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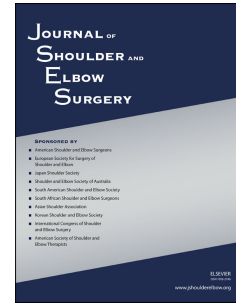
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Electromyographic analysis of selected shoulder muscles during shoulder rehabilitation exercises in patients after reversed shoulder arthroplasty

Running Title: EMG after shoulder arthroplasty

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1 **Electromyographic analysis of selected shoulder muscles during shoulder rehabilitation**
2 **exercises in patients after reversed shoulder arthroplasty.**

3 Word count 3516/4750

4 Abstract 264/400

5

6 **Abstract:**

7 **Background:** Reversed shoulder arthroplasty (RSA) aims to restore function in patients with
8 rotator cuff failure and joint arthropathy. After surgery, patients are routinely referred to a
9 rehabilitation specialist to regain range of motion, strength, and function. A key element in these
10 programs is active exercises. The exercises are often selected based on assumed muscle activity,
11 investigated by electromyography (EMG). In particular, in this patient population, activation of
12 the deltoid and the scapular muscles is the focus of exercise therapy. Currently, most studies
13 investigating muscle activity levels during exercises are performed on healthy individuals. To
14 our knowledge, no study exists analyzing EMG activity during exercises in a population of
15 shoulder arthroplasty patients. Therefore, the study aimed to analyze activity in the shoulder
16 girdle muscles during 6 commonly used rehabilitation exercises 12 weeks after RSA surgery.

17 **Methods:** Forty-four patients (50 shoulders) participated in this cross-sectional study, 12 weeks
18 postoperatively (mean 99.18 ± 12.8 days), aged 68.9 ± 7.75 years. Surface EMG activity was
19 measured in 10 shoulder girdle muscles: the 3 trapezius parts, serratus anterior, the 3 deltoid
20 parts, latissimus dorsi, and 2 pectoralis major parts during 6 exercises, 3 in a closed chain, and 3
21 open chain elevation exercises.

22 **Results:** Gravity- minimized exercises (horizontal plane) show low activity for almost all
23 muscles. Vertical closed kinetic chain exercises show an increased activity compared to
24 horizontal plane exercises. Open kinetic chain exercises against gravity showed the greatest

25 activity in Deltoid and Upper trapezius. For the other muscles no consistency in progression was
26 found.

27 **Conclusion:** This study offers a progression of exercises for patients after reversed shoulder
28 arthroplasty based on increased muscle activity.

29 **Level of evidence:** Basic Science Study; Kinesiology

30 **KEYWORDS:** shoulder, surgery, reversed, arthroplasty, EMG, rehabilitation, exercises,

31 **Abstract:** 264/400 word

32

33 **Introduction:**

34 Reverse shoulder arthroplasty (RSA) is a frequently used procedure to resolve functional
35 limitations in patients with massive irreparable cuff tears^{21, 23, 41, 43, 46, 47}, cuff tear arthropathy^{21, 41,}
36 ^{43, 45, 54} and osteoarthritis with a deficient rotator cuff^{36, 42, 59}. Besides patients with cuff disorders,
37 RSA is also used in patients with primary osteoarthritis^{21, 28, 54, 56}, for revision of failed
38 arthroplasty^{1, 8, 10, 11}, failed conservative or surgical treatment for fracture^{2, 3, 7, 15, 16}, acute fracture
39 in the elderly^{52, 57, 58} or tumor^{22, 26, 32}. The reversed design allows patients to move the arm in a
40 functional range, without the rotator cuff^{12, 25}. Biomechanical principles of the RSA are a
41 medialized center of rotation, a large glenosphere, a small humeral cup almost horizontally
42 orientated, and lengthening of the arm, increasing tension on the deltoid¹². After RSA, the deltoid
43 muscle will provide both stability and function^{12, 17, 24}. Additionally, strong scapular muscles are
44 mandatory to provide proximal stability and dynamic range of motion (ROM) above 90° of
45 elevation⁶.

46 In vitro research by Ackland et al⁴ showed an increased moment arm of the anterior deltoid (AD)
47 and clavicular part of the pectoralis major (PMc) for forward flexion movement, both becoming

48 potential initiators of movement. In vivo electromyography (EMG) research^{39, 40, 44, 48, 53}
49 demonstrated that in patients with RSA the shoulder muscle activity is significantly different
50 from normal shoulders. Most studies focus on muscle recruitment of the deltoid, as this muscle is
51 considered the prime mover after RSA^{12, 25}. However, recent research by Pelletier-Roy et al⁴⁰
52 demonstrated a significant contribution of the Upper Trapezius (UT) during uniplanar motions.
53 They concluded that the UT is a main activator for all movements after RSA.

54
55 After surgery, patients are referred to a rehabilitation specialist, who selects the appropriate
56 exercises to increase Range of Motion (ROM), strength, and function. In this time- and stage-
57 based progressive exercise program, the patient often starts with low load closed chain exercises,
58 such as bench- and wall-slides, because they are assumed to be easier to perform and safe during
59 the protective phase¹⁸, before progressing towards more functional open chain exercises such as
60 reaching and elevation exercises^{33, 37, 55}. In rehabilitation research, numerous studies have been
61 performed on various exercises, examining muscle activity levels measured by EMG. This
62 allows the clinician to select an exercise based on high (when the goal is strengthening) or low
63 (when the goal is to protect a muscle from damage) EMG activity^{9, 13, 19, 20}. However, most of
64 these studies have been performed on healthy individuals. Cools et al²⁰ explored a progression of
65 bench- and wall-slides with increasing activity in AD and the scapular muscles and maintaining
66 low activity in the rotator cuff. Although this study also included an older population (up to 60
67 years), all participants were free of any shoulder complaint at the moment of testing, which was
68 acknowledged as a limitation. No study exists examining muscle activity levels of the shoulder-
69 and scapular muscles during commonly used rehabilitation exercises in the specific RSA
70 population in the first 12 weeks after surgery. Existing studies in a RSA population explore EMG

71 activity only after at least 6 months^{40, 48} (i.e., at the end of rehabilitation), moreover, except Cools
72 et al²⁰, most studies do not use AD as the target muscle to be activated during the exercise
73 progression. Therefore, this study aimed to explore EMG activity levels in 10 shoulder and
74 scapular muscles at 12 weeks after surgery to identify a science-based progression for exercises
75 based on activity levels of AD as a primary target. A secondary goal was to describe muscle
76 recruitment in other muscles relevant for RSA patients, such as the 3 trapezius parts (Upper
77 Trapezius (UT), Middle Trapezius (MT), Lower Trapezius (LT)), serratus anterior (SA),
78 latissimus dorsi (LD), Pectoralis Major sternal part (PMs) and clavicular part (PMc), Middle
79 Deltoid (MD) and Posterior Deltoid (PD).

80

81 **Methods**

82 **Participants**

83 This cross-sectional study was conducted at the Department of Rehabilitation Sciences (Faculty
84 of Health Sciences) of Ghent University, in collaboration with the Ghent University Hospital. A
85 single surgeon (LDW) operated on all recruited patients at Ghent University Hospital. A
86 deltopectoral approach was used to access the joint. A Delta-Xtend prosthesis (DePuySynthes,
87 Warsaw, IN, USA) was implanted in all patients. Following the surgeon's guidelines, patients
88 did not use a sling to immobilize the shoulder after surgery, and active physical and occupational
89 therapy was started on the first day after surgery. After discharge, patients were advised to use
90 their operated arm in daily activities and to follow physical therapy 2 times/week with an
91 emphasis on active exercise therapy. Patients were screened for eligibility during the 12 weeks of
92 post-surgery medical follow-up at the hospital and were invited to participate if they were 55
93 years or older, had a primary reversed arthroplasty on one or both sides, and had an active ROM

94 for the elevation of at least 120°. Patients were excluded in case of revision surgery, neurological
95 disease, known fracture or tumor in the history, anatomic arthroplasty, poor active ROM (<120°
96 of active elevation), and in case of adverse events which could interfere with study participation,
97 such as recurrent dislocation or fracture of acromion or humerus. The active ROM for forward
98 flexion was measured with the EasyAngle (Meloqdevices AB, Sweden) in standing position,
99 starting with the arm beside the trunk and extended elbow. Movements were performed
100 bilaterally to minimize compensational movements. Patients performed a forward flexion with
101 the arm in neutral rotational position. The EasyAngle was aligned with the lateral humerus,
102 pointing proximal to the lateral middle point of acromion and distal to lateral epicondyle. Intra-
103 rater reliability for the Easy-angle was found to be 0.93 (95% Confidence Interval (CI) 0,87 -
104 0,97), inter-rater reliability 0.82 (95% CI 0,71 - 0,95), and test-retest repeatability 0.89 (95% CI
105 0,63 - 0,96)⁵¹. Based on an a priori analysis, the power for this study was set at 80%, based on an
106 α level of .05, resulting in a minimal total sample size of 35 to detect a between-exercise
107 difference in muscle activity based on the largest minimal detectable change error value, and a
108 target difference between exercises of 10% Maximal Voluntary Isometric Contraction(MVIC)¹⁹.

109

110 Instrumentation

111 The skin was shaved with a disposable razor, scrubbed with a cotton ball and scrubbing gel, and
112 cleaned with diethyl ether on a cotton ball to become an impedance of maximum five k Ω , on the
113 areas of electrode placement. Self-adhesive circular surface electrodes (Ambu BlueSensor P –
114 ECG electrodes; P-00-S/50) were placed, with an interelectrode distance of 1 cm, over the
115 muscle bellies, in line with the orientation of muscle fibers. The same investigator was
116 responsible for electrode placement, thus enhancing consistency. The SENIAM (Surface

117 Electromyography for the Non-invasive Assessment of Muscle) recommends surface EMG
118 (<http://www.seniam.org>)³⁰. For the PMs and the PMc, the electrodes were centrally placed
119 according to Król et al³⁴.
120 MVIC recordings were performed using the Noraxon Ultium ESP 16-channel system. The EMG
121 data during exercises was collected using the Qualisys Track Manager Software (QTM 2021.1).

122

123 Testing procedure

124 After consenting, a brief explanation of the MVIC measurement was provided. For this study and
125 to allow for maximal safety during the MVIC procedure, the MVICs were performed in 7
126 different directions. In 6 directions, patients were seated on a chair without a backrest and had
127 both feet on the ground with the arm in the neutral position held against the thorax. The elbow
128 was bent, the hand held in a fist with the thumb facing upward. For the forward flexion,
129 extension, abduction, and adduction the resistance was provided just proximal of the elbow,
130 whereas for the external and internal rotation the resistance was given just proximal of the wrist.
131 The 7th direction was lifting upward with extended elbow in scaption position against resistance
132 just proximal of the wrist. Patients stood upright, the extended arm held at 90 ° elevation and
133 30° forward to the frontal plane and the hand in a fist (palm downward). For all MVIC
134 recordings, a contraction for 5 sec, repeated 3 times, with a rest of 30 sec between contractions,
135 was asked. To obtain maximal contraction, standardized encouragement was provided.

136

137 Selected Exercises

138 After MVIC procedure, the selected exercises were randomly performed to exclude systematic
139 fatigue. The exercises were selected based on literature²⁰, and clinical experience. They

140 consisted of 3 closed chain (where the hand is supported on the wall or a towel or a ball), and 3
141 open chain exercises (where the hand is moving freely in space). All 6 exercises are described in
142 Table 1 and illustrated in Figures 1 to 12. All patients randomly performed these 6 exercises
143 while electromyographic activity was measured in 10 shoulder girdle muscles. For the closed
144 chain exercises, the patients used a hand-held massage ball (Kaytan Sports, Amazon.com),
145 facilitating gliding on the bench and wall. For the Seated Bench Slide with Resistance (BSTB), a
146 red TheraBand (Hygenic Corp., Akron, OH, USA) with standardized initial length and tension
147 for all patients was used. Each exercise was performed 5 times, with a rest between repetitions of
148 30 seconds. All exercises had the same time format of 4 seconds of (recorded) rest, 4-second
149 concentric phase, 1-second hold, and 4 seconds to return to the starting position, thus making
150 comparison between exercises and patients possible. In between each type of exercise, a longer
151 rest period of 3 minutes was held. All exercises started with the upper arm in a neutral position
152 along the trunk and had a maximal ROM of 120°. Before testing, a stop marker was placed on
153 the bench, the wall, or an external pole to mark 120°.

154 <Table 1 around here>

155 Signal processing and data analysis

156 MVIC and exercise recordings were processed using the MyoResearch software (Noraxon MR3
157 3.18.18). First, an electrocardiogram reduction (manually selected interval), a full wave
158 rectification, and a signal smoothing with a Root Mean Square (RMS) algorithm of a 100 ms
159 window were performed for the EMG recordings of MVIC and exercises. The mean MVIC for
160 each muscle was calculated based on the middle 3 seconds-window analysis of all tested
161 directions¹⁴. The MVIC value of each muscle, used to normalize the EMG signal (100%), was
162 the highest average mean of the 7 tested directions. A marker was placed manually for the

163 exercises at the start of the movement. Across the 3 intermediate repetitions, data for each
164 muscle and each participant were averaged. MVIC value of each muscle was used to normalize
165 the EMG values of the exercises.

166 Statistical analysis

167 For the exercises, the average value of the middle 3 repetitions for each muscle per participant
168 was used for further analysis. After calculating the average and standard deviations, the data
169 were checked for normal distribution using the Shapiro-Wilk test. The data were not normally
170 distributed, therefore, the Related Samples Friedman's Two-Way Analysis of Variance by Ranks
171 ($p < 0.05$), with the (included) Dunn's Multiple Comparison test and Bonferroni correction for
172 multiple testing was performed for all tested muscles and all exercises, using the Statistical
173 Package for the Social Sciences (SPSS version 29.0.0.0; IBM Corp., Armonk, NY, USA).
174 Besides the comparative analyses between exercises, a ranking of exercises was performed for
175 all muscles according to increasing muscle activity in the 10 muscles of interest.

176

177 **Results**

178 Between 03/09/2021 and 03/04/2023, LDW performed 242 arthroplasty surgeries. 164 were
179 excluded according to in- and exclusion criteria. 78 were eligible for inclusion of whom 25 did
180 not want to participate, leaving 49 unique patients (55 shoulders). For the analysis data of 5
181 patients (5 shoulders) were excluded due to missing data caused by technical issues.

182 <Flowchart 1 around here>

183 EMG recordings of 44 patients (50 shoulders) were included in the final analysis. Demographics
184 are represented in Table 2.

185 <Table 2 around here>

186 Table 3 summarizes the mean normalized values of the EMG as %MVIC for the 10 muscles and
187 6 exercises. The corresponding number between parentheses indicates a significant increase
188 (<0.05) between exercises in %MVIC. The table with the exact P value for statistical relevance
189 can be found in the appendix (Table 5).

190 <Table 3 around here>

191 Muscular activity levels during BS and BSTB were low for all muscles except for SA, while UT,
192 with 19.8, nearly reaching the criteria for moderate.

193 Adding resistance (BSTB) to the BS only significantly increased activity for PMc and PMs. For
194 the majority of the muscles (except PD and LD), a significant increase in activity was found
195 comparing gravity-minimized (horizontal plane - BS and BSTB) movements with movements
196 against gravity (vertical plane - WS and open chain exercises). Comparing closed (WS) with
197 open (REACH, FF, SCAP) chain exercises against gravity revealed ambiguous results. Whereas
198 for AD, MD, PD, LD, and UT, a significant increase of EMG activity occurred moving from a
199 closed to an open chain, no significant increase was found for PMc, PMs, MT, LT and SA.

200

201 Based upon these results a progression in exercises may be described for each muscle. A ranking
202 of the exercises based upon the increased %MVIC is represented in table 4 for each of the 10
203 muscles of interest.

204 <Table 4 around here>

205

206 ***Discussion***

207 The purpose of this study was to examine EMG activity in 10 shoulder girdle muscles during 6
208 commonly used rehabilitation exercises in patients, 12 weeks after a RSA procedure. To our

209 knowledge, this is the first study analyzing EMG activity during exercises in a group of RSA
210 patients this early in rehabilitation. The results of our study may assist the clinician in clinical
211 reasoning and exercise choice when treating patients with RSA.

212 Since the deltoid is considered an extremely important muscle for stability and movement in this
213 population^{12, 17, 24}, we may suggest, based on our results, that for deltoid activation, a favorable
214 progression could be from BS over BSTB towards WS, followed by the open chain exercises. In
215 the latter, there was no consistency regarding increasing activity between REACH, FF, and
216 SCAP concerning the 3 deltoid muscle parts (AD, MD, PD). However, it was clear that for all
217 scapular muscles the highest activity was found during SCAP. PMc and PMs activity on the
218 contrary was lower during SCAP. As a physical therapist the exercises could be selected based
219 on patients' needs.

220 It would be interesting to compare our EMG values with similar studies in the literature.
221 However, comparison between studies is difficult as a result of, amongst others, different sets of
222 exercises, different populations (age and healthy controls), and a different MVIC procedure.

223 Gaunt et al²⁷ also demonstrated a significantly increasing activity for AD between gravity-
224 minimized, upright-assisted, and active forward elevation in a healthy population. Comparing
225 our results with the Cools et al²⁰ study for the 3 deltoid parts and the 4 scapular muscles during
226 BS, BSTB, WS, and SCAP, our values are slightly higher than in the oldest age group (47-60
227 years) in their study. However, we must acknowledge a younger age, having no shoulder
228 disorders, and a different method for determining MVIC values as a possible explanation for
229 these differences. Nevertheless, the progression over the exercises with increasing activity in the
230 muscles involved, going from BS over BSTB towards WS and open chain elevation, is similar in
231 both studies.

232 Another variable to consider is the effect of the plane of movement. Whereas REACH and FF
233 are performed in the sagittal plane, SCAP is performed in the scapular plane. Our results are
234 inconsistent, with some muscles having the highest activity during SCAP and others performing
235 FF or REACH. Since all movements in the Cools et al²⁰ study were performed in the scapular
236 plane, we cannot compare our results with similar exercises from their study. PMc and PMs are
237 significantly more active during FF compared to SCAP. These results are comparable to a
238 healthy population²⁹ and patients with RC tears⁵. Ackland et al⁴ previously demonstrated the
239 importance of PMc as the most effective shoulder flexor (together with AD) in patients with
240 RSA. Therefore, based on our results, for PMc activation, exercises in the sagittal plane should
241 be preferred over the scapular plane. Also, UT becomes an important muscle after RSA⁴⁰. In all
242 open chain exercises in our study, UT shows a high activity (%MVIC > 40%), and a significant
243 increase was found from BS and BSTB towards WS in a vertical plane up to 56,5%. Similar
244 increases in UT activity from closed chain horizontal, over closed chain vertical, to open chain
245 vertical plane, and from open chain sagittal to open chain scapular plane have previously been
246 demonstrated^{13, 20, 29, 31}. However, it is unclear what effect the UT has on their function.

247 The major strength of this study is the fact that we analyzed muscle activity of the shoulder
248 girdle muscles during the early active rehabilitation stage after RSA. To our knowledge, this is
249 the first study focusing on exercises to be performed during the first 12 weeks of rehabilitation.
250 In addition, previous studies mainly examined open-chain exercises, whereas we also focused on
251 closed kinetic chain exercises. Based on our results, we suggest that closed kinetic chain
252 exercises may safely be performed in an early stage of rehabilitation from the perspective of
253 muscle activation. Moreover, our study included a substantial sample size of 50 shoulders (44
254 patients), which may strengthen the value of the findings and significant differences.

255 However, this study has some limitations. We included only patients with RSA with active
256 mobility up to 120° for forward flexion, as this was mandatory to perform the selected exercises.
257 This might have biased our selection of patients with a “good” recovery after surgery and maybe
258 not covered the total population after RSA. Moreover, in our hospital setting patients start
259 physical therapy on day 1, which is not common in other clinical settings, however advised by
260 the responsible shoulder surgeon (LDW). Therefore, our cohort could not be generalized to all
261 RSA. Secondly, we did not include a control group or a control side. Our patients were tested
262 unilaterally for several reasons. Our testing already took 2.5 hours, which is a challenge for our
263 study population, given their age.
264 Moreover, the contralateral shoulder often had pathological conditions, such as a previous RSA,
265 joint arthropathy, or documented full-thickness tears. A healthy control group was not included
266 either as many persons at the age of 55 and more are suspected to have minor or explicit RC
267 pathology^{35, 50}. Furthermore, electrode placement for SA was challenging in female and obese
268 participants. To optimize standardization, the same examiner always placed electrodes. We also
269 have to acknowledge using an alternative way of measuring MVIC, which was used to provide
270 safety. Also, in previous studies, alternative MVIC positions and procedures were selected for
271 specific patient populations to avoid pain and discomfort^{38, 49}. Activity levels never exceeded
272 60% MVIC for any examined muscles during the selected exercises. Although this could be
273 considered a limitation for strengthening exercises, we want to refer to the typical elderly
274 population in this study, in which endurance is probably more relevant than strength as a
275 condition to perform activities in daily life. In this case, a high number of repetitions at a lower
276 % MVIC may be preferable.

277 **Conclusion**

278 Our study demonstrates changes in muscle activity levels in the glenohumeral and
279 scapulothoracic muscles during a proposed progression of closed and open-chain rehabilitation
280 exercises. Based on our results, the exercise with in general, the lowest levels of EMG activity is
281 the BS, and the highest levels of activity, depending on the specific muscle of interest the open
282 chain exercises FF, REACH and SCAP.

283

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474

475 **List of abbreviations**

- 476 AD: Anterior Deltoid
- 477 BS: Seated Bench Slide 120°
- 478 BSTB: Seated Bench Slide with Theraband Resistance (red) 120°
- 479 CI: Confidence Interval
- 480 ECG: ElectroCardioGram
- 481 EMG: ElectroMyoGraphy
- 482 FF: Standing Forward Flexion 120°
- 483 LD: Latissimus Dorsi
- 484 LT: Lower Trapezius
- 485 MD: Middle Deltoid
- 486 MT: Middle Trapezius
- 487 MVIC: Maximal Voluntary Isometric Contraction
- 488 PD: Posterior Deltoid
- 489 PMc: Pectoralis Major clavicular part
- 490 PMs: Pectoralis Major sternal part
- 491 QTM: Qualisys Track Manager Software
- 492 REACH: Standing Reach 120°
- 493 RMS: Root Mean Square
- 494 ROM: Range Of Motion
- 495 RSA: Reverse Shoulder Arthroplasty
- 496 SA: Serratus Anterior
- 497 SCAP: Standing Scaption 120°
- 498 SENIAM: Surface Electromyography for the Non-invasive Assessment of Muscle
- 499 UT: Upper Trapezius
- 500 WS: Standing Wall Slide 120°
- 501

502 **Table list**

503 Table 1: Description of the six selected shoulder exercise, instructions given to the patients, with
504 illustrative picture.

505 BS: Seated Bench Slide 120°; BSTB: Seated Bench Slide with Theraband Resistance (red) 120°;
506 WS: Standing Wall Slide 120°; REACH: Standing Reach 120°: FF: Standing Forward Flexion
507 120; SCAP: Standing Scaption 120°.

508

509 Table 2: Demographics of included patients/shoulders.

510 ♂: male, ♀: female, Y: years, d: days, R: right-side dominant, L: left-side dominant, DS:
511 dominant side, NDS: non-dominant side.

512

513 Table 3: EMG results for all muscles (%MVIC) and exercises with statistical differences
514 ($p < 0.05$).

515 UT: Upper Trapezius, MT: Middle Trapezius, LT: Lower Trapezius, LD: Latissimus Dorsi, SA:
516 Serratus Anterior, PD: Posterior Deltoid, MD: Middle Deltoid, AD: Anterior Deltoid, PMc:
517 Pectoralis Major clavicular part, PMs: Pectoralis Major sternal part, BS: Bench Slide, BSTB:
518 Bench Slide with TheraBand, WS: Wall Slide, REACH: reaching movement, FF: Forward
519 Flexion, SCAP: scaption, (1): significant higher to BS, (2): significant higher to BSTB, (3):
520 significant higher to WS, (4): significant higher to REACH, (5): significant higher to FF, (6):
521 significant higher to SCAP.

522

523 Table 4: Exercises ranked by muscle and by increasing value of %MVIC.

524 White: %MVIC 0-20, light grey: %MVIC 20-40, dark grey: %MVIC 40-60.

525 Table 5: Exact P values for Dunn's Multiple Comparison test with Bonferroni correction after
526 Friedman's test, with indication of highest value when statistically significant ($p < 0.05$).

527 UT: Upper Trapezius, MT: Middle Trapezius, LT: Lower Trapezius, LD: Latissimus Dorsi, SA:
528 Serratus Anterior, PD: Posterior Deltoid, MD: Middle Deltoid, AD: Anterior Deltoid, PMc:
529 Pectoralis Major clavicular part, PMs: Pectoralis Major sternal part, BS: Bench Slide, BSTB:
530 Bench Slide with TheraBand, WS: Wall Slide, REACH: Reaching movement, FF: Forward
531 Flexion, SCAP: Scaption, vs: versus (pairwise comparison),⁽¹⁾: BS has highest value, ⁽²⁾: BSTB

532 has highest value, ⁽³⁾: WS has highest value, ⁽⁴⁾: REACH has highest value, ⁽⁵⁾: FF has highest
533 value, ⁽⁶⁾: SCAP has highest value

534

535

536 **Flowchart list**

537 Flowchart 1: Inclusion flowchart with number of in- and exclusion according to in- and
538 exclusion criteria.

539 ATSA: Anatomical Total Shoulder Arthroplasty, Y: Years, ROM: Range of Motion, pt: patients

540

541 **Figure list**

542 Figure 1: Start Bench Slide.

543 Figure 2: 120° Bench Slide.

544 Figure 3: Start Bench Slide against TheraBand.

545 Figure 4: 120° Bench Slide against TheraBand.

546 Figure 5: Start Wall Slide.

547 Figure 6: 120° Wall Slide.

548 Figure 7: Start REACH.

549 Figure 8: 120° REACH.

550 Figure 9: Start Forward Flexion.

551 Figure 10: 120° Forward Flexion.

552 Figure 11: Start SCAPtion.

553 Figure 12: 120° SCAPtion.

554

1 *Table 1 Description of the six selected shoulder exercises, instructions given to the patients, with*
2 *illustrative picture.*

3

Journal Pre-proof

Exercise (name + description)	Illustrative picture
<p>1. Seated Bench Slide 120° (BS)</p> <p>Starting with elbow bent and shoulder in neutral, hand supported on handheld massage ball → Keep the hand on the ball and roll forward until fingers touch the ‘stopmark’ at 120° elevation, then return</p>	<p><Figure 1 ></p> <p><Figure 2 ></p>
<p>2. Seated Bench Slide with Theraband® Resistance (red) 120° (BSTB)</p> <p>Starting with elbow bent and shoulder in neutral, hand supported on handheld massage ball with a Theraband® around 4 fingers → Keep the hand on the ball and roll forward until fingers touch the ‘stopmarker’ at 120° elevation, then return</p>	<p><Figure 3></p> <p><Figure 4 ></p>
<p>3. Standing Wall Slide 120° (WS)</p> <p>Starting with the hand on a handheld massage ball against the wall and the elbow 90° flexed → Keep the hand on the ball and roll upward until fingers touch the ‘stopmark’ at 120° elevation, then return</p>	<p><Figure 5></p> <p><Figure 6></p>
<p>4. Standing Reach 120° (REACH)</p> <p>Starting with the shoulder in neutral, and the elbow flexed 90°, fist, thumb facing upward → perform simultaneous extension in elbow and forward flexion in shoulder until fingers touch the ‘stopmark’, then return</p>	<p><Figure 7 ></p> <p><Figure 8></p>

<p>5. Standing Forward Flexion 120° (FF)</p> <p>Starting with the extended arm in neutral, fist, thumb facing forward →</p> <p>lift the extended arm in the sagittal plane until fingers touch the ‘stopmark’, then return</p>	<p><Figure 9 ></p> <p><Figure 10 ></p>
<p>6. Standing Scaption 120° (SCAP)</p> <p>Starting with the extended arm in neutral, fist, thumb facing forward →</p> <p>lift the extended arm in the scapular plane (30° anterior of the frontal plane) until fingers touch the ‘stopmark’, then return</p>	<p><Figure 11></p> <p><Figure 12></p>

4

5

Table 2: Demographics of included patients/shoulders.

44 patients	22 ♂, 22 ♀, 40 R, 4 L
50 shoulders	26 ♂, 24 ♀, 36 DS, 14 NDS
Age	68,9 Y \pm 7.7 Y (56 Y - 87 Y)
BMI	28.6 \pm 4.8 (20.3 - 39.9)
Days-post operative	99.2 d \pm 12.8 d (77 d - 144 d)

♂: male, ♀: female, Y: years, d : days, R: right-side dominant, L: left-side dominant, DS: dominant side, NDS: non-dominant side

1 Table 3: EMG results for all muscles (%MVIC) and exercises with statistical differences ($p < 0.05$) where appropriate.

	BS (1)	BSTB (2)	WS (3)	REACH (4)	FF (5)	SCAP (6)
AD	15,6±8,63	19,2±9,64	36,4±11,74 ^(1,2)	40,5±12,27 ^(1,2,3)	39,2±11,84 ^(1,2)	39,8±12,06 ^(1,2)
MD	17,8±10,02	19,3±9,54	29,2±12,21 ^(1,2)	34,2±12,64 ^(1,2,3)	36,1±17,70 ^(1,2,3)	39,4±14,30 ^(1,2,3,4,5)
PD	16,7±7,14	15,7±7,21	18,9±13,61	23,8±12,60 ^(1,2,3)	22,8±10,52 ^(1,2,3)	31,2±14,39 ^(1,2,3,4,5)
PMc	11,3±7,17	18,2±10,69 ⁽¹⁾	29,6±14,51 ^(1,2,6)	32,2±15,25 ^(1,2,6)	33,5±14,89 ^(1,2,6)	20,6±11,64 ⁽¹⁾
PMs	8,8±5,46	11,9±7,62 ⁽¹⁾	16,0±9,53 ^(1,2,6)	17,2±10,94 ^(1,2,6)	17,8±10,61 ^(1,2,6)	12,6±7,68 ⁽¹⁾
LD	11,7±6,94	12,0±9,18	14,5±11,78	15,7±10,37 ^(1,2,3,6)	15,4±10,63 ^(1,2,3)	14,1±10,07
UT	19,8 ± 10,48	21,0±12,73	36,5±12,85 ^(1,2)	40,6±13,04 ^(1,2,3)	40,8±14,73 ^(1,2)	42,9±15,97 ^(1,2,3)
MT	16,8±8,99	15,5±8,67	23,4±11,24 ^(1,2)	26,0±14,38 ^(1,2)	23,1±12,25 ^(1,2)	37,4±16,54 ^(1,2,3,4,5)
LT	9,6±5,59	8,5±5,72	30,4±15,13 ^(1,2)	30,2±14,51 ^(1,2)	27,3±12,59 ^(1,2)	34,6±11,83 ^(1,2,5)
SA	28,9±17,63	34,7±16,62	55,8±31,48 ^(1,2)	58,6±26,02 ^(1,2)	57,1±25,26 ^(1,2)	54,1±26,11 ^(1,2)

2

UT: Upper Trapezius, MT: Middle Trapezius, LT: Lower Trapezius, LD: Latissimus Dorsi, SA: Serratus Anterior, PD: Posterior Deltoid, MD: Middle Deltoid, AD: Anterior Deltoid, PMc: Pectoralis Major clavicular part, PMs: Pectoralis Major sternal part, BS: Bench Slide, BSTB: Bench Slide with TheraBand[®], WS: Wall Slide, REACH: Reaching movement, FF: Forward Flexion, SCAP: Scaption, ⁽¹⁾: significant higher to BS, ⁽²⁾: significant higher to BSTB, ⁽³⁾: significant higher to WS, ⁽⁴⁾: significant higher to REACH, ⁽⁵⁾: significant higher to FF, ⁽⁶⁾: significant higher to SCAP, MVIC: Maximal Voluntary Isometric Contraction, EMG: electromyography.

- 1 Table 4: Exercises ranked by increasing value of %MVIC for each muscle of interest. White:
 2 %MVIC 0-20, light grey: %MVIC 20-40, dark grey: %MVIC 40-60.

AD	BS	BSTB	WS	FF	SCAP	REACH
MD	BS	BSTB	WS	REACH	FF	SCAP
PD	BSTB	BS	WS	FF	REACH	SCAP
PMc	BS	BSTB	SCAP	WS	REACH	FF
PMs	BS	BSTB	SCAP	WS	REACH	FF
LD	BS	BSTB	SCAP	WS	FF	REACH
UT	BS	BSTB	WS	REACH	FF	SCAP
MT	BSTB	BS	FF	WS	REACH	SCAP
LT	BSTB	BS	FF	REACH	WS	SCAP
SA	BS	BSTB	SCAP	WS	FF	REACH

- 3 AD: Anterior Deltoid, MD: Middle Deltoid, PD: Posterior Deltoid, PMc: Pectoralis Major
 4 clavicular part, PMs: Pectoralis Major sternal part, LD: Latissimus Dorsi, UT: Upper Trapezius,
 5 MT: Middle Trapezius, LT: Lower Trapezius, SA: Serratus Anterior, BS: Bench Slide, BSTB:
 6 Bench Slide with TheraBand[®], WS: Wall Slide, REACH: Reaching movement, FF: Forward
 7 Flexion, SCAP: Scaption, MVIC: Maximal Voluntary Isometric Contraction

8

- 1 Table 5: Exact p-values for Dunn's Multiple Comparison test with Bonferroni correction after Friedman's test, with indication of highest value when
 2 statistically significant ($p < 0.05$).

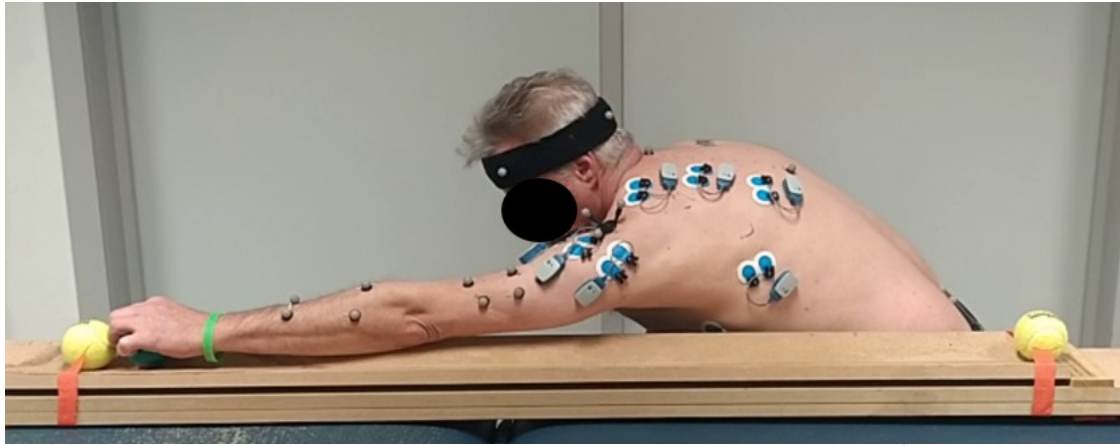
	AD	MD	PD	PMc	PMs	LD	UT	MT	LT	SA	3
BS vs BSTB	$p=1.000$	$p=1.000$	$p=1.000$	$p=0.003^{(2)}$	$p=0.002^{(2)}$	$p=1.000$	$p=1.000$	$p=1.000$	$p=1.000$	$p=0.069$	4
BS vs WS	$p=0.000^{(3)}$	$p=0.001^{(3)}$	$p=1.000$	$p=0.000^{(3)}$	$p=0.000^{(3)}$	$p=1.000$	$p=0.000^{(3)}$	$p=0.006^{(3)}$	$p=0.000^{(3)}$	$p=0.000^{(3)}$	5
BS vs REACH	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.004^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	6
BS vs FF	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.002^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.032^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	7
BS vs SCAP	$p=0.000^{(6)}$	$p=0.000^{(6)}$	$p=0.000^{(6)}$	$p=0.000^{(6)}$	$p=0.000^{(6)}$	$p=0.104$	$p=0.000^{(6)}$	$p=0.000^{(6)}$	$p=0.000^{(6)}$	$p=0.000^{(6)}$	8
BSTB vs WS	$p=0.000^{(3)}$	$p=0.004^{(3)}$	$p=1.000$	$p=0.000^{(3)}$	$p=0.001^{(3)}$	$p=1.000$	$p=0.000^{(3)}$	$p=0.000^{(3)}$	$p=0.000^{(3)}$	$p=0.000^{(3)}$	9
BSTB vs REACH	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	10
BSTBS vs FF	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	11
BSTB vs SCAP	$p=0.000^{(6)}$	$p=0.000^{(6)}$	$p=0.000^{(6)}$	$p=1.000$	$p=1.000$	$p=0.089$	$p=0.000^{(6)}$	$p=0.000^{(6)}$	$p=0.000^{(6)}$	$p=0.000^{(6)}$	12
WS vs REACH	$p=0.018^{(4)}$	$p=0.009^{(4)}$	$p=0.000^{(4)}$	$p=1.000$	$p=1.000$	$p=0.000^{(4)}$	$p=0.041^{(4)}$	$p=1.000$	$p=1.000$	$p=1.000$	13
WS vs FF	$p=0.194$	$p=0.003^{(5)}$	$p=0.000^{(5)}$	$p=1.000$	$p=1.000$	$p=0.001^{(5)}$	$p=0.634$	$p=1.000$	$p=0.921$	$p=1.000$	14
WS vs SCAP	$p=0.280$	$p=0.000^{(6)}$	$p=0.000^{(6)}$	$p=0.000^{(3)}$	$p=0.011^{(3)}$	$p=1.000$	$p=0.011^{(6)}$	$p=0.000^{(6)}$	$p=0.488$	$p=1.000$	15
REACH vs FF	$p=1.000$	$p=1.000$	$p=1.000$	$p=1.000$	$p=1.000$	$p=1.000$	$p=1.000$	$p=1.000$	$p=1.000$	$p=1.000$	16
REACH vs SCAP	$p=1.000$	$p=0.020^{(6)}$	$p=0.000^{(6)}$	$p=0.000^{(4)}$	$p=0.000^{(4)}$	$p=0.032^{(4)}$	$p=1.000$	$p=0.000^{(6)}$	$p=0.242$	$p=1.000$	17
FF vs SCAP	$p=1.000$	$p=0.049^{(6)}$	$p=0.001^{(6)}$	$p=0.000^{(5)}$	$p=0.000^{(5)}$	$p=0.347$	$p=1.000$	$p=0.000^{(6)}$	$p=0.001^{(6)}$	$p=1.000$	18

- 14 UT: Upper Trapezius, MT: Middle Trapezius, LT: Lower Trapezius, LD: Latissimus Dorsi, SA: Serratus Anterior, PD: Posterior Deltoid, MD: Middle Deltoid, AD: Anterior
 15 Deltoid, PMc: Pectoralis Major clavicular part, PMs: Pectoralis Major sternal part, BS: Bench Slide, BSTB: Bench Slide with TheraBand®, WS: Wall Slide, REACH:
 16 Reaching movement, FF: Forward Flexion, SCAP: Scaption, vs: versus (pairwise comparison), ⁽¹⁾: BS has highest value, ⁽²⁾: BSTB has highest value, ⁽³⁾: WS has highest value,
 17 ⁽⁴⁾: REACH has highest value, ⁽⁵⁾: FF has highest value, ⁽⁶⁾: SCAP has highest value

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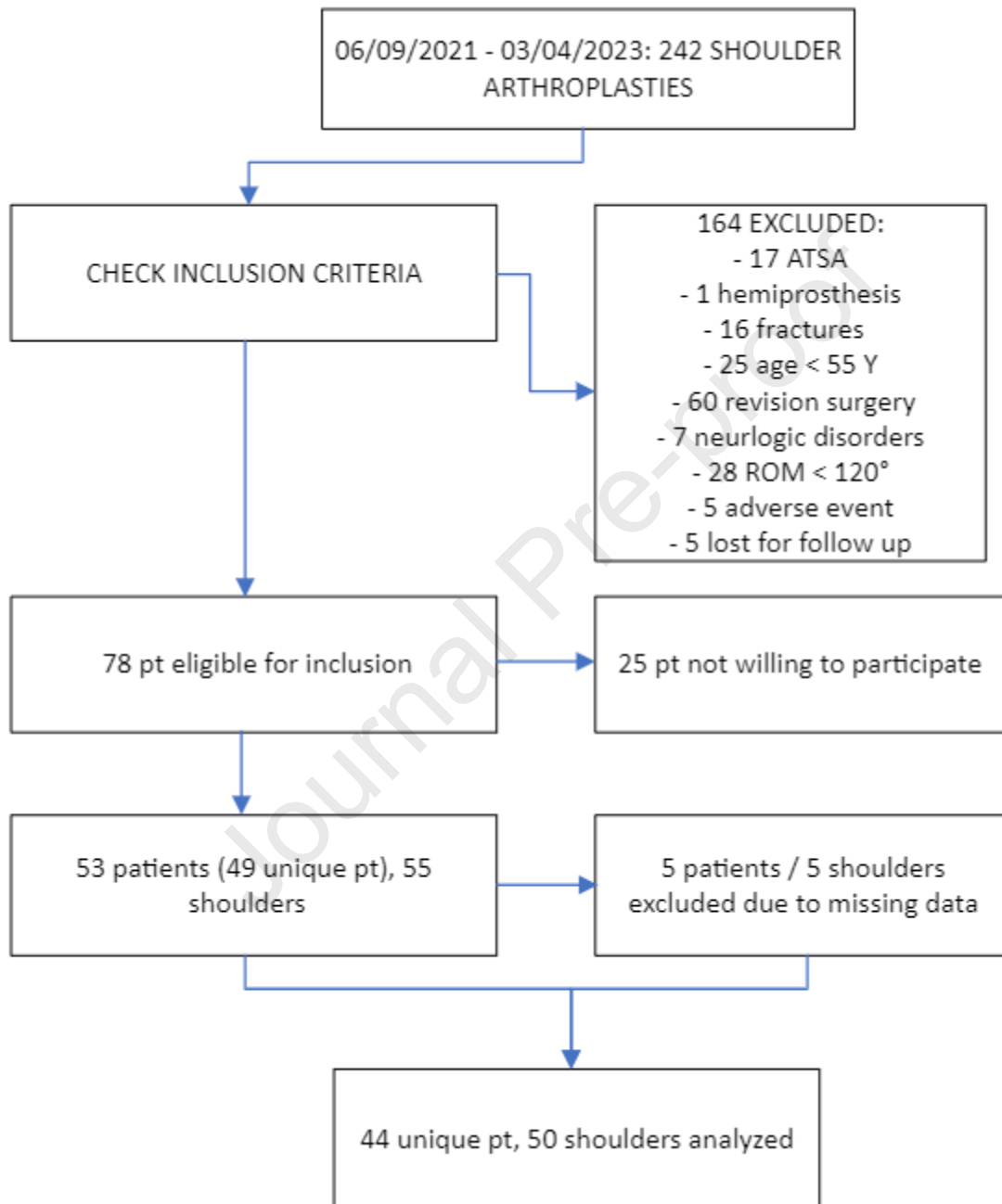


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Flowchart 1: Inclusion flowchart with number of in- and exclusion according to in- and exclusioncriteria.



ATSA: Anatomical Total Shoulder Arthroplasty, Y: Years, ROM: Range of Motion, pt: patients