

# Paleoceanography and Paleoclimatology®



## RESEARCH ARTICLE

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### Key Points:

- No change in stable hydrogen isotopes of surface waters during the Middle Miocene Climate Transition period based on long chain alkenones
- Bottom seawater isotopes based on corrected oxygen isotopes of benthic foraminifera also show no long-term change during the MMCT
- Miocene isotope events are reflected by only small  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  changes

### Supporting Information:

Supporting Information may be found in the online version of this article.

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## Stable Middle Miocene Seawater Isotopes in the Eastern North Atlantic Ocean

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**Abstract** The Middle Miocene is characterized by a long-term increase in the stable oxygen isotopic composition of benthic foraminifera ( $\delta^{18}\text{O}_{\text{benthic}}$ ). However, it is unclear to what extent this increase reflects changes in seawater isotopic composition or deep water temperature. We present a high-resolution alkenone hydrogen isotope ( $\delta^2\text{H}_{\text{C37}}$ ) record of the Middle Miocene from a core taken at the upper slope edge (about 409 m water depth) of the Porcupine Basin continental margin in the eastern North Atlantic Ocean, Site U1318 of the Integrated Ocean Drilling Program. The  $\delta^2\text{H}_{\text{C37}}$  values vary between  $-174$  and  $-200\text{‰}$  with an average of  $-191 \pm 5\text{‰}$ , similar to modern open-ocean values. Importantly, they do not show a long-term increase in surface seawater isotopes ( $\delta^2\text{H}_{\text{SSW}}$ ) during the Middle Miocene Climate Transition. Indeed, when  $\delta^{18}\text{O}_{\text{benthic}}$  is corrected for subsurface temperature, the bottom seawater oxygen isotopes ( $\delta^{18}\text{O}_{\text{BSW}}$ ) show no significant increase in this period. When the latter record is translated into the hydrogen isotopic composition of bottom seawater using the modern open-ocean waterline, it has an average value of  $5.8 \pm 1.5\text{‰}$ , similar to the  $\delta^2\text{H}_{\text{SSW}}$  of  $5.2 \pm 3.1\text{‰}$  derived from  $\delta^2\text{H}_{\text{C37:2}}$ , suggesting a relatively small difference between bottom and surface waters. Our results suggest a stable global surface seawater isotope evolution during the Middle Miocene, coupled with a long-term decrease in bottom water temperature.

## 1. Introduction

The Miocene epoch (23.03–5.33 million years; Cohen et al., 2013) is a globally warm period compared to present day, with  $\text{CO}_2$  concentrations varying from pre-industrial to two times higher than at present (Goldner et al., 2014). Most prominent are the Middle Miocene Climate Optimum (MMCO; 16.9–14.7 Ma) and Middle Miocene Climate Transition (MMCT; 14.7–13.8 Ma) where geological, faunal and floral evidence suggest an Antarctic ice sheet retreat and expansion, respectively (e.g., Fielding et al., 2011; Hauptvogel & Passchier, 2012; Levy et al., 2016; Passchier et al., 2011; Pierce et al., 2017; Sangiorgi et al., 2018; Warny et al., 2009). These periods are associated with changes in  $\text{CO}_2$  concentrations (Badger et al., 2013; Greenop et al., 2014; Kürschner et al., 2008; Sosdian et al., 2018; Super et al., 2018; Zhang et al., 2013) and characterized by long-term changes in the benthic foraminifera oxygen isotopes ( $\delta^{18}\text{O}_{\text{benthic}}$ ) (Cramer et al., 2009; Mudelsee et al., 2014; Zachos et al., 2008). Furthermore, the period is also characterized by so-called Miocene oxygen isotope excursions events (Mi-events) (Miller et al., 1991), globally observed short-lived (ca. 100 kyrs) changes in  $\delta^{18}\text{O}_{\text{benthic}}$  (Cramer et al., 2009; Mudelsee et al., 2014), likely representing a decrease in deep-water temperature and/or seawater isotopic composition changes caused by cryosphere expansion and associated with sea-level variations of tens of meters (John et al., 2011; Levy et al., 2019; Miller et al., 2020; Shevenell et al., 2004, 2008). However, our understanding of ice volume estimates and long-term climate change during this period largely builds on the oxygen isotopic composition of benthic foraminifera (Miller et al., 2020; Westerhold et al., 2020) a proxy which reflects not only the isotopic composition but also the temperature of seawater (e.g., Savin et al., 1975; Shackleton, 1974). Deep ocean temperature can potentially be constrained by Mg/Ca or carbonate clumped isotopes of foraminiferal shells (Billups & Schrag, 2003; Elderfield et al., 2012; Hou et al., 2023; Lear et al., 2000; Modestou et al., 2020; S. Sosdian & Rosenthal, 2009). Modestou et al. (2020) measured Mg/Ca and  $\Delta_{47}$  on the same Miocene foraminifera and observed good agreement between the two temperature estimates. Their  $\Delta_{47}$  temperature change of approximately  $2.9^\circ\text{C}$  recorded over the MMCT would result in a bottom seawater oxygen isotope ( $\delta^{18}\text{O}_{\text{BSW}}$ ) change of ca.  $0.6\text{‰}$ . The  $\Delta_{47}$  results from Hou et al. (2023) show that Middle Miocene bottom water temperature (BWT) dropped by ca.  $5^\circ\text{C}$  in the Southern Ocean during the MMCT and their calculations indicate that  $\delta^{18}\text{O}_{\text{BSW}}$  was constant over this time, suggesting ice volume was stable. Furthermore, absolute  $\delta^{18}\text{O}_{\text{BSW}}$  values were close to the modern seawater isotopic composition despite the much warmer global climate.

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This contrasts with earlier estimates of a smaller temperature change during the MMCT and therefore a clear increase in  $\delta^{18}\text{O}_{\text{SW}}$  and ice volume (e.g., Billups & Schrag, 2003; Lear et al., 2000, 2015; Shevenell et al., 2008). Thus, it is not entirely clear how the seawater isotopic compositions evolved over the Middle Miocene due to the different corrections and temperature proxies used to reconstruct bottom water oxygen isotope records from  $\delta^{18}\text{O}$  benthic foraminiferal records.

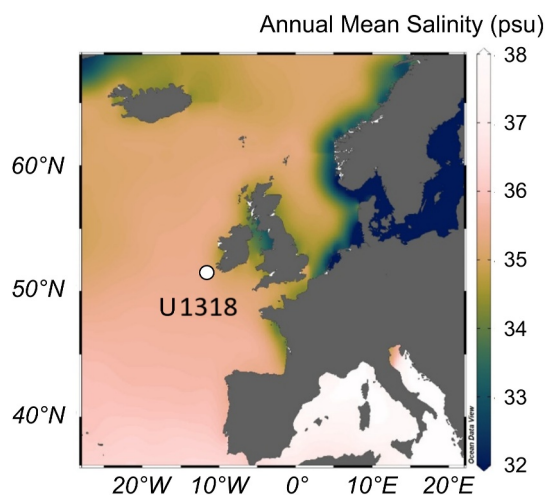
Another potential proxy for the isotopic composition of seawater is based on the hydrogen isotopic composition of long chain alkenones ( $\delta^2\text{H}_{\text{C}_{37}}$ ), produced by haptophyte algae. Culture studies show that hydrogen isotopic fractionation of phototrophic organisms depends on, amongst others, the hydrogen isotopic composition of growth water and salinity (M'Boule et al., 2014; Sachs et al., 2016; Schouten et al., 2006; Weiss et al., 2017; Zhang et al., 2009; Zhang & Sachs, 2007). Gould et al. (2019), based on open-ocean suspended particulate organic matter (SPOM), and Mitsunaga et al. (2022), based on core top sediments, show a statistically identical relationship between  $\delta^2\text{H}_{\text{C}_{37}}$  and the hydrogen isotopic composition of surface water ( $\delta^2\text{H}_{\text{SSW}}$ ). This suggests that in the natural environment, the influence of factors such as temperature, salinity, species composition (e.g., Chivall et al., 2014; M'Boule et al., 2014), as well as light and nutrient availability (Sachs et al., 2017; van der Meer et al., 2015) on stable hydrogen isotope fractionation during biosynthesis might be less important than the hydrogen isotopic composition of seawater. Hättig et al. (2023) used these calibrations to reconstruct  $\delta^2\text{H}_{\text{SSW}}$  for the last glacial maximum and found that  $\delta^2\text{H}$  ratios of alkenones are a reproducible paleo-proxy for relative changes in seawater hydrogen isotope composition and that alkenone  $\delta^2\text{H}$  values fit with other isotope records. Therefore, the hydrogen isotopic composition of alkenones has the potential to produce hydrogen isotope records of surface seawater, independent of temperature.

Here we present a hydrogen isotope record of the  $\text{C}_{37:2}$  alkenone ( $\delta^2\text{H}_{\text{C}_{37:2}}$ ) spanning most of the Middle Miocene from 16.60 Ma till 12.75 Ma from a shelf site (Site U1318, ~400 m water depth) in the Porcupine Basin, in the eastern North Atlantic, and compare it to the local benthic foraminiferal  $\delta^{18}\text{O}$  record previously published by Quaijtaal et al. (2018). The latter record showed the clear impact of the MMCT by a substantial increase in benthic  $\delta^{18}\text{O}$  values of 1‰ in line with the global benthic stack (Westerhold et al., 2020). Furthermore, the Porcupine Basin foraminiferal stable isotope record shows imprints of some Mi-events (Quaijtaal et al., 2018). We reconstructed the oxygen isotopic composition of bottom waters by correcting the  $\delta^{18}\text{O}_{\text{benthic}}$  record for subsurface temperature using  $\text{TEX}_{86}$  and compared this to the hydrogen isotopic composition of surface seawater reconstructed based on the  $\delta^2\text{H}_{\text{C}_{37:2}}$  record. Our results shed new light on the evolution of seawater isotopic compositions in the eastern North Atlantic during the Middle Miocene.

## 2. Materials and Methods

### 2.1. Geographic Setting

The Integrated Ocean Drilling Program (IODP) drilling site U1318 is located at coordinates 51°26.16'N, 11°33.0'W, with a water depth of 409 m (Expedition 307 Scientists, 2006). The paleolatitude during the Middle Miocene (~15 Ma) was ~47°N (Van Hinsbergen et al., 2015) at a similar water depth as today (Ryan et al., 2009), situated on the upper slope edge of the continental margin within the Porcupine Seabight (Figure 1). The seabight represents a failed rift system that originated during the Middle to Late Jurassic period when the North Atlantic Ocean was being formed. During the Middle Miocene epoch (16–11.7 million years; Cohen et al., 2013), the British Isles were still connected to continental Europe, with no connection to the North Sea (Gibbard & Lewin, 2003). The Porcupine Basin is filled with approximately 12 km of sedimentary deposits ranging from the Late Paleozoic era to the Quaternary period (Ryan et al., 2009). These sediments primarily originate from the Irish and Celtic shelves (Rice et al., 1991). Present-day surface water temperatures (SST) at Site U1318 show a seasonal variation, ranging from ca. 10°C during winter to around 16°C during summer (Locarnini et al., 2018). However, at depths below ca. 50 m, the water temperature remains constant throughout the year at approximately 11°C (Locarnini et al., 2018; Sangiorgi et al., 2018). The core location and Porcupine bank is under the influence of the Continental Slope Current (CSC). The CSC transports Eastern North Atlantic Water via the North Atlantic Current (NAC) to the Norwegian Sea (Raddatz et al., 2011). The present-day annual mean salinity of the surface layer (0–50 m) at the core location area is 34.9–35.5 psu (Zweng et al., 2018).



**Figure 1.** Map of sea surface salinity data from Zweng et al. (2018) using a scientific color map from Crameri (2023) showing the sediment core location. U1318 core was drilled in the Porcupine Basin which is at the Northeast Atlantic shelf.

## 2.2. Age Model

To target the Middle Miocene, samples were collected from Site U1318 Hole B (cores 10H–14H and 17X–27X) and Hole C (cores 7H and 8X–10X, Expedition 307 Scientists, 2006) between 92.4 and 247.5 m composite depth (mcd). The age model for this depth interval is based on integrated bio-, isotope- and magnetostratigraphy, as presented in Quaijtaal et al. (2018). Two hundred forty-five (245) samples were previously analysed for  $U_{37}^K$  and  $TEX_{86}$  proxies (Sangiorgi et al., 2021) from which 145 samples had sufficient material for stable oxygen and carbon isotopes analysis of the benthic foraminifera *Uvigerina* sp. and *Cibicidoides pachyderma* (Quaijtaal et al., 2018). The Middle Miocene samples consist mainly of greenish-gray clay with total organic carbon content ranging between 0.27% and 0.70% (Sangiorgi et al., 2021). The samples between 92.4 and 247.5 mcd cover the age interval 12.75–16.60 Ma with an average time resolution of 25 kyrs for stable carbon and oxygen isotope analysis and 17 kyrs for organic geochemistry. To increase the resolution of the organic geochemistry and hydrogen isotope record we extracted 25 additional samples between 98.05 and 230.42 mbsf following the extraction and fractionation procedures of Sangiorgi et al. (2021). The average time resolution for the extended organic geochemistry record is 14 and 31 kyrs for the hydrogen isotope record.

## 2.3. Long-Chain Alkenones and GDGT Analysis

Alkenones and glycerol dialkyl glycerol tetraethers (GDGTs) were extracted and analysed as previously described by Sangiorgi et al. (2021). Furthermore, we re-analysed all GDGT fractions with improved analytical methodology (Hopmans et al., 2016) using an ultra-high performance liquid chromatography/mass spectrometry (UHPLC/MS) on an Agilent 1,260 Infinity HPLC 230 coupled to Agilent 6130 MSD using two silica BEH HILIC columns (2.1 mm × 150 mm, 1.7 μm 232 thickness) connected in series and maintained at 25°C. A solvent gradient of hexane/isopropanol (9:1, v/v) (solvent A) and hexane (solvent B) was used starting with 18% of solvent A and 82% of solvent B at a constant flow rate of 0.2 ml/min. The GDGTs were eluted isocratically for 25 min and thereafter solvent A increased in a linear gradient to 30% in 25 min and to 100% of solvent A in the following 30 min. GDGTs were detected in Selective Ion Monitoring (SIM) mode for protonated GDGT molecules  $[M+H]^+$ . The  $TEX_{86}$  index was calculated after Schouten et al. (2002) and the calibration against the average subsurface temperature between 0 and 200 m (subT) is from Kim et al. (2012) (Equations 1 and 2) with a standard error of  $\pm 2.2^\circ\text{C}$ .

$$TEX_{86}^H = \log (TEX_{86}) \quad (1)$$

$$\text{subT} = 54.7 * TEX_{86}^H + 30.7 \quad (2)$$

The alkenones of the ketone fractions of the 25 additional samples taken in the study were measured using an Agilent 6890°N gas chromatograph coupled to a flame ionization detector (GC-FID), equipped with a CP Sil-5 fused silica capillary column (50 m × 0.32 mm, 0.12 μm thickness), to determine the quality of the fraction for further isotope analysis and to calculate the  $U_{37}^K$  values (Equation 3) according to Prahl & Wakeham (1987). The  $U_{37}^K$ -based SSTs were calculated with the global core-top calibration of Müller et al. (1998) (Equation 4).

$$U_{37}^K = \frac{[C_{37:2}]}{[C_{37:2}] + [C_{37:3}]} \quad (3)$$

$$\text{SST} = \frac{U_{37}^K - 0.044}{0.033} \quad (4)$$

Hydrogen isotope ratios of alkenones of 124 fractions (99 from the original sample set of Sangiorgi et al. (2021) and 25 additional samples) were measured in duplicate using a gas chromatograph coupled to a Thermo Delta V

isotope ratio mass spectrometer via high-temperature conversion reactor (Isolink I) and Conflo IV. The GC was equipped with an RTX–200 60 m column according to Weiss et al. (2019) and samples were injected manually. We report the  $\delta^2\text{H}$  ratio of alkenone  $\text{C}_{37:2}$  determined by manual peak integration.  $\text{C}_{37:2}$  appears as the main alkenone peak, while  $\text{C}_{37:3}$  and  $\text{C}_{38}$  alkenone peaks are in lower relative abundance and most of the time below minimal intensity for the isotope ratio integration. We report the  $\delta^2\text{H}_{\text{C}_{37:2}}$  value as average value of the replicate analysis together with the standard deviation. Standard deviations for  $\delta^2\text{H}$  ratios represent the reproducibility between replicate analytical runs, and generally fall within the 3‰ precision window for the Thermo Scientific Delta V. The analytical error of the  $\delta^2\text{H}_{\text{C}_{37:2}}$  measurements is 2.4‰ based on the pooled standard error of 273  $\delta^2\text{H}_{\text{C}_{37:2}}$  measurement runs. The hydrogen isotope values for alkenones were standardized against pulses of  $\text{H}_2$  reference gas, which was injected three times at the beginning and two times at the end of each run. Daily, before running samples, the  $\text{H}_3^+$  factor was measured and the day-to-day variability was never more than 0.5 ppm/nA, and the performance and stability of the machine was monitored by measuring an *n*-alkane standard, Mix B (supplied by A. Schimmelmann, Indiana University). The *n*-alkanes mixture covers a  $\delta^2\text{H}$  range from approximately  $-9\text{‰}$  to  $-264\text{‰}$  and intensities ranging from 1,000 to 4,000 mV. Samples were only run when the average difference and standard deviation between online and certified values was less than 5‰. The average pooled standard deviation of the *n*-alkanes in 67 Mix B runs is 4.7‰. To further monitor the system performance squalene and  $\text{C}_{30}$  *n*-alkane were co-injected with each sample with measured values ranging from  $-161 \pm 11\text{‰}$  to  $-74 \pm 6\text{‰}$ . The offline predetermined values are  $-170 \pm 4\text{‰}$  for squalene and  $-79 \pm 5\text{‰}$  for  $\text{C}_{30}$  *n*-alkane. The larger standard deviations and offsets compared to offline values are due to compounds in ketone fractions of these samples that co-elute with the co-injected standards.

## 2.4. Calculation of Seawater Isotopes

For the calculation of  $\delta^2\text{H}_{\text{SSW}}$  from the hydrogen isotopic composition of  $\text{C}_{37:2}$  we applied the open-ocean relationship based on surface ocean suspended particulate organic material (SPOM) by Gould et al. (2019) (Data Set S2 in Supporting Information S1):

$$\delta^2\text{H}_{\text{C}_{37}} = 1.48 (\pm 0.4) \times \delta^2\text{H}_{\text{SSW}} - 199 (\pm 3) \text{ (RMSE} = 5.8\text{‰)} \quad (5)$$

We reconstructed the oxygen isotopic composition of the bottom seawater ( $\delta^{18}\text{O}_{\text{BSW}}$ ) from the benthic foraminifera  $\delta^{18}\text{O}$  data set published in Quaijtaal et al. (2018) which consists of  $\delta^{18}\text{O}$  values of *Cibicidoides pachyderma* and  $\delta^{18}\text{O}$  values of *Uvigerina sp* converted to *C. pachyderma*. For the temperature correction we used the updated and extended records of  $U_{37}^K$  and  $\text{TEX}_{86}^H$  (Data Set S1 in Supporting Information S1). We calculated the  $\delta^{18}\text{O}_{\text{BSW}}$  with the relationship described by Lynch-Stieglitz et al. (1999) as arranged by Cramer et al. (2011) (Equations 6 and 7). We report  $\delta^{18}\text{O}_{\text{BSW}}$  values in VSMOW with the accepted conversion value of 0.27‰ (VPDB to VSMOW) (Equations 6 and 7) (Cramer et al., 2011). The uncertainty in the parameters in this equation are poorly constrained and likely relatively small compared to the uncertainties in the temperature estimations. The pooled standard error (analytical error) of the  $\delta^{18}\text{O}_{\text{benthic}}$  analyses is 0.06‰.

$$t = 16.1 - 4.76 \times (\delta^{18}\text{O}_{\text{benthic}} - (\delta^{18}\text{O}_{\text{BSW}} - 0.27)) \quad (6)$$

Rearranged to  $\delta^{18}\text{O}_{\text{BSW}}$ :

$$\delta^{18}\text{O}_{\text{BSW}} = \frac{-16.1 + 4.76 \times \delta^{18}\text{O}_{\text{benthic}} + t}{4.76} + 0.27 \quad (7)$$

The modern open-ocean relationship between oxygen and hydrogen isotopes is described by Hättig et al. (2023) as the modern open-ocean waterline (MOOWL) and is based on the data sets of Gould et al. (2019), Rohling (2007), Srivastava et al. (2010) and Weiss et al. (2019) and the Water isotope Database (2022) managed by Dr. G. Bowen (University of Utah):

$$\delta^2\text{H}_{\text{SW}} = 6.58 \times \delta^{18}\text{O}_{\text{SW}} - 0.12 \text{ (RMSE} = 1.1\text{‰)} \quad (8)$$

Rearranged to  $\delta^{18}\text{O}_{\text{SW}}$ :



$$\delta^{18}\text{O}_{\text{SW}} = 0.152 \times \delta^2\text{H}_{\text{SW}} - 0.018 \text{ (RMSE} = 0.17\text{‰)} \quad (9)$$

For the error propagation we use the analytical error based on the pooled standard error of  $\delta^2\text{H}_{\text{C}_{37}}$  instead of the external standard Mix B as the latter comprises a different class of compounds (*n*-alkanes), with a much larger range of intensities and isotope values than the  $\text{C}_{37}$  alkenones explaining their larger SE. Furthermore, they elute at different backgrounds and column temperatures. The propagated error for reconstructing changes in  $\delta^2\text{H}_{\text{SSW}}$  is 3.4‰, resulting from the combination of the pooled standard error of  $\delta^2\text{H}_{\text{C}_{37}}$  and the error of the slope of Equation 5. The propagated error for absolute  $\delta^2\text{H}_{\text{SSW}}$  values is 6.3‰, resulting from the pooled standard error of  $\delta^2\text{H}_{\text{C}_{37}}$  and the root-mean-square error of Equation 5. The propagated error for absolute  $\delta^2\text{H}_{\text{BSW}}$  values and changes in  $\delta^2\text{H}_{\text{BSW}}$  is 1.2‰, resulting from the analytical error of oxygen isotopes, an uncertainty of 2.2°C in  $\text{TEX}_{86}$  subsurface temperature reconstruction and the error in the waterline calibration (Equation 8). The propagated error for  $\delta^{18}\text{O}_{\text{BSW}}$  is 0.46‰. Note that for Equation 6 no root-mean-square error is reported and thus the propagated error for  $\delta^{18}\text{O}_{\text{BSW}}$  and  $\delta^2\text{H}_{\text{BSW}}$  is likely underestimated.

### 3. Results and Discussion

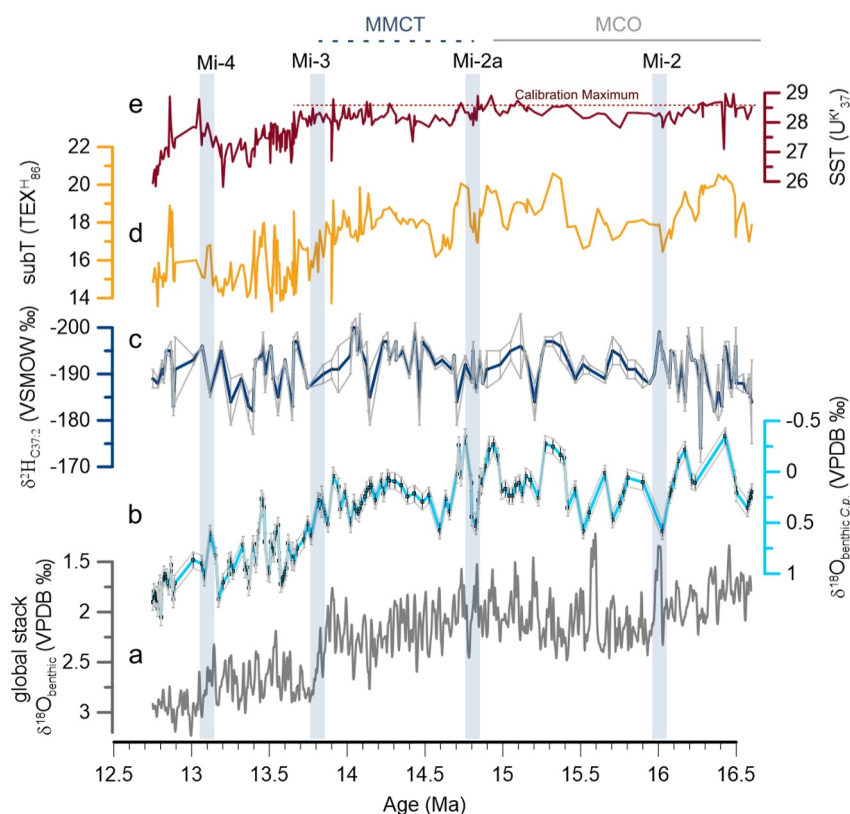
#### 3.1. Temperature Records

The reanalysis of GDGT fractions of IODP core U1318B using updated methodology (Hopmans et al., 2016) resulted in slightly shifted  $\text{TEX}_{86}^{\text{H}}$  values by on average  $-0.01$  compared to those published by Sangiorgi et al. (2021), with some values changing by up to 0.06 due to the better separation of GDGTs, especially the GDGT-2 peak (Figure S1b in Supporting Information S1). In contrast to Sangiorgi et al. (2021), we converted the  $\text{TEX}_{86}^{\text{H}}$  values to subsurface temperature (subT; the average of 0–200 m temperatures as defined by Kim et al., 2012) values as we aim to ultimately use the temperature estimates to correct the  $\delta^{18}\text{O}_{\text{benthic}}$  values (see below). The subT record varies between 13°C and 21°C (Figure 2) and shows the same cooling trends described by Sangiorgi et al. (2021) for the SST inferred from  $\text{TEX}_{86}^{\text{H}}$ . The recalculated BIT index is below 0.3 in all samples, in good agreement with Sangiorgi et al. (2021), suggesting no bias on  $\text{TEX}_{86}$  values by continental organic matter input (Figure S1b in Supporting Information S1). The GDGT-2/GDGT-3 ratio (Taylor et al., 2013) is relatively constant between 1.7 and 3.2, suggesting that GDGTs are consistently from shallow depths (Hurley et al., 2018; Kim et al., 2015; Taylor et al., 2013) as expected in this relatively shallow water location of ca. 409 m depth. Furthermore, Varma et al. (2023) showed that the  $\text{TEX}_{86}$  signal is mainly from depths shallower than 350 m. Finally, present day temperatures between 50 and approximately 500 m water depth are quite constant (Locarnini et al., 2018; Wienberg et al., 2020). Based on this, we use the 0–200 m calibration of Kim et al. (2012) to calculate subT, but in Figures S5 and S6 of Supporting Information S1 we also present an alternative temperature reconstruction using the 0–400 m calibration of Ho and Laepple (2016). The extended  $U_{37}^{\text{K}}$ -based SST record is on average 4–8°C higher than the subT estimated from  $\text{TEX}_{86}^{\text{H}}$  with temperatures varying between 25.8 and 28.9°C (Figure 2) and a cooling of ca. 3°C between 14.6 and 12.7 Ma. Thus the  $U_{37}^{\text{K}}$  reflects a similar temperature trend as  $\text{TEX}_{86}^{\text{H}}$ , but with a smaller amplitude, which is surprising as bottom water temperatures typically vary to a smaller degree than SST. This difference is likely due to the  $U_{37}^{\text{K}}$  reaching its maximum value of 1 (cf. Sangiorgi et al., 2021) and thus this proxy is unable to record SST higher than 29°C which may have been present during the first part of the Middle Miocene record.

#### 3.2. Evolution of Oxygen Isotopes of Bottom Water

The oxygen isotope ratio of benthic *Cibicidoides pachyderma* as published by Quaijtaal et al. (2018) follows the trend of the global benthic stack (Westerhold et al., 2020, CENOGRID). During the MMCO between 16.6 and 14.59 Ma, the  $\delta^{18}\text{O}_{\text{benthic}}$  signal varies between  $-0.35$  and  $0.58\text{‰}$  with an average value of  $0.12 \pm 0.23\text{‰}$ . During the MMCT from 14.59 to 12.75 Ma, values increase as high as  $1.43\text{‰}$ . This ca.  $1\text{‰}$  increase is similar to what is observed in the global  $\delta^{18}\text{O}_{\text{benthic}}$  stack record and has until recently been associated with ice volume increase (Billups & Schrag, 2002; Haq et al., 1987; Rohling et al., 2022). Recent studies, however, suggest a strong bottom water cooling explaining most of the increase in  $\delta^{18}\text{O}_{\text{benthic}}$ , and therefore little to no ice volume build up (Hou et al., 2023; Leutert et al., 2021; Meckler et al., 2022; Modestou et al., 2020).

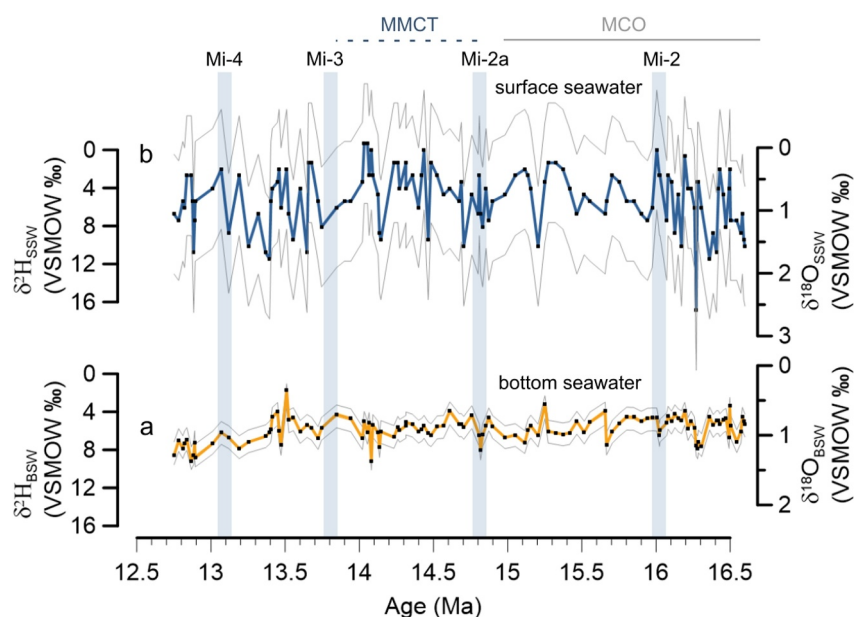
Several Mi-events were tentatively identified based on positive oxygen isotope excursions linked to magnetostratigraphy and palynology changes (Quaijtaal et al., 2014, 2018; Sangiorgi et al., 2021). In particular Mi-events



**Figure 2.** Middle Miocene multiproxy temperature and seawater isotope record, Site U1318. Globally recognized Miocene cooling events (Mi-events; Miller et al., 1991; Steinthorsdottir et al., 2021) are marked with blue bars and were identified by Quaijtaal et al. (2014) based on a sharp increase in  $\delta^{18}\text{O}_{\text{benthic}}$  in combination with palynology and magnetostratigraphy. (a) Global stack  $\delta^{18}\text{O}$  of benthic foraminifera (CENOGRID, Westerhold et al., 2020); (b) local oxygen isotope data of *Cibicidoides pachyderma* (C.p.) (Quaijtaal et al., 2018), error bars represent the standard deviation of duplicate isotope measurements; (c)  $\delta^2\text{H}$  of long-chain alkenones  $\text{C}_{37:2}$  (this study), error bars represent the standard deviation of duplicate isotope measurements; (d) revised subsurface temperature (subT) based on  $\text{TEX}_{86}^{\text{H}}$  index calculated with Kim et al. (2012) (this study); (e) surface temperature (SST) based on  $U_{37}'$  index (Sangiorgi et al., 2021). The age model is presented in Quaijtaal et al. (2018).

2, 2a and 3 are likely reflected in the local  $\delta^{18}\text{O}_{\text{benthic}}$  with positive excursions of ca. 0.8‰. The Mi-2a event (ca. 14.8 Ma) is linked to an eustatic sea level fall of ca. 30 m and a cooling of ca. 0.7°C in deep waters (John et al., 2011; Miller et al., 2020) and the Mi-3 event (13.8 Ma) is associated with cooling in the deep ocean of 1.2°C and ca. 50 m eustatic sea level fall (De Vleeschouwer et al., 2017; Miller et al., 2020) and a  $\text{pCO}_2$  decline from ca. 600 to 400–500 ppm (Sosdian & Lear, 2020). The global Mi-4 (Steinthorsdottir et al., 2021) is less pronounced in our local record with a small increase of ca. 0.3‰.

To reconstruct  $\delta^{18}\text{O}_{\text{BSW}}$  from benthic foraminifera we need to reconstruct bottom water temperatures (e.g., Hou et al., 2023; Lear et al., 2015; Modestou et al., 2020). Unfortunately, we were not able to do this using benthic foraminifera. However, the core location is at a rather shallow water depth of ca. 409 m with present day relatively small temperature differences between bottom waters and subsurface (0–200 m) waters of 1–3°C (Figure S2 in Supporting Information S1, Locarnini et al., 2018; Sangiorgi et al., 2021). Therefore, we corrected  $\delta^{18}\text{O}_{\text{benthic}}$  with the subT derived from  $\text{TEX}_{86}^{\text{H}}$ . The reconstructed  $\delta^{18}\text{O}_{\text{BSW}}$  values range between 0.6 and 1.4‰, a similar range as reconstructed by Hou et al. (2023) for the Middle Miocene at the Southern Hemisphere deep-ocean Site 1,168. Interestingly, our reconstructed bottom water oxygen isotope record shows no increasing trend between 14.5 and 13.5 Ma and only a minor increase of ca. 0.2‰ after 13.5 Ma (Figure 3a; see Supporting Information S1 for further discussion). This suggests no major change in seawater isotopic compositions after 14.6 Ma at least for this core location in the eastern North Atlantic, in agreement with the suggestion of Sangiorgi et al. (2021) that benthic oxygen isotopes of U1318 are mainly controlled by temperature at this core location.



**Figure 3.** Seawater isotope reconstruction. (a) Bottom seawater isotopes are reconstructed with oxygen isotopes of foraminifera:  $\delta^{18}\text{O}_{\text{BSW}}$  is calculated with Cramer et al. (2011) and subT from the same sediment depth signal.  $\delta^{18}\text{O}_{\text{BSW}}$  was translated with the modern open-ocean waterline (MOOWL) to  $\delta^2\text{H}_{\text{BSW}}$ . Gray lines indicate the propagated error of 1.2‰ for  $\delta^2\text{H}_{\text{BSW}}$ . (b) The surface seawater isotope reconstruction is based on hydrogen isotope analysis of  $\text{C}_{37:2}$  alkenones,  $\delta^2\text{H}_{\text{SSW}}$  is calculated with the SPOM calibration from Gould et al. (2019) and translated to  $\delta^{18}\text{O}_{\text{SSW}}$  with the MOOWL (Equation 8). Gray lines indicate the propagated error of 6.3‰ for absolute  $\delta^2\text{H}_{\text{SSW}}$ . Note that the error for changes in  $\delta^2\text{H}_{\text{SSW}}$  is 3.4‰ (see main text). Global Miocene cooling events (Mi-events; Miller et al., 1991; Steinthorsdottir et al., 2021) are marked with blue bars and are identified by Quaijtaal et al. (2014) based on a sharp increase in  $\delta^{18}\text{O}_{\text{benthic}}$  in combination with palynology and magnetostratigraphy.

Regarding the short-term Mi-events, during Mi-2 and Mi-2a the reconstructed  $\delta^{18}\text{O}_{\text{BSW}}$  shows a sharp excursion of 0.3‰ while Mi-3 and Mi-4 show a stepwise increase of 0.2–0.3‰. However, it is difficult to distinguish those events from the large variability in the record which can be up to 0.5‰.

### 3.3. Evolution of Hydrogen Isotopes of Surface Water

The  $\delta^2\text{H}_{\text{C}_{37:2}}$  values of the Middle Miocene sediment record at Site U1318 ranges between  $-200\text{‰}$  and  $-172\text{‰}$  (Figure 2c). In contrast to the  $\delta^{18}\text{O}_{\text{benthic}}$  and subT record (Figure 2d), the  $\delta^2\text{H}_{\text{C}_{37:2}}$  record shows no substantial increase between 14.6 and 12.75 Ma. Between 15.5 and 15.2 Ma  $\delta^2\text{H}_{\text{C}_{37:2}}$  decreases parallel to the  $\delta^{18}\text{O}_{\text{benthic}}$  record from  $-190\text{‰}$  to  $-195\text{‰}$ , followed by a sharp increase to  $-186\text{‰}$ . But, during the global cooling step and Mi-3 event  $\delta^2\text{H}_{\text{C}_{37:2}}$  increases first continuously from  $-200\text{‰}$  at 14.1 Ma to  $-187\text{‰}$  at 13.7 Ma, then decreases sharp to  $-195\text{‰}$  and continues to vary. Due to the large variability we were not able to rigorously identify the Mi-events in the  $\delta^2\text{H}_{\text{C}_{37:2}}$  record.

The  $\delta^2\text{H}_{\text{C}_{37:2}}$  alkenone record was subsequently converted into a  $\delta^2\text{H}_{\text{SSW}}$  record using the calibration of Gould et al. (2019) (Equation 5). This assumes that the alkenones are mainly derived from open-ocean haptophyte species. However, little is known about haptophyte species during the Miocene. The main producer may have been *Reticulofenestra* (Perch-Nielsen, 1985; Samtleben, 1980), ancestor of the present day open-ocean species *Emiliania huxleyi* (Gibbs et al., 2013). We observed a typical open-ocean alkenone distribution with a dominant abundance of the  $\text{C}_{37:2}$  next to  $\text{C}_{38}$  suggesting that open-ocean haptophytes (Type III; Kleijne, 1993) were the dominant alkenone producers at the time.

Conversion of the  $\delta^2\text{H}_{\text{C}_{37:2}}$  alkenone values resulted in  $\delta^2\text{H}_{\text{SSW}}$  values ranging between  $-1$  and  $+17\text{‰}$  (Figure 3b). The average  $\delta^2\text{H}_{\text{SSW}}$  for this record is  $5.2 \pm 3.1\text{‰}$ , which is similar to the nearest (ca. 313 km distance from the core site) modern measured  $\delta^2\text{H}$  value of surface seawater,  $+2.1\text{‰}$  (Gould et al., 2019). Similar to the  $\delta^2\text{H}_{\text{C}_{37:2}}$  record there is no increase in  $\delta^2\text{H}_{\text{SSW}}$  after 14.8 Ma, in contrast to the  $\delta^{18}\text{O}_{\text{benthic}}$  and subT records. During the Mi-events,  $\delta^2\text{H}_{\text{SSW}}$  seemingly increased by 2–6‰, but these events cannot be clearly distinguished

due to the large overall variability, similar to the reconstructed  $\delta^{18}\text{O}_{\text{BSW}}$ . Thus, reconstructed  $\delta^2\text{H}_{\text{SSW}}$  and  $\delta^{18}\text{O}_{\text{BSW}}$  records both show a lack of any substantial increase in isotope values between 14.6 and 12.75 Ma, although  $\delta^{18}\text{O}_{\text{BSW}}$  does show a small increase after 13.5 Ma. These two independent records thus suggest that this period, the Miocene Climate Transition (MMCT; 14.7–13.8 Ma) was not associated with a substantial change in the isotopic composition of seawater in the eastern North Atlantic.

#### 4. Implications

Our record of reconstructed hydrogen isotopic composition of surface seawater, based on an approach not requiring any temperature correction, clearly shows that the MMCT was not associated with a strong change in seawater isotopic composition, suggesting that the change in oxygen isotopic composition of benthic foraminifera was mainly reflecting a substantial cooling of 4–5°C in the eastern North Atlantic Ocean. The question arises whether this phenomenon is unique for this location or whether it is a global phenomenon. Interestingly, our results agree with recent studies based on clumped isotope data of benthic foraminifera which suggest higher than previously estimated bottom water temperatures during the MMCT and indicate strong bottom water cooling during the MMCT (Hou et al., 2023; Leutert et al., 2021; Meckler et al., 2022; Modestou et al., 2020). Their inferred cooling of ca. 5°C could in principle completely explain the global Middle Miocene  $\delta^{18}\text{O}_{\text{benthic}}$  evolution and implies a stable ice volume and thus little to no ice volume buildup (Hou et al., 2023). Our results confirm Middle Miocene stable seawater isotopic composition for both  $\delta^2\text{H}$  and  $\delta^{18}\text{O}$  suggesting no ice volume buildup. This contrasts with geological reconstructions of ice sheet advances during those time periods (e.g., Fielding et al., 2011; Hauptvogel & Passchier, 2012; Levy et al., 2016; Passchier et al., 2011). However, as suggested by Hou et al. (2023) the progressive Neogene Southern Ocean ice volume could be explained by a progressively lowering of Antarctic ice sheet height while the ice expands seawards during the Middle Miocene. The total global ice volume might have been stable, but the volume to area ratio might have changed (Hou et al., 2023). This million year MMCT cooling caused by the decrease in  $\text{pCO}_2$  (Pagani et al., 1999; Super et al., 2018) may thus have led to similar global ice volumes coupled with deep ocean cooling.

Translation of our average reconstructed hydrogen isotopic compositions of surface seawater ( $\delta^2\text{H}_{\text{SSW}} = 5.2 \pm 3.1\text{‰}$ ) to  $\delta^{18}\text{O}_{\text{SSW}}$  using the MOOWL suggests values of ca.  $0.8 \pm 0.5\text{‰}$ , similar to  $\delta^{18}\text{O}_{\text{BSW}} = 0.9 \pm 0.2\text{‰}$  based on foraminifera. This suggests relatively small differences between bottom and surface seawater isotopes. Furthermore, similar to Hou et al. (2023) the reconstructed surface and bottom seawater isotopes fall in the range of the modern open-ocean seawater isotopic composition ( $\delta^{18}\text{O} = -0.5$  and  $1.5\text{‰}$ ,  $\delta^2\text{H} = 0\text{--}10\text{‰}$ , LeGrande et al., 2006; Rohling, 2007; Hättig et al., 2023). This may imply similar ice volumes in the MMCT as those of modern day (Hou et al., 2023; Rohling et al., 2022).

During the Middle Miocene there were several short-lived (ca. 100 kyr)  $\delta^{18}\text{O}_{\text{benthic}}$  increases thought to be associated with BWT decreases of 0.7–1.2°C and attendant sea-level falls of 20–50 m: Mi-2 (16 Ma), Mi-2a (14.8 Ma), Mi-3 (13.8 Ma), and Mi-4 (13.1 Ma) (Holbourn et al., 2013; Miller et al., 2020). However, both our reconstructed  $\delta^{18}\text{O}_{\text{BSW}}$  and  $\delta^2\text{H}_{\text{SSW}}$  values do not consistently show these excursions mainly due to the large overall variability in our records. For our  $\delta^2\text{H}_{\text{SSW}}$  record, this variability may be caused by the analytical uncertainty of compound-specific hydrogen isotope analysis, for example, the pooled standard error of analysis is ca. 2.4‰. Furthermore, variable fractionation factors between alkenones and water for different species (e.g., Schouten et al., 2006; M'Boule et al., 2014; van der Meer et al., 2015; Wolhowe et al., 2015) may lead to incorrect estimates of  $\delta^2\text{H}_{\text{SSW}}$  while the calibration error between  $\delta^2\text{H}_{\text{C}_{37}}$  and  $\delta^2\text{H}_{\text{SSW}}$  is also relatively large (root-mean-square error of 5.8‰ for the calibration of Gould et al., 2019), hinting at factors other than  $\delta^2\text{H}_{\text{SSW}}$  impacting  $\delta^2\text{H}_{\text{C}_{37}}$  such as light intensity and nutrient availability (e.g., Sachs et al., 2017; van der Meer et al., 2015; Weiss et al., 2017; Wolfshorndl et al., 2019; Wolhowe et al., 2015). Using the analytical error and the error of the calibration slope we determined that the hydrogen isotopic composition of alkenones can detect changes in  $\delta^2\text{H}_{\text{SSW}}$  exceeding 3–4‰, potentially corresponding to  $\delta^{18}\text{O}_{\text{SSW}}$  fluctuations  $>0.5\text{‰}$ . Our reconstructed  $\delta^{18}\text{O}_{\text{BSW}}$  may be impacted by incorrect estimations of subsurface water  $\text{TEX}^{\text{H}}_{86}$  estimates. Furthermore, the  $\delta^{18}\text{O}_{\text{benthic}}$  record may be influenced by diagenetic alteration (e.g., Corfield et al., 1990; Pearson et al., 2001, 2007; Sexton & Wilson, 2009) and bioturbation (e.g., Hülse et al., 2022). Quaijtaal et al. (2018) did observe minor secondary crystals on the shell walls which might have influenced the isotopic values. Clearly it would be beneficial to generate hydrogen isotope records from different sites, including equatorial and Southern Ocean sites, to reconstruct the global surface seawater isotope distribution and evolution and potentially disentangle which isotope events (Mi-events) were caused by cooling or a combination of cooling and seawater isotopic composition



change. Nevertheless, our results have shown potential for  $\delta^2\text{H}_{\text{C}_{37}}$  records in the Cenozoic to provide seawater isotope records which are independent from temperature.

## 5. Conclusions

We presented a high-resolution Middle Miocene hydrogen isotope record of alkenones from a shelf site (U1318) in the Porcupine basin, in eastern North Atlantic. Our record reflects no long-term changes in surface seawater isotopes during the MMCT. Calculated bottom seawater isotopes based on benthic oxygen isotopes and sub-surface  $\text{TEX}^{\text{H}}_{86}$  temperature correction also indicate no long-term change during this period. This suggests a fairly stable seawater isotopic composition during the MMCT for both bottom and surface waters at this shallow (409 m) site, suggesting no or a very limited ice volume effect on seawater isotopic composition and indicating that the Miocene Climate Transition was mainly a time of cooling. More independent seawater isotope records of the Atlantic and Pacific Ocean covering the MMCT are needed to confirm if this was a global phenomenon.

## Data Availability Statement

Data is available at PANGAEA (Hättig et al., 2024). All processed sediment samples are stored at NIOZ, that is, TLE, apolar, ketone, polar fractions of U1318. All unprocessed raw data files are archived in the NIOZ data archive system (DAS) and available upon request by contacting [DAS@nioz.nl](mailto:DAS@nioz.nl).

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