1	The temporal dimension of differenced Normalized Burn Ratio (dNBR) fire/burn severity
2	studies: the case of the large 2007 Peloponnese wildfires in Greece
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9	Abstract

The temporal dimension of differenced Normalized Burn Ratio (dNBR) fire/burn severity 10 studies was studied for the case of the large 2007 Peloponnese wildfires in Greece. Fire 11 12 severity is defined as the degree of environmental change as measured immediately post-fire, 13 whereas burn severity combines the direct fire impact and ecosystems responses. Geo Composite Burn Index (GeoCBI), two pre-/post-fire differenced Thematic Mapper (TM) 14 dNBR assessments and a Moderate Resolution Imaging Spectroradiometer (MODIS) dNBR 15 16 time series were used to analyze the temporal dimension. MODIS dNBR time series were calculated based on the difference between the NBR of the burned and control pixels, which 17 were retrieved using time series similarity of a pre-fire year. The analysis incorporated the 18 optimality statistic, which evaluates index performance based on displacements in the mid-19 infrared-near infrared bi-spectral space. Results showed a higher correlation between field and 20 TM data early post-fire ($R^2 = 0.72$) than one year post-fire ($R^2 = 0.56$). Additionally, mean 21

dNBR (0.56 vs. 0.29), the dNBR standard deviation (0.29 vs. 0.19) and mean optimality (0.65 22 vs. 0.47) were clearly higher for the initial assessment than for the extended assessment. This 23 is due to regenerative processes that obscured first-order fire effects impacting the suitability 24 25 of the dNBR to assess burn severity in this case study. This demonstrates the importance of the lag timing, i.e. time since fire, of an assessment, especially in a quickly recovering 26 Mediterranean ecosystem. The MODIS time series was used to study intra-annual changes in 27 index performance. The seasonal timing of an assessment highly impacts what is actually 28 29 measured. This seasonality affected both the greenness of herbaceous resprouters and the productivity of the control pixels, which is land cover specific. Appropriate seasonal timing of 30 31 an assessment is therefore of paramount importance to anticipate false trends (e.g. caused by senescence). Although these findings are case study specific, it can be expected that similar 32 temporal constraints affect assessments in other ecoregions. Therefore, within the limitations 33 34 of available Landsat imagery, caution is recommended for the temporal dimension when assessing post-fire effects. This is crucial, especially for studies that aim to evaluate trends in 35 fire/burn severity across space and time. Also, clarification in associated terminology is 36 suggested. 37

39 **1 Introduction**

Wildfires affect the ecological functioning of many ecosystems (Dwyer et al., 1999; Pausas, 40 2004; Riano et al., 2007) as they partially or completely remove the vegetation layer and 41 affect post-fire vegetation composition (Epting and Verbyla, 2005; Lentile et al., 2005). They 42 act as a natural component in vegetation succession cycles (Trabaud, 1981; Capitaino and 43 Carcaillet, 2008; Roder et al., 2008) but also potentially increase degradation processes, such 44 as soil erosion (Thomas et al., 1999; Perez-Cabello et al., 2006; Chafer, 2008; Fox et al., 45 2008). Assessment of post-fire effects is thus a major challenge to understand the potential 46 degradation after fire (Kutiel and Inbar, 1993; Fox et al., 2008) and to comprehend the 47 ecosystem's post-fire resilience (Epting and Verbyla, 2005; Lentile et al., 2005). 48

49 The fire impact can be described as (i) the amount of damage (Hammill and Bradstock, 2006; Gonzalez-Alonso et al., 2007; Chafer, 2008), (ii) the physical, chemical and biological 50 changes (Landmann, 2003; Chafer et al., 2004; Cocke et al., 2005; Stow et al., 2007; Lee et 51 52 al., 2008) or (iii) the degree of alteration (Brewer et al., 2005; Eidenshink et al., 2007) that fire causes to an ecosystem and is quantified as the severity of fire. In this context the terms 53 fire severity and burn severity are often interchangeably used (Keeley, 2009). Lentile et al. 54 (2006), however, suggest a clear distinction between both terms by considering the fire 55 disturbance continuum (Jain et al., 2004), which addresses three different temporal fire effects 56 phases: before, during and after the fire. In this framework fire severity quantifies the short-57 term fire effects in the immediate post-fire environment while burn severity quantifies both 58 the short- and long-term impact as it includes response processes. While this substantive 59 60 difference in terminology between fire and burn severity is generally accepted in the remote sensing community, fire ecologists tend to smooth away this distinction as they opt to exclude 61 ecosystem responses from the term burn severity (Keeley, 2009), thereby reducing its 62 63 meaning to the same dimension as the term fire severity, which makes both terms mutually

substitutional. However, the inclusion of ecosystem responses (such as regrowth, regeneration 64 65 and resilience) in burn severity is justified by the significant negative correlation between direct fire impact and regeneration ability (Diaz-Delgado et al., 2003). Moreover, except for 66 assessments immediately post-fire (within the first month), ecosystem responses cannot be 67 neglected in a satellite assessment as it is practically infeasible to uncouple these effects from 68 the direct fire impact based on the image data. In addition, Key and Benson (2005) and Key 69 (2006) introduced three sets of complementary concepts. The first set differentiates between 70 first- and second-order effects, where first-order effects are caused by the fire only, whereas 71 second-order effects also involve other causal agents (e.g. wind, rain, vegetative processes, 72 73 etc.). Secondly, short-and long-term severity refer to the condition of the burned area. Shortterm severity is restricted to the pre-recovery phase, while long-term severity includes both 74 first-and second-order effects. Thirdly, Key (2006) differentiates between an initial 75 76 assessment (IA) and an extended assessment (EA). This difference results from differing lag timing, i.e. the time since fire, on which an assessment is made. An IA is executed 77 78 immediately after the fire event, whereas by EAs a certain amount of time elapses between the fire event and the assessment. Summarized, fire severity is defined as the degree of 79 environmental change caused by fire and is related to first-order effects, short-term severity 80 and IAs (Key and Benson, 2005). As such it mainly quantifies vegetation consumption and 81 soil alteration. Burn severity, on the other hand, is equally defined as the degree of 82 environmental change caused by fire, but it also includes second-order effects (e.g. 83 resprouting, delayed mortality, etc.), long-term severity and is usually measured in an EA 84 (Key and Benson, 2005). Finally, the term post-fire effects (Lentile et al., 2006) groups all 85 above mentioned severity-related notions. In figure 1 a schematic representation of post-fire 86 effects terminology is given. 87

Even though a considerable amount of remote sensing studies have focused on the use of the 88 89 Normalized Difference Vegetation Index (NDVI) for assessing burn severity (Isaev et al., 2002; Diaz-Delgado et al., 2003; Ruiz-Gallardo et al., 2004; Chafer et al., 2004; Hammill and 90 Bradstock, 2006; Hudak et al., 2007), the Normalized Burn Ratio (NBR) has become 91 accepted as the standard spectral index to estimate fire/burn severity (e.g. Lopez-Garcia and 92 Caselles, 1991; Epting et al., 2005; Key and Benson, 2005; Bisson et al., 2008; Veraverbeke 93 et al., 2010ab). The NBR is used as an operational tool at national scale in the United States 94 (Eidenshink et al., 2007). The index relates to vegetation vigor and moisture by combining 95 near infrared (NIR) and mid infrared (MIR) reflectance and is defined as: 96

97
$$NBR = \frac{NIR - MIR}{NIR + MIR}.$$
 (1)

Most of the studies that assessed burn severity were conducted with Landsat imagery (French 98 99 et al., 2008), thanks to Landsat's unique properties of operating a MIR band and a desirable 30 m resolution for local scale studies. Since fire effects on vegetation produce a reflectance 100 101 increase in the MIR spectral region and a NIR reflectance drop (Pereira et al., 1999; Key, 102 2006), bi-temporal image differencing is frequently applied on pre- and post-fire NBR images resulting in the differenced Normalized Burn Ratio (dNBR) (Key and Benson, 2005). 103 Additionally, Miller and Thode (2007) proposed a relative version of the dNBR (RdNBR). 104 105 This index takes into account the pre-fire amount of biomass, and therefore, rather than being a measure of absolute change, reflects the change caused by fire relative to the pre-fire 106 107 condition. Apart from the correlation with field data (Key and Benson, 2005; De Santis and Chuvieco, 2009; Veraverbeke et al., 2010ab), the performance of bi-spectral indices can be 108 evaluated by assessing a pixel's shift in the bi-spectral feature space. As such, a pixel-based 109 110 optimality measure, originating from the spectral index theory (Verstraete and Pinty, 1996), has been developed by Roy et al. (2006). They used the optimality concept to question the 111

dNBR method as an optimal fire/burn severity approach. The optimality value varies between zero (not at all optimal) and one (fully optimal). An optimal fire/burn severity spectral index needs to be as insensitive as possible to perturbing factors, such as atmospheric and illumination effects (Veraverbeke et al., 2010c), and highly sensitive to fire-induced vegetation changes.

These post-fire vegetation changes typically are abrupt immediately after fire (Pereira et al., 117 1999), whereas a more gradual and progressive vegetation regeneration process is initiated 118 119 several weeks after the fire (Viedma et al., 1997; Pausas et al., 2004; Keeley et al., 2005; van Leeuwen, 2008). Despite of the current discussion on the temporal dimension in fire/burn 120 121 severity studies (Keeley, 2009) (see figure 1), relatively few studies have addressed attention to the influence of assessment timing on the estimation of post-fire effects. In this respect Key 122 (2006) comprehensively differentiates between two temporal constraints. The first constraint 123 124 is the lag timing. IAs focus on the first opportunity to get an ecological evaluation of withinburn differences in combustion completeness, whereas EAs occur as a rule in the first post-125 fire growing season (Key, 2006). This constraint especially becomes obvious in quickly 126 recovering ecosystems where an inappropriate lag timing can distort or hide the fire effects 127 (Allen and Sorbel, 2008; Lhermitte et al. 2010a). Allen and Sorbel (2008), for example, found 128 that IA and EA produced significantly different information for tundra vegetation, while the 129 timing of the assessment had no effect for black spruce forest. This was attributed to the rapid 130 tundra recovery (Allen and Sorbel, 2008). The second constraint deals with the seasonal 131 timing, i.e. the biophysical conditions that vary throughout the year, regardless of the fire. 132 Analysis shortly after the usually dry fire season for example can be detracted because of the 133 reduced variability in vegetation vigor during the dry season. Conversely, when vegetation is 134 green and productive, a broader range of severity can be detected with better contrast (Key, 135 2005). The importance of the phenological timing of an assessment was also pointed by 136

Verbyla et al. (2008). They found a clear discrepancy in dNBR values between two different 137 Landsat assessments, which was partly attributed to the seasonal timing of the bi-temporal 138 acquisition scheme, while another part of the difference was due to the changing solar 139 140 elevation angles at the moment of the image acquisition. Apart from these studies, relatively little attention has been devoted to the temporal changes in the NBR and its consequence to 141 estimate fire/burn severity. This is probably due to the 16-day repeat cycle of Landsat and the 142 problem of cloudiness which restricts image availability to infrequent images over small areas 143 (Ju and Roy, 2008). Multi-temporal Moderate Resolution Imaging Spectroradiometer 144 (MODIS) data can bridge the gap of image availability. MODIS is the only high temporal-145 frequent coarse resolution (500 m) sensor which has the spectral capability, i.e. acquisition of 146 reflectance data in the MIR region besides to the NIR region (Justice et al., 2002), to calculate 147 the NBR. MODIS surface reflectance data (Vermote et al., 2002) are therefore an ideal source 148 149 of information to explore the post-fire temporal, both in terms of lag and seasonal timing, sensitivity of the dNBR to assess fire/burn severity. 150

151 Hence, the general objective of this paper is assessing the temporal dimension of the dNBR and its consequence for the estimation of fire/burn severity of the large 2007 Peloponnese 152 wildfires in Greece. This objective is fulfilled by evaluating (i) the relationship between field 153 data of severity, Landsat dNBR and MODIS dNBR for an IA and EA scheme, and (ii) the 154 one-year post-fire temporal changes in dNBR and dNBR optimality for different fuel types. 155 500 m MODIS dNBR data are used in this study as a way to explore the temporal dimension, 156 not as a substitute for 30 m Landsat dNBR imagery which is superior for spatial detail 157 (French et al. 2008). 158

159 2 Data and study area

160 **2.1 Study area**

The study area is situated at the Peloponnese peninsula, in southern Greece (36°30'-38°30' N, 21°-23° E) (see figure 2). The topography is rugged with elevations ranging between 0 and 2404 m above sea level. The climate is typically Mediterranean with hot, dry summers and mild, wet winter (see figure 3). For the Kalamata meteorological station (37°4' N, 22°1' E) the average annual temperature is 17.8°C and the mean annual precipitation equals 780 mm.

After a severe drought period several large wildfires of unknown cause have struck the area in 166 August 2007. The fires consumed more than 150 000 ha of coniferous forest, broadleaved 167 forest, shrub lands (maquis and phrygana communities) and olive groves. Black pine (Pinus 168 nigra) is the dominant conifer species. Maquis communities consist of sclerophyllous 169 evergreen shrubs of 2-3 m high (Polunin, 1980). Phrygana is dwarf scrub vegetation (< 1 m), 170 171 which prevails on dry landforms (Polunin, 1980). The shrub layer is characterised by e.g. 172 Quercus coccifera, Q. frainetto, Pistacia lentiscus, Cistus salvifolius, C. incanus, Erica arborea, Sarcopoterum spinosum. The olive groves consist of Olea europaea trees, whereas 173 174 oaks are the dominant broadleaved species.

175 2.2 Field data

To assess fire/burn severity in the field, 150 Geo Composite Burn Index (GeoCBI) plots were 176 collected one year post-fire, in September 2008 (see figure 2). The GeoCBI is a modified 177 version of the Composite Burn Index (CBI) (De Santis and Chuvieco, 2009). The (Geo)CBI is 178 179 an operational tool used in conjunction with the Landsat dNBR approach to assess fire/burn severity in the field (Key and Benson, 2005). The GeoCBI divides the ecosystem into five 180 different strata, one for the substrates and four vegetation layers. These strata are: (i) 181 substrates, (ii) herbs, low shrubs and trees less than 1 m, (iii) tall shrubs and trees of 1 to 5 m, 182 (iv) intermediate trees of 5 to 20 m and (v) big trees higher than 20 m. In the field form, 20 183 different factors can be rated (e.g. soil and rock cover/color change, % LAI change, char 184 height) (see table 1) but only those factors present and reliably rateable, are considered. The 185

rates are given on a continuous scale between zero and three and the resulting factor ratings 186 are averaged per stratum. Based on these stratum averages, the GeoCBI is calculated in 187 proportion to their corresponding fraction of cover, resulting in a weighted average between 188 zero and three that expresses burn severity. As the field data were collected one year post-fire, 189 it is an EA. To be able to explore the full temporal dimension of fire/burn severity these data 190 were also used as an IA. This is justified as most of the rating factors are relatively stable in 191 192 time (Key and Benson, 2005), and as such plot ratings would not significantly differ when IA 193 and EA schemes would have been sampled independently. However, it is obvious to omit the factor new sprouts form the IA scheme as this factor is not relevant in a fire severity 194 195 assessment (see figure 1).

The 150 sample points were selected based on a stratified sampling approach, taking into 196 account the constraints on mainly accessibility and time, which encompasses the whole range 197 198 of variation found within the burns. The field plots consist of 30 by 30 m squares, analogous to the Landsat pixel size. The pixel centre coordinates were recorded based on measurements 199 200 with a handheld Garmin eTrex Vista Global Positioning System (15 m error in x and y 201 (Garmin 2005)) device. To minimize the effect of potential misregistration, plots were at least 90 m apart and chosen in relatively homogeneous areas (Key and Benson 2005). This 202 homogeneity refers both to the fuel type (homogeneity of at least 500 m) and the fire effects 203 (homogeneity of at least 60 m). Of the 150 field plots 63 plots were measured in shrub land, 204 57 in coniferous forest, 16 in deciduous forest and 14 in olive groves. More information on 205 the field sampling scheme can be found in Veraverbeke et al. (2010ab). 206

Additionally, 50 training samples in very homogeneous covers (homogeneity of at least 208 2000m) were GPS-recorded outside the burned area (see figure 2). These samples comprised 209 the most prevailing fuel types in the burned area; 12 samples were taken in coniferous forest, 17 in shrub land, 10 in deciduous forest and 11 in olive groves. The dominant species of theseland cover types are given in section 2.1.

212 2.3 Landsat Thematic Mapper data

For the traditional Landsat post-fire effects assessment of the summer 2007 Peloponnese fires three anniversary date Landsat Thematic Mapper (TM) images (path/row 184/34) were used (23/07/2006, 28/09/2007 and 13/08/2008). The images were acquired in the summer, minimizing effects of vegetation phenology and differing solar zenith angles. The images were subjected to geometric, radiometric, atmospheric and topographic correction.

The 2008 image was geometrically corrected using 34 ground control points (GCPs), recorded in the field with a Garmin eTrex Vista GPS. The resulting Root Mean Squared Error (RMSE) was lower than 0.5 pixels. The 2006, 2007 and 2008 images were co-registered within 0.5 pixels accuracy. All images were registered in UTM (Universal Transverse Mercator) (zone 34S), with WGS 84 (World Geodetic System 84) as geodetic datum.

Raw digital numbers (DNs) were scaled to at-sensor radiance values using the procedure of Chander et al. (2007). The radiance to reflectance conversion was performed using the COST method of Chavez (1996). The COST method is a dark object subtraction (DOS) approach that assumes 1% surface reflectance for dark objects (e.g. deep water). After applying the COST atmospheric correction, pseudo-invariant features (PIFs) such as deep water and bare soil pixels, were examined in the images. No further relative normalization between the images was required.

Additionally, it was necessary to correct for different illumination effects due to topography as the common assumption that shading effects are removed in ratio-based analyses does not necessarily hold true (Verbyla et al., 2008; Veraverbeke et al. 2010c). This was done based on the modified c-correction method (Veraverbeke et al. 2010c), a modification of the original ccorrection approach (Teillet et al. 1982), using a digital elevation model (DEM) and knowledge of the solar zenith and azimuth angle at the moment of image acquisition.
Topographical slope and aspect data were derived from 90 m SRTM (Shuttle Radar
Topography Mission) elevation data (Jarvis et al. 2006) resampled and co-registered with the
TM images.

Finally, by inputting the NIR (TM4: centered at 830 nm) and MIR (TM7: centered at 2215
nm) bands in equation 1 NBR images were generated.

241 2.4 Moderate Resolution Imaging Spectroradiometer data

Level 2 daily Terra MODIS surface reflectance (500 m) tiles that cover the study area 242 (MOD09GA) including associated Quality Assurance (QA) layers were acquired from the 243 National Aeronautics and Space Administration (NASA) Warehouse Inventory Search Tool 244 (WIST) (https://wist.echo.nasa.gov) for the period 01/01/2006 till 31/12/2008. These products 245 contain an estimate of the surface reflectance for seven optical bands as it would have been 246 247 measured at ground level as if there were no atmospheric scattering or absorption (Vermote et al., 2002). The data preprocessing steps included subsetting, reprojecting, compositing, 248 249 creating continuous time series and indexing. The study area was clipped and the NIR (centered at 858 nm), MIR (centered at 2130 nm) and QA layers were reprojected into UTM 250 with WGS 84 as geodetic datum. Subsequently, the daily NIR, MIR and QA data were 251 converted in 8-day composites using the minimum NIR criterion to minimize cloud 252 contamination and off-nadir viewing effects (Holben, 1986). The minimum NIR criterion has 253 proven to allow a more accurate discrimination between burned and unburned pixels than 254 traditional Maximum Value Composites (MVCs) (Barbosa et al., 1998; Stroppiana et al., 255 2002; Chuvieco et al., 2005). Thus, for each 8-day period the NIR, MIR and QA data were 256 saved corresponding with the minimum NIR observation for each pixel. An additional 257 advantage of the minimum NIR criterion in comparison with MVCs is its tendency to select 258 close to nadir observations (Stroppiana et al., 2002), because for smaller view angles the soil 259

fraction in the vegetation-soil matrix will have a relatively higher contribution to the 260 reflectance signal than for wider viewing angles. After the compositing procedure a minority 261 of the data still lacked good quality values. Therefore, to create continuous time series, a local 262 second-order polynomial function, also known as an adaptive Savitzky-Golay filter (Savitzky 263 and Golay, 1964), was applied to the time series as implemented in the TIMESAT software 264 (Jonsson and Eklundh, 2004) to replace the affected observations. Although other smoothing 265 266 methods based on for example Fourier series (Olsson and Eklundh, 1994) or least-squares fitting to sinusoidal functions (Cihlar, 1996) are known to work well in most instances, they 267 fail to capture a sudden steep change in remote sensing values, as it is the case in burned land 268 269 applications (Verbesselt et al., 2006). The TIMESAT program allows the inclusion of a preprocessing mask. These masks are translated into weights, zero and one, that determine the 270 uncertainty of the data values. Cloud-affected observations were identified using the QA layer 271 272 and were assigned a zero weight value. Consequently these data do not influence the filter procedure. Only the values of the masked observations were replaced to retain as much as 273 274 possible the original NIR and MIR reflectance values. Finally, NBR images were calculated based on equation 1. 275

276 **3 Methodology**

277 3.1 MODIS pre-fire land cover map

As phenology, fire impact and regeneration typically vary by land cover type (Reed et al., 1994; White et al. 1996; Viedma et al. 1997) the pre-fire land cover of the burned areas was classified. This was done based on the time series similarity concept as phenological differences in time series allow to discriminate different land cover types (Reed et al., 1994; Viovy, 2000; Geerken et al., 2005, Lhermitte et al., 2008). A maximum likelihood classification was performed on a MODIS NBR time series of the pre-fire year 2006. The

GPS-recorded pixel and its bilinear neighbors of the 50 land cover field samples (see section 284 2.2 and figure 3) served as training pixels in the classification. As such the four main land 285 covers (shrub land, coniferous forest, deciduous forest and olive groves) were classified. 286 Figure 4 displays the mean temporal profiles of the training pixels for each class. Figures 4A-287 C, respectively of shrub land, coniferous forest and olive groves, reveal characteristic 288 temporal profiles for evergreen Mediterranean species. For these land cover types seasonal 289 fluctuations are minor. Coniferous forests are characterized by a higher overall productivity 290 291 than shrub lands and olive groves. Shrub lands reveal a peak in late spring/early summer, which is characteristic for Mediterranean xerophytic species (Specht, 1981; Maselli, 2004). 292 293 The olive groves are slightly more productive during the winter season, which can be contributed to the favorable moisture conditions during the wet winter months (see figure 3). 294 The temporal profile of deciduous forest (figure 4D) contrasts with those of evergreen species 295 296 as it shows a markedly higher seasonality with a summer maximum and winter minimum. The accuracy of the pre-fire land cover map was verified by the 150 GeoCBI field plots with 297 known pre-fire land cover type. 298

3.2 MODIS control pixel selection

Traditionally fire/burn severity is estimated from pre-/post-fire differenced imagery (Key and 300 Benson, 2005; French et al., 2008). This bi-temporal analysis method can be hampered by 301 phenological effects, both due to the differences in acquisition data and due to inter-annual 302 meteorological variability (Diaz-Delgado and Pons, 2001). To deal with these phenological 303 effects Diaz-Delgado and Pons (2001) proposed to compare vegetation regrowth in a burned 304 305 area with unburned reference plots within the same image. As such, external and phenological variations are minimized among the compared areas. The reference plot selection procedure 306 307 has, however, two main difficulties. Firstly, large scale application remains constrained due to the necessity of profound field knowledge to select relevant control plots. Secondly, the 308

reference plot approach fails to describe within-burn heterogeneity as it uses mean values per 309 310 fire plot. To solve these problems, Lhermitte et al. (2010b) proposed a pixel-based control plot selection method which follows the same reasoning with respect to the minimization of 311 phenological effects by comparison with image-based control plots. The difference with the 312 reference plot procedure, however, is situated in the fact that the pixel-based method assigns a 313 unique unburned control pixel to each burned pixel. This control pixel selection is based on 314 the similarity between the time series of the burned pixel and the time series of its 315 surrounding unburned pixels for a pre-fire year (Lhermitte et al., 2010b). The method allows 316 to quantify the heterogeneity within a fire plot since each fire pixel is considered 317 318 independently as a focal study pixel and a control pixel is selected from a contextual neighborhood around the focal pixel. In this study, the procedure of Lhermitte et al. (2010b) is 319 followed as it allows exploring the temporal dimension of post-fire effects without image-to-320 321 image phenological constraints. The selection is based on the similarity of MODIS NBR time series between pixels during the pre-fire year 2006. The averaged Euclidian distance 322 dissimilarity criterion D was used: 323

324
$$D = \frac{\sqrt{\sum_{t=1}^{N} (NBR_t^f - NBR_t^x)^2}}{N}$$
(2)

where NBR_t^f and NBR_t^x are the respective burned focal and unburned candidate control pixel time series, while *N* is the number of observations in pre-fire year (*N*=46). The Euclidian distance metric has an intuitive appeal: it quantifies the straight line inter-point distance in a multi-temporal space as distance measure. As a result, it is robust for both data space translations and rotations. Consequently, it is a very useful metric to assess inter-pixel differences in time series (Lhermitte et al., 2010b). The discrimination between burned and unburned pixels was based on a burned area map. This burned area map was extracted making

use of the characteristic persistency of the post-fire NBR drop, similar to the algorithms of 332 Kasischke and French (1995), Barbosa et al. (1999) and Chuvieco et al. (2008). To avoid 333 possible confusion with harvested crop land a rough fire perimeter, approximately 1 km 334 outside of the burned area, was manually digitized. Using the 8-day NBR composites as input, 335 the dNBR between each single observation and its five consecutive observations in time was 336 calculated ($dNBR = NBR_t - NBR_{t+i}$ with i = 1, 2, 3, 4, 5). When these five dNBR values all 337 exceeded the threshold value of 0.125, the pixel was classified as burned. We have chosen a 338 relatively low threshold to minimize the omission error on low severity pixels. The accuracy 339 of the burned area map was verified using a TM-derived burned area map (Veraverbeke et al., 340 2010c). 341

342 For valid control plot estimates, control pixels must correspond to the focal pixel in case the fire had not occurred. Firstly, this implies identical pre-fire characteristics for both control and 343 focal pixels. Secondly, it means similar post-fire environmental conditions. To determine the 344 appropriate control pixel selection criteria, the method of Lhermitte et al. (2010b) was 345 calibrated to our dataset. As we want to evaluate the control pixel selection procedure (based 346 347 on pre-fire time series) after the fire event, an initial performance assessment is made on 348 unburned pixels. Therefore 500 unburned pixels were randomly selected. For these pixels a fictive burning date was set at the same composite the real fire event took place. 349

Determining a number of control pixels c out of a number of candidate pixels x, which is related to window size, is essential for the selection procedure. Not only the most similar control pixel was considered because a beneficial averaging that removes random noise in the time series has been perceived in previous research (Lhermitte et al., 2010b). As a result the averaged time series of the two (or more) most similar pixel potentially provides better results. The calibration of the control pixel selection procedure requires an understanding of

how similarity is affected by varying window sizes and the number of selected control pixels. 356 357 The sensitivity of dissimilarity criterion D was therefore assessed by comparing the outcome for varying number of control pixels (c = 1, 2, ..., 15) and varying window sizes (3×3 , 358 $5 \times 5, \dots, 25 \times 25$). Evaluation consisted of measuring the temporal similarity for the 500 359 fictively burned sample pixel between NBR_t^f and NBR_t^x one year pre-fire and one year post-360 fire. For this purpose D was calculated between control and focal pixels for varying numbers 361 of control pixels and varying window sizes. This allows to determine how well pre-fire 362 similarity is maintained after a fictive burning date and how pre-/post-fire changes in 363 364 similarity are related to the number of control pixels and window size.

Although this calibration experiment allows the determination of an optimal selection of c365 control pixels out of x candidate pixels, which is related to the window size, it does not fully 366 take into account the spatial context of the actual burns. The calibration experiment is based 367 on isolated pixels, while in reality burned areas consist of large patches. As a consequence in 368 the calibration experiment the first eight candidate pixels are found in 3×3 -window (nine 369 pixels minus one burned pixel), while for finding eight candidate pixels for a burned pixel 370 located in the middle of a large burn larger window sizes are required. As a result, the 371 distance of the control pixels to their corresponding focal pixel is variable. This also implies 372 373 that the performance of the procedure is likely to be better near the contours of the burn perimeter. 374

375 **3.3 dNBR and optimality**

After the derivation of preprocessed TM NBR images, these layers were bi-temporally differenced. This traditional bi-temporal differencing resulted in an IA and EA dNBR, respectively dNBR_{TM,IA} and dNBR_{TM,EA}:

379
$$dNBR_{TM,IA} = NBR_{TM,2006} - NBR_{TM,2007}$$

(3)

$$380 dNBR_{TM,EA} = NBR_{TM,2006} - NBR_{TM,2008}. (4)$$

Additionally, a MODIS dNBR time series was derived after differencing the respective focal (NBR_i^f) and control (NBR_i^c) images:

$$383 \qquad dNBR_t = NBR_t^c - NBR_t^f \tag{5}.$$

Thus, in contrast with the traditional pre-/post-fire differencing as applied on the TM imagery, the MODIS dNBR was calculated based on focal and control pixels within the same image. For the same post-fire dates as with the TM dNBR images, the MODIS dNBR images were respectively labeled as dNBR_{MODIS,IA} and dNBR_{MODIS,EA}.

388 For evaluating the optimality of the bi-temporal change detection the MIR-NIR bi-spectral 389 space was considered (see figure 5). If a spectral index is appropriate to the physical change of interest, in this case fire-induced vegetation depletion, there exists a clear relationship 390 391 between the change and the direction of the displacement in the bi-spectral feature space (Verstraete and Pinty, 1996). In an ideal scenario a pixel's bi-temporal trajectory is 392 perpendicular to the first bisector of the Cartesian coordinate system. This is illustrated in 393 figure 5 for the displacement from unburned (U) to optimally (O) sensed burned. Perturbing 394 factors decrease the performance of the index. Then a pixel's displacement can be 395 396 decomposed in a vector perpendicular to the first bisector and a vector along the post-fire NBR isoline to which the index is insensitive. For example, in figure 5, a pixel shifts from 397 unburned (U) to burned (B) after fire. Here, the magnitude of change to which the index is 398 insensitive is equal to the Euclidian distance |OB|. Thus the observed displacement vector UB 399 can be decomposed in the sum of the vectors UO and OB, hence, following the expression of 400 Roy et al. (2006) index optimality is defined as: 401

402
$$optimality = 1 - \frac{|OB|}{|UB|}$$
 (3)

403 As |OB| can never be larger than |UB|, the optimality measure varies between zero and one. If 404 the optimality measure equals zero, then the index is completely insensitive to the change of 405 interest. An optimality score of one means that the index performs ideally.

406 **3.4 Analysis method**

407 Firstly, the accuracy of the land cover map and the calibration of the control pixel selection procedure are verified. Secondly, the analysis has focused on the correlation between field 408 and TM data for an IA and EA. In addition descriptive dNBR and optimality statistics were 409 compared. To justify the use of MODIS dNBR to explore the temporal dimension the 410 correlation between downsampled TM and corresponding MODIS dNBR imagery is also 411 calculated. Finally, MODIS dNBR and optimality time series for different land cover types 412 are compared. Emphasis has been both on the importance of lag and seasonal timing of an 413 assessment. 414

415 **4 Results**

416 **4.1 MODIS pre-fire land cover map**

Figure 6 displays the pre-fire land cover map derived based on the time series similarity 417 concept. Shrub land was the most prevailing land cover type. 100 372 ha (56.65% of the 418 burned area) were classified as shrub land. The class coniferous forest covered 37 096 ha 419 420 (20.95% of the burned area) which was only slightly more than the olive groves class (34 555 ha, 19.50% of the burned area). A minority of the pixels were classified as deciduous forest 421 (624 ha, 2.90%). The error matrix of the land cover map is tabulated in table 2. The overall 422 accuracy of the classification equalled 73% and a Kappa coefficient of 0.60 was obtained. As 423 the phenology of deciduous forest contrasts with those of evergreen land cover classes (see 424 figure 4), this class obtained high producer's and user's accuracies of respectively 81% and 425

426 93%. The evergreen land cover classes revealed a higher time series similarity. As a result the 427 cover classes were prone to higher omission and commission errors. These errors remained, 428 however, acceptable. The classification of shrub land resulted in both a producer's and user's 429 accuracy of 75%. The producer's accuracy of coniferous forest equalled 72%, which was 430 slightly lower than its user's accuracy of 76%. Finally, the accuracy of olive groves class was 431 the lowest (producer's and user's accuracy of respectively 64% and 47%).

432 **4.2 MODIS control pixel selection**

TM imagery was used to validate the MODIS burned area map. The TM-derived burned area 433 map was derived after applying a two-phase dNBR_{TM.IA} threshold algorithm that was 434 validated using field reference data resulting in a detection probability of 80% and a 435 probability of false alarm of 5% (Veraverbeke et al., 2010c). MODIS burned area statistics 436 were extracted in windows of 10 by 10 km. These statistics were regressed against their TM 437 equivalents, in which the TM data acted as independent variable and the MODIS data as 438 dependent variable. The resulting regression slope and intercept equaled respectively 1.31 and 439 -27.97. The MODIS-derived burned area map correlated fairly well with the TM-based map 440 (coefficient of determination $R^2=0.98$, p<0.001), although a consistent overestimation relative 441 to the TM data was perceived as indicated by the regression slope of 1.31. 442

Figure 7A reflects the *D* in function of varying number of control pixels and window size for a pre-fire year. It shows the median temporal similarity of the 500 unburned sample pixels. The median is used instead of the mean as it is more robust in the presence of outlier values. Two main effects are observed in the figure. Firstly, the number of control pixels chosen influenced the dissimilarity measure due to an averaging effect. The strength of this averaging effect was dependent on window size: the averaging effect became more important for larger window sizes. Secondly, there was a consistently decreasing trend in pre-fire *D* when window

size enlarged. This feature appeared regardless of the number of control pixels chosen. The 450 451 latter finding contrasts with what is visible in figure 7B, which represents the post-fire D in function of varying number of control pixels and window size. Here, one can see a 452 453 consistently increasing trend in D as window size became larger. As a result, differences between pre- and post-fire similarity enlarged in proportion with window size. This effect 454 originates from the possible selection of distant pixels that have higher probability of showing 455 different post-fire environmental conditions in larger windows (Lhermitte et al. 2010b). Based 456 on figures 7A-B the control pixel selection was calibrated by taking the average of the four 457 most similar pixels out of eight candidate pixels, which corroborates with the findings of 458 459 Lhermitte et al. (2010b).

460 4.3 Relationship between field, TM and MODIS data

Table 3 lists some descriptive statistics as derived from the dNBR_{TM.IA}, dNBR_{TM.EA} 461 dNBR_{MODIS,IA} and dNBR_{MODIS,EA} layers. Mean dNBR was clearly higher for an IA than for an 462 EA, for both TM and MODIS assessments (0.56 vs. 0.29 for TM, 0.44 vs. 0.21 for MODIS). 463 The same was true for mean optimality (0.65 vs. 0.47 for TM, 0.68 vs. 0.50 for MODIS). The 464 standard deviation (sd) of the dNBR sd was also higher in IA than in EA (0.29 vs. 0.19 for 465 TM, 0.19 vs. 0.14 for MODIS). This contrasts with the lower optimality sd of IAs compared 466 to EAs (0.25 vs. 0.29 for TM, 0.24 vs 0.30 for MODIS). Mean and sd dNBR were higher for 467 TM assessment than for MODIS assessments. Mean optimality, however, was slightly higher 468 for MODIS assessments, while inter-sensor differences in sd optimality were minor. 469

Table 4 summarizes the regression results between field, TM and MODIS data. All results were based on 150 observations, corresponding to the GeoCBI locations. Comparison of the R² statistics shows that the GeoCBI-dNBR_{TM} relationship proved to be the strongest for the IA scheme. This relationship yielded a moderate-high $R^2 = 0.72$ for a linear fitting model. This is higher than the GeoCBI-dNBR_{TM,EA} correlation which had an $R^2 = 0.56$. After downsampling the TM pixels to the MODIS resolution, linear regressions were also performed between the downsampled TM and the MODIS dNBR. These regressions resulted in a moderate correlations of $R^2 = 0.59$ for the IA and $R^2 = 0.45$ for the EA scheme.

478 **4.4 Post-fire MODIS dNBR and optimality time series**

479 **4.4.1 Shrub land**

Figure 8A displays the temporal profiles of mean NBR (\pm sd) of both control and focal 480 pixels. The control pixels' NBR values remained more or less constant around 0.40 (\pm 0.10) 481 throughout the year, except for the early spring peak (April-May), which is characteristic for 482 xerophytic shrub species (see also figure 4A). The fire event caused a sudden drop in the focal 483 pixels' mean NBR values up to -0.18 (\pm 0.14) at the third post-fire composite. This was 484 followed by a relatively quick recovery which culminated in early spring when the burned 485 pixels achieved NBR values of 0.40. During the first half year post-fire the control pixels' sd 486 NBR was relatively high around 0.20. Near the fire's anniversary date the focal pixels' mean 487 NBR values dropped back to values of 0.20, but also the sd dropped to 0.10. 488

Figure 8B depicts mean dNBR (\pm sd) against time relative to the fire event. A maximum mean dNBR of 0.48 (\pm 0.18) was reached at the third post-fire composite. These relatively high mean and sd values progressively degraded. During spring-time mean dNBR was only 0.11 (\pm 0.13), after which mean dNBR values slightly recovered up to 0.18 (\pm 0.11) around the fire's anniversary date.

The temporal evolution of mean optimality (\pm sd) is shown in figure 8C. Mean optimality peaked at the fourth post-fire composite (0.73 \pm 0.21), however, mean optimality decreased to 0.23 (\pm 0.28) during spring. Afterwards mean optimality increased back to values around 0.49 (\pm 0.30).

498 **4.4.2** Coniferous forest

In figure 9A one can see the post-fire development of mean NBR (\pm sd) time series of control and focal pixels. Similar to what was observed in figure 4B, the control pixels' mean reveals little seasonal variation, with values around 0.50 (\pm 0.10) throughout the year. At the third post-fire composite the focal pixels' mean NBR dropped to -0.16 (\pm 0.19). While the focal pixels' mean NBR steadily increased to values around 0.20 at the fire's anniversary date, their sd NBR decreased to 0.11. Likewise the spring-time peak observed for shrub lands, the focal pixels also experience a slight increase in NBR during early spring.

In figure 9B it is observed that the maximum mean dNBR, which was reached at the third post-fire composite equaled 0.61 (\pm 0.22). Both mean and sd then gradually decreased to values around respectively 0.30 and 0.14 at the fire's anniversary date.

Figure 9C displays the temporal profile of mean optimality (\pm sd) during the one-year postfire period. Mean optimality reached a maximum of 0.71 (\pm 0.21) at the fourth post-fire composite. Mean optimality was almost continuously between 0.50 and 0.70, except during April-May when it dropped to values of 0.40.

513 **4.4.3 Olive groves**

The same as in figure 4C, the mean NBR of the control pixels realized slightly higher values 514 during the wet winter than in the dry summer (figure 10A). The focal pixels' temporal 515 development, however, showed a markedly similar pattern as what was observed for shrub 516 lands in figure 8A. Initially NBR drops, e.g. at the third post-fire composite mean NBR of 517 control pixels' equaled 0.34 (\pm 0.08), compared to a focal pixels' mean of -0.12 (\pm 0.13). 518 Then the burned pixels' NBR values peaked during April-May resulting in mean NBR values 519 of 0.40 (\pm 0.08). Finally, the focal pixels' mean NBR decreased back to values of 0.21 (\pm 520 0.10) during the one-year post-fire summer. 521

Figure 10B depicts the mean dNBR (\pm sd) against time. After reaching a maximum mean dNBR of 0.46 (\pm 0.16) at the third post-fire composite, a minimum mean dNBR of 0.10 (\pm 0.11) was reached during spring-time. After obtaining this minimum, the mean dNBR recovered to values of 0.19 (\pm 0.11). Overall this temporal pattern in mean dNBR shows a high similarity to what is described in section 4.4.1 for shrub lands.

The mean optimality's maximum occurred at the fourth post-fire composite and equaled 0.73 (\pm 0.23) (figure 10C). During winter and spring, optimality dropped to values around 0.30 (\pm 0.30). In the first post-fire summer, however, mean optimality again reached values around 0.55 (\pm 0.28).

531 **4.4.4 Deciduous forest**

The mean NBR time series of control pixels showed a marked seasonality with a winter 532 minimum and summer maximum (figure 11A), which corresponds with the findings of figure 533 4D. Immediately post-fire the difference between the control and focal pixels' mean NBR 534 values is large, e.g. at the third post-fire composite they are respectively 0.47 (\pm 0.06) and -535 0.15 (\pm 0.18). However, this difference diminished as time elapsed due to two main 536 processes. Firstly, leaf-fall caused the control pixels' index to drop. Secondly, regeneration 537 processes produced an increase of the focal pixels' NBR values. By the start of the next 538 growing season, however, the difference between control and focal pixels became again more 539 explicit. 540

The above-mentioned processes also provoked a clear seasonality in both temporal mean dNBR (figure 11B) and optimality (figure 11C). Initially mean dNBR values are high with corresponding high optimality scores. At the fourth post-fire composite mean dNBR and optimality were respectively 0.61 (\pm 0.17) and 0.71 (\pm 0.16). During winter dNBR values are very low, a minimum of 0.10 (\pm 0.13) was reached, and this also resulted in low mean optimality scores below 0.40. By the onset of next growing season both mean dNBR andoptimality recovered.

548 **5 Discussion**

549 **5.1 Lag timing**

550 Regression results between dNBR_{TM} and field data were clearly influenced by the lag timing of the assessment (Table 4). Although this corroborates with the findings of Zhu et al. (2006) 551 and Allen and Sorbel (2008), it contrasts with Fernandez-Manso et al. (2009) who state that 552 the difference between an IA and EA does not significantly influences the remotely sensed 553 magnitude of change. In our study the correlation between field and TM data was better for 554 the IA ($R^2 = 0.72$) than for the EA ($R^2 = 0.56$), which is opposite to the observations of Zhu et 555 al. (2006). Following these authors, however, the poorer regression fits for IA are merely 556 attributed to unfavorable remote sensing conditions (low sun angles, smoke, bad weather, 557 558 snow and clouds), and not necessarily to differences in lag timing. Additionally, Allen and 559 Sorbel (2008) found that initial and extended assessments produced significantly different information with regards to burn severity for tundra vegetation, while the timing of the 560 561 assessment had no effect for black spruce forest, which was attributed to the rapid tundra recovery. As in our study, this demonstrates that in quickly recovering ecosystems first-order 562 effects such as vegetation consumption, scorching and charring are mitigated by resprouters 563 (Key, 2006; Lhermitte et al., 2010a). This is also visible when the magnitude of change and 564 the within-burn variation between IA and EA schemes are compared (Table 3). For both TM 565 566 and MODIS assessment, mean dNBR almost halved whereas sd dNBR was also clearly lower 567 for the EA. This reduction in variability highly impacts the suitability of the dNBR for burn 568 severity mapping. The within-burn variation of the MODIS assessments was lower than with 569 TM assessment as a result of the 500 m resolution compared to the 30 m resolution of the TM

sensor. Correlations between downsampled dNBR_{TM} and corresponding dNBR_{MODIS} were 570 571 moderate, which justifies the use of MODIS NBR time series as a way of exploring the temporal dimension of remote sensing of post-fire effects. We are aware that by doing so 572 573 spatial heterogeneity is sacrificed to some degree (Key 2006). Differences between downsampled dNBR_{TM} and dNBR_{MODIS} can be attributed to the use of single-data imagery vs. 574 8-day composites, discrepancies between traditional bi-temporal differencing and control 575 576 pixel selection procedure, differences in preprocessing (e.g. modified c-correction vs. no topographic correction), MODIS's geolocation error (Wolfe et al., 1998), etc. 577

Previous studies have analyzed the dNBR's optimality for assessing fire/burn severity, most 578 of them based on Landsat imagery (Roy et al., 2006; Escuin et al., 2008; Murphy et al., 2008; 579 580 Veraverbeke et al., 2010bc). This resulted in a moderate mean optimality of 0.49 (Escuin et al., 2008) and between 0.26-0.80 for six burns in Alaska, United States (Murphy et al., 2008). 581 Clearly lower mean dNBR optimality scores (0.10) were reported by Roy et al. (2006) for 582 583 African savannah burns. These authors also report low dNBR optimality values for MODIS sensed fires in other ecosystems (Russia, Australia, South America). These results suggest 584 that the dNBR is suboptimal for assessing fire/burn severity. The poor optimality results 585 obtained by Roy et al. (2006) can partly be explained by the fact that the authors also included 586 unburned pixels in their analysis. Unaffected pixels are generally associated with low 587 optimality scores since a pixel's shift in the bi-spectral space is then only caused by noise 588 589 (Escuin et al., 2008). Veraverbeke et al. (2010c) revealed the influence of illumination effects on dNBR optimality after which they proposed a topographic correction that significantly 590 591 improved the reliability of the assessment. Despite of the merits of these studies, none of them researched the time-dependency of the optimality statistic. The descriptive optimality 592 statistics (Table 3) reveal the influence of assessment timing on the performance of the 593 594 dNBR. The IAs had clearly higher optimality scores than EAs, e.g. for the TM assessment

respectively 0.65 (\pm 0.25) and 0.47 (\pm 0.29). Mean optimality values achieved a maximum 595 596 at the third or fourth post-fire composite (Figures 8C, 9C, 10C and 11C). At the moment of maximum optimality, the sd of the optimality statistic reached its minimum elucidating its 597 stability. Based on the optimality statistic one can indicate three to four weeks post-fire as the 598 best moment to assess post-fire effects, at least in this study. This moment also corresponds 599 600 with the highest magnitude of change in dNBR (figures 8B, 9C, 10C and 11C) and with a relatively high degree in variation. Results based on our TM data slightly differ from 601 previously published outcomes based on the same data (Veraverbeke 2010abc), mainly 602 because of some minor changes in satellite preprocessing and the exclusion of 10 unburned 603 field plots. 604

605 **5.2 Seasonal timing**

606 An important recommendation when doing bi-temporal change detection is that the image couple should approximate as closely as possible the anniversary date acquisition scheme 607 (Coppin et al., 2004). This diminishes illumination differences and phenological 608 609 dissimilarities. Because of Landsat's infrequent acquisition of cloud-free imagery (Ju and Roy, 2008) bi-temporal acquisition schemes potentially diverge from the ideal anniversary 610 611 data scheme. This causes problems as external influences (e.g. illumination conditions, plant phenology) then distort the evaluation of post-fire effects (Verbyla et al., 2008; Veraverbeke 612 et al., 2010c). Verbyla et al. (2008) demonstrated false trends in dNBR as a consequence of 613 combined seasonal and topographic effects, while Veraverbeke et al. (2010c) recommended 614 615 performing topographic corrections, even for ratio-based analysis, as the general assumption that ratioing reflectance data removes shade effects does not necessarily hold true. These 616 617 issues are merely concerned with traditional image-to-image normalization constraints (Song and Woodcock, 2003). The application of the control pixel selection procedure, however, 618 makes the MODIS dNBR time series free of these limitations (Diaz-Delgado and Pons, 2001; 619

Lhermitte et al., 2010b). Comparison of figures 8-11 discloses some important findings. 620 621 Firstly, only slight differences in assessment timing can result in distinct index values. On the one side this results from recovery processes (see section 5.1), but on the other side seasonal 622 623 changes in both control and focal pixels are also important. In our study area for example, the herbaceous resprouters show a clear rise in NBR values during spring, which is a period of 624 625 favorable hydro-thermic conditions (figure 3, Specht, 1981; Maselli, 2004). As a consequence 626 corresponding dNBR and optimality values dramatically drop during this period. In the oneyear post-fire summer productivity of regenerating plants diminishes again which results in a 627 generally better index performance. Secondly, phenological patterns can greatly vary between 628 629 different land cover types (Reed et al., 1994; Viovy, 2000, Lhermitte et al., 2008). Figure 11A, which displays the NBR time series of deciduous forest, contrasts with those of figures 630 8A, 9A and 10A. This is because the evergreen land cover types (shrub land, coniferous forest 631 632 and olive groves) typically have a productivity that remains more or less stable throughout the year while deciduous forest is characterized by a clear winter minimum and summer 633 maximum. As a consequence, while the seasonal timing of an assessment produces only small 634 differences for evergreen species, it is crucial for deciduous forest. When this consideration is 635 forgotten, an assessment in deciduous land cover types risks to measure plant phenology (e.g. 636 637 leaf senescence) in stead of the fire effects, which can falsify fire/burn severity estimations. Similar findings were achieved by Lhermitte et al. (2010a). In this study, conducted in a 638 savanna environment, intra-annual changes in index values were dominated by the grass 639 layer. The assessment was therefore strongly influenced by its seasonal timing. Summarized 640 641 for our study area, a Mediterranean-type ecosystem (MTE) with a mixture of land covers, the summer period is preferential for fire/burn severity assessments. This timing reduces the 642 occurrence of phenological discrepancies between different land covers. 643

644 5.3 Implications for Landsat dNBR fire/burn severity assessments

Increasingly, fire researchers become interested in detecting trends in fire/burn severity 645 646 (Eidenshink et al., 2007; Miller et al., 2008; Verbyla et al., 2008). To fulfill this duty it is of paramount importance that assessment are comparable across space and time. The relative 647 version of the dNBR (RdNBR), which is defined as the dNBR divided by the square root of 648 the pre-fire NBR, hypothetically allows a better comparison among different land cover types, 649 especially in heterogeneous landscapes. This was made clear for fires in conifer dominated 650 vegetation types in California, USA (Miller et al., 2009). Whether the hypothetical advantage 651 652 of the relative index to account for spatial heterogeneity has an intuitive appeal, the index does not handle temporal differences which may be present among different assessments. In 653 654 this respect our study demonstrates that only small differences in Landsat acquisition timing can result in significantly other dNBR and optimality values. This results from both lag and 655 656 seasonal constraints. The latter requires a profound knowledge of the covers affected by the 657 fire and their phenological development, especially when the land covers reveal dissimilar intra-annual patterns. Lag timing is important as vegetation regrowth mitigates first-order fire 658 659 effects (Key et al., 2006; Zhu et al., 2006). This affects the magnitude of change, the 660 variability and the index performance of what is actually measured. For our Mediterranean study area, correlation with field data, dNBR variability and optimality were clearly higher 661 for an IA than for an EA. Additionally, optimality was the highest three to four weeks post-662 fire, and not immediately post-fire. In other ecosystems, however, EAs trended better with 663 field data (Zhu et al., 2006). The NBR was originally developed for the use in temperate and 664 boreal ecosystems (Key and Benson, 2005; Eidenshink et al., 2007; French et al., 2008 among 665 666 them), which are characterized by a relative slow recovery (Cuevas-Gonzalez et al., 2009). For these ecoregions it is plausible that lag timing not significantly alters the information 667 content of an assessment. The lag timing of assessment in quickly recovering ecosystems, 668 however, determines how post-fire effects are measured. Fire severity is estimated with better 669

contrast and higher reliability, while first-order effects are obscured by regeneration processes
when assessing burn severity. This incites caution for the use of the NBR for assessing burn
severity in quickly recovering ecosystems.

Of course bi-temporal Landsat assessments are limited by the infrequent image availability 673 (Ju and Roy, 2009). Moreover, whether or not ecosystem responses are included in the study 674 675 makes an important ecological difference and depends on the goals of the project. Within these limitations, however, one should be aware of the temporal dimension of the remote 676 sensing of post-fire effects. In this context, we urge for a transparent and consistent use of 677 terminology as presented in figure 1. In this we follow Lentile et al. (2006) who suggested a 678 substantial difference between the terms fire and burn severity. From a remote sensing point 679 680 of view, our results support this important difference and question the recommendation of Keeley (2009) to treat both terms as mutually interchangeable. Both terms assess the direct 681 fire impact but only burn severity includes ecosystem responses. 682

683 6 Conclusions

The goal of this paper was to elaborate on the temporal dimension of dNBR fire/burn severity 684 studies. In this context fire severity was defined as the degree of environmental change caused 685 by fire as measured immediately post-fire, whereas burn severity combines the direct fire 686 impact and ecosystem responses. The study made use of field, TM and MODIS data. An IA 687 and EA were calculated based on pre-/post-fire differenced TM imagery. Additionally a 688 MODIS dNBR time series was generated by using the control pixel selection procedure. This 689 690 procedure uses the time series similarity concept to assign a unique control pixel to each burned pixel, which allows differencing within the same image. The large 2007 Peloponnese 691 692 (Greece) wildfires were chosen as case study.

Results showed a clearly better correlation with field data for the IA than for the EA. In 693 addition, the magnitude, variability and optimality of the dNBR were better early post-fire 694 than one-year post-fire. Moreover, the highest index optimality was reached three to four 695 weeks post-fire. In quickly recovering ecosystems, thus, regeneration processes mitigate first-696 order fire effects, which can obscure burn severity estimations. This demonstrates the 697 influence of the lag timing of an assessment. Results also revealed that land cover specific 698 intra-annual variations influence to a high degree dNBR and optimality outcomes. For 699 700 example in the Mediterranean, favorable hydro-thermic conditions during spring enhance the productivity of herbaceous species in the burned areas. This, however, makes the dNBR 701 unsuitable to measure fire-effects during this period. As such, an appropriate seasonal timing 702 of an assessment is of paramount importance to minimize false trends. Although these 703 findings are specific to our case study, similar temporal constraints can be expected in other 704 ecoregions. Our findings urge, within the limitations of available Landsat imagery, for 705 awareness of the temporal dimension in the remote sensing of post-fire effects. In this context, 706 707 we also propose clarification in associated terminology.

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 (Sioux Falls, SD), pp. 1-36



954 Figure 1. Schematic representation of post-fire effects terminology.



Figure 2. Location of the study area (MODIS daily surface reflectance MOD09GA FCC 01/09/2007 RGB-721,
 UTM 34S WGS84). Blue crosses indicate the field plot distribution (section 2.2), while red crosses show the

965 locations of the training samples used in the land cover classification (section 3.1).



972 Figure 3. Ombrothermic diagram of the Kalamata (Peloponnese, Greece) meteorological station (37°4'1" N
973 22°1'1" E) 1956-1997 (Hellenic National Meteorological Service, www.hnms.gr).



Figure 4. Mean temporal profile (± sd) of (A) shrub land, (B) coniferous forest, (C) olive groves and (D)
deciduous forest training samples used in the pre-fire land cover classification.



981 Figure 5. Example pre/post-fire trajectory of a pixel in the MIR-NIR feature space. A pixel displaces from 982 unburned (U) to burned (B). O resembles the position of an optimally sensed burned pixel. The dNBR is 983 sensitive to the displacement |UO| and insensitive to the displacement |OB|.



Figure 6. Pre-fire land cover map obtained after performing a maximum likelihood classification on a MODISNBR time series of the pre-fire year 2006 (temporal profiles of training samples are given in figure 4).



997

998Figure 7. Median dissimilarity D of the 500 sample pixels in function of varying number of control pixels and999window size for (A) a pre-fire year and for (B) a post-fire year. For the post-fire year, the same control pixels1000setting as in the pre-fire year is preserved. The grayscale reflects the temporal similarity, while the white areas in1001the upper-left corner represent impossible combinations (number of control pixels > 8, for 3×3 window size).





Figure 8. Time series of (A) mean NBR of control and focal pixels, (B) mean dNBR and (C) mean optimality
(C) shrub land pixels before the fire event. The vertical bars indicate the sd.



1005

Figure 9. Time series of (A) mean NBR of control and focal pixels, (B) mean dNBR and (C) mean optimality(C) coniferous forest pixels before the fire event. The vertical bars indicate the sd.





Figure 10. Time series of (A) mean NBR of control and focal pixels, (B) mean dNBR and (C) mean optimality(C) olive groves pixels before the fire event. The vertical bars indicate the sd.



Figure 11. Time series of (A) mean NBR of control and focal pixels, (B) mean dNBR and (C) mean optimality
 (C) deciduous forest pixels before the fire event. The vertical bars indicate the sd.

Stratum	Burn severity scale						
	No effect	Low		Moderate		High	
	0	0.5	1	1.5	2	2.5	3
Substrates				FCOV			
Litter (l)/light fuel	0 %		50 % 1		100 % 1	> 80 % lf	98 % lf
(lf) consumed							
duff	0 %		Light char		50 %		Consumed
Medium/heavy fuel	0 %		20 %		40 %		> 60 %
Soil & rock	0 %		10 %		40 %		> 80 %
cover/color							
Herbs, low shrubs and	l trees less the	an 1 m		FCOV			
% Foliage altered	0 %		30 %		80 %	95 %	100 %
Frequency % living	100 %		90 %		50 %	< 20 %	0 %
New sprouts	Abundant		Moderate-		Moderate		Low-none
			high				
				FGOU			
Tall shrubs and trees	l to 5 m		• • • •	FCOV			
% Foliage altered	0%		20 %		60-90 %	> 95 %	branch loss
Frequency % living	100 %		90 %		30 %	< 15 %	< 1 %
LAI change %	0 %		15 %		70 %	90 %	100 %
Intermediate trees 5 to 20 m				FCOV			
% Green (unaltered)	100 %		80 %		40 %	< 10 %	none
% Black/brown	0 %		20 %		60-90 %	> 95 %	branch loss
Frequency % living	100 %		90 %		30 %	< 15 %	< 1 %
LAI change %	0 %		15 %		70 %	90 %	100 %
Char height	none		1.5 m		2.8 m		> 5 m
0							
Big trees >20 m				FCOV			
% Green (unaltered)	100 %		80 %		50 %	< 10 %	none
% Black/brown	0 %		20 %		60-90 %	> 95 %	branch loss
Frequency % living	100 %		90 %		30 %	< 15 %	< 1 %
LAI change %	0 %		15 %		70 %	90 %	100 %
Char height	none		1.8 m		4 m		> 7 m

1016 Table 1. GeoCBI criteria used to estimate fire/burn severity in the field (after De Santis and Chuvieco 2009).

1018 Table 2. Error matrix of the pre-fire land cover map (accuracy verified based on 150 reference points)

		Reference data				User's accuracy
		S	Ο	D	С	
Classified data	S	47	5	1	10	0.75
	0	3	9	1	6	0.47
	D	1	0	13	0	0.93
	С	12	0	1	41	0.76
Producer's accuracy		0.75	0.64	0.81	0.72 0.73	
					Kappa	0.60

¹⁰¹⁹ 1020 1021

Table 3. Descriptive dNBR and optimality statistics of the TM and MODIS IA and EA

	IM		MODIS	
	IA	EA	IA	EA
Mean dNBR (\pm sd)	0.56 (0.29)	0.29 (0.19)	0.44 (0.19)	0.21 (0.14)
Mean optimality (\pm sd)	0.65 (0.25)	0.47 (0.29)	0.68 (0.24)	0.50 (0.30)

¹⁰¹⁷

1022Table 4. Linear regression results between on the one hand GeoCBI field data and dNBR_{TM}, on the other1023between downsampled dNBR_{TM} and dNBR_{MODIS} in both IA and EA schemes (n = 150, p<0.001).</td>

	1	INTO D ID		
Model form		a (\pm sd)	$b(\pm sd)$	\mathbb{R}^2
$GeoCBI = a \times dN$	$BR_{TM,IA} + b$	0.649 (0.033)	1.455 (0.019)	0.72
$GeoCBI = a \times dN$	$BR_{TM,EA} + b$	0.767 (0.056)	1.508 (0.018)	0.56
$dNBR_{TM,IA} = a \times d$	$dNBR_{MODIS,IA} + b$	0.067 (0.037)	0.804 (0.069)	0.59
$dNBR_{TM,EA} = a \times$	$dNBR_{MODIS,EA} + b$	0.035 (0.022)	0.730 (0.082)	0.45