# 1 Gas-Liquid and Liquid-Liquid Vortex Technology for Process Intensification

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#### 7 Abstract

8 The quest for efficient, sustainable chemical processes drives the advancement of process intensification 9 methods. This study evaluates vortex technology, which utilizes controlled, confined swirling flows to 10 enhance mixing and mass and heat transfer, improving process efficiency. Its potential is assessed by 11 examining its principles, design and optimization parameters, current and prospective applications, and 12 challenges in scaling-up and commercialization. It is particularly suited when enhanced efficiency in 13 mixing, transport performance, and cavitation is required, especially in systems involving fast reactions, 14 short residence times, and space constraints. Furthermore, it shows promise in developing compact and 15 efficient contacting devices with reduced energy consumption.

16 Keywords: Vortex technology, Mixing, Mass transfer, Heat transfer, Process Intensification

### 17 **1. Introduction**

18 Process Intensification (PI) refers to any technological advancement that leads to a smaller, cleaner, or more 19 energy-efficient technology [1], essentially "achieving more with less" by enhancing production, quality, 20 energy efficiency, processing time, or functionality while reducing equipment size and energy consumption 21 [2]. In gas-liquid applications, specifically in transport-limited reactive systems, PI can overcome 22 limitations in three key areas: (1) enhancing interphase mass transfer by increasing the mass transfer 23 coefficient and interfacial area, which determine the overall mass transfer rate [3]; (2) accelerating mixing 24 efficiency within a phase to optimize the reaction process by enhancing micromixing, i.e., mixture 25 homogenization at micro-scale [4]; and (3) intensifying heat transfer, crucial for efficiently removing or 26 supplying heat while maintaining optimal temperature profiles. An extreme physiochemical condition 27 known as high gravity-based technology, or Higee, exemplified by the rotating packed bed (RPB), is a PI 28 technology for chemical processes that use mechanical rotation to create centrifugal forces up to 1000 times 29 stronger than gravity [4, 5]. For gas-liquid applications, the high gravity field generally generates fine 30 droplets/bubbles and turbulent flow patterns. [2, 4, 6]. The contacting area is, therefore, significantly

enlarged, and the mass transfer coefficient is increased, leading to improved efficiency and costeffectiveness [2, 4, 7]. This study illustrates the enormous PI potential of vortex technology, exemplified by its static configuration. Compared to mechanically rotating PI technologies such as RPBs, vortex technology offers a simpler design, a safer and more stable operation, reduced maintenance costs, and potentially reduced energy consumption by eliminating mechanically rotating parts, utilizing confined swirling flows to achieve PI [8, 9].

37 Swirling flows can achieve PI by minimizing heat and mass transfer resistances and enhancing mixing [10]. 38 They are characterized by a high tangential velocity component and angular momentum, inevitably linked 39 to their rotational motion around an axis. Swirling flows are primarily generated through either 40 mechanically induced rotation, e.g., using propellers and rotating pipes/beds, or by applying tangential 41 injectors, stationary vanes, and twisted tapes in a unit [11]. In confined swirling flows, i.e. swirling flow 42 generated in static devices without mechanical rotation, a strong centrifugal field is realized by converting pressure energy into intense swirling motion [10]. The high gravity, in combination with the radial static 43 44 pressure gradient, induces flow stabilization and multiphase uniformity while increasing the interphase slip velocity, thus intensifying processes such as gravitational separation or mass and heat transfer exchange 45 46 [10]. The potential of heat transfer enhancement through swirling flows has been previously demonstrated 47 in heat exchanger systems, where the accelerated turbulence between the flow's core and the boundary layer 48 promotes heat transfer efficiency [10]. The strength of the swirl, which determines the generated centrifugal 49 force, is quantified by the swirl number,  $S_w$ , a dimensionless parameter, typically defined as the ratio of 50 angular momentum flux to axial momentum flux [11] that has been widely adopted [12-14]. Experimental estimation of the S<sub>w</sub> is challenging and often relies on simplified expressions, while numerical simulations 51 52 are more efficient, as swirling flows can be accurately modeled [15]. Particularly, for accurate 53 characterization of swirling flows in multiphase systems, such as gas-liquid systems, a two-phase swirl 54 number has been proposed [11].

This short review examines the benefits, current status, and future potential of vortex technology for gasliquid and liquid-liquid applications, with the vortex unit acting as a contacting device. In this review, vortex technology refers to applying controlled, confined swirling flows in a static unit, leveraging the benefits of enhanced mixing and mass and heat transfer, thereby improving process and energy efficiency. The mechanisms of vortex technology, its applications, and its potential to overcome traditional technology limitations will be explored.

#### 61 2. Gas-Liquid and Liquid-Liquid Vortex Technology

While vortex technology is advancing, several questions remain unanswered. Gaining a more fundamental 62 63 understanding of the transport mechanisms in vortex systems is needed to design innovative vortex 64 configurations that further enhance PI. Current industrial applications of vortex technology must be explored, and the achieved process improvements must be evaluated to identify future potential. The 65 challenges of scaling up need to be addressed, particularly those associated with the choice between 66 increasing the size of the vortex unit or increasing the number of units operating in parallel. As illustrated 67 in Figure 1, a framework is proposed to evaluate different items associated with the use of vortex technology 68 in the chemical industry. For gas-liquid and liquid-liquid processes, more efficient mixing and mass and 69 70 heat transfer are crucial to optimize the process efficiency. This review primarily addresses gas-liquid processes. Liquid-liquid processes are also considered, given the mixing and transport limitations analogy. 71

72 Tangential injection of gas and/or liquid is most commonly used to generate high-intensity, stable swirling 73 flows in a static device by leveraging fluid pressure energy [10], thus creating a centrifugal force field [16, 74 17]. This technique intensifies the interaction between the injected flows, significantly accelerating mixing 75 [12]. Tangential injection is often combined with other techniques to generate swirling flows. A countercurrent gas-liquid vortex flow can be generated when gas is tangentially injected in the bottom of 76 77 the device while liquid is sprayed from the top, balancing the turbulent kinetic energy and pressure drop 78 [17]. Swirling flows are found in pressure swirl atomizers, where the tangential entry of the liquid creates 79 a low-pressure zone that induces air entrainment, leading to a liquid film breaking into ligaments and 80 droplets [18, 19]. Tangential liquid injection in a self-suction jet reactor enhances the gas suction rate, 81 leading to intensified gas-liquid mixing [20]. When tangential injection is combined with ultrasound 82 irradiation, microbubbles are created and collapse, thus improving turbulence and mixing uniformity [21, 83 22].



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Figure 1. Research framework of gas-liquid and liquid-liquid vortex technology

# 86 2.1. Improving the understanding of transport mechanisms

Analyzing the transport mechanisms in vortex technology is essential to better understand improved mixing, mass transfer, and heat transfer. Tangential fluid injection results in micromixing times significantly lower than those in conventional mixers, and comparable to those in advanced technologies for gas-liquid [16] and liquid-liquid systems [23]. In fast reaction systems, efficient mixing is crucial to avoid undesirable side reactions, mitigate stagnant reactor zones, target a high uniformity of particle formation, and generally enhance efficiency.

93 The large radial velocity gradient in confined swirling flows indicates significant shear stresses and 94 turbulence, resulting in enhanced interphase heat and mass transfer while reducing transport limitations [10, 95 21, 24, 25]. Turbulent kinetic energy in vortex flows is high, promoting mixing and generating a large and 96 rapidly renewed interfacial area favorable for heat and mass transfer [8, 9]. For gas-liquid vortex units, 97 high values of the overall ( $K_{Ga}$ ) or liquid-side ( $k_{La}$ ) mass transfer coefficient are reported by performing 98  $O_2$ ,  $SO_2$  or  $CO_2$  absorption experiments [3, 8, 26, 27]. Duan et al. reported a threefold increase in the 99 effective specific interfacial area with a hydro-jet cyclone compared to the values obtained in an RPB, 100 reaching up to  $5.4 \times 10^3$  m<sup>2</sup>/m<sup>3</sup> [8]. Voinov et al. reported on an innovative static vortex device designed to 101 intensify heat and mass transfer in an alcohol diabatic distillation column where vortex trays are inserted 102 [28]. Dutta et al. achieved intensified heat and mass transfer for a CO<sub>2</sub> desorption application in a gas-liquid 103 vortex unit reaching up to a  $CO_2$  release rate of  $2 \times 10^4$  kg<sub>CO2</sub>h<sup>-1</sup>m<sup>-3</sup>, outperforming other conventional and 104 intensified reactors such as packed beds and RPBs [29]. However, recent research has predominantly 105 focused on intensifying mixing and mass transfer, focusing less on heat transfer enhancement.

106 Vortex chambers are typically oriented horizontally, with gas and/or liquid injections positioned at the same 107 height. This design ensures symmetry in the internal forces applied within the chamber. The intense swirling 108 motion generates a strong centrifugal field, leading to increased interphase slip velocities with typical gas-109 liquid vortex contactors achieving a centrifugal acceleration of 10-30 g [10], and advanced novel designs 110 reaching up to 110 g [9]. Balancing flow residence time with vortex dynamics is crucial in vortex units: the 111 formation of swirling flow patterns, centrifugal acceleration, and turbulence increase with increasing flow 112 rates at the expense of a decreasing residence time [10]. Therefore, high flow rates can improve transport 113 performance but potentially sacrifice the time needed to achieve high efficiencies [27, 30]. Short contact 114 time can be advantageous in systems with fast reactions or when undesired, secondary, or side reactions 115 occur. This trade-off implies that vortex technology might be unsuitable for processes requiring longer 116 residence times. Furthermore, an increased pressure drop has been associated with swirling flows [11]. 117 These shortcomings stimulate continuous development and optimization to further increase the range of 118 applicability of vortex technology.

119 Several non-invasive visualization techniques have been utilized to investigate the hydrodynamics and 120 visualize the swirling flow pattern, including Particle Image Velocimetry (PIV) [14, 24, 31], Laser Doppler 121 Anemometry (LDA) [19] and Planar Laser-Induced Fluorescence (PLIF) [12]. High-speed cameras were 122 employed in gas-liquid vortex unit studies to estimate liquid layer thickness [25, 32], bubble size 123 distribution [26], and spray flow pattern [18, 19]. Computational fluid dynamic (CFD) simulations have 124 also been performed to study and comprehend the hydrodynamics and interaction among transport 125 mechanisms and reactions [9]. While solving the Navier-Stokes equations will accurately describe the fluid 126 behavior, simulating the turbulence-chemistry interaction is more challenging due to the complex interplay 127 between turbulent mixing and reaction kinetics [33]. Various turbulence models can be employed to 128 simulate swirling flows, balancing accuracy and computational cost, especially in complicated multiphase 129 systems. The applicable turbulence models include the Reynolds stress model (RSM), used for its accurate 130 prediction of complex turbulent, anisotropic flow characteristics [13, 17, 22, 24, 27, 30]; the delayed 131 detached eddy simulation (DDES), used for its accurate prediction at low computational cost [34, 35]; the 132 two-equation k- $\epsilon$  RNG (Re-Normalization Group) model fit for capturing swirling flow characteristics [20, 36, 37]; and the Large Eddy Simulation (LES) method that provides detailed turbulence modeling at the 133 134 expense of requiring excessive computational resources [12, 14].

135 *2.2. Design and Optimization* 

136 Current research focuses on efficiently developing new vortex design concepts and optimizing existing ones 137 using CFD, which helps to design, evaluate, and refine vortex configurations efficiently while conserving 138 time and materials. Identifying key design parameters is crucial for geometrical optimization. The number, 139 position, size, and inclination angle of the gas and liquid inlets and various aspect ratios of a vortex unit are 140 considered to be highly influential [38] as they define tangential velocity distribution, flow rotation, energy 141 dissipation, and, ultimately, transport (mixing, mass transfer, and heat transfer) efficiency [17, 39]. Novel 142 reactor designs can significantly enhance performance. Chen et al. designed and optimized a gas-liquid 143 vortex reactor design, achieving a threefold increase in gas-liquid contact time and an 85% reduction in 144 energy consumption compared to a baseline vortex unit [40]. In addition, novel designs of gas-liquid reactors efficiently integrate mixing and separation to co-capture SO<sub>2</sub>/CO<sub>2</sub> and SO<sub>2</sub>/NO [27, 41]. Next to a 145 geometrical optimization of the vortex unit, tuning the operating conditions, particularly the flow rates, is 146 147 essential for realizing the desired centrifugal field, flow pattern, residence time, and transport efficiency. In 148 precipitation applications, it determines the composition of the formed particles [42].

149 In addition to traditional CFD, Artificial Intelligence (AI)-driven optimization techniques, such as Genetic 150 Algorithms (GAs), are increasingly used to automate the exploration of the above-listed design parameters 151 and efficiently screen configurations for optimal solutions [43]. Parametrization of the vortex design needs 152 careful preparation to provide sufficient design parameters while restricting the computational demand [43]. 153 These more recent techniques will further accelerate the design process and enable the design of novel 154 reactor configurations. Multi-objective optimization techniques were recently applied to reduce energy 155 consumption and improve fluid mixing in stirred tanks [44, 45], thus showing potential for use in vortex 156 unit design as well. Finally, automated mesh generation will result in more accurate CFD simulations. Tools 157 like Pointwise® and OpenFOAM® ensure consistent mesh quality and can adaptively refine meshes based 158 on simulation requirements, enhancing the reliability of the results [43].

#### 159 2.3. Applications

The application potential is essential when designing a newly developing technology like the vortex technology. Nevertheless, any design can be tailored for a specific application. In turn, advanced manufacturing techniques, like additive manufacturing or Computer Numerical Control milling, enable a cost-effective and functional construction of complex designs [25, 46, 47]. Thus, implementing optimized, novel designs for various industrial applications becomes feasible. Additionally, coupling these manufacturing techniques with machine learning (ML)-assisted design techniques is becoming increasingly prevalent [46], leading to opportunities for creating new vortex units with enhanced performance. 167 Due to the flexibility of most design parameters, vortex technology becomes applicable for a broad range 168 of processes. Chemical absorption and desorption processes have garnered significant attention, including 169 CO<sub>2</sub> capture [8, 9, 29], desulfurization [30], and simultaneous removal of SO<sub>2</sub>/CO<sub>2</sub> [27] or SO<sub>2</sub>/NO [41], 170 mostly for flue gas purification. As reactions are fast, increasing liquid-side or gas-side mass transfer rates 171 poses the most significant challenge [8, 27, 41]. Liu et al. achieved a 10-fold increase of  $K_{Ga}$  in a gas 172 cyclone-liquid jet absorption separator compared to conventional devices, reaching up to 0.0357 kmol m<sup>-3</sup> kPa<sup>-1</sup>s<sup>-1</sup>, while maintaining sufficiently high removal efficiencies for SO<sub>2</sub> and NO [41]. A gas-liquid vortex 173 174 reactor outperformed conventional and intensified devices in terms of CO<sub>2</sub> absorption and desorption rate 175 per unit volume, demonstrating the PI potential of vortex technology in terms of equipment size reduction 176 [9, 29]. Zhao et al. reported that a diameter reduction of up to 75% and a height/diameter ratio reduction of 177 up to 65% can be achieved with a microscale vortex flow contactor compared to conventional wet scrubbers [27]. Notably, current studies on flue gas treatment with vortex technology report atmospheric operating 178 179 conditions [9, 27, 30, 41]. However, given the potential benefits of higher pressures in enhancing absorption 180 performance, investigating the effect of elevated pressure conditions on the hydrodynamics and absorption 181 rates in vortex chambers presents a promising opportunity for future research.

182 Vortex technology was also evaluated for the generation of hydrodynamic cavitation, which is applied in 183 wastewater treatment. Bubble collapsing results in high localized pressure and temperature, producing 184 reactive radicals that rapidly degrade pollutants in water [48]. Vortex technology effectively generates 185 hydrodynamic cavitation that produces microbubbles [49], outperforming conventional cavitation devices 186 such as orifice and venturi systems [50], and showing various advantages such as reduced equipment 187 erosion and a higher cavitational yield [51]. Patil et al. showed that, by generating solvent-assisted 188 cavitation with a vortex-based diode, the cost for ammoniacal nitrogen removal was reduced by a factor of 189 2-3 compared to conventional hydrodynamic cavitation [50].

In liquid-liquid processes, vortex technology was evaluated for precipitation applications. Intensively swirling flows that are controlled by liquid flow rates enable efficient macro- and micromixing and advanced mass transfer [21, 23]. Vortex technology provides an efficient approach for continuous operation, allowing for good tuning of both formation and growth of the particles [52]. High turbulent shear stresses improve particle morphology, resulting in small particle size distribution (PSD) and high particle uniformity [13], while ensuring high productivity, low energy consumption, and a strong scalability potential [23, 42].

196 *2.4. Scaling up* 

197 Vortex technology offers high throughput processing in a compact unit due to its high intensity and 198 efficiency. However, scaling up to pilot- or industrial-scale will entail challenges. One of the primary challenges in scaling up is the reduction in vortex intensity inside the chamber, which can affect the gasliquid contact efficiency [40]. Key considerations typically include ensuring flow uniformity, homogeneity, selectivity, similar chemical reaction rate, and desired dimensionless numbers [10]. For mixing applications, a constant turbulent energy dissipation rate and an appropriate residence time distribution (RTD) are needed due to the continuous nature of the process [53]. Maintaining consistent flow patterns is important, while attention is needed in mixing-limited or sensitive-to-secondary-reactions systems to preserve the benefits of PI at larger scales [53].

206 Increasing the size of the vortex unit could lead to complications like the formation of secondary flows, 207 e.g., counterflow or backflow, due to swirl decay, which disrupts the desired flow pattern and reduces the 208 efficiency of the process [54]. To mitigate these issues, smart design to maintain efficiency when scaling 209 up or increasing the number of parallel vortex units could be considered [38]. That way, the benefits of the 210 original design are preserved while flexibility, reliability, and consistency are offered on a pilot- or industrial 211 scale. In gas-liquid applications, the high centrifugal force can cause the liquid layer to remain near the 212 chamber walls, leaving unexploited space during scaling up. To address this, internals can be included to 213 promote flow breakup, enhancing uniformity within the chamber [5].

214 More efforts are needed to address scaling challenges in vortex technology. Although CFD can be a valuable 215 tool for scaling up, CFD model validation using experimental data obtained on lab- and small pilot-scale 216 units remains necessary before extrapolating the model to full-scale systems [53]. While examining the 217 challenges of scaling up vortex reactors, parallels can be drawn with those encountered in scaling up gas-218 solid vortex systems. A common issue is the channeling and the creation of unpredictable flow structures 219 leading to inefficient phase contact [55]. In gas-solid vortex systems, increasing the length-to-diameter ratio 220 has been proposed for efficient scale-up; a strategy that can be implemented in gas-liquid and liquid-liquid 221 vortex chambers [56].

### 222 **3.** Vortex technology's future

223 The future of vortex technology largely depends on the success of the ongoing first wave of industrial 224 applications. In addition, vortex technology has shown to be extremely versatile, highlighting its expanding 225 potential for broader applications. Ma et al. proposed a novel process for biological nitrogen and phosphorus 226 removal from municipal wastewater [57]. Liu et al. enhanced the removal of antibiotic residues in milk 227 through ozonation in a vortex reactor. The vortex flow was found to intensify the ozone dispersion and 228 dissolution in the liquid phase while strengthened by hydrodynamic cavitation [58]. Van Hoecke et al. 229 proposed a novel swirling reactor for the dehydrogenation of liquid organic hydrogen carriers (LOHC) in a 230 three-phase gas-liquid-solid (catalyst) system [59]. Due to intensified mixing and mass and heat transfer, efficient hydrogen removal was realized [59]. Mendez-Acosta et al. showed that a tubular swirling flow reactor has great potential to outperform a typical continuous stirred tank reactor (CSTR) for anaerobic dark fermentation, as the efficient mixing decreases hydrogen supersaturation and enhances hydrogen production [60].

235 Vortex technology is a suitable choice when efficient mixing and reduction of mass and heat transfer 236 resistances are required (Figure 2). It is beneficial for applications necessitating short residence times. Due 237 to the static nature of the vortex unit, it offers advantages such as a safe and simple operation, compact size, 238 and modular, cost-efficient design. Vortex technology can show further potential in liquid-liquid extraction 239 applications requiring efficient mixing and mass transfer [10]. Additionally, it could be valuable for 240 distillation applications, leveraging the simultaneous heat and mass transfer enhancement, an area that 241 warrants further exploration. To overcome current limitations, further experimental studies are required to 242 systematically identify the correlation between the operating conditions and the stability of swirling flows 243 as well as the key scale-up parameters for specific applications [10].

Comparing vortex technology with RPBs and static mixers, two PI technologies with similar operating principles and targets, i.e. heat and mass transfer enhancement, shows that vortex technology combines several advantages. It provides a static operation, avoiding the need for packing material, while leveraging the benefits of intensive swirling flows and high centrifugal forces. This also shows the advanced potential of the technology to achieve energy savings. Nevertheless, RPBs are more technologically mature, with proven scalability at technology readiness level (TRL) 7 [61, 62], whereas vortex technology is still developing in that regard.



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Figure 2. Benefits and potential of vortex technology

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## 254 **4.** Conclusions and Outlook

255 Vortex technology refers to the generation of high centrifugal force fields (Higee) by controlling confined 256 swirling flows. The shear stresses and turbulence generated by the swirling flow enhance micromixing and 257 create a large, rapidly renewed interfacial area, which decreases heat and mass transfer limitations. 258 Application of vortex technology in gas-liquid and liquid-liquid processes leads to process intensifications, 259 particularly for chemical processes requiring efficient mixing, high heat and mass transfer, short residence times or/and space efficiency. The static and simple design of vortex units provides great potential for a 260 261 safe, low maintenance cost operation with high throughputs leading to increased productivity and great 262 scalability prospects. CFD has been instrumental in studying hydrodynamics and turbulence in a vortex 263 unit, unraveling the coupled transport-reaction process, and thus useful for designing and optimizing novel 264 vortex configurations. Optimized key design parameters combined with optimized operating conditions aim 265 at improving swirling flow pattern, interfacial contact area and time, and transport efficiency while reducing 266 energy consumption and equipment size. Machine learning techniques can accelerate the design and 267 optimization of the technology, while advanced manufacturing makes the realization of complex vortex 268 designs feasible.

Vortex technology is evaluated for various applications, including flue gas purification, wastewater treatment, and particle synthesis, leveraging its unique characteristics. The technology shows great potential in developing compact and efficient contacting devices, achieving advanced performance in micromixing, mass and heat transfer, cavitational yields, energy consumption, and high particle growth uniformity. The insights in vortex technology keep growing. Ongoing research is essential to address scaling-up challenges for industrial applications and move toward commercialization.

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