

# Simplified model for calculating the pressure dependence of a direct current planar magnetron discharge

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A simplified model for the direct current planar magnetron discharge allowing one to simulate the pressure dependence over a wide range is presented. For sufficiently strong magnetic fields, the high energy electrons (HEE), the electrons that are responsible for the ionization, move predominantly in arch shaped regions in between interactions with the discharge gas. This allows one to model the discharge as being built up by arches. The influence of the interactions of the HEE on their motion is modeled by calculating the probabilities for transfer of HEE among the arch shaped regions. In this way the ionization distribution of the electrons emitted at a certain position at the target surface can be calculated. The results of this approach agree well with Monte Carlo results. This modeling of the HEE motion combined with simple schemes for determining the ionization and target erosion forms the core of the simplified model. The model is made self-consistent through iteration. It appears that for a given magnet system and discharge voltage a self-consistent solution is only possible for one particular pressure. This is the pressure for which the discharge voltage corresponds with the theoretical minimum discharge voltage needed to sustain the discharge at that pressure. The model reproduces the experimentally observed increase of the discharge voltage and widening of the erosion profile with decreasing pressure. According to the model the main cause for this pressure dependence is not the decreased confinement of electrons in the magnetic trap but the increased recapture of secondary electrons by the target. © 2003 American Vacuum Society.  
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## I. INTRODUCTION

The importance of magnetron sputtering as a deposition technique has made it the subject of numerous modeling and simulation efforts.<sup>1</sup> Our aim is to develop a model that gives insight into the discharge and that not only reproduces but also explains the experimentally observed influences of different external parameters on the discharge properties. Therefore, in spite of some loss of accuracy, the different processes occurring in the discharge have been separated and simplified in order to model them.

The electrons in the magnetron discharge can be divided in two groups: the ones with energy below the threshold energy for ionization  $E_{\text{ion,th}}$ , and the ones with energies above  $E_{\text{ion,th}}$ . In our model we will take into account only the latter and will refer to them as high energy electrons (HEE). The HEE originate from the target where they are released as secondary electrons (SE) or from the creation of an electron-ion pair in the cathode sheath. The amount of SE created per incoming ion is given by the SE yield  $\gamma$ . This yield can be considered as energy independent for the ion energies encountered in a magnetron discharge. A typical value is  $\gamma=0.1$  for clean metal surfaces.<sup>2</sup> For a sufficiently strong magnetic field, the HEE move in arch shaped orbits because of their gyrating around the magnetic field lines.<sup>3</sup> Hence, the discharge can be considered as built up by arch shaped regions.<sup>4</sup>

In this article we develop a model based on this consideration (Sec. II). In Sec. III results for the ionization distribution obtained by Monte Carlo (MC) simulations and by our approach are compared. Section IV discusses the results of our model for a given configuration and for the pressure dependence of the planar magnetron discharge.

## II. MODEL

For a rectangular magnetron with two long straight sections that do not influence each other, the symmetric two-dimensional geometry shown in Fig. 1 is sufficient for modeling the discharge in these straight sections.<sup>5</sup> The magnet system is characterized by the strength of the magnets  $B_r$ , the spacing  $d$  between the centers of the magnets and the side length  $s$  of the square shaped magnets. The discharge is considered as being built up by arches  $A_i$  that correspond with positions  $x_i$  at regular distances along the  $x$  axis (Fig. 1). By introducing the arches  $A_i$  the HEE distribution  $H$  of the discharge is characterized by the occupation profile  $u$  that consists of a set of values  $u_i$  that give for each arch  $A_i$  its relative weight in the discharge

$$H = \sum_i u_i A_i. \quad (1)$$

Figure 1 represents an idealized situation because it is assumed that the arches are concentric circle segments which is

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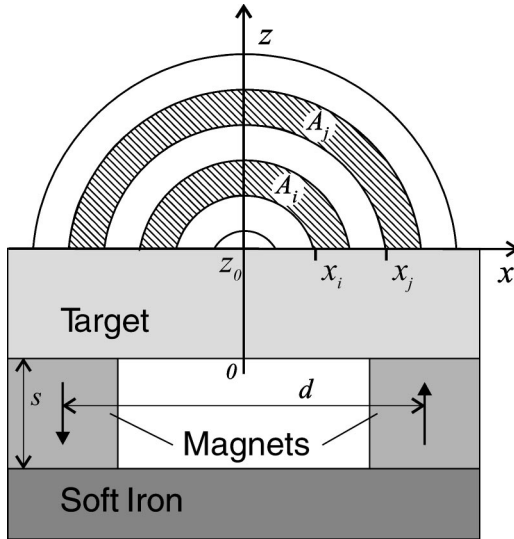


FIG. 1. Two-dimensional sketch of the magnetron model: the magnet system is defined by the side length  $s$  of the square shaped magnets, the distance  $d$  between the center of the magnets, the magnet strength  $B_r$ , and the target thickness  $z_0$ . The semicircles above the target indicate the idealized situation of how the discharge is built up.

not the case for a realistic magnetic configuration. Hence, the first part of the model is to calculate the realistic arches and the electron distribution within.

**A. Determination of the arches  $A_i$**

To determine the arch  $A_i$  the corresponding collisionless SE orbit with starting position  $x_i$  is calculated using the Lorentz equation

$$\frac{d\vec{v}}{dt} = \frac{q}{m} (\vec{E} + \vec{v} \times \vec{B}), \tag{2}$$

with  $q$ ,  $m$ ,  $\vec{v}$ , respectively, being the charge, mass, and velocity of the electron. The magnetic field  $\vec{B}$  is analytically calculated by introducing magnetic charges.<sup>5,6</sup> For calculating the electric field  $\vec{E}$  it is assumed that  $\vec{E}$  varies linearly within a distance  $d_E$  above the target surface, i.e., the potential  $V(z)$  is given by

$$V(z) = \begin{cases} \frac{V_d}{d_E^2} [z - (z_0 + d_E)]^2 & z_0 + d_E \geq z \geq z_0 \\ 0 & z > z_0 + d_E \end{cases}. \tag{3}$$

Hence,  $d_E$  represents the sheath thickness. The Lorentz equation is numerically solved using the fourth order Runge–Kutta method.

For a position  $x_i$  the maximum height  $z_{SE,i}$  that the SE can reach is deduced from the collisionless SE orbit. By retracing the magnetic field line that intersects the target at that position  $x_i$  the height  $z_{MF,i}$  of the magnetic field line above the target at  $x=0$  is determined. The  $z$ -value  $z_i$  of the center of arch  $A_i$  at  $x=0$  is defined as

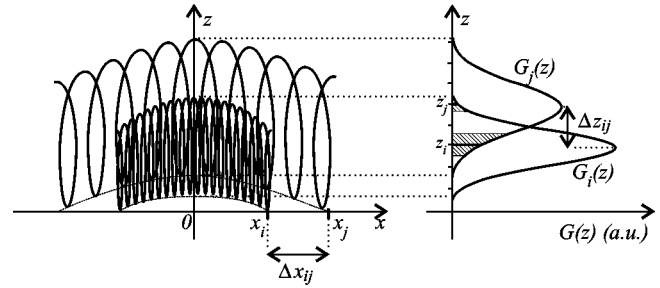


FIG. 2. For two positions  $x_i$  and  $x_j$  at the target surface, the position of the corresponding arches  $A_i$  and  $A_j$  are indicated by sketching the collisionless orbits of the SE emitted at these positions. The right-hand part shows the gaussian distributions attributed to the arches  $A_i$  and  $A_j$ . The transfer probability  $t_{ij}$  is proportional with the hatched surface under the gaussian  $G_j$  at  $z_i$ . Similarly,  $t_{ji}$  is determined by  $G_i(z_j)$ .

$$z_i = \frac{z_{SE,i} - z_{MF,i}}{2}. \tag{4}$$

The center line of the arch is defined by the magnetic field line going through  $z_i$ . We assume a homogenous spatial HEE distribution along this center line. According to Ref. 4 this is valid above the cathode sheath. In the following paragraph we deduce the correction factors needed within the sheath.

For the HEE only the interactions with neutral discharge gas atoms are taken into account as they are by far the most important.<sup>7</sup> Due to these interactions, the arches  $A_i$  contain HEE with energies ranging from  $|eV_d|$  to  $E_{ion,th}$ . We refer to this energy distribution of the HEE as  $D(E)$ . According to Ref. 8,  $D(E)$  is practically homogenous. Because of this energy distribution, the homogenous spatial HEE distribution along the center line of the arch needs to be corrected within the cathode sheath. Indeed, at a position  $z$  in the sheath a HEE has a potential energy equal to  $|eV(z)|$ . Hence, only the HEE with energy higher than  $|eV(z)|$  can reach that height  $z$ . As  $D(E)$  is homogenous, the number  $N(|eV(z)|)$  of HEE with energy higher than  $|eV(z)|$  in the discharge is given by

$$N(|eV(z)|) = \int_{|eV(z)|}^{|eV_d|} D(E) dE \sim |eV_d| - |eV(z)|. \tag{5}$$

Similarly, the total amount of HEE is  $N(E_{ion,th}) \sim |eV_d|$  because  $|eV_d| \gg E_{ion,th}$ . Hence, the correction factor at height  $z$  in the sheath is  $|eV_d - eV(z)| / |eV_d|$ . In this way, the normalized spatial HEE distribution along the center line of each arch is determined.

The maximum distance a HEE can be away from the magnetic field line around which it gyrates is given by its Larmor radius  $r_L$ . As the gaussian distribution becomes practically zero at three times its width  $\sigma$ , we attribute to each arch  $A_i$  a gaussian distribution in the direction perpendicular to the magnetic field line. At  $x=0$  this gaussian is represented by  $G_i(z)$ . Its width  $\sigma$  is set equal to  $r_L/3$  and  $G_i(z)$  reaches its maximum at the center  $z_i$  of the arch  $A_i$  (Fig. 2).

**B. Modeling of the transfer of HEE among arches**

A change in the direction of the velocity vector of a HEE due to an interaction with the discharge gas can transfer it from one arch ( $A_j$ ) to another ( $A_i$ ). The probability  $t_{ij}$  that this transfer occurs is proportional to the value of  $G_j$  around  $z_i$ . This is shown in Fig. 2 by the hatched surface at  $z_i$ . Introducing  $\delta z_i$  as  $(z_{i+1}-z_{i-1})/2$  and linearizing  $G_j$  in the interval  $[z_i-\delta z_i/2, z_i+\delta z_i/2]$  we find

$$t_{ij} \sim \int_{z_i-\frac{\delta z_i}{2}}^{z_i+\frac{\delta z_i}{2}} G_j(z) dz \approx G_j(z_i) \delta z_i. \tag{6}$$

From Fig. 2 it can be deduced that only the fraction  $|x_i|/|x_j|$  of the arch  $A_j$  overlaps with  $A_i$ . Such partial overlap only occurs if  $\Delta z_{ij} \leq \Delta x_{ij}$  with  $\Delta x_{ij} = |x_i| - |x_j|$  and  $\Delta z_{ij} = |z_i - z_j|$ . As it reduces the transfer of HEE from  $A_j$  to  $A_i$  this interaction needs to be corrected with  $c_{ij}$ :

$$c_{ij} = \begin{cases} \frac{\Delta z_{ij}}{\Delta x_{ij}} + \left(1 - \frac{\Delta z_{ij}}{\Delta x_{ij}}\right) \frac{|x_i|}{|x_j|} & \frac{\Delta z_{ij}}{\Delta x_{ij}} \leq 1 \\ 1 & \frac{\Delta z_{ij}}{\Delta x_{ij}} > 1 \end{cases}. \tag{7}$$

Combining Eqs. (6) and (7) we find

$$t_{ij} \sim c_{ij} G_j(z_i) \delta z_i \tag{8}$$

from which the probabilities  $t_{ij}$  can be deduced by requiring

$$\sum_j t_{ij} = 1. \tag{9}$$

This way the matrix  $T$ , which is a square matrix, is constructed. In reality the HEE do not interact once but several times. The probability  $t_{ij}^2$  that at the second interaction the HEE transfers from  $A_j$  to  $A_i$  is given by

$$t_{ij}^2 = \sum_k t_{ik} t_{kj}. \tag{10}$$

This is equal to element  $i,j$  of the matrix  $T^2 = T * T$ . Hence, the element that gives the probability that a HEE that started in  $A_j$  is transferred to  $A_i$  at interaction  $m$  is element  $i,j$  of  $T^m$ . The accurateness of this approach is discussed in Sec. III.

**C. Deduction of the HEE-density H**

In this part we will deduce the relation between an emission profile  $r$  (a set of values  $r_i$  each of which corresponds with the amount of SE emitted at position  $x_i$  on the target) and the corresponding occupation profile  $u$  that characterizes the HEE distribution  $H$  in the discharge.

First, we take into account that only a fraction  $f_i$  of the SE released at  $x_i$  will effectively interact with the discharge gas because the rest is recaptured by the target. This recapture is due to the small initial energy of the SE when they are emitted from the target and is characterized by<sup>5</sup>

$$f_i = 1 - e^{-\frac{s_i}{\lambda}} \tag{11}$$

with  $s_i$  the average distance a SE emitted at  $x_i$  travels before it is recaptured and  $\lambda$  the mean free path of the SE in the discharge taking into account ionization, excitation, and elastic collisions. Hence, we can formulate an intermediate occupation profile  $u''_i$ :

$$u''_i = r_i f_i. \tag{12}$$

Second, the creation of an electron-ion pair can produce a HEE if the ionization occurs at a height  $z$  for which  $|eV(z)| > E_{ion,th}$  is valid. When this happens, there is an extra energy input to the discharge equal to  $|eV(z)|$  if the energy of the primary electron is not considered. This extra energy input by a HEE at height  $z$  can be described by giving the HEE a correction factor

$$1 + \frac{|eV(z)|}{|eV_d|}. \tag{13}$$

For an arch  $A_i$  with  $A_i(z)$  elements at height  $z$  the correction factor  $h_i$  is given by the weighted average of the individual correction factors

$$h_i = 1 + \frac{1}{|eV_d|} \frac{\sum_z |eV(z)| A_i(z)}{\sum_z A_i(z)}. \tag{14}$$

As deduced in the previous section a SE emitted in arch  $A_i$  has a probability  $T_{ji}^k$  to transfer to  $A_j$  at interaction  $k$ . Hence, due to ionization in the sheath, a SE emitted at position  $x_i$  needs a correction factor  $g_i$  given by

$$g_i = \prod_k \left( \sum_j h_j T_{ji}^k \right). \tag{15}$$

Thus, taking into account ionization in the sheath transforms  $u''_i$  into  $u'_i$ :

$$u'_i = u''_i g_i. \tag{16}$$

Third, we need the transfer matrix  $T_{avg}$  that describes the transfer of HEE among the arches averaged over  $D(E)$ . As  $D(E)$  is constant,  $T_{avg}$  is given by

$$T_{avg} = \frac{1}{n} \sum_{i=0}^{n-1} T^i, \tag{17}$$

because  $T^i$  contains the transfer probabilities at interaction  $i$  ( $T^0$  represents the unit matrix). The number  $n$  represents the total amount of interactions a HEE undergoes until it has an energy below the ionization threshold  $E_{ion,th}$  (see also Sec. III). The final occupation profile  $u$  is then given by

$$u_i = \sum_k T_{avg,ik} u'_k. \tag{18}$$

Given Eq. (1) these  $u_i$  characterize the HEE distribution of the discharge.

**D. Deduction of ionization and resulting target bombardment**

For this part of the model we start from a known HEE distribution  $H$ . In order to deduce the ionization caused by this  $H$  we would in principle need to calculate the probability

$P(E)$  for an ionizing collision during a certain time interval  $\varepsilon_t$  for each electron energy  $E$ . The ionization probability  $P(E)$  is given by

$$P(E) = 1 - e^{-\frac{\varepsilon_t \nu}{\lambda_{\text{ion}}(E)}} = 1 - e^{-\frac{\varepsilon_t}{\lambda_{\text{ion}}(E)} \sqrt{\frac{2E}{m}}}, \quad (19)$$

with  $\lambda_{\text{ion}}(E)$  as the electron mean free path for ionization. For  $E$  above approximately 100 eV,  $\lambda_{\text{ion}}(E)$  has an energy dependence close to  $E^{0.5}$ . Therefore, we consider  $P(E)$  as independent of  $E$  which means  $I \sim H$ . Although the exact relation can be determined, this proportionality is sufficient as we limit ourselves to normalized distributions.

If we assume that the ions reach the target without undergoing any collisions,<sup>9</sup> the amount  $Y_{\text{SP},i}$  of sputtered target atoms at position  $x_i$  is calculated as

$$Y_{\text{SP},i} = \sum_z I(x, z) y_{\text{SP}}(|eV_d - eV(z)|), \quad (20)$$

with  $y_{\text{SP}}(E)$  as the ion energy dependent sputter yield. From this the erosion profile  $w$  can be determined as  $w_i \sim -Y_{\text{SP},i}$ . For our calculations we used data for argon on aluminum.<sup>10</sup> Given the SE yield  $\gamma$ , we can deduce  $Y_{\text{SE},i}$  which is the amount of SE emitted from the target at position  $x_i$ :

$$Y_{\text{SE},i} = \sum_z I(x, z) \gamma. \quad (21)$$

### E. Self-consistency

The model so far allows to determine the normalized ionization distribution  $I$  for a given emission profile  $r_{i,0}$ . This  $I$  leads to the SE emission profile  $Y_{\text{SE},i}$  at the target which is in fact a new emission profile  $r_{i,1}$ . In steady state (SS) both emission profiles should be the same. To find this SS emission profile  $r_{i,SS}$  the procedure that leads from  $r_{i,0}$  to  $r_{i,1}$  is repeated, each time redefining  $r_{i,1}$  as the new  $r_{i,0}$ . Through iteration the ratio  $r_{i,1}/r_{i,0}$  can be made constant for a given magnet system, discharge voltage  $V_d$ , sheath region  $d_E$ , and pressure  $p$ . However, the condition  $r_{i,1} = r_{i,0} = r_{i,SS}$  can only be obtained for a particular pressure (Sec. IV). Therefore, when a constant  $r_{i,1}/r_{i,0}$  was reached the pressure was varied until  $r_{i,0} = r_{i,1} = r_{i,SS}$  was found. Then,  $I$  was integrated over the  $x$  direction so that the normalized ionization profile in the direction perpendicular to the target was obtained. The position  $z_M$  of the maximum of this profile was determined. According to simulations of Kondo and Nanbu<sup>11</sup> the distance above the target where the ionization density is the highest ( $z_M$ ) is approximately equal to the distance above the target where the electric field becomes practically zero ( $d_E$ ). Consequently, the whole procedure was iterated until  $d_E$  equal to  $z_M$  was obtained. Therefore, we can conclude that through iteration a self-consistent SE emission profile, pressure, and cathode sheath thickness is obtained for a given magnet system and discharge voltage.

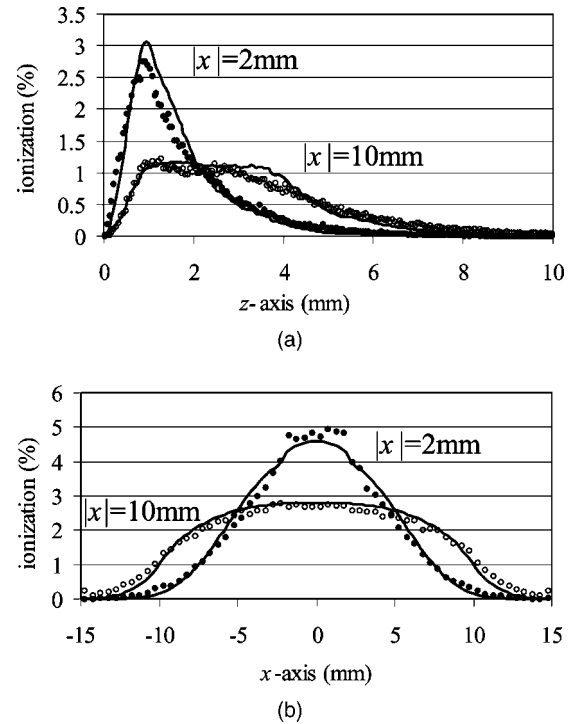


FIG. 3. Plots of the normalized ionization distributions  $I$  (solid line) and  $I_{\text{MC}}$  (dots) integrated along the  $x$  axis (a) and along the  $z$  axis (b) for  $|x|=2$  and  $|x|=10$  mm. The magnet system used for these calculations is defined by  $d=36$  mm,  $s=12$  mm,  $B_r=0.7$  T and  $z_0=15$  mm. Furthermore we set  $V_d=-300$  V and  $p=0.5$  Pa.

### III. COMPARISON WITH MC SIMULATIONS

In Sec. II several assumptions were made to model the motion of the HEE and their interactions. Therefore, results from our model are compared with MC results. We use the geometry shown in Fig. 1 with a magnet system described by  $d=36$  mm,  $B_r=0.7$  T, and  $s=12$  mm. This results in a maximum horizontal magnetic field strength at the target surface of 0.061 T. Furthermore, we choose  $V_d=-300$  V and  $z_0=15$  mm. In this section the sheath thickness  $d_E$  and the pressure  $p$  are assumed to be known and given the values 1.0 mm and 0.5 Pa, respectively. The Lorentz equation [Eq. (2)] for the electron motion is combined with the algorithm found in Ref. 12 for MC treatment of the collisions. Ionization, excitation, and elastic collisions were taken into account by using the cross sections as reported by Kosaki and Kondo.<sup>13</sup> Only energy loss due to ionization and excitation is considered: for an ionization the electron energy reduction was approximated by 16 eV ( $=E_{\text{ion}}$ ), for an excitation by 12 eV ( $=E_{\text{exc}}$ ).<sup>5</sup> From the positions  $x=-10$ ,  $-2$ ,  $2$  and  $10$  mm 2000 electrons were emitted and followed until a limit of 2  $\mu\text{s}$  had elapsed or until their energy dropped below 30 eV, whichever occurred first. This energy threshold was chosen because below this energy the probability for an ionization is very small. In this way the ionization distribution  $I_{\text{MC}}$  in the  $xz$  plane is determined. The integrated profiles along the  $x$  axis and  $z$  axis of  $I_{\text{MC}}$  are shown in Fig. 3 for  $|x|=2$  and  $|x|=10$ .

These simulations reveal that on average approximately 30% of the collisions were ionizing, 10% were excitations and 60% were elastic collisions. This means that in this electron energy range the total number of collisions  $n$  which an electron undergoes (needed for Sec. II) can be approximated by three times the number of ionizing collisions  $n_{\text{ion}}$ . The number  $n_{\text{ion}}$  is given by:

$$n_{\text{ion}} = \frac{E - E_{\text{ion,th}}}{E_{\text{ion,eff}}}, \quad (22)$$

with  $E_{\text{ion,eff}}$  the average effective energy needed to create an ion-electron pair. Taking into account only ionizations and excitations as energy dissipating collisions,  $E_{\text{ion,eff}}$  is determined by

$$E_{\text{ion,eff}} = \frac{n_{\text{ion}}E_{\text{ion}} + n_{\text{exc}}E_{\text{exc}}}{n_{\text{ion}}}. \quad (23)$$

Given the relative occurrence of ionization and excitation we find  $E_{\text{ion,eff}} \approx 20$  eV which is lower than the value of 30 eV proposed by Thornton.<sup>14</sup> Based on these MC results we approximate  $n$  for a discharge with  $V_d$  as

$$n \approx 3 \frac{|eV_d| - 16}{20}. \quad (24)$$

To compare the MC results with our model we define the following emission profile  $r$ :

$$r_i = \begin{cases} 0 & |x_i| \neq 2 \\ 1 & |x_i| = 2 \end{cases} \quad (25)$$

As  $n$  is known, Eqs. (1) and (12)–(18) allow to determine  $I$  corresponding with an electron emitted at  $|x|=2$ . The integrated profiles along the  $x$  axis and  $z$  axis of  $I$  are shown in Fig. 3 for  $|x|=2$ . Similarly, the  $I$  corresponding with  $|x|=10$  can be determined. As can be seen agree  $I$  and  $I_{\text{MC}}$  very well for both  $x$  positions which shows the validity of our approach. Moreover, the proposed method calculates the ionization distribution practically instantaneously compared with the MC simulations.

## IV. RESULTS AND DISCUSSION

### A. Discharge properties for a given $V_d$ and magnet system

For the calculations in this section the same magnet system as in Sec. III is used. To determine the properties of the arches, SE were released from the target at positions  $x=0.125, 0.375, \dots, 14.875$ . For calculating the recapture probabilities the SE were given an initial energy equal to 4 eV. The discharge voltage was set to  $-300$  V, a typical value for a laboratory scale planar magnetron. To start the iteration procedure  $d_E$  was set to 1.0 mm and  $p$  to 0.5 Pa. In the SS we found  $p=0.26$  Pa and  $d_E=0.97$  mm. The corresponding emission profile  $r_{\text{SS}}$  that gives the relative amount of SE emitted at the target is plotted in Fig. 4. Due to interactions, the original emission profile evolves into the occupation profile  $u_{\text{SS}}$ . Comparing  $r_{\text{SS}}$  and  $u_{\text{SS}}$  reveals that although most SE are emitted at the center of the race track (here  $x=0$ ) the

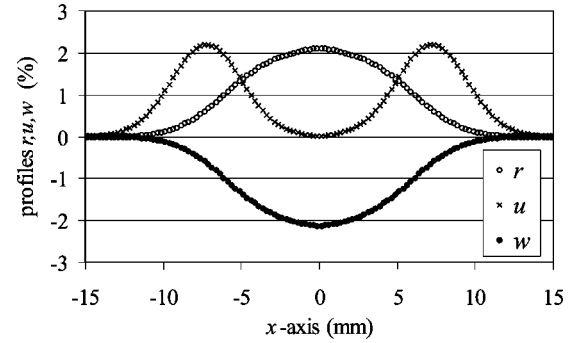


Fig. 4. Steady state results of the emission profile  $r$ , the occupation profile  $u$  and the erosion profile  $w$  along the target for a discharge with the same magnet system as used for Fig. 3.  $V_d$  was set to  $-300$  V. The self-consistent pressure of this system is 0.26 Pa.

most occupied arches have larger absolute  $x$  values (here  $5 < |x| < 10$ ). The erosion profile  $w_{\text{SS}}$  corresponding with the occupation profile  $u_{\text{SS}}$  is also plotted in Fig. 4.

For a given  $V_d$  and magnet system the iteration procedure results only for a particular pressure in a SS solution (Sec. II). In order to understand this we recall the equation for determining the minimum discharge voltage  $V_{d,\text{min}}$  in a planar magnetron<sup>15</sup>

$$|eV_{d,\text{min}}| = \frac{E_{\text{ion,eff}}}{\gamma_{\text{eff}}}, \quad (26)$$

with  $\gamma_{\text{eff}}$  as the effective SE yield as seen by the discharge. In Ref. 15  $\gamma_{\text{eff}}=0.5\gamma$  is assumed which is based on an estimation of Thornton.<sup>14</sup> Starting from an emission profile  $r_{\text{SS}}$  we are able to calculate  $\gamma_{\text{eff}}$ : from Eqs. (12) and (16) follows that  $r_i$  emitted SE result in  $r_i f_i g_i$  HEE in the discharge. Hence, a position  $x_i$  has an effective SE yield  $\gamma_{\text{eff},i}$  given by

$$\gamma_{\text{eff},i} = \gamma f_i g_i. \quad (27)$$

The effective SE yield as seen by the discharge is then the  $r_i$  weighted average of  $\gamma_{\text{eff},i}$ :

$$\gamma_{\text{eff}} = \frac{\sum_i \gamma_{\text{eff},i} r_i}{\sum_i r_i} = \gamma \frac{\sum_i f_i g_i r_i}{\sum_i r_i}. \quad (28)$$

We find for the SS solution  $\gamma_{\text{eff}}=0.067$  which results in  $V_{d,\text{min}}=V_d$ . Hence, the pressure found through the iteration procedure is the pressure for which  $V_d$  is the theoretical minimum discharge voltage  $V_{d,\text{min}}$ .

### B. Pressure dependence of the discharge

For a whole range of  $V_d$  the SS condition and corresponding discharge properties were simulated. Based on these results  $V_{d,\text{min}}$  is plotted as a function of pressure in Fig. 5(a): at high pressures  $V_{d,\text{min}}$  varies only slowly with pressure while at low pressures it increases strongly with decreasing pressure. This pressure dependence corresponds well with the observations reported by Chang *et al.*<sup>16</sup> However, they measured that at high pressures the discharge voltage remains almost constant while our simulations show a slight decrease. This is probably because of the assumption of collisionless ion movement in our model which is no longer valid

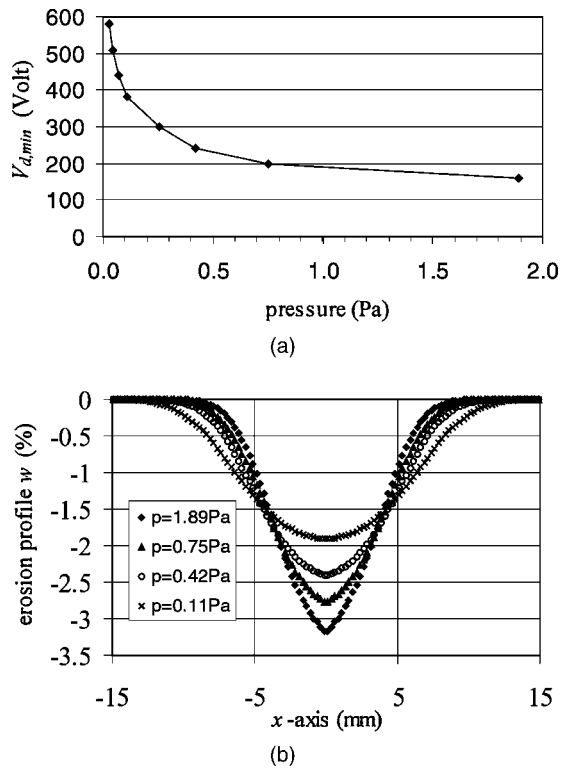


FIG. 5. The pressure dependence of  $V_{d,\min}$  (a) and of the erosion profile  $w$  (b) for the same magnet system as Fig. 3.

at these high pressures. In Fig. 5(b) the normalized erosion profiles for four different pressures are plotted: at high pressures the pressure dependence is weak but it becomes stronger with decreasing pressure. We reported the experimental observation of this widening of the erosion profile in previous work.<sup>5</sup> These simulation results confirm the explanation given for the widening of the erosion profile: with decreasing pressure (increasing  $\lambda$ ) the fraction  $f_i$  of SE that effectively interacts with the discharge decreases [Eq. (11)]. This effect is stronger for SE emitted at small absolute  $x$  (inner arches) as for SE emitted at large absolute  $x$  (outer arches).<sup>5</sup> Hence, with decreasing pressure,  $u_i$  of the outer arches becomes larger. Figure 3(b) shows that the ionization caused by the outer arches (represented by  $|x|=10$  mm) is spatially more spread than the ionization caused by the inner arches (represented by  $|x|=2$  mm). Consequently, with decreasing pressure the erosion profile widens. Fig. 4 shows that at 0.26 Pa the outermost arch is hardly occupied. This remains valid even at the lowest pressures for the simulated magnet system. Hence, the increase of  $V_d$  is not due to the increased escape of electrons out of the magnetic trap with decreasing pressure. Instead, it is due to the increased recapture of SE: Eq. (28) shows that the reduced  $f_i$  results in a smaller  $\gamma_{\text{eff}}$ . Hence, according to Eq. (26) the discharge voltage has to raise. The importance of recapture shows that it is necessary to take into account the initial energy of the SE when they are emitted from the target as it is this small energy that determines recapture (Sec. II C). As the simulation procedure was performed using a “typical” magnet system in compari-

son with the experiment, exact quantitative agreement cannot be expected. Nevertheless, the good qualitative agreement between experiments and simulations for the pressure dependence of both discharge voltage and erosion profile and the realistic values found for the self-consistent pressures show the validity of the model.

## V. CONCLUSIONS

In this article we presented a two-dimensional model for a planar magnetron discharge. The model is based on the motion of the HEE which are the electrons with enough energy to ionize. For sufficiently strong magnetic fields this motion can be modeled by considering the discharge as built up by arch shaped regions. The properties of the arches were determined by calculating the collisionless secondary electron orbits corresponding with these arches. The interactions of the HEE with the discharge gas are modeled by introducing interaction matrices that describe the transfer of HEE among the arches. From the HEE distribution the ionization can be deduced. There is good agreement between this method and Monte Carlo simulations.

Once the ionization is known, the SE emission and the sputter erosion can be calculated. For a given magnet system and discharge voltage the cathode sheath thickness can be determined through iteration. It appeared that this was only possible for a specific pressure, namely the pressure for which the discharge voltage is the theoretical minimum discharge voltage to maintain the discharge. The model was able to reproduce the experimentally reported pressure dependence of the discharge voltage and erosion profile of a planar magnetron. Moreover, the model reveals that the main cause for the pressure dependence is not the escape of electrons out of the magnetic trap but the recapture of SE at the target.

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