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# Descriptive profile of scapulothoracic position, strength and flexibility variables in adolescent elite tennis players

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#### ABSTRACT

**Study design** Descriptive study, cross-sectional design.

**Background** Tennis requires repetitive overhead movement patterns that can lead to upper extremity injury. The scapula plays a vital role in injury-free playing. Scapular dysfunction has been associated with shoulder injury in the overhead athlete.

**Objectives** The purpose of this study was to describe variables regarding scapular position, muscle strength and flexibility in young elite tennis players.

**Methods** Thirty-five adolescent Swedish elite tennis players (19 boys, aged 13.6 ( $\pm$ 1.4) years, 16 girls, aged 12.6 ( $\pm$ 1.3) years), selected on the basis of their national ranking, underwent a clinical screening protocol consisting of: scapular upward rotation at several angles of arm elevation; isometric scapular muscle strength; and anthropometric measurement of pectoralis minor (PM) length.

**Results** The players showed significantly more scapular upward rotation on their dominant side (p<0.001). For both genders, upper trapezius (p=0.003) and serratus anterior (p=0.01) strength was significantly greater on the dominant side, whereas middle and lower trapezius strength showed no side differences. PM was shorter on the dominant side (p<0.001), and in the female players (p=0.006) compared with the boys.

**Conclusion** These results indicate some sportsrelated adaptations of young tennis players on their dominant side at the scapulothoracic level to exposure to their sport. These data may assist the clinician in the prevention and rehabilitation of sport-specific injuries in adolescent tennis players.

The shoulder is at great risk of injury in tennis because it faces high loads and forces during serving and hitting. Injury risk seems to increase with age,<sup>12</sup> and despite some lack of evidence has been suggested to be related to the level and volume of play.<sup>2 3</sup> Most of the reported shoulder injuries are strains, implicating a process over time, with chronic overload leading to injury.<sup>1</sup> Chronic shoulder pain in the overhead athlete is often attributed to sport-specific adaptations, alterations in strength, flexibility and posture, not only in the glenohumeral joint, but also in other links of the kinetic chain.<sup>4-8</sup> These alterations change biomechanics and movement strategies during serving and hitting, possibly leading to overload injuries at the shoulder. In particular, loss of glenohumeral range of motion, rotator cuff strength

imbalance, scapular dyskinesis, thoracic spine stiffness, lumbar core instability and hip range of motion and strength deficits possibly create a kinetic chain 'breakage' and a 'cascade to injury', as defined by Kibler<sup>9</sup>, Kibler and McMullen<sup>10</sup> and Lintner *et al.*<sup>8</sup>

Numerous studies have reported the specific rotational adaptations of the glenohumeral joint<sup>11-15</sup> and muscle balance alterations in the rotator cuff.<sup>16–18</sup> However, studies regarding scapular dyskinesis<sup>19 20</sup> in tennis players are scarce. In a recent study, Silva et al<sup>20</sup> described scapular dyskinesis in 43.4% of elite tennis players between 11 and 17 years of age. In addition, the study demonstrated a significantly smaller subacromial space in dyskinesis-affected athletes, possibly leading to sport-specific impingement symptoms.<sup>6</sup> <sup>20</sup> However, the assessment of the players in that study was based on clinical observation, rather than standardised numerical data. Moreover, exploration of these numerical variables in a population of young (10-16 years) elite players has not yet been performed. Therefore, the purpose of this study was to generate a descriptive profile of scapulothoracic position and strength variables in elite junior tennis players. In particular, scapular rotational position, trapezius and serratus muscle strength, and pectoralis minor (PM) muscle length were of interest.

## METHODS

#### Subjects

Thirty-five adolescent Swedish elite tennis players, selected by the Swedish Tennis Federation on the basis of their national ranking, were tested in this investigation. Nineteen boys (mean age 13.6±1.4 years, mean height 163.6±12 cm, mean weight  $53\pm11.8$  kg) and 16 girls (mean age  $12.6\pm1.3$ years, mean height 156.6±10.5 cm, mean weight 46.1±7.8 kg) participated in the study. All but one player were right-handed. All players used a two-handed backhand stroke. Players had been taking part in competition for approximately 7.1 (±1.4) years, and reported playing 13.9±2.4 h tennis/week. All subjects were free from any upper extremity injury at the time of testing as well as 6 months before data collection. The study was approved by the Ethical Committee of the Ghent University, and performed in collaboration with the Swedish Tennis Federation. The players were tested during a high performance physical training camp.

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#### Measurement procedure Scapular inclination

Scapular upward rotation was measured using the Pro 3600 Digital Inclinometer (SPI-Tronic; Penn Tool Co, Maplewood, New Jersey, USA), using the methods originally described by Johnson *et al*,<sup>21</sup> and previously used by other researchers.<sup>22–25</sup> Two Y-shaped adjustable plastic locator rods, designed to rest comfortably over the bony contours of the spine of the scapula were attached to the inclinometer.<sup>21 23</sup> A bubble level was attached to ensure minimal anterior–posterior tilting of the inclinometer around an axis parallel to the scapular spine.

Scapular upward rotation was measured in three positions: 0°, 90° and 180° of elevation in the scapular plane (30° angle from the frontal plane as determined by goniometric measurement; figure 1). All procedures were repeated two times. This method showed good to excellent intraexaminer reliability<sup>2123</sup> and good to excellent criterion validity.<sup>21</sup>

#### Scapular muscle strength

The isometric muscle strength of the scapular muscles was measured using a hand-held dynamometer (HHD; compuFET; Hoggan Health Industries Inc, West Jordan, Utah, USA). The reliability and validity of HHD in the assessment of upper extremity muscle strength has been documented and found to be acceptable for clinical and research use.<sup>26–28</sup>

The upper trapezius (UT) muscle test was performed as described by Hislop *et al.*<sup>29</sup> The HHD was placed over the superior aspect of the scapula. Force was applied directly downward (inferior) in the direction of scapular depression. The subject was asked to perform scapular elevation against resistance of the dynamometer (figure 2).

The middle trapezius (MT) and lower trapezius (LT) were tested in the prone position, according to Donatelli *et al.*<sup>30</sup> The MT was tested with the shoulder abducted to 90° with full glenohumeral external rotation. Pressure was applied at the wrist of the subject, at the lateral aspect of the distal radius. The subject was asked to perform a horizontal abduction with external rotation (figure 3).

For the LT strength measurement, the shoulder was placed into 145° of abduction with full external rotation. Subjects were asked to lift up their arm, and the examiner applied



**Figure 1** Scapular upward rotation measurement in 90° of scapular elevation. Written consent from the patient and his legal guardian was obtained for publication of the image in print and online.



**Figure 2** Manual muscle testing of the upper trapezius. Written consent from the patient and his legal guardian was obtained for publication of the image in print and online.



**Figure 3** Manual muscle testing of the middle trapezius. Written consent from the patient and his legal guardian was obtained for publication of the image in print and online.

force at the lateral aspect of the radius in downward direction (figure 4).

For serratus anterior (SA) strength measurement, Kendall and Kendall recommendations were used.<sup>31</sup> Subjects were supine, with the arm in 90° of forward flexion. The examiner put the HHD in the palm of the hand of the subject, applying force towards the bench. The subject was asked to perform a protraction movement with the elbow extended (figure 5).

All subjects performed the manual muscle test in the same order: UT, MT, LT, SA. The non-dominant arm was tested first. Each muscle test was repeated twice,<sup>32</sup> using a 'make test' for strength measurement.<sup>28</sup> Data were collected and directly stored by the software program compuFET Biometrics version 1.0A. In addition to the absolute and weight-normalised strength data (figure 6), generated by the software program, muscle balance ratios were calculated (UT/MT, UT/LT, UT/SA and LT/SA) by dividing the muscle strength of one muscle by the other muscle.

### **Original article**



**Figure 4** Manual muscle testing of the lower trapezius. Written consent from the patient and his legal guardian was obtained for publication of the image in print and online.



**Figure 5** Manual muscle testing of the serratus anterior. Written consent from the patient and his legal guardian was obtained for publication of the image in print and online.

#### PM length

The anthropometric length measurement of the PM was performed according to the protocol, described by Borstad,<sup>33</sup> slightly adapted for the purpose of this study. Before length measurement, two anatomical landmarks were determined, representing the length of the PM: the medial-inferior angle of the coracoid process and a second landmark just lateral to the sternocostal junction of the inferior aspect of the fourth rib. A Vernier calliper was used to measure the distance between both bony reference points (figure 7). The investigator was blinded from reading the result until after the measurement. Each side was measured twice; the non-dominant side was always measured first. In contrast to the protocol of Borstad and Ludewig,<sup>34</sup> who performed the measurements in the standing position, we examined the pectoralis minor muscle length (PML) in the supine position with the elbows extended, in order to avoid postural influences of the thoracic spine and optimise muscle relaxation in all surrounding muscles. Because of height and muscle length variability, a normalisation index was used.<sup>34</sup> The pectoralis minor index (PMI) was calculated

by dividing the resting muscle length by the subject's height and multiplying by 100.

#### **Statistical analysis**

Descriptive statistics were calculated for all variables, and all dependent variables were controlled for normal distribution and homogeneity of variance using the one-sample Kolmogorov–Smirnov test and the Levene's test. As all data were normally distributed with equal variances, parametric statistics were performed.

In order to select dependent variables for further analysis, we used independent t tests to analyse group differences with respect to the anthropometric characteristics of the players. The results showed significant age differences (t=2.211, p=0.034), but no significant differences in height (t=1.785, p=0.084) and weight (t=2.01, p=0.053). In view of the fact that group differences are not highly conclusive, being in the proximity of the  $\alpha$  level of 0.05, we decided to present absolute as well as relative results (normalised to body weight or body height), when necessary and appropriate for the goal of the study.

The dependent variables of interest were: scapular upward rotation in  $0^{\circ}$ ,  $90^{\circ}$  and  $180^{\circ}$  of elevation in the scapular plane (in degrees); absolute (N) and weight-normalised (N/kg) isometric muscle strength of the UT, MT, LT and SA, and muscle balance ratios and absolute (in cm) and normalised PML.

Differences in scapular upward rotation were analysed using a general linear model three-way analysis of variance (ANOVA) for repeated measures, in which the within-subject factors were side (two levels) and position (three levels), and the between-subject factor was gender (two levels).

Differences in muscle strength, muscle balance ratios and PML were analysed with a general linear model two-way ANOVA for repeated measures, in which the within-subject factor was side (two levels), and the between-subject factor was gender (two levels).

In the ANOVA analysis, three-way interactions (side  $\times$  position  $\times$  group) were of interest. In case of the absence of significant three-way interactions or in case only two factors were defined in the ANOVA analysis, two-way interactions among the variables of interest (side  $\times$  gender or position  $\times$  gender) were explored. In the absence of any interaction effects, main effects (for side, gender or position) were analysed. Alpha was set on 0.05 for the ANOVA analysis and the independent tests. Post-hoc analyses were performed using a Bonferroni procedure when a significant difference was found with ANOVA.

All statistical analyses were performed using the statistical package for social sciences, version 16.0.

#### RESULTS

#### Scapular inclination

The results of the scapular upward rotation measurements are presented in table 1. ANOVA for repeated measures did not show any significant three-way or two-way interaction effects. However, a significant side effect (p<0.001) and position effect (p<0.001) was found. There were no significant gender differences regarding this variable (p=0.363). Across positions and for both genders, scapular upward rotation was larger on the dominant side compared with the non-dominant side. Post-hoc tests regarding the factor 'position' revealed that on both sides, and for both genders, scapular upward rotation significantly increased with increasing elevation of the arm (p<0.001). Printdatum : 26/04/2009

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Figure 6 Example of a report concerning the manual muscle testing of the upper trapezius.

#### Scapular muscle strength

The descriptive analysis of the isometric muscle tests of the scapular muscles is presented in table 2.

For UT muscle strength, ANOVA revealed no significant side  $\times$  gender interaction effects (p=0.957). Significant side effects were found (p=0.003), and for absolute muscle strength, also gender differences were significant (p=0.018); however, for the normalised strength data, there were no significant gender differences (p=0.117).

For MT and LT muscle strength, neither significant interaction effects, nor main side or gender differences were found, on the absolute as well as the normalised data.

For the SA muscle strength, the ANOVA for repeated measures also showed similar results for the absolute and

the normalised data: no significant side  $\times$  gender interaction effects, no significant gender differences; however, significant side differences (p=0.01 and p= 0.009 for the absolute and normalised data, respectively).

Data from the muscle balance ratios are presented in table 3. Statistical analysis on the muscle balance ratios revealed no side  $\times$  gender interaction effects, nor main side or gender effects on any of the ratios calculated in this study.

#### PM length

The results from the PML measurements are summarised in table 4. Absolute muscle length (PML) data as well as PMI are noted.

### **Original article**



**Figure 7** Measurement of pectoralis minor resting length. Written consent from the patient and his legal guardian was obtained for publication of the image in print and online.

Table 1Means ( $\pm$ SD) for scapular upward rotation (in degrees) onthe non-dominant and dominant side for male (n=19) and female (n=16)tennis players in three arm elevation positions in the scapular plane: 0°,90° and 180°

	0°		90°		180°		
	ND	D	ND	D	ND	D	
Male players	3.5 (±2.6)	5.2 (±4)	24.2 (±6.2)	29.2 (±10.4)	53 (±7.8)	54.1 (±9.1)	
Female players	4.4 (±4)	4.6 (±3)	21.3 (±5.8)	26.9 (±8.3)	48.7 (±7.5)	55.9 (±6.5)	

D, dominant side; ND, non-dominant side.

	Absolute musc strength (N)	le	Normalised muscle strength (N/kg)		
	ND	D	ND	D	
UT					
Male players	148.4 (±49.6)	158.6 (±47.7)	2.79 (±0.67)	3 (±0.67)	
Female players	112.9 (±33)	123.5 (±36.7)	2.46 (±0.55)	2.68 (±0.64)	
MT					
Male players	36.6 (±10)	35 (±11.3)	0.71 (±0.16)	0.68 (±0.14)	
Female players	30.87 (±11.2)	32.7 (±8.6)	0.69 (±0.18)	0.71 (±0.16)	
LT					
Male players	29.9 (±9.2)	31.2 (±10.7)	0.57 (±0.17)	0.6 (±0.18)	
Female players	27.9 (±10)	27.9 (±9.2)	0.61 (±0.21)	0.61 (±0.21)	
SA					
Male players	136.8 (±44.8)	154.8 (±61.9)	2.66 (±0.8)	2.94 (±1.02)	
Female players	113.3 (±50.8)	117.9 (±51.6)	2.42 (±0.83)	2.52 (±0.85)	

D, dominant side; LT, lower trapezius; MT, middle trapezius; ND, non-dominant side; SA, serratus anterior; UT, upper trapezius.

No side  $\times$  gender interaction effects (p=0.398) were found; however, significant main gender (p=0.006) and side (p<0.001) differences were present regarding the absolute PML. Similar results were found regarding the PMI (interaction effect p=0.631, main effect gender p=0.037, main effect side p<0.001). All players have a significantly shorter PM on their dominant side, and across sides female players show shorter PML compared with male players.

#### DISCUSSION

The purpose of this investigation was to generate a descriptive profile of scapulothoracic strength, position and flexibility variables in a population of adolescent elite tennis players. Moreover, it was the purpose in this study to use field measurement tools to examine these variables, which were reproducible and easy to use in a clinical setting.

#### Scapular upward rotation

Our results show that young tennis players, both boys and girls have side differences regarding scapular upward rotation. In the three elevation positions, scapular upward rotation is higher on the dominant side compared with the non-dominant side. As it has been suggested that the presence of sufficient upward rotation during overhead movements is vital to injuryfree performance by clearing the acromion from the underlying subacromial structures,<sup>9</sup><sup>19</sup><sup>20</sup> our results are in favour of optimal scapulohumeral kinematics, thus possibly protecting players from subacromial impingement. A possible explanation for the side differences could be found in the results of the muscle strength of the scapular rotators, in particular greater strength in the UT and SA.35 Our side differences confirm previously reported asymmetric resting scapular posture in healthy overhead athletes.<sup>19 36</sup> Myers *et al*<sup>19</sup> and Downar and Sauers<sup>36</sup> also reported increased upward rotation on the dominant side in throwing athletes, and suggested that throwers develop chronic adaptation for more efficient performance of the throwing motion. In contrast, other researchers<sup>23 37</sup> found a decrease in upward rotation in overhead athletes, suggesting that they are more prone to impingement-related shoulder pain.

In conclusion, a normal pattern of scapular upward rotation was found in young tennis players, with a side difference in favour of scapular inclination on the dominant side in all positions measured. However, we did not measure other elevation angles with respect to scapular inclination.

#### Scapular muscle strength

The major result of our strength measurement is the fact that in these young elite tennis players significant side differences were found for UT and SA strength, whereas MT and LT did not show significant side differences. Only a few authors have examined scapular muscle strength in swimmers,<sup>24</sup> baseball pitchers<sup>38</sup> and gymnasts,<sup>39</sup> with conflicting results. On the basis of our results, we might suggest to coaches and clinicians to emphasise symmetric strength training of the scapular stabilisers, in particular MT and LT, to maintain the balance between the dominant and non-dominant side with respect to stabilising and moving muscles in these players. Stronger UT and SA on the dominant side might possibly lead to muscle imbalances, increasing the risk of injury.

Besides reporting the absolute and normalised strength data, we calculated muscle balance ratios in the scapular muscles. As this is the first study to add balance ratios to the data

Table 3	Means ( $\pm$ SD) for the muscle balance ratios UT/MT, UT/LT, UT/SA and LT/SA on the non-dominant and dominant side for male (n=19) and
female (r	n=16) tennis players

	UT/MT		UT/LT		UT/SA		LT/SA	
	ND	D	ND	D	ND	D	ND	D
Male players	4.14 (±1.18)	4.79 (±1.71)	5.29 (±2.19)	5.62 (±2.66)	1.12 (±0.32)	1.11 (±0.39)	0.21 (±0.09)	0.20 (±0.1)
Female players	4.47 (±3.38)	3.97 (±1.03)	4.32 (±1.25)	4.73 (±1.25)	1.08 (±0.35)	1.13 (±0.35)	0.26 (±0.15)	0.24 (±0.12)

D, dominant side; LT, lower trapezius; MT, middle trapezius; ND, non-dominant side; SA, serratus anterior; UT, upper trapezius.

**Table 4**Means ( $\pm$ SD) for PML (cm) and the PMI (PML/body length  $\times$ 100) on the non-dominant and dominant side for male (n=19) and female(n=16) tennis players

	PML (cm)		РМІ		
	ND	D	ND	D	
Male players	12.9 (±1.3)	11.7 (±1.2)	7.9 (±0.4)	7.1 (±0.4)	
Female players	11.7 (±0.89)	10.8 (±1.2)	7.5 (±0.4)	6.9 (±0.7)	

D, dominant side; ND, non-dominant side; PMI, pectoralis minor index; PML, pectoralis minor muscle length.

on isometric measurements, using the described protocol, we have no literature to compare our results with. The interpretation of the balance ratios, as discussed in this study, should be limited to side comparisons within one player, or comparing individual player's balance ratios with these data, on the condition that identical procedures of testing have been followed.

#### **PM length**

Our results show significantly shorter PML on the dominant side compared with the non-dominant side in both female and male players. In addition, the female players have lower values compared with the male players. Researchers have speculated that the PM, through increased active or passive tension, may affect normal scapular kinematics.<sup>40–42</sup> Borstad and Ludewig<sup>34</sup> demonstrated that the scapular motion alterations in subjects with a relatively short PM are consistent with those previously demonstrated by subjects with impingement.

The side differences found in our players might be the result of adaptive changes in the muscle tension of the PM due to repetitive powerful use of that muscle performing protraction during the tennis serve and strike.

In view of our results, we might encourage coaches and physiotherapists to include PM stretches<sup>43 44</sup> in the preventive stretching programme, starting at a young age.

However, it must be noted that, in spite of statistical significance, side differences are 0.9 cm for the female players and 1.2 cm for the male players. One might question the clinical relevance of this result. Statistical difference was reached because side differences, although small, were present in all but one player. In view of this assumption, our results should be interpreted with caution, and future studies should examine PM tightness more thoroughly in view of clinical relevance.

#### Limitations of the study and future investigations

We used measurement techniques and protocols, which are field measurement tools, easy to transport and to use in a sports setting on a tennis court or training area, and affordable for clinicians, who often do not have access to the high-technology equipment used in research centres and academic university settings. This is one of the strengths of the study, giving the

## the risk of shoulder pathology. Therefore it is important to evaluate scapular position and motion variables in healthy

tennis players.

## What this study adds

What is already known on this topic

Scapular dyskinesis in tennis players possibly increases

Elite adolescent tennis players have increased scapular upward rotation on their dominant side, side differences in UT and SA strength, but symmetrical MT and LT strength and relatively short PM on the dominant side. These results may assist the clinician in the musculoskeletal screening of young tennis players.

possibility to clinicians to reproduce the tests in practice, and use our results as a reference base in the evaluation of young tennis players, but this also leads to a limitation. Clinical measures often do not achieve the same reliability, validity and accuracy compared with laboratory investigations; therefore we encourage clinicians to provide maximal standardisation and reliability in reproducing the tests in this study by limiting the testing to one examiner, blinding the results during testing, performing pilot reliability studies if necessary and maximising standardisation in palpation, subject position and task instruction during testing.

A second limitation is the lack of a control group. A number of the variables examined in this study were explored for the first time. Therefore, future studies should compare the results found in this investigation with age-matched non-athletic young subjects and recreational tennis players. In addition, a longitudinal follow-up of the measurements performed is mandatory, and further detailed results based on specific stroke techniques, for instance one versus two-handed backhand strokes. Yearly investigation of these variables in elite tennis players, as well as investigation of the same level of players but an age category higher (15–18 years) may add valuable information about scapular behaviour in elite tennis players. Moreover, additional testing would give the opportunity over time to provide some normative data for future clinical screening of tennis players.

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## **Original article**

Competing interests None.

**Ethics approval** This study was conducted with the approval of Ghent University Ethics Committee.

Provenance and peer review Not commissioned; externally peer reviewed.

Patient consent Obtained.

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