4	52 461	119 151	132	172-... ^a	170
HP60D35	-42.5	72.9	111 348	189 881	158	194-... ^a	180
HP60D60	-44.9	55.6; 107.3	112 136	209 649	136	176-... ^a	180
HP93A100	-47.0	44.4; 129.2	77 277	159 031	122	163-... ^a	170
SP60D60	-49.3	71.2	33 399	63 870	131	124-161	110
SP93A100	-47.7	8.8; 40.8; 73.8; 126.2	45 719	88 843	105	117-167	110
SP80A150	-48.7	16.7; 55.8; 104.1	110 979	184 937	112	156-199	170
TG500	-50.5	55.7	141 772	219 466	117	122-187	170
TG2000	-49.6	58.1	82 643	130 331	56	73-150	80

573 ^a $T_{1000Pas}$ (°C) was not determined as it exceeded the temperature limit of the rheometer module (i.e. 200°C)

574

575 **Table 3.** Overview of different Tecophilic™ grades and their soft segment (SS)/hard segment
 576 (HS) ratio, based on ¹H-NMR results. With increasing SS (i.e. PEO) length higher equilibrium
 577 water uptake values were observed.

Tecophilic™ grade	SS ^b /HS ^c
HP60D20	3.3
HP60D35	5.7
HP60D60	7.8
HP93A100	11.8
SP60D60	6.9
SP93A100	11.8
SP80A150	21.0
TG500	39.8
TG2000	82.3

578 ^b soft segment polyethylene oxide (PEO)

579 ^c hard segment hexamethylene diisocyanate (HMDI) in combination with 1,4-butanediol (1,4-BD) as chain extender

580

581 **Table 4.** Melting enthalpy of acetaminophen and diprophylline in physical mixtures and IM
 582 tablets.

Drug	Polymer	$\Delta H_{\text{Physical mixture}}$ (J/g)	$\Delta H_{\text{IM Tablet}}$ (J/g)	%Crystallinity
Acetaminophen	SP60D60	42.6	32.0	75.0
	SP93A100	41.1	29.0	70.6
	TG2000	17.7	17.6	99.5
Diprophylline	SP60D60	51.2	45.2	88.3
	SP93A100	48.0	46.2	96.2
	TG2000	62.3	53.0	85.1

583