High-resolution X-ray imaging and analysis of							
coatings on and in wood							
Jan Van den Bulcke <sup>1</sup> *, Matthieu Boone <sup>2</sup> , Joris Van Acker <sup>1</sup> , Luc Van Hoorebeke <sup>2</sup>							
<sup>1</sup> Laboratory of Wood Technology, Faculty of Bioscience Engineering, Ghent University, Coupure Links 653, 9000 Ghent, Belgium							
<sup>2</sup> Department for Subatomic and Radiation Physics, Faculty of Sciences, Ghent University, Proeftuinstraat 86, 9000 Gent, Belgium							
*e-mail (corresponding author): <u>Jan.VandenBulcke@UGent.be;</u>							
postal address: Laboratory of Wood Technology Coupure links 653 9000 Ghent Belgium							
tel: +32 (0)9 264 61 24							
fax: +32 (0)9 264 62 33							
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### 33 Abstract

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35 Wood coatings are widely used for aesthetic and protective reasons. Assessment of 36 coating performance during service life is crucial in order to establish a knowledge database for product optimization. A vast amount of techniques is available for 37 analysis of a coating's behavior of which micro-imaging is an important tool. In 38 39 addition to standard microscopy techniques, high-resolution X-ray tomography is 40 presented as a modality offering non-destructive visualization of a coating and the 41 substrate applied on. Combined with analysis of the 3D volumetric data, surface 42 roughness, structure and thickness of the coating layer, penetration depth and 43 related mechanical anchoring can be studied in relation with the underlying substrate. 44 To provide a clear illustration of the possibilities and limitations of this technique, both 45 an opague solvent-borne and an opague water-borne coating applied on two different 46 wood types were scanned and analyzed. Clearly, three-dimensional X-ray imaging at 47 high resolution produces valuable information merely by visualization. Moreover by 48 proper analysis guantitative data is obtained taking into account the limitations of X-49 ray computed tomography and of automated image processing.

- 50
- 51 **Keywords**: 3D; X-ray CT; coating; wood
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### 53 Introduction

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55 In spite of the use of synthetic alternatives, the importance of wood as an industrial material cannot be underestimated. Although its undeniable advantage as a 56 57 renewable material with unique properties, wood has the disadvantage that due to its biological nature, it has an inherent variability and is susceptibility to micro-58 59 organisms.<sup>1</sup> In order to protect wood from both physical as well as biological weathering, application of a protective coating layer is a well-established technique 60 61 for wood protection in addition to wood modification and wood preservation. The performance of a wood coating in the field or in simulated service is measured in 62 many ways, of which micro-imaging and accompanying analysis of the visual 63 64 information is a way of assessing its weathering behaviour. Techniques such as conventional light microscopy and fluorescence microscopy<sup>2</sup> are complemented with 65 high-end modalities as atomic force microscopy,<sup>3,4</sup> scanning electron microscopy<sup>5</sup> 66 and confocal laser microscopy.<sup>6</sup> Only the last technique can envisage a coating non 67 destructively in three dimensions, yet, although its superior resolution, confocal 68 69 microscopy still has a limited probing capacity. In addition to above-mentioned 70 techniques, X-ray tomography is a high-end tool for fast and non-destructive three-71 dimensional analysis. The technique has been used in several research domains for various applications ranging from plant biology<sup>7</sup> to soil science.<sup>8</sup> Previous studies 72 using X-ray tomography have been performed on thermal barrier coatings,<sup>9</sup> paper<sup>10,11</sup> 73 and cultural heritage.<sup>12</sup> High-resolution X-ray tomography is particularly interesting for 74 75 the study of wood coatings regarding the structure of the substrate and the average 76 thickness and penetration depth of a coating. Furthermore, the digitalized coating 77 structure allows analysis of its surface and inner structure as well. To demonstrate 78 the power of X-ray tomography and its limitations, two coated wood samples were 79 visualized and analyzed.

### 81 Experimental Methods and Materials

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### 83 Sample description

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85 Wood from the following tree species was used: Scots pine (Pinus silvestris) and 86 padouk (Pterocarpus soyauxii), representing respectively a softwood and a tropical 87 hardwood species. Pine sapwood is a light material often used as test substrate in 88 European standards whereas padouk is a durable and dens tropical species from 89 Africa used for the production of window joinery. The wood was cut from straightgrained material and two small boards were sawn with a growth ring angle of 45° with 90 the surface. The boards were brush-coated with an opaque solvent-borne and an 91 92 opaque water-borne coating. Details of coating application and coating composition 93 are not given, as the purpose of this paper is an illustration of X-ray imaging and 94 accompanying analysis, not an in-depth study of wood coatings itself.

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### 96 *Image acquisition*

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98 Samples for scanning were prepared by sawing a section of the coated boards into 99 parallelepiped-shaped specimen. The top section of the wood sample, measuring 100 approximately 2 x 2 x 2 mm was scanned using the X-ray equipment built at the 101 Centre for X-ray Tomography at Ghent University (UGCT - http://www.ugct.ugent.be). 102 This is a state-of-the-art scanner,<sup>13</sup> highly flexible, with in-house developed software 103 for scanner control, sample reconstruction, analysis and visualization. The X-ray 104 source, a Feinfocus nano-focus tube, can reach a focal spot size down to one µm. All samples were scanned at an average voltage of 70 kV, a target current of 30 µA and 105 an exposure time of 1800 ms per image. A rotation step size of 0.36° was used. 106 Reconstruction took 20 min with Octopus, a server/client tomography reconstruction 107 package for parallel and cone beam geometry (www.xraylab.com).<sup>14</sup> With the 108 described set-up, micron resolution can be reached, in this paper resulting in scans 109 with voxels sizing approximately 2 x 2 x 2  $\mu$ m with 2<sup>16</sup> greyscale levels. 110

## 111112 Image analysis

#### 113

114 The images were loaded in MATLAB® for preprocessing and analysis. The aim was 115 to quantify surface roughness, thickness and structure of the coating layer, contact 116 surface quantification and penetration depth. The various steps of the analysis are 117 described below.

*Preprocessing.* Although image quality of the reconstructed slices was satisfactory, bilateral filtering was applied as an edge-preserving smoothing technique to prevent averaging across edges and still average in smooth regions<sup>15</sup> aiming at an improvement in image segmentation.

Segmentation. This is nearly always the most crucial part of image analysis.<sup>16</sup> Due to 122 123 the differences in X-ray density of coating, wood and noise the bilateral filtered scans 124 could be segmented by fitting a multimodal normal distribution consisting of three 125 Gaussian-shaped curves to the histogram of the cross-sectional slices for pine. Fig. 1 126 displays the histogram of a resized slice with the fit of the normal distributions for 127 pine. The intersection between the first and second Gaussian distribution separates 128 noise from wood. The intersection of the second and third Gaussian curve is used as 129 threshold separating coating from wood. Clearly, the difference between wood and 130 coating voxels is more pronounced than the demarcation between noise and wood. 131

132

Fig. 1

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134 *Cleaning.* A combined morphological closing and size-based opening of the 135 segmented volume removed isolated voxels or voxel islands, erroneously classified 136 as coating due to imperfect segmentation.

*Surface extraction.* During scanning, samples were positioned upright with the coating layer parallel to the horizontal plane, yet small deviations from this horizontal position had to be corrected mathematically by rotation of the volume for correct calculation of layer thickness and penetration. Therefore, the surface of the coating layer was extracted from the segmented volume and a plane was fitted using principal component analysis. As such, the normal of the plane gives the rotation angles necessary for spatial transformation of the data.

144 Surface roughness. For further analysis of the extracted and rotated surface, heights 145 were averaged to zero and several roughness parameters were computed using ImageJ with the SurfCharJ toolbox of Chinga et al.:<sup>17</sup> arithmetical mean deviation 146  $(R_a)$ , root mean square deviation  $(R_a)$ , kurtosis of the assessed profile  $(R_{ku})$ , 147 148 skewness of the assessed profile ( $R_{sk}$ ), lowest valley ( $R_v$ ), highest peak ( $R_p$ ), the total 149 height of the profile (R<sub>t</sub>). Polar angles (orientation) and azimuthal angles (direction) of 150 the facets were also calculated based on the facet normals of the triangulated surface. 151

Layer thickness and penetration depth. In order to exclude coating pores from being included erroneously in the penetration depth computation, morphological filling of pores in the coating was obligatory. Two methods were used to calculate layer thickness and penetration depth starting from the surface perpendicular downwards. Both are illustrated in Fig. 2b and 2c with the original image in Fig. 2a.

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- 158

Fig. 2

159 160 Theoretically, penetration does not take into account the simple filling of cells that are cut open lying at the surface. Yet the extent of coating penetration is controlled by the 161 ability to flow into open ends and by transport through interconnecting pits.<sup>18</sup> Fig. 2b 162 163 represents the demarcation of the layer thickness according to method 1 following the theoretical definition. The remaining coating beneath the layer is considered to be 164 penetrated in the substrate. Method 2, illustrated in Fig. 2c, is similar to the method 165 elaborated in Van den Bulcke et al.<sup>19</sup> and based on a two-dimensional view on 166 penetration as layer thickness includes all coating material above the roughness of 167 168 the substrate and penetration everything beneath it.

169 *Porosity*. Calculation of the porosity of the segmented coating volume was based 170 upon the pore volume distribution and maximum inscribed sphere distribution.

171 Interface. The interface of the coating, i.e. the contact surface between coating and 172 wood, was quantified as a measure of adhesion by computation of the area of the 173 triangulated interface surface as an approximation of the real surface area. Logically, 174 the fineness of the mesh determines the accuracy of the surface approximation.

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All reconstructed and analyzed images of the samples were visualized with VGStudio
 MAX®, MATLAB®, ImageJ and Drishti.<sup>20</sup>

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# 179 Results and Discussion180

Fig. 3 illustrates several two- and three-dimensional images representing volume renderings and analyzed data of the opaque solvent-borne and opaque water-borne coating.

185 Fig. 3

186 Fig. 3a and 3b are the original scans, false colour-coded and based on manual 187 188 segmentation of the histogram. By virtual removal of a part of the wood substrate, the 189 imprint of the wood surface at the bottom of the coating is clearly visible. This casting of the wood by the coating reveals, as expected, an apparently less rough print for 190 191 padouk than for pine. The inserts in Fig. 3a and 3b are a detailed cross-sectional 192 slice of the coated wood samples, resembling images acquired with confocal microscopy<sup>6,19</sup> and could be used for manual demarcation of layer thickness and 193 194 penetration depth. Clearly, padouk's higher density results in a smaller contrast between coating and wood, interfering with segmentation. Fig. 3c and 3d, with ring 195 artefact,<sup>21</sup> illustrate the extracted surface of the coatings, averaged to a mean 196 roughness of zero. Naturally, the level of detail is determined by the voxel size of the 197 scans, which is sufficient for wood coatings. Table 1 lists several roughness 198 199 parameters calculated for the two coatings under test. 200

Table 1

202 The observation that the surface roughness of coated pine is higher than the surface 203 204 roughness of padouk is self-evident as the padouk surface is in general smoother. 205 Yet it should be stressed that these calculations, performed on a square of approximately 2 mm<sup>2</sup> of coated padouk, are not representative for the total board due 206 207 to the heterogeneity of the wood. Regions including vessels were not included in the 208 scan but can have a significant influence on the coating's texture. By contrast, the 209 surface roughness of pine is more or less representative for the total board surface, 210 at least for the earlywood region as imaged in the pine scan. Typically, a waviness pattern caused by earlywood-latewood differences appears for coatings with 211 212 moderate layer thickness due to incomplete levelling. An interesting view is given by 213 the orientation and direction of the facets of the surface. Fig. 3e and 3f illustrate the 214 orientation and Fig. 3g and 3h direction for pine and padouk. For both coatings, 215 orientation is low inherent to a rather flat surface with only at some specific points 216 high angles due to a high slope. The azimuthal images more or less reflect the topography as seen in Fig. 3c and Fig 3d. Such information can be related to gloss 217 218 values and can be implemented in light models such as the Beckmann theory of 219 reflection for gloss prediction. Especially when monitoring changes during weathering, surface characterization is of high interest.<sup>4</sup> What is more, the evolution 220 221 of a small crack present in the surface of the coating indicated by the black and white 222 arrows (Fig. 3c and 3e) could be monitored throughout weathering. In this respect, 223 the non-destructive nature of the technique is an obvious advantage. Determination of layer thickness and penetration depth is more complex. In order to

224 225 quantify such an effect, it is necessary to be able to segment coating and wood at the 226 interface properly and is only feasible if the greyscale levels differ significantly. The mean layer thickness and penetration depth according to method 1 are respectively 227 58.2 µm and 3.8 µm for pine and 43.8 µm and 2.5 µm for padouk. By definition, 228 values of penetration are guite low. However, following method 2 as given in Van den 229 Bulcke et al.<sup>19</sup> results are expected to be quite different yet the true three-230 231 dimensional information is not used at its full potential with the latter definition. In this 232 case, layer thickness and penetration depth are respectively 36.7 µm and 21.4 µm for pine and 37.5 µm and 6.1 µm for padouk. These results are more or less in 233 agreement with the results found by Van den Bulcke et al.<sup>19</sup> for similar coatings. The 234 two-dimensional illustration of layer thickness and penetration according to the first 235 236 method is illustrated in Fig. 4a and 4b for pine only.

237

238 Fig. 4 239

240 two-dimensional illustrations are sound for pine, yet for padouk These misclassification results in erroneous removal of coating voxels or the addition of 241 242 high-density crystals, present in the wood substrate, to the coating matrix. Although 243 the average value smoothes such faulty segmentation and is a good estimate of 244 layer thickness, the exact automated determination of penetration on each position is 245 not accurate enough for padouk. Especially for automated computation of penetration, there is still work to do. To eliminate incorrect segmentation and when 246 247 detailed study of penetration is aimed at, a solid solution would be differential 248 imaging: subtraction of the images before and after coating application. Scanning 249 with voxels  $< 1 \mu m$  would also contribute to a better segmentation yet reduces the 250 volume that can be scanned.

251 Determination of the porosity of a coating also relates to the issue of segmentation. Mostly, pores are smaller than the voxel size of these tomography scans and are of 252 253 no interest as such. However, especially when dealing with spray-coated material, these pores can be larger and can compromise coating quality. Yet careful 254 255 observation reveals that sporadically, during segmentation, small parts of the coating 256 are classified as pores although they can also be considered as low-density regions. 257 For larger holes, this is not an issue but for smaller ones it is. For pine, the total pore volume amounts up to 0.07 % of the total coating volume and for padouk this is 258 259 0.06%. As an example, the pores in the pine coating are visualized in Fig. 5a and the 260 pore volume distribution and radii distribution of the inscribed spheres are graphed in 261 Fig 5b.

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263 264 Fig. 5

The pore volume distribution is truncated at 1530  $\mu$ m<sup>3</sup>. Only a few larger pores are present. Although the pore volumes show a broad range, the radii of the maximum inscribed sphere are limited due to the irregular shape of most pores.

Quantification of the interface is a measure for the contact area between wood and 268 269 coating and can possibly be related to adhesive strength. The area of contact for pine is 3.1 mm<sup>2</sup> measured on a surface of 2.2 mm<sup>2</sup> (ratio = 1.4) while for padouk this is 3.2 270  $mm^2$  on a surface of 2.3  $mm^2$  (ratio = 1.4). These values do not take into account the 271 272 penetration of the coating. When considering penetration according to the first 273 method, the contact area increases considerably to 6.3 mm<sup>2</sup> (ratio = 2.7) and 6.6 274  $mm^2$  (ratio = 2.7) respectively for pine and padouk. Ensuing, good penetration 275 contribute to mechanical anchoring as well as chemical bridging and proper in-depth 276 protection of the substrate. 277

### 278 Conclusions

280 High-resolution X-ray tomography is a powerful imaging modality for coating 281 research, if only for the visual richness of the volumetric data. If proper segmentation 282 is possible, a set of characteristics can be calculated automatically using standard 283 image processing algorithms. First, the assessment of the surface condition is straightforward. Once extracted, any parameter can be calculated related to surface 284 285 analysis and being a non-destructive technique, monitoring coating behaviour during 286 weathering with X-ray computed tomography is an option. For wood types with a rather low density in relation to the coating, layer thickness and penetration depth 287 can be calculated rather easily owing to a good segmentation, yet for high-density 288 species processing should be done carefully. Nevertheless, if automated 289 290 computation is unsatisfactory, one can always resort to manual measurements. Characterization of porosity, taking into account the limits of resolution, is of minor 291 292 importance for brush-coated boards but can be interesting for spray-coated material. Computation of contact surface might be an indicator for adhesive strength and is 293 easily determined once a good segmentation is obtained. All above-mentioned 294 295 parameters are derived from three-dimensional scans acquired with X-ray computed tomography. Many possibilities to use these virtual data of coated wood once 296 297 labelled and characterized, are available. Derivation of parameters is merely the 298 beginning, time lapse scanning and virtual testing of performance is the next step. 299

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301

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304

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376 Table 1: Roughness parameters

	F	R <sub>a</sub> F	R <sub>q</sub> R	<sub>sk</sub> F	R <sub>ku</sub>	R <sub>v</sub> I	R <sub>p</sub> F	۲ <sub>t</sub>
Pin	e 4	.5 5	.5 -0	.3 -(	0.0 -	19.7 1 <sub>4</sub>	4.6 34	1.3
Pa	douk 1	.2 1	.6 -1	.3 1	1.3 -	16.6 4	.8 21	1.4





Fig. 1: Multimodal fit of three normal distributions to the histogram of a resized crosssectional slice of pine.



Fig. 2: (a) Original slice through the coated pine sample, (b) demarcation of layer thickness for three-dimensional penetration computation and (c) demarcation of layer thickness based on the two-dimensional approach according to Van den Bulcke et al.<sup>19</sup> All coating material beneath the layer is considered to be penetration.

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Fig. 3: (a) Original scan of Scots pine sapwood and (b) padouk with virtual removal of the wood substrate (the reader is referred to the online version for the coloured images); (c) and (d) show the surface roughness of the coating, averaged to zero with the calibration bar in  $\mu$ m; (e) and (f) display the orientation of the facets in degrees: 0° = perpendicular to surface; (g) and (h) represent the direction of these facets in degrees. Scale bars = 500 µm.

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Fig. 4: (a) Layer thickness and (b) penetration depth of coated pine. Scale bars = 500 μm.







Fig. 5: (a) Three-dimensional illustration of the pores in the coating applied on the pine substrate and (b) distribution of the pore volumes (solid line) and radii of the maximum inscribed spheres (dashed line).

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