

**On the function and origin of the avian renal portal shunt and its potential significance throughout evolution**

Buyse Kobe<sup>1,\*</sup>, Koen Stein<sup>2</sup>, Ward De Spiegelare<sup>3</sup>, Pieter Cornillie<sup>3</sup>, Marcus Clauss<sup>4</sup> and Geert P.J. Janssens<sup>1</sup>

<sup>1</sup>*Department of Veterinary and Biosciences, Faculty of Veterinary Medicine, Ghent University, Heidestraat 19, 9820 Merelbeke, Belgium*

<sup>2</sup>*Archaeology Environmental Changes and Geochemistry, Vrije Universiteit Brussel, 1000 Brussels, Belgium*

<sup>3</sup>*Laboratory of Morphology, Faculty of Veterinary Medicine, Ghent University, Salisburylaan 133, 9820 Merelbeke, Belgium*

<sup>4</sup>*Clinic for Zoo Animals, Exotic Pets and Wildlife, Vetsuisse Faculty, University of Zurich, Winterthurerstr. 260, 8057 Zurich, Switzerland*

\* Author for correspondence (E-mail: Kobe.buyse@ugent.be; Tel.: +32479763315).

**ABSTRACT**

All birds possess a unique venous architecture surrounding the kidneys known as the renal portal system. In veterinary medicine, this system is well known for causing a first-pass effect when medication is administered parenterally *via* the leg veins, i.e. venous blood from the leg is filtered before entering general circulation thus possibly compromising adequate dosage. Additionally, bilateral valves are present in these veins, and it has been hypothesized that they play a crucial role in regulating flow through the kidneys to protect them against increases in

blood pressure. While this hypothesis has been acknowledged, it has not been thoroughly explored. We propose that the function of the renal portal valve extends beyond its significance for kidney function, potentially impacting general hemodynamics. Examining anatomical similarities with extant non-avian reptiles, which lack the renal portal shunt with valve, could reveal additional functionalities of this system in birds. Given the endothermic metabolism and the energetically expensive locomotor activity of birds, the resistance of the hepatic and renal portal system might constrain the blood flow from splanchnic to non-splanchnic blood vessels necessary for (sustained) peak performance. Therefore, diverting blood from the renal portal system using the renal portal valve as a regulatory structure might represent a key adaptation to facilitate sustained peak performance. In addition, we hypothesize that this shunt and valve represents a very early adaptation in amniotes, possibly lost in extant non-avian reptiles but enhanced in birds, with a pivotal role in maintaining hemodynamic homeostasis to support the high metabolic rates characteristic of birds.

*Key words:* avian, renal portal system, function, evolution, comparative anatomy.

## CONTENTS

### I. Introduction

### II. The renal portal system and its functionality

(1) Gross functional anatomy of the avian kidneys

(2) Arterial pressure as the main driving force of glomerular filtration but not uric acid excretion

(3) The renal portal system as a solution to decreased arterial blood flow

(4) Studies on the functionality of the renal portal system

### III. The possible extended function of the renal portal shunt in avian hemodynamics

50	(1) The portal valve during steady-state rest
51	(2) Take off and active flight
52	(3) Running and swimming birds
53	(4) Other considerations
54	IV. The renal portal shunt and the valve in an evolutionary perspective
55	(1) Similarities during embryological development
56	(2) Metabolism in early amniotes and cursorial dinosaurs
57	(3) Extant non-avian reptiles without a renal portal shunt
58	(4) The addition of a valve in the renal portal shunt
59	V. Conclusions
60	VI. References
61	VII. Acknowledgements

## **I. INTRODUCTION**

Experts in veterinary pharmacology have considered the avian renal portal system as an important structure, due to the so-called ‘first-pass effect’ when medication is administered *via* the hind limbs. In some circumstances, venous blood from the tail and legs passes through capillaries of the liver or kidneys, and hence blood constituents can be metabolized or waste products removed before this blood enters the general circulation (Coutant, Vergneau-Grosset & Langlois, 2018). It is in this practical context that the renal portal system has been studied extensively. Based on existing evidence, it has been hypothesized that the renal portal system protects the kidney against both pressure overloads and hypoperfusion (Wideman, 1988; Mirabella, Esposito & Pelagalli, 1996). Moreover, due to active secretion of uric acid from the portal veins, this system ensures the elimination of nitrogenous waste when glomerular

filtration of arterial blood is reduced. Lastly, the renal portal circulation possesses a one-way valve in all birds investigated so far, yet its specific function is rarely stated in the literature. The renal portal valve has been considered to regulate blood flow into the kidneys and thus to protect the renal portal system against high pressures (Mirabella *et al.*, 1996). In this review, we propose an expanded hypothesis for the function of the avian renal portal valve. We suggest that the valve not only represents a local adaptation relevant for the kidney, but also is an adaptation that may be able to regulate general whole-body hemodynamics. We first compare birds with crocodiles; these taxa share a common kidney type, the metanephros, as well as a similar renal portal system (Holz, 1999). We then place the function of the renal portal system into the broader context of avian flight. The latter is reasonably well understood (Morrison *et al.*, 2018), but knowledge of cardiovascular physiology during exercise is still limited (Butler, 2016). We propose an evolutionary scenario wherein the renal portal valve evolved as an adaptation to high metabolic activity. Our hypothesis can contribute to theories on the evolutionary transition from ectothermy to tachymetabolic endothermy.

## **II. THE RENAL PORTAL SYSTEM AND ITS FUNCTIONALITY**

### **(1) Gross functional anatomy of the avian kidneys**

To explore the blood flow pattern in the abdomen of birds, an understanding of the architecture and functionality of the avian kidneys and their similarities with those of reptiles is essential. In contrast to the cortical and juxtaglomerular nephron types in mammals, all of which have a loop of Henle, avian kidneys comprise two types of functional units: so-called ‘mammalian-like’ nephrons and ‘reptilian-like’ nephrons. The mammalian-like nephrons are located in medullary cones (Fig. 1). They are structurally similar to mammalian nephrons in that they contain a loop resembling the mammalian loop of Henle, enabling them to create a

concentration gradient in the medullary cones that promotes the reabsorption of water. Unlike in mammals, the concentration gradient is created by NaCl instead of urea. This enables birds to concentrate urine independently of the presence of nitrogen (Wideman, 1988). The ‘reptilian-like’ nephrons resemble the nephrons of alligators (*Alligator mississippiensis*) (Moore *et al.*, 2009) and crocodiles (*Crocodylus acutus*) (Davis & Schmidt-Nielsen, 1967), amongst others. They are located in the cortical regions of the kidneys, and are more numerous than mammalian-like nephrons. Reptilian-like nephrons lack a loop of Henle and are less able to participate in creating a concentration gradient. Birds in environments with excess water have larger cortex regions with presumably more reptilian-like nephrons, whereas birds in arid environments have kidneys with more medullary mass containing the mammalian-like nephrons (McNabb, 1969; Casotti & Richardson, 1992; Casotti & Braun, 1996; Peña-Villalobos, Valdés-Ferranty & Sabat, 2013; Rezaei *et al.*, 2019). Therefore, the ratio of mammalian- and reptilian-like nephrons may affect the overall concentrating ability of the avian kidneys.

#### **(1) Arterial pressure as the main driving force of glomerular filtration but not uric acid excretion**

All avian nephrons and reptilian nephrons are characterized by a crude glomerular filter. This crude sieve allows larger molecules, such as proteins, to appear in the primary urinary filtrate and aid the suspension of uric acid (Casotti & Braun, 1996, 2004). In this crude glomerular sieve, the main driving force of glomerular filtration is the difference in hydrostatic pressure in the efferent arterioles because there is a negligible difference in osmotic pressure between plasma and the primary urinary filtrate. This is in contrast to mammals, in which both hydrostatic and osmotic pressure regulate filtration, as explained by the Starling principle (Michel, Woodcock & Curry, 2020). Indeed, birds regulate arterial blood flow to the kidney

in response to water scarcity and thereby control glomerular filtration rate. Avian arterioles in the kidney cortex, i.e. those serving the reptilian-like nephrons, are sensitive to arginine vasotocin. Levels of this hormone typically increase during dehydration, eliciting arterial vasoconstriction, and thus limiting glomerular filtration and urine production, which results in water retention (Goldstein, 2006; Orosz & Echols, 2020).

The main nitrogenous waste product to be filtered is uric acid; however, uric acid can also be excreted by the renal tubuli. This enables birds and reptiles not only to filter out but also actively to excrete nitrogenous waste products, thus disconnecting uric acid excretion from water excretion. Therefore, even in water-deprived conditions and with limited glomerular filtration, birds and reptiles can eliminate nitrogenous waste products from the blood (Schmidt-Nielsen & Davis, 1968; Ventura *et al.*, 1989).

## **(2) The renal portal system as a solution to decreased arterial blood flow**

Water excretion in the kidney relies mainly on arterial blood flow, which could pose perfusion problems in arid conditions: if the arterial blood supply to the kidneys ceased in order to save water, this would compromise nutrient deliver and waste product removal to and from the kidneys (Orosz & Echols, 2020). In order for the reptilian-like nephrons to function properly, a mechanism is needed to sustain kidney cortex tissue perfusion if arterial blood supply is limited.

To address this limitation, the reptilian-like nephrons are nested in a capillary network supplied by portal veins. A portal vein system is defined as blood coming from a capillary bed that flows into another capillary bed without first passing through the heart.

How the portal blood flow is related to the nephrons is represented in Fig. 1. The kidney lobules are fed by arteries (a in Fig. 1) that form the glomerular filters (e in Fig. 1) ending in a sinusoid capillary network that resides in the cortical region (c in Fig. 1). This network is fed

by drainage from the portal veins (14 in Fig. 2B) coming in from the periphery of each lobule (*Vena interlobularis*) (b in Fig. 1). All blood in this pool eventually drains into the centre of the lobule in the *Vena intralobularis* (d in Fig. 1) leading to the renal vein (13 in Fig. 2B) (Wideman, Braun & Anderson, 1981).

The gross anatomy of the avian renal portal system is well known (Sperber, 1949; Akester, 1964, 1967). Blood originating from the portal veins can enter different kidney divisions. Akester (1964) first hypothesized a bidirectional blood flow in the *Vena mesenterica caudalis* (coccygeomesenterial vein) (17 in Fig. 2B), later documenting that the flow in the renal portal veins indeed can change direction (Akester, 1967). Odland (1978) reported the same observation. Both authors determined that the direction of blood flow in the portal veins and the influx of portal blood into the kidneys can change rapidly in a bird, but they did not determine the underlying physiological mechanisms (Akester, 1967; Odland, 1978).

Kidney function tests showed that during reduced arterial pressure, such as in water-deprived birds, portal blood sustains kidney perfusion to the *Vas capillare sinusoidale* within the kidney lobule (c in Fig. 1) (Wideman & Gregg, 1988; Wideman, 1991; Glahn *et al.*, 1993).

Dantzler (1989) suggested that a renal portal system was essential to sustain blood flow in the kidney to prevent ischemic necrosis of the kidney tubuli.

#### **(4) Studies on the functionality of the renal portal system**

One major dissimilarity between the gross anatomy of birds and crocodilians (Fig. 2) is the presence of a shunt with a valve between the portal veins and the major circulation (Miehle & Bauer, 1993; Holz, 1999). This renal portal valve is located in a vein segment between the *Vena portalis caudalis* (14 in Fig. 2) and the *Vena renalis caudalis* (13 in Fig. 2) called the *Vena iliaca communis* (18 in Fig. 2). In this review, we will refer to the latter as the renal portal shunt and its renal portal valve. Although only studied in few avian species, the valve

175 shows a similar architecture and location among different bird species. In chickens (*Gallus*  
176 *gallus domesticus*) (Akester, 1964), penguins (Sphenisciformes) (Oelofsen, 1973), ducks  
177 (Mirabella *et al.*, 1996) and Cape vultures (*Gyps coprotheres*) (Havenga *et al.*, 2020), the  
178 valve is cone-shaped and has a round orifice facing the *Vena cava caudalis*. In Palaeognathae  
179 such as ostriches (*Struthio camelus*), this valve has three openings (Carvalho *et al.*, 2007).  
180 The absence of the renal portal shunt with a valve in crocodilians means that all blood  
181 originating from the hind limbs and tail is directed to the portal system of the liver or to the  
182 portal system of the kidneys (Gans & Harris, 1964). By contrast, the presence of a portal  
183 shunt, with a valve that can control the flow through this shunt, makes the avian circulation  
184 more flexible. It was first hypothesized that birds could open and close the renal portal valve,  
185 reversing blood flow in the *V. mesenterica caudalis* (Akester, 1964), enabling blood from the  
186 intestines to be directly shunted into the general circulation without passing through the liver.  
187 Nevertheless, the extent and functional relevance of these traits remained poorly understood  
188 (Akester, 1967; Bell & Freeman, 1971). Sturkie, Dirner & Gister (1978) argued that the  
189 importance of the renal portal valve was overemphasized and that it had little functional  
190 relevance. Yet, the renal portal valve in chickens and penguins contains smooth muscle fibres  
191 and densely packed nerve bundles described as autonomic nerves (Gilbert, 1961; Oelofsen,  
192 1973), and the valve relaxed in response to noradrenaline/adrenaline and contracts when  
193 exposed to acetylcholine (Rennick & Gandia, 1954; Akester & Mann, 1969; Bennett &  
194 Malmfors, 1975; Sturkie *et al.* 1978; Burrows, Braun & Duckles, 1983; Palmore &  
195 Ackerman, 1985). A fast closing speed and large resistance to flow when the valve was closed  
196 was noted in response to applied pressure (Akester & Mann, 1969), and there was a high  
197 pressure difference across the valve (Mirabella *et al.*, 1996). It has been suggested by others  
198 that the valve appears to be a complex structure that plays an important role in avian  
199 hemodynamics (Burrows *et al.*, 1983).



Akester (1967) questioned whether shunting of blood between the portal systems reflected a change in metabolic status or whether it represented an anatomical relic without physiological significance, with the valve opening and closing in an arbitrary fashion. Most authors have concluded that this shunt and valve function as a flow-and-pressure regulator to protect the kidney against extreme pressures (Mirabella *et al.*, 1996; Braun, 2015).

No definitive evidence has been found to show that portal blood perfusion of the kidney is dependent on the functional status of the valve, but it is rather independent of intralobular arterial vasoconstriction and water balance (Akester, 1967; Dantzler, 1989). It is possible that the kidneys of both birds and reptiles partly autoregulate portal flow by increasing or decreasing arterial pressure in the kidney. Consequently, in comparison to the non-avian reptilian system, it is likely that the addition of a valve to one of the major blood vessels will have a crucial role in avian hemodynamics (Rennick & Gandia, 1954).

### **III. THE POSSIBLE EXTENDED FUNCTION OF THE RENAL PORTAL SHUNT IN AVIAN HEMODYNAMICS**

The shunt with its valve could also affect the general venous blood flow. Although this may seem obvious, there are few reports on this functionality. In contrast to other extant sauropsids, birds are active, endothermic animals with a largely aerobic metabolism. This would require fundamentally different hemodynamics, including an increased blood flow, especially during periods of activity. We therefore postulate that the valve functions not only as a safety mechanism to prevent pressure overload in the kidney, but also facilitates a higher venous return and therefore a higher cardiac output at times of increased activity.

As in mammals, the circulatory system of birds could be explained by a two-compartmental model as defined by Krogh (1912). The model in mammals consists of splanchnic vessels, i.e. vasculature of the stomach, intestines, liver, spleen and other abdominal organs, and non-

splanchnic vessels. In contrast to non-splanchnic blood flow, the splanchnic venous system is compliant, with a slow blood flow, and acts as a reservoir. At the onset of exercise, the arterial blood flow to the splanchnic tissues is reduced, and subsequently pressure and volume in the splanchnic veins decreases, resulting in a redistribution of blood towards the non-splanchnic circulation and increasing non-splanchnic venous return. Therefore, the contraction of splanchnic arterioles regulates the volume of blood currently in non-splanchnic circulation. This mechanism is reinforced by concerted contraction of the splanchnic veins due to their sensitivity to sympathetic stimulation. This is accompanied by dilatation of the arterioles in active muscles which increases the mean circulatory filling pressure and the non-splanchnic venous return (Gelman, Warner & Warner, 2008). In contrast to mammals, where the splanchnic compartment drains solely *via* the portal system of the liver into the general circulation, birds likely have additional drainage of their splanchnic veins into the renal portal system *via* the coccygeomesenterial vein (17 in Fig. 2B).

The avian body plan consists of different muscle groups called moduli (Gatesy & Dial, 1996). Running is mainly performed by the pelvic modulus, whilst flying makes use of pectoral and caudal moduli. In different bird species these muscle moduli are used to various degrees, depending on the main type of locomotory behaviour (Heers & Dial, 2015). To illustrate the role of the renal portal shunt and the renal portal valve on general hemodynamics, we consider three avian activity types, requiring different combinations of moduli: complete rest, take-off and active flight, and running or swimming.

#### **(1) The portal valve during steady-state rest**

During rest, the pectoral modulus at the front of the body is inactive, implying that these muscles consume little energy and require low levels of blood perfusion (Ellerby *et al.*, 2005). Arterial blood is, in this situation, most likely directed towards the intestinal organs to

maximize digestion and nutrient absorption (Fig. 3A). Considering two extreme states of the renal portal valve, closed or completely open, a closed valve would prevent the flow of venous blood into the general circulation and direct it *via* the *Vena mesenterica caudalis* to the mesenteric veins to facilitate metabolization of its nutrients in the liver. By contrast, if the renal portal valve was open, we hypothesize that a fraction of venous blood originating from the mesenteric veins will take the path of least resistance *via* the *Vena mesenterica caudalis* through the renal portal shunt into the general circulation, which would limit the first-pass effect in the liver (Coutant *et al.*, 2018). Thus, this situation would result in blood from the intestines passing into the general circulation and through the non-selective arterial glomerular filter in the kidneys, with the possible loss of absorbed nutrients such as proteins in the urine. In other words, a lack of nutrient assimilation in the liver and a loss of nutrients *via* renal excretion represent theoretical negative consequences of an open valve in a resting situation. Experimental evidence has shown that this valve can withstand high pressures from the build-up of blood in the compliant abdominal vein system (Akester & Mann, 1969). The vein most likely to serve as an abdominal capacitance vessel is the *Vena mesenterica caudalis* (17 in Fig. 2B). This vein drains little tissue, yet is a large autonomously enervated vein connecting the caudal part of the kidney portal system to the mesenteric veins close to the liver hilus (Maher, 2019). The situation with a closed valve resembles the blood flow of extant reptiles filtering all blood from the hind body and intestines through either the portal system of the kidney or liver without first entering the general circulation. In this case, the splanchnic compartment according to Krogh (1912) consists of the stomach, intestines, liver, spleen, kidneys, hindlimbs and tail, as all these systems are connected with and feed into the capacitance vessels; after passing through either the renal or the hepatic portal system the blood enters the general circulation (Fig. 3A).

## **(2) Take off and active flight**

During take-off or active flight, muscle perfusion in the pectoral modulus has to increase to provide these groups with oxygen and nutrients, especially in the energy-consuming initial stages of flight (Peters, 2005; Biewener, 2011; Sakamoto *et al.*, 2013, Butler 2016). Consequently, blood vessels dilate in this muscle modulus and require a higher volume of blood perfusion (Fig. 3B). Flight muscles are densely packed with capillaries to accommodate high perfusion rates (Turner & Butler, 1988; Lundgren & Kiessling, 1988).

During the onset of activity most aerobic animals relocate blood pools in order to perfuse their muscles. In reaction to the onset of exercise, mammals recruit additional blood *via* contraction of the arterioles of the liver, spleen and skin vessels that act as reservoirs of venous blood (Krogh, 1912; Gelman *et al.*, 2008; Rothe, 2011). In birds, casts of the venous system and radiographs (Akester, 1964; Oelofsen, 1973) show that significant amounts of blood can be located in abdominal capacitance veins such as the *Vena mesenterica caudalis* during rest. These veins can contract under sympathetic tonus, facilitating redirection of their blood towards active muscles (Gelman *et al.*, 2008). Large veins in chickens, such as the *Vena mesenterica caudalis*, are well enervated with adrenergic receptors and contain smooth muscle fibres (Bennett & Malmfors, 1970; Bennet, 1974). However, the blood in these veins would still have to pass through the hepatic portal system, where the liver capillaries will exert resistance to passage of blood. In mammals, this is attenuated by dilating these veins by activating  $\beta$ -adrenergic receptors (Green, 1977). However, in birds, redirection of blood from the capacitance veins to active muscles might be more effectively facilitated by opening the renal portal valve and thus activating the renal portal shunt. Because of the large number of nerve endings around subendothelial cells in the valve, Mirabella *et al.* (1996) hypothesized that the valve contains sensory elements and therefore regulation could either originate locally or from baroreceptors in the carotid sinuses and the aortic arch in response to decreased

pressure at these sites. Sympathetic tonus and release of noradrenaline would relax the renal portal valve (Rennick & Gandia, 1954; Akester & Mann, 1969; Bennett & Malmfors, 1975; Sturkie *et al.*, 1978; Burrows *et al.*, 1983; Palmore and Ackerman, 1985). Moreover, it was observed in isolated vein segments and valves of chickens that the iliac veins contract in response to the same stimulus that makes the valve relax (Burrows *et al.*, 1983). The combination of dilation of arterioles in active muscles, increased resistance in splanchnic arterioles, contracting capacitance veins and opening of the renal portal valve could improve the venous return, hence elevating cardiac output during the onset and duration of sustained activity. During the onset of flight, cardiac output increases 7.2-fold (Peters, 2005). In soaring flyers like vultures (*Gyps fulvus* and *Gyps himalayensis*), it was noted that heart rate increases only in the initial stage of flight (Duriez *et al.*, 2014). However, it is likely that during sustained periods of flapping flight, such as during migration, requirements for an increased cardiac output might extend to longer time periods, making the renal portal shunt particularly valuable. Despite the scarcity of studies on venous return in avian subjects, a response of increased venous return to increase cardiac output is widely adopted across vertebrates (Joyce & Wang, 2021). However, the role of the renal portal shunt in facilitating venous return remains to be quantified.

### **(3) Running and swimming birds**

Flight is just part of the locomotory spectrum of birds. Many birds rely on running or swimming compared to flying (Gatesy & Dial, 1996). Running and swimming using the legs, in contrast to swimming using wings (like penguins), make use of other muscle moduli and require different blood flow patterns compared to flight. As in the flight muscles of airborne birds, the arterioles in the leg muscles of cursorial birds dilate, causing higher perfusion of these muscles (Ellerby *et al.*, 2005). This leads to a different situation from flying: during

flight, the legs are part of the splanchnic compartment according to Krogh (1912); during running, they will be part of the non-splanchnic compartment. Opening the renal portal valve would not only facilitate the drainage of the capacitance vessels, but would also be essential to direct venous blood from these muscles directly to the heart. The blood returns from the legs into the caudal portal vein *via* the *Vena ischiadica* and the *Vena iliaca externa* (19 and 6, respectively in Fig. 2B).

#### **(4) Other considerations**

Rest and onset of flight cover the extremes of the spectrum of avian activity. This is a dynamic system in which the renal portal valve can react flexibly to varying demands of blood flow allocation. The amount of blood that is recruited from the portal veins to perfuse flight muscles will depend on the pressure sensed in the general circulation. At the same time, this mechanism must ensure basal portal perfusion of the liver and kidney even during high activity. Flight is a metabolically demanding process and water intake is limited during long periods of flight. While the filtration rate caused by arteriolar perfusion did not significantly change during flight, tubular fractional water resorption due to portal perfusion became more important (Gerson & Guglielmo, 2013). Therefore, maintaining the portal circulation during long flights will be advantageous to maintain kidney function, reabsorb water and/or for efficient removal of nitrogenous waste products.

## **IV. THE RENAL PORTAL SHUNT AND THE VALVE IN AN EVOLUTIONARY PERSPECTIVE**

### **(1) Similarities during embryological development**

Above, we pointed out the similarities between the kidney and the central venous architecture of birds and reptiles. The main structure that makes birds unique among extant vertebrates

with a portal system is the renal portal shunt between the hind limb circulatory system and the front of the body. While it may be advantageous to shunt blood from the hind body to the general circulation during peak activity, it remains unclear how this renal portal shunt evolved. The vein segment containing the renal portal valve is referred to as the *Vena iliaca communis* (Baumel, 1993), commonly known as the renal portal shunt. As this segment is in alignment with the *Vena iliaca externa* (6 in Fig. 2B), it could potentially be seen as its extension. However, we hypothesize that this small shunt may have other origins. During embryological development, many mammals have an abdominal vascular arrangement similar to that of adult reptiles and birds (Fig. 2C). The anatomical similarities between the arrangement in birds and 8-week-old human embryos suggest that the renal portal shunt could have the same origin as the human sub-supracardinal anastomosis (Huntington & McClure, 1920). If this is the case, this renal portal shunt may have been present in early amniotes. Early ancestors of both Sauropsida and Synapsida could have had a similar abdominal vascular architecture. The last common ancestor of mammals, reptiles and birds dates back to the early Amniota, around 312 million years ago (Benton, 1990; Laurin & Reisz, 1995). This group separated from amphibian reptiliomorphs by evolving, amongst other adaptations, a different type of kidney, the metanephros, and with it a different vasculature.

## **(2) Metabolism in early amniotes and cursorial dinosaurs**

In an extensive review, Grigg *et al.* (2022) postulated that tachymetabolic endothermia could be older than the divergence between Sauropsida and Synapsida, thus questioning the paradigm of parallel evolution of endothermy in birds and mammals. Endothermy may therefore be an early synapomorphy, subsequently lost in extant reptiles. Early archosaurian reptiles evolved the capacity for running and potentially a higher metabolic rate (Persons & Currie, 2017). The erect position of the leg bones and development of the *Musculo*

375 *caudofemoralis* complex removes Carrier's constraint, i.e. the constraint to run and breathe  
376 simultaneously due to lateral position of the limbs and sideways flexing of the body. Its  
377 removal is believed to aid in prolonged activity and a higher metabolic rate (Carrier, 1987;  
378 Persons & Currie, 2017). The removal of Carrier's constraint and prolonged activity enabled  
379 early dinosaurs to evolve bipedality, as this further removed the constraint of front limbs  
380 whilst running (Persons & Currie, 2017). Recent work suggests that some dinosaurs and their  
381 archosaur ancestors had elevated basal metabolic rates, and were capable of retaining heat  
382 (Desmond, 1976; Grady *et al.*, 2014; Legendre *et al.*, 2016). Endothermy in theropods was  
383 suggested based on locomotory dynamics (Pontzer, Allen & Hutchinson, 2009), similarities of  
384 bone histology with extant endothermic species, and the presence of feathers (Benton, 2014.  
385 A high cardiac output and high mean arterial pressure in dinosaurs also has been proposed,  
386 based on the size of the foramen nutritium in dinosaur bones (Seymour, 2016). One could  
387 suggest, therefore, that these adaptations in osteology and subsequently musculature would  
388 also lead to adaptations in the cardiovascular system to fuel increased performance. The portal  
389 systems of the liver and kidneys would potentially limit cardiac performance, as venous return  
390 would be impaired if dinosaurs had a venous architecture like today's reptiles. Therefore, in  
391 cursorial dinosaurs, it is plausible that a sub-supracardinal anastomosis present in embryos  
392 would be retained into adult life to form a renal portal shunt similar to that seen in extant  
393 birds. This would enable improved venous return from exercising muscles of the hind limbs  
394 and tail to the *Vena cava caudalis* and therefore a greater cardiac output (Fig. 4). Blood from  
395 the legs and tail could be directed to this renal portal shunt by a vein segment similar to the  
396 hindlimb anastomosis in reptiles (10 in Fig. 2A). Modern avian species could have inherited  
397 this venous arrangement, although anatomical adaptations, such as reduction of the heavy tail  
398 (Rashid *et al.*, 2014), led to further changes to the venous system in extant birds.

399



### **(3) Extant non-avian reptiles without a renal portal shunt**

In modern reptiles, a direct renal portal shunt is absent, with venous return from the hind limbs and intestines only entering the general circulation by means of the two capillary beds in the kidneys and liver (Gans & Harris, 1964; Holz, 1999). Terrestrial ectothermic animals have a slower metabolism and a musculoskeletal arrangement that relies on anaerobic metabolism, and therefore can not support a highly intensive cursorial lifestyle (Carrier, 1987). The low cardiac output of these animals enables this system to function well without a shunt and with continuous portal circulation. An increased cardiac output requiring a higher venous return would be difficult to achieve, as blood must pass through the capillary portal systems of the kidneys and the liver (Berlin & Bakker, 2014).

There is evidence that the ancestors of modern-day crocodiles were once mesothermic animals that moved in a fully erect quadrupedal position (Reilly & Elias, 1998; Seymour *et al.*, 2004). Crocodiles then evolved secondary ectothermy as an adaptation to a lifestyle with a slow metabolism and seasonal fluctuations in climate and food availability (Pough, 1980; Grigg *et al.*, 2022). This implies that the early ancestors of crocodilians may also have possessed a renal portal shunt to sustain high venous return, which was lost together with other changes in their cardiovascular system when they became secondarily ectothermic (Grigg *et al.*, 2022). Ectothermic metabolism requires a low cardiac output, and separation of the hind body circulation maximized the continuous use of the portal circulatory systems of the liver and kidneys. This hypothesis could be tested with comparative studies of the ontogeny of vein systems in reptile and avian embryos.

### **(4) The addition of a valve in the renal portal shunt**

The renal portal valve has, to our knowledge, a similar morphology in all avian species except paleognath birds. In paleognaths, this valve is reported as having three orifices instead of one

425 as in other birds (Carvalho *et al.*, 2007). A recent study used tinamous (Tinamidae) to  
426 investigate the role of the cardiovascular system in the evolution of flight and supported the  
427 ‘ground up’ paradigm, i.e. that flight evolved in running rather climbing ancestors (Altimiras  
428 *et al.*, 2017). Despite the different anatomy of the renal portal valve in paleognaths and the  
429 dissimilar metabolic demands between running and flying based on the legs being part of the  
430 splanchnic compartment in birds (Grubb, Jorgensen & Conner, 1983), it is likely that both  
431 forms of exercise would require a functional valve, and that this valve was retained during the  
432 evolution of flight.

433 One report tentatively mentions a putative valve in a turtle, the red-eared slider (*Trachemis*  
434 *scripta elegans*) (Holz *et al.*, 1997). However, this valve is found at a different location (in the  
435 abdominal veins), mainly consists of connective tissue, and would only direct venous blood  
436 into either the portal system of the liver or the portal system of the kidneys, but would not  
437 facilitate shunting to the non-splanchnic venous circulation.

438 Modern avian species evolved from cursorial archosaurs into highly active animals with  
439 arboreal and aerial lifestyles requiring high metabolic rates. Consequently, a higher basal  
440 cardiac output, stroke volume and heart mass was needed to sustain this lifestyle (Dzialowski  
441 & Crossley, 2015). High activity requires a high input of nutrients, water conservation and, in  
442 cases of long flight bouts, the recovery of metabolites. The presence of a renal portal valve in  
443 the renal portal shunt renders this system versatile, facilitating the recruitment of blood when  
444 necessary, yet directing intestinal blood to the liver to metabolize and recover nutrients when  
445 possible. It is unknown whether the renal portal valve was already present in the early  
446 ancestors of birds. We speculate that among other adaptations, this valve may have been  
447 advantageous and could have evolved into a highly intricate structure when bipedal dinosaurs  
448 began to use their front legs for activities such as climbing or gliding, to protect portal flow to  
449 the liver and kidneys while enabling higher cardiac output when needed.

450

## 451 **V. CONCLUSIONS**

452 (1) Modern birds have many anatomical and physiological similarities to extant non-avian  
453 reptiles. Yet, birds have one major structure that is absent in the latter, the renal portal shunt  
454 with a renal portal valve.

455 (2) It has long been stated that this shunt regulates portal flow in the kidney and protects the  
456 kidneys against increases in venous pressure. However, based on the similarities between  
457 birds and reptiles in kidney anatomy and the surrounding venous architecture, we hypothesize  
458 that the renal portal shunt could have other functions. A renal portal shunt could improve  
459 venous return and cardiac output during increased activity.

460 (3) A closed shunt, directing blood to the liver and kidneys, would be advantageous in  
461 recovering and metabolizing nutrients and filtering waste products originating from the  
462 intestines and hind limbs as occurs in reptiles. An open shunt would allow blood to bypass  
463 these systems and enter the general circulation. Hence, the presence of a renal portal valve to  
464 regulate the flow between systems depending on metabolic status makes the overall  
465 circulatory system more versatile. Recent ideas on endothermy in early amniotes and  
466 cursoriality and mesothermy in dinosaurs lead us to hypothesize that a high cardiac output  
467 was needed early in amniote evolution and therefore a direct venous link between the hind  
468 body and general circulation was advantageous. If early amniotes were indeed endothermic,  
469 we propose that an anastomosis between the portal system and the general circulation could  
470 have persisted, eventually being lost in extant reptiles.

471 (4) This hypothesis provides new insights into the importance of the renal portal valve as a  
472 vital structure in bird metabolism, rather than simply functioning as a pressure valve to  
473 regulate renal portal flow. The renal portal valve enables birds to sustain a high cardiac output  
474 similar to that of mammals, whilst profiting from nutrient-recovery portal systems similar to

those of reptiles and amphibians. Measurements of venous return in birds at the onset of flight or during sustained flapping flight would clarify the importance of blood flow through the renal portal shunt.

(5) Comparative studies on the ontogenesis of blood vessels in birds and reptiles could provide further insights and illuminate the early development of this structure in both clades.

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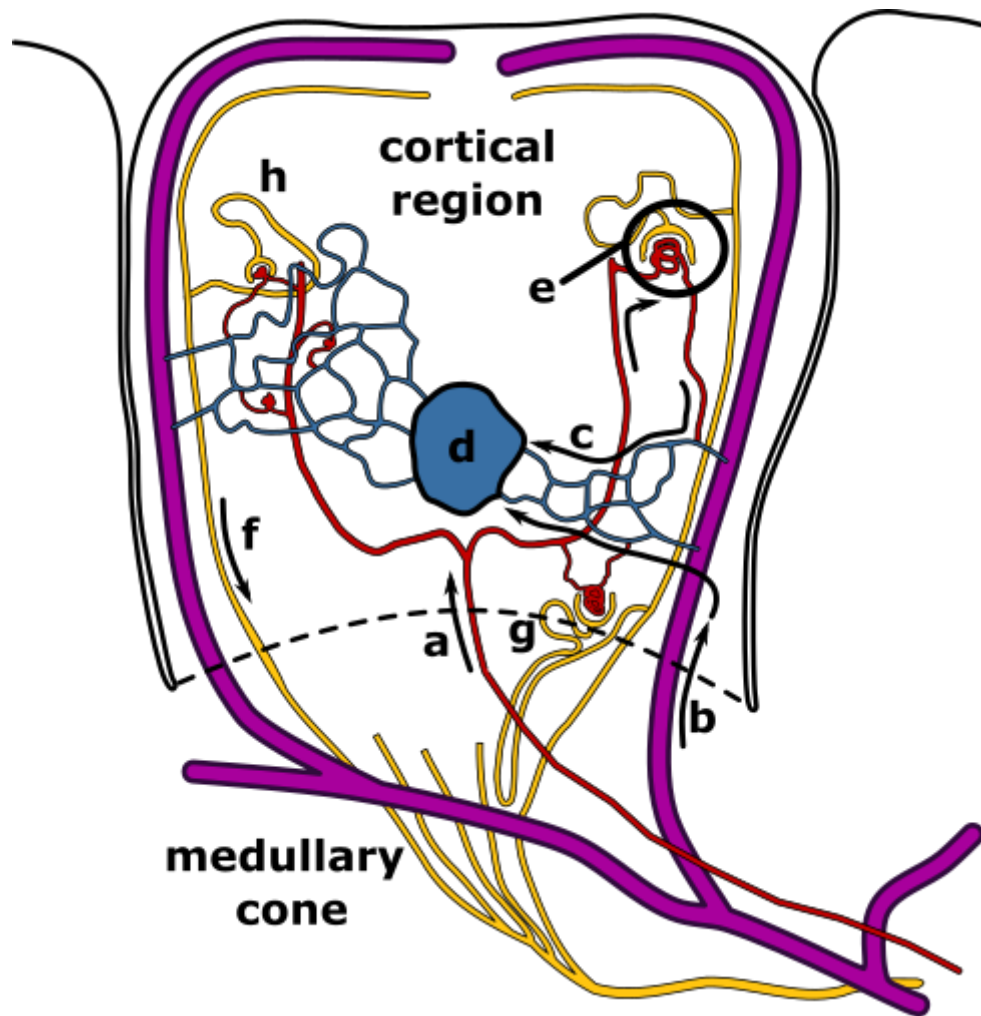
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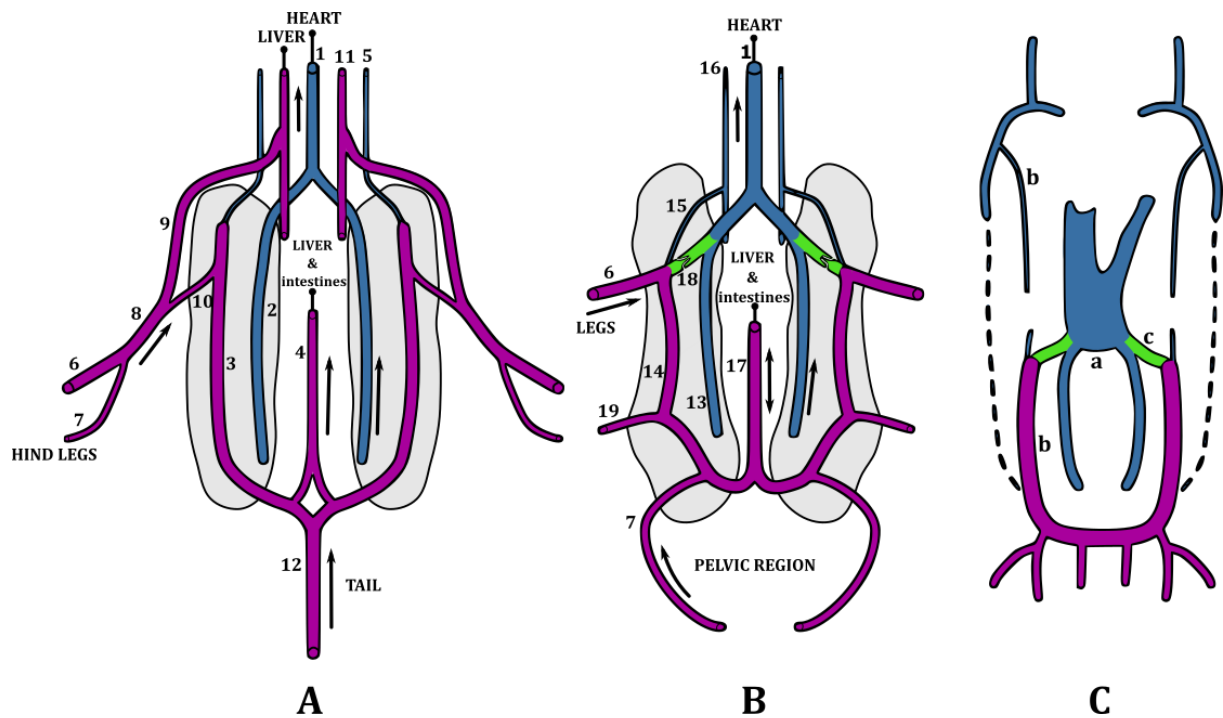
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687  
688 **VII. ACKNOWLEDGEMENTS**

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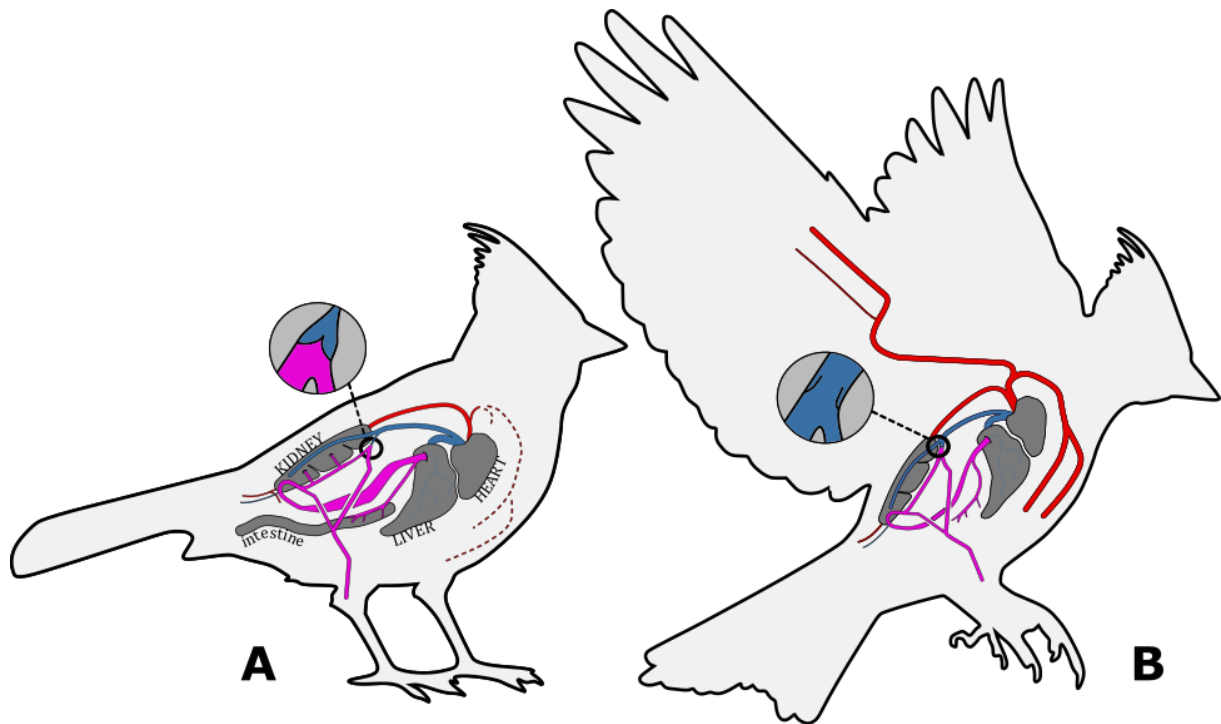
**Fig. 1.** Schematic representation of the architecture of the avian renal lobe (adapted from Koenig *et al.*, 2016). a, *Arteria renalis* (arterial blood); b, *Vena interlobularis* (portal blood); c, *Vas capillare sinusoideum*; d, *Vena intralobularis* draining into the *Vena renalis*; e, glomerulus; f, urinary tubule; g, mammalian-like nephron; h, reptilian-like nephron. Arrows indicate direction of blood flow and urinary flow.



**Fig. 2.** (A) Arrangement of the veins surrounding the kidneys of Crocodilia (adapted from Holz, 1999). (B) Arrangement of the veins surrounding the kidneys of birds (adapted from Baumel, 1993). (C) Venous post-cardiac layout of the 8-week-old human embryo (adapted from Arey, 1974). 1, *Vena cava caudalis*; 2, *Vena renalis*; 3, *Vena portalis*; 4, *Vena mesenterialis*; 5, *Vena vertebralis*; 6, *Vena iliaca externa*; 7, *Vena iliaca interna*; 8, *Vena iliaca communis*; 9, pelvic vein; 10, hindlimb anastomosis; 11, bilateral abdominal vein; 12, *Vena caudalis*; 13, *Vena renalis caudalis*; 14, *Vena portalis caudalis*; 15, *Vena portalis cranialis*; 16, *Sinus venosus vertebralis*; 17, *Vena mesenterica caudalis* (*Vena coccygeomesenterica*); 18, *Vena iliaca communis* (renal portal shunt) with renal portal valve; 19, *Vena ichiadica*; a, subcardinal veins; b, supracardinal veins; c, sub-supracardinal anastomosis. Arrows indicate direction of blood flow. In crocodiles (A), blood from the hind legs (6,7) flows towards the abdominal vein (11) and subsequently to the liver or towards the renal portal system (3). In birds (B), from the legs (6,19) flows towards the renal portal system (14) and can be shunted to the caudal renal vein (13). In both species, blood from the tail (12) and pelvic region (7) flows towards the renal portal system. In crocodiles (A), the

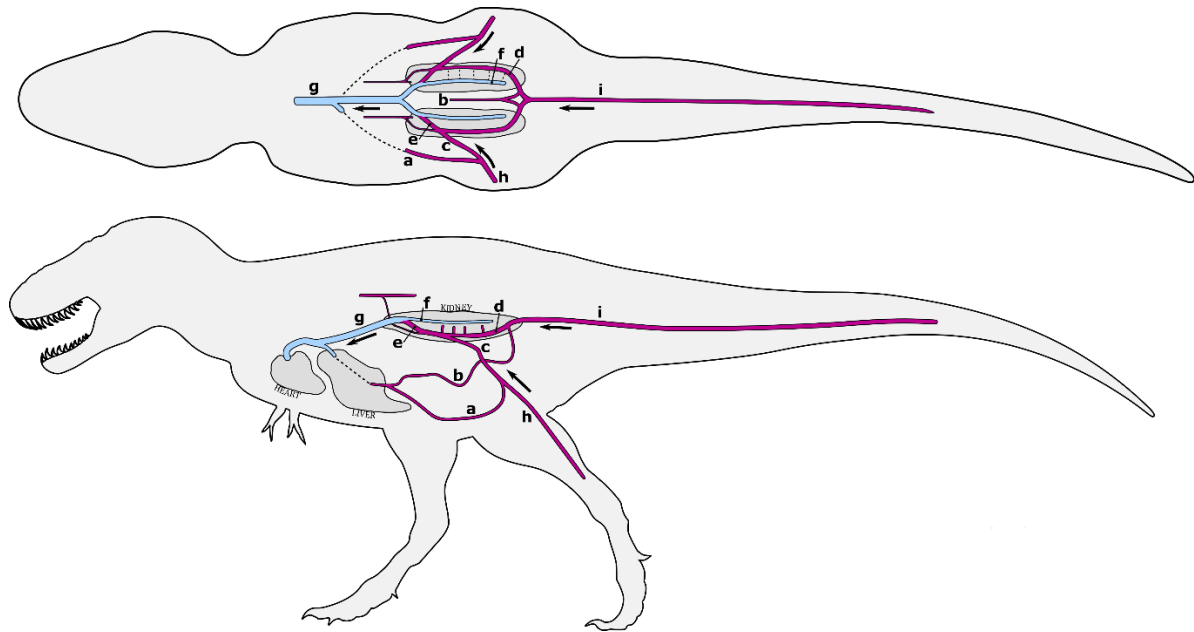
717 renal portal system can also drain towards the liver and intestines (4); this can also occur in  
718 birds (17) although flow may be bidirectional. Blood originating from the kidneys *via* the  
719 renal veins (2 in A; 13 in B) drains towards the heart (1).

720



**Fig. 3.** A semi-anatomical representation of the redistribution of blood between a resting bird (A) and a bird at the onset of flight (B). The anterior resting muscle groups require minimal perfusion at rest (red dashed lines) but need higher perfusion rates during flight (red solid lines). Blood that is drained from the tail, hind limbs and abdominal organs resides in the abdominal capacitance veins behind a closed central valve in a resting bird (purple). This blood can either enter the portal circulation of the kidney or the portal circulation of the liver before entering the general circulation (blue). The blood in the abdominal veins (purple vein between intestines and kidneys) can be recruited to perfuse the flight muscle by opening the valve and contracting the abdominal veins.





**Fig. 4.** Hypothetical anatomy of the vein system in theropods. This scheme is an adaptation of the basic non-avian reptile venous plan with the addition of a renal portal shunt. During running, leg and tail muscles require higher perfusion, necessitating a higher cardiac output with an adequate venous return. To aid this, blood could be directed directly to the heart from the hind body circulation (purple) to the general circulation (blue) without passing first through the portal capillaries of the kidney or liver. Arrows indicate blood flow from the legs (h) and tail (i) towards the portal vein (d), then shunted by the renal portal shunt (e) into the general circulation (g). a, abdominal veins; b, mesenteric vein; c, limb anastomosis; d, portal vein; e, renal portal shunt; f, renal vein; g, vena cava caudalis; h, iliac vein; i, caudal vein.