

1 **Enhancing Sustainable Waste Management: Hydrothermal Carbonization of Polyethylene**
2 **Terephthalate and Polystyrene Plastics for Energy Recovery**

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12 **Abstract**

13 Hydrothermal carbonization (HTC) of single plastic polymers such as polyethylene terephthalate
14 (PET) and polystyrene (PS) has not yet been explored on a large scale, particularly their thermal
15 behavior, chemical transformations under subcritical conditions, and the energy properties of the
16 resultant hydrochar. This study investigated these aspects by employing techniques, such as
17 thermogravimetric analysis (TGA), Fourier transformed infrared spectroscopy (FTIR), elemental
18 and calorific analysis. The results show that PET hydrochar has a superior energy densification
19 (1.37) and energy yield (89%) compared to PS hydrochar (1.13, 54%). Hydrothermal
20 carbonization modifies the chemical structure of the polymers by increasing the number of
21 carbonyl groups (C=O) in PET and forming new ones in PS, and by enhancing hydroxyl groups
22 (O-H) in PET while retaining them in PS. Both materials preserve their aromatic and aliphatic
23 structures, with the introduction of alkenes groups (C=C) in the PET hydrochar. PET hydrochar
24 begins to decompose at lower temperatures (150-270°C) than PS hydrochar (242-283°C) but
25 reaches higher peak temperatures (420-585°C vs. 390-470°C), with both types achieving similar
26 burnout temperatures (650-800°C). PET hydrochar recorded a higher activation energy (121-126
27 kJ/mol) than PS hydrochar (67-74 kJ/mol) with the Mampel first-order reaction model as the best
28 fit.

29

30 **Keywords:** Plastic waste management, Sustainability, Plastic hydrochar, Circular economy,
31 Hydrothermal carbonization

32 **1. Introduction**

33 According to the United Nations Environmental Programme (UNEP), the global production of
34 plastic exceeds 430 million tons every year, of which two-thirds is used in products with a brief
35 lifespan which quickly become waste, ending up in oceans and the human food chain [1]. By 2060,
36 the world may generate an estimated billion tons of plastic waste. UNEP highlights concern over
37 chemicals linked to plastics, citing the Basel, Rotterdam, and Stockholm Conventions of the
38 International Panel on Chemical Pollution [2]. It identifies over 13,000 chemicals in plastic
39 production, with ten groups including flame retardants and phthalates posing health and
40 environmental risks. Over 3,200 substances are hazardous, impacting health and raising concerns
41 about endocrine disruption and cancer from leaching into food and water [2–4]. The presence of
42 plastics, particularly in marine ecosystems, poses severe threats to biodiversity, contributes to soil
43 and water contamination, and exacerbates aesthetic degradation of natural and urban spaces [5].
44 The production, decomposition and incineration of plastics contributes to greenhouse gas
45 emissions, impacting global climate change [6] and releases harmful toxins into the air, degrading
46 air quality and affecting respiratory health [7].

47 This wide-ranging impact necessitates a comprehensive approach in managing plastic waste [8],
48 encompassing a reduction in usage, enhanced recycling, and increased public awareness [9–13].

49 Different policies have been adopted by different countries to reduce plastic usage and improve
50 waste management strategies. Some policies adopted by South Korea include a ban on single-use
51 plastics in various establishments and the introduction of the Volume-Based Waste Fee (VBWF)
52 system utilizing RFID technology for waste disposal, along with the Extended Producer
53 Responsibility (EPR) policy requiring producers to manage the waste from their products. The

54 Resource Circulation Act (RCA) and the Plastic Waste Control Plan (PWCP) are also aimed at
55 promoting efficient resource use and reducing plastic waste [8, 14–16].

56 Despite these measures, the limitations of traditional waste management methods, such as landfill
57 and incineration, highlight the urgent need for innovative solutions [6]. The pursuit of circularity
58 in waste management has led to the innovation and adoption of several advanced technologies
59 aimed at converting waste into valuable resources [17–19]. These technologies are designed to
60 close the loop on waste, minimizing environmental impact while recovering energy and materials
61 [19–24]. Gasification transforms carbon-based materials into syngas, through high-temperature
62 reactions with controlled oxygen, useful for power generation and as a feedstock in the chemical
63 industry [25–28]. Pyrolysis thermally decomposes materials in an inert atmosphere, altering their
64 chemical composition to create products such as py-oils used for heating in boilers which can aid
65 in waste reduction and energy recovery [29–42]. Hydrothermal processing employs hot,
66 pressurized water to break down wastes into either hydrochar, gas or oil, which are value-added
67 products, and is ideal in processing wet waste without pre-drying [43–49]. These technologies are
68 integral to advancing sustainable waste management and embodying the circular economic
69 principles [28, 29, 34].

70 This study aims to explore the viability of hydrothermal carbonization (HTC) technology in
71 transforming plastic waste into a valuable resource, representing an innovative approach to waste
72 management, offering circularity in plastic waste management and energy recovery [50]. HTC is
73 a thermochemical conversion process that occurs at moderate temperatures and pressures, typically
74 between 180-250°C and 10 to 40 bar [51]. It occurs in a closed system using water as the reaction
75 medium [52], making HTC more energy-efficient and eco-friendly than similar processes such as
76 hydrothermal liquefaction or gasification [50, 53]. The role of water as a solvent in hydrothermal

77 processing is critically influenced by its dielectric constant [52], which is notably high at room
78 temperature, enabling it to dissolve many ionic and polar substances effectively. However, as the
79 temperature increases towards the critical point (374°C and 22.1 MPa), the dielectric constant
80 decreases significantly. This reduction broadens the solvation capabilities of water, allowing it to
81 dissolve non-polar substances that are typically insoluble under normal conditions [54]. At the
82 critical point, water undergoes transformative physical and chemical changes, becoming a
83 supercritical fluid that exhibits neither pure liquid nor pure gaseous properties [55], allowing it to
84 adjust its solvency according to the needs of the molecular structures it encounters [56–58]. This
85 state significantly diminishes the ability of water to stabilize charged particles [52], thereby
86 enhancing its interaction with non-polar molecules [59]. Additionally, near this point, water
87 maintains a high diffusivity like gases while its density increases, boosting its ability to penetrate
88 and dissolve non-polar molecules [58]. The increased thermal motion at these higher temperatures
89 helps overcome intermolecular forces in non-polar substances, facilitating their dissolution. While
90 HTC operates under subcritical conditions, the elevated temperatures and high pressures ensure
91 that water remains liquid, thus retaining its enhanced solvent properties [60]. The dielectric
92 properties of water at 200°C resemble those of room-temperature methanol, while at 300°C they
93 are like room-temperature acetone, and at 370°C they compare to methylene [59]. This capability
94 is crucial for breaking down complex plastic materials, as it disrupts the hydrogen bonding within
95 polymers, aiding in their decomposition into simpler molecules that eventually form hydrochar
96 [60]. In HTC, water also acts as a weak acid catalyst, promoting the hydrolysis of polymers into
97 simpler structures that undergo further chemical reactions like dehydration and decarboxylation,
98 crucial for producing stable, carbon-rich hydrochar [61, 62].

99 Some studies have successfully reported the conversion of various types of feedstocks to evaluate
100 their potential for sustainable energy recovery through HTC [50, 63]. For example, proximate
101 analysis on several feedstocks before carbonization, including polyvinyl chloride (PVC), food
102 waste, lignite, sub-bituminous and low-rank coal revealed that polyvinyl chloride (PVC) contained
103 the highest volatile matter, within the range of 93 to 95%, and also the lowest levels of fixed carbon
104 (4–6%) and ash content (0.44%)[50, 64]. By comparison, all coal varieties demonstrated
105 significantly high fixed carbon contents and the lowest volatile matter percentages, spanning from
106 34 to 59%. These coal types also had higher ash content, ranging from 2 to 9.6%, which is similar
107 with food waste (1.7–9.2%) [50, 64]. Ultimate analysis further emphasized the chemical structural
108 changes during HTC, where the mixed hydrochar developed fuel characteristics closer to
109 bituminous coal and high-quality fuel oil [64, 65]. Another study reported the heating values of
110 most hydrochars to be significantly higher than those of the individual feedstocks, with mixed
111 waste hydrochars approaching the energy content of coal [64]. In that study, PVC (20MJ/kg) was
112 blended with corncob (19MJ/kg) and the resultant hydrochar had a higher heating value (32.8
113 MJ/kg) than their respective feedstocks. This suggests that HTC not only aids in energy
114 densification but also enhances the energy recovery potential of waste polymers, making it a
115 promising technology for sustainable waste management [64]. Similar findings were found in the
116 study of Iniguez [66], where mixed plastic marine debris was decomposed and converted to
117 hydrochar with slightly improved heating value from 36 to 39 MJ/kg at varying temperatures of
118 220 to 250°C.

119 HTC provides several advantages over traditional pyrolysis and gasification, primarily due to its
120 operation at lower temperatures (180°C to 250°C), which significantly reduces the required energy
121 for heating [50, 67]. HTC efficiently processes a variety of feedstocks, including those with high

122 moisture content, eliminating the need for pre-drying and thus reducing energy and operational
123 costs. Additionally, HTC does not typically require catalysts, thus removing costs associated with
124 catalyst handling and disposal [56]. Environmental benefits include reduced emissions of volatile
125 organic compounds and greenhouse gases due to lower operating temperatures, alongside the
126 carbon sequestration capabilities of the hydrochar produced, which can help reduce atmospheric
127 CO₂ levels [51, 68, 69]. The technology operates in a water-rich environment, which helps
128 minimize the release of harmful emissions and is particularly effective in handling plastic waste,
129 converting it into usable hydrochar for energy recovery [50]. This contributes to a circular
130 economy by transforming waste into valuable products, promoting resource efficiency, and
131 facilitating compliance with strict environmental regulations due to lower emissions [51].

132 Hydrochar is used as a solid fuel in coal furnaces and cement rotary kilns, where its high carbon
133 content and calorific value are particularly advantageous. Hydrochar also plays a role in
134 environmental remediation [51], effectively removing heavy metals and halogens [70] like
135 chlorine from environments due to its strong adsorptive properties. Its adsorptive properties also
136 make hydrochar useful in water treatment processes [71], helping to adsorb contaminants and
137 improve water quality [50, 54, 72–77]. In agriculture, hydrochar is valued for soil amendment by
138 enhancing soil fertility and water retention while sequestering carbon, thus helping to reduce
139 atmospheric CO₂ levels [70, 78, 79]. Additionally, the porous structure and high surface area
140 (2300-3000m²g⁻¹) of activated hydrochar makes it a suitable precursor for carbon-based materials
141 such as supercapacitors, direct carbon fuel cell and catalysts in advanced materials technology,
142 where high reactivity and conductivity are required [50]. Hydrochar enhances energy recovery
143 from waste by improving biomethane yields through various mechanisms such as facilitating direct
144 electron transfer, providing additional organic substrates, supporting microbial growth, and

145 enhancing syntrophic microbial interactions [80, 81]. These contributions make hydrochar a
146 valuable component in the optimization of anaerobic digestion processes for more efficient and
147 higher yield biogas production [82]. Hydrochar significantly enhances volatile fatty acid
148 production and recovery in anaerobic digestion, thereby improving methane yields. This is due to
149 its ability to accelerate the solubilization and hydrolysis of organic matter, increase acidification,
150 and interact beneficially with microbial biomass. Additionally, hydrochar mitigates the inhibitory
151 effects of ammonia in anaerobic digestion processes, optimizing conditions for methanogenic
152 bacteria [80, 83, 84]. These diverse applications of hydrochar not only demonstrate its versatility
153 but also underline its contribution to sustainable waste management. By converting waste into
154 valuable products like hydrochar, HTC promotes the recycling and reuse of materials, reduces
155 reliance on landfills, minimizes environmental pollution, reduces the ecological footprint of human
156 activities, and supports a circular economy [59, 60, 78, 79].

157 HTC has been studied extensively at the laboratory scale, with a major focus on biomass
158 conversion [63, 72, 76, 78, 79, 85]. Several studies have been published as review articles which
159 highlight the potential of hydrothermal carbonization [5, 50, 54, 60, 78] while a few others studied
160 the cohydrothermal carbonization (coHTC) of biomass and PVC waste centered on the
161 dechlorination of PVC [66, 86, 87]. Some researched mixed plastic waste conversion using HTC
162 while some focused on coHTC of plastic waste and biomass [64, 88]. Among these studies, there
163 is limited knowledge on the conversion of single polymer (plastic) waste into hydrochar. Also,
164 there is limited knowledge on large-scale conversion. This study explores the possibility of
165 converting single waste plastic to hydrochar by understanding the degree of conversion, yield,
166 thermal behavior, chemical transformations, fuel quality, and chemical composition of the
167 resultant plastic hydrochar. This study used a 200-L reactor to demonstrate the possible behavior

168 in real-world waste management scenarios and establish the feasibility of large-scale conversions
169 [89].

170 This study sets out to investigate the behavior of subcritical fluids on polar and non-polar polymers
171 of similar structure, to see if it can decompose materials of different polarities. We chose to focus
172 on polyethylene terephthalate (PET), a polar aromatic polymer due to the oxygen atoms within its
173 terephthalic acid monomers, including carbonyl groups that significantly enhance its polarity, and
174 on polystyrene (PS), a non-polar aromatic polymer with carbon and hydrogen atoms with phenyl
175 rings that lack polar functional groups [14]. Annually, over 82 million metric tons of PET are
176 manufactured worldwide [90]. In 2022, the worldwide production capacity for PS was 15.44
177 million metric tons. This figure is projected to increase modestly by 2026, reaching an expected
178 production capacity of 16.75 million metric tons [91]. PET and PS possess distinct properties that
179 make HTC particularly effective for their transformation [92]. PET, a polyester composed of
180 ethylene glycol and terephthalic acid [93], features ester bonds that readily undergo hydrolysis
181 under the high temperatures and pressures in HTC, leading to breakdown into smaller, water-
182 soluble molecules that form hydrochar. PET is thermally stable [94], yet it decomposes efficiently
183 in the presence of hot, pressurized water. In contrast, PS is built from styrene monomers, forming
184 a simpler, more brittle structure with benzene rings [95] that, though less prone to hydrolysis [96,
185 97], may thermally decomposed in HTC conditions. This process modifies or removes benzene
186 rings, producing simpler carbon structures that also form hydrochar. The hydrochar derived from
187 both PET and PS boasts high carbon content and stability, with properties like increased porosity
188 that make it valuable for energy production and soil amendment (if completely decomposed). This
189 conversion process does not only manage plastic waste but also promotes environmental

190 sustainability through carbon sequestration, highlighting the role of HTC in mitigating the
191 ecological impacts of plastic waste [50].

192 Despite these properties, hydrothermal carbonization of single plastic polymers such as
193 polyethylene terephthalate (PET) and polystyrene (PS) has not yet been studied on a large scale,
194 particularly regarding their thermal behavior, chemical transformations under subcritical
195 conditions, and energy properties of the resultant hydrochar. As such, this study employed a variety
196 of analytical techniques to thoroughly evaluate the properties of hydrochar derived from PET and
197 PS plastic wastes. These methods included proximate analysis to determine the moisture, volatile
198 matter, fixed carbon, and ash content of the hydrochar, essential for understanding its overall
199 composition and quality. Ultimate analysis is used to provide a detailed elemental breakdown
200 (carbon, hydrogen, nitrogen, sulfur, and oxygen), crucial for assessing the environmental impact
201 of hydrochar and its suitability for energy applications. Calorific analysis measures the energy
202 content of the hydrochar, indicating its potential as an alternative energy source. Fourier Transform
203 Infrared Spectroscopy (FTIR) is utilized to identify functional groups and analyze the chemical
204 structure of the hydrochar, which aids in understanding its chemical stability and potential
205 applications. Thermogravimetric/derivative thermogravimetric (TG/DTG) methods are employed
206 to quantitatively analyze the thermal stability and decomposition patterns of the plastic materials
207 under study, providing data on the mass loss and rate of decomposition at various temperatures.
208 This information is crucial in determining the optimal conditions for HTC and predicting the
209 behavior of plastics under scaled-up conditions. The results from these techniques provide a
210 comprehensive profile of hydrochar derived from PET and PS waste. High-quality hydrochar with
211 favorable chemical and physical properties can be leveraged in various sustainable waste
212 management and energy recovery initiatives. From an energy recovery perspective, its high

213 calorific value and advantageous elemental composition make hydrochar a viable solid fuel
214 alternative that could lessen reliance on non-renewable energy sources.

215 A follow-up study will further investigate wastewater (condensed steam), energy balance,
216 optimization of process conditions, feasibility, techno-economic and life cycle analysis.

217

218 **2. Materials and Methods**

219 **2.1. Reactor description**

220 The HTC batch reactor is used for processing. The reactor is made of high pressure and acid-
221 resistant alloy steel which ensures durability and safety. The pilot reactor has an inbuilt automated
222 system with temperature and pressure sensors for high pressure regulation. There is one inlet for
223 waste feeding (Fig. 1D) and one outlet for hydrochar discharge (Fig. 1E). To enhance proper
224 distribution of heat across the waste material, the pilot plant has three independent heaters (coiled
225 around the reactor and covered with light steel), where two are located at the different ends of the
226 reactor and one at the center (Fig. 1D). The reactor has a total power or energy consumption of
227 125kWh (25kW for 5 hours) and is designed to withstand a pressure of 30 bar and a temperature
228 of 280°C. Inside the reactor, shovels are used for agitation at a maximum speed of 100 rpm (Fig.
229 1J).

230 **2.2 Sample preparation**

231 This study is focused on polyethylene terephthalate (PET) bottle waste and polystyrene (PS) waste.

232 The single plastic waste samples were sorted from waste bins in Sejong, South Korea. The mass
233 of the plastic waste was measured, and the gross volume taken. Single (homogenous) plastic
234 wastes were then fed into the reactor and one liter of tap water added. The waste was then subjected

235 to hydrothermal carbonization (200-L pilot plant). The experiments were carried out separately for
236 PET and PS.

237 Several preliminary test experiments were performed and basic conditions for complete
238 decomposition were established. The maximum operating temperature in this study was 200°C
239 and a pressure limit of 20 bar. At 200°C, the vapor pressure of water was about 15.5 bar which
240 ensures water remains in its liquid phase throughout the process, which is crucial for hydrothermal
241 carbonization. Maintaining water in a liquid state helps to facilitate the necessary chemical
242 reactions for decomposing and transforming the plastic material. The liquid medium acts as a
243 solvent and catalyst, enhancing the hydrolysis and subsequent reactions that lead to the formation
244 of hydrochar.

245 The time to reach the desired maximum temperature was between 30 mins and the maximum
246 pressure was around 50 mins. The agitation speed was maintained at 30 rpm and the reaction time
247 was 30 minutes and 3 hours of processing time (Fig. 2).

248 During the HTC process, waste was constantly stirred by shovels to ensure even heat distribution
249 and consistency. Once the waste was fully decomposed, the gas valve was opened to release steam
250 into the condenser. After decomposition, the hydrochar was air dried, crushed, and sieved to obtain
251 500 µm particle size. The refined hydrochar was then ready for chemical and thermal analysis
252 using FTIR and TGA. The feedstock samples were labeled PET plastic and PS plastic and the
253 processed samples labelled PET hydrochar and PS hydrochar respectively.

254 **2.3. Hydrochar yield**

255 The hydrochar yield is a measure of the efficiency of the plastic waste conversion process. It is
256 calculated based on the mass of the feedstock and the mass of the resulting hydrochar [98], see
257 Eq. (1):

258 Hydrochar Yield (%) = $\frac{\text{mass of hydrochar}}{\text{mass of plastic waste}} \times 100$ (1)

259 **2.4. Fuel quality parameters**

260 **2.4.1. Proximate analysis**

261 Proximate analysis was determined using modified ASTM D7582 standard method, where 99.999%
262 N₂ of 3 L/min flow rate was used for moisture and volatile matter determination and 99.999% O₂
263 gas of 3.5 L/min for ash determination. Fixed carbon was then calculated by subtracting the total
264 of moisture, ash, volatile matter from 100 [98]. The atomic ratios of H/C and O/C were calculated
265 from elemental analysis results, see section 2.4.2, and the fuel ratio was calculated by dividing the
266 percentage of fixed carbon with that of the volatile matter.

267 **2.4.2. Ultimate analysis**

268 Elemental analysis provided information of the elemental composition of the hydrochar which is
269 useful for fuel applications. Carbon, hydrogen, oxygen, nitrogen, and sulphur were determined
270 with the Thermo Scientific™ FlashSmart™ Elemental Analyzer from the research institute of
271 standard analysis at Inha University, South Korea. The ultimate analysis is used to calculate the
272 atomic ratios for hydrogen:carbon ratio (H/C) and oxygen:carbon ratio (O/C) which is used in the
273 van Krevelen diagram.

274 **2.4.3. Heating values**

275 The heating values (MJ/kg) were determined using a bomb calorimeter. This was done by the Fiti
276 testing institute, Daejeon, South Korea using the IKA C 2000 series model which measures the
277 higher heating value (HHV) of fuels under precise operating conditions such as oxygen pressure
278 (20-30 atm), ignition by an electric wire, and temperature measurement. Key parameters include
279 the sample mass, the calorimeter water equivalent, the temperature rise post-combustion, and

280 calibration against a standard like benzoic acid. These aspects ensure accurate energy content
281 assessment in various fuels.

282 **2.4.4. Energy densification**

283 Energy densification refers to the increase in energy content per unit volume or mass through a
284 process such as hydrothermal carbonization [98–100]. It is calculated with Eq. (2):

$$285 \text{ Energy densification} = \frac{\text{HHV of hydrochar}}{\text{HHV of feedstock}} \quad (2)$$

286 **2.4.5. Energy yield**

287 Energy yield represents the percentage of energy from the original feedstock that is retained in
288 the hydrochar after the conversion process [98]. It is calculated with Eq. (3):

$$289 \text{ Energy Yield} = \text{Hydrochar yield} \times \text{Energy densification} \quad (3)$$

290 **2.5. Determination of Chemical Transformations with FTIR**

291 FTIR provides essential information on the functional groups and chemical bonding in the raw
292 plastics and the resulting hydrochar, aiding in understanding the chemical changes during the HTC
293 process. The plastic waste was shredded into 2 mm thickness and the hydrochar was sieved into
294 500 μm . The FTIR analysis was done with a Thermo Fisher ScientificTM, NicoletTM IS.10, USA at
295 the organic chemistry laboratory lab of Inha University research institute of standard analysis,
296 South Korea. The functional groups on the surface of the solid samples were identified through
297 FTIR analysis, employing KBr pellets. For each pellet, a mixture was created using 1 mg of the
298 solid sample and 100 mg of KBr [98, 100]. This mixture was thoroughly combined and then
299 compressed into a pellet at a pressure of 2 MPa for a duration of 8 minutes. The analysis conducted
300 16 scans across a spectrum ranging from 400 to 4000 cm^{-1} . The results were displayed using the
301 OPUS TOUCH FT-IR spectroscopy software.

302 **2.6. Thermal analysis with thermogravimetric analyzer (TGA)**

303 TGA assesses the thermal stability and degradation of the samples under high temperatures, crucial
304 for evaluating the quality of hydrochar and potential applications. The combustion temperatures,
305 indices and activation energies provide useful information on the recyclability of the HTC
306 technology.

307 TGA was performed using the TGA801 thermogravimetric analyzer with cornerstone Brand
308 software version 3.1.x, part Number 200-795,02/2023 containing 20 carousel locations. Samples
309 were analyzed using a modified ASTM D7582 method and three different heating rates of 5°C/min,
310 10°C/min, and 19°C/min were employed and nitrogen gas was used as the heating atmosphere
311 with operating pressure of 35 psi and a flow rate 3 L/min. The maximum furnace temperature was
312 800°C. The drying temperature was set at 105°C, starting at room temperature (~ 25°C), with a
313 hold time of 10 minutes and the heating temperature was set from 105 to 800°C with a heating rate
314 of 10°C/min and no hold time. The TGA curve was obtained by plotting the 100- mass change %
315 column versus temperature (°C) obtained from the TGA data. The DTG curve is plotted as the first
316 derivative mass against temperature.

317 **2.7. Combustion properties**

318 In thermogravimetric analysis (TGA), various temperatures such as onset temperature (T_i), peak
319 temperature (T_{max}), and burnout temperature (T_b) are critical in understanding the thermal behavior
320 of a material. The onset temperature is the temperature at which a specific thermal event begins.
321 For instance, in a TGA curve, it could be the start of a mass loss due to decomposition. On a TGA
322 curve, it is identified as the point where the baseline starts to deviate. The peak temperature refers
323 to the temperature at which the rate of a thermal event (like decomposition or reaction) is at its
324 maximum. It is the apex of a peak on a DTG curve, corresponding to the peak point where the rate

325 of weight loss is the highest. The burnout temperature is the temperature at which the combustion
326 or decomposition process is essentially complete. On a TGA curve, it is identified as the point
327 where the mass loss curve levels off and returns to a baseline, indicating the end of significant
328 mass loss.

329 **2.8. Kinetic analysis**

330 **2.8.1. Model free (non-isothermal conversions) kinetic analysis**

331 The Flynn-Wall-Ozawa (FWO), The KAS (Kissinger-Akahira-Sunose) and the Starink method are
332 among the several non-isothermal conversion methods used in determining the activation energy
333 without necessarily knowing the intercept or other constants. The equations assume that the rate
334 of reaction at a constant degree of conversion (α) is only a function of temperature and not heating
335 rate. These approaches are advantageous because they do not require the assumption of a specific
336 reaction model. For each heating rate, temperature data points corresponding to the degree of
337 conversions (α) were selected. The conversion degree of 0.1 to 0.9 was determined using Eq. (4):

$$338 \quad \alpha = \frac{m_0 - m_f}{m_0 - m_t} \quad (4)$$

339 where m_0 is the initial mass before the reaction, m_t is the mass at a particular time t and m_f
340 represents the remaining mass after complete decomposition.

341 The FWO equation is shown in Eq. (5):

$$342 \quad \ln(\beta) = \ln\left(\frac{AE_a}{Rg(\alpha)}\right) - 5.3305 - 1.052 \frac{E_a}{RT} \quad (5)$$

343 At each selected conversion rate, $\ln(\beta)$ was plotted against $1/T$ and a linear regression performed.

344 The KAS equation is given in Eq. (6):

$$345 \quad \ln\left(\frac{\beta}{T^2}\right) = \ln\left(\frac{A}{E_a g(\alpha)}\right) - \frac{E_a}{RT} \quad (6)$$

346 A plot of $\ln\left(\frac{\beta}{T^2}\right)$ versus $1/T$ for each level of conversion degree is made to obtain the activation
347 energy.

348 Compared to other non-isothermal conversional methods, the Starink method is known for
349 providing more accurate estimates of activation energy, particularly at lower levels of conversion.

350 The Starink method is beneficial for materials or compounds where the reaction mechanisms are
351 not well understood, see Eq. (7):

$$352 \ln\left(\frac{\beta}{T^{1.92}}\right) = -1.008 \frac{E_a}{RT} + \text{Constant} \quad (7)$$

353 The slope of the linear regression line (m) is calculated from the plot of $\ln\left(\frac{\beta}{T^{1.92}}\right)$ vs $1/T$ for each
354 conversion level.

355 These plots are crucial for understanding the kinetic behavior of these materials at a significant
356 point in their thermal decomposition process. From linear regression the slope (m) was obtained,
357 and the activation energy was calculated using Eq. (8):

$$358 E_a = -m \cdot R \quad (8)$$

359 Plots of activation energy with conversion rates are produced to visualize the energy barrier or
360 energy requirement at each stage of the decomposition process.

361 In the above equations, β is the heating rate, T is the absolute temperature at the specific conversion
362 level, A is the pre-exponential factor, E_a is the activation energy, $g(\alpha)$ is the integral function and
363 R is the gas constant. The slope of this plot is used to calculate the activation energy for that
364 specific conversion level. By repeating the analysis for different levels of conversion, a range of
365 activation energies for the process can be obtained. The values for the activation energies using
366 the three-model free iso conversion methods (FWO, KAS, and Starink) for different materials were
367 obtained from the plots of the left side of the Eqs. (5) to (7) vs $1000/T$ (Fig. S1-S3).

368 **2.8.2. Model fitting kinetic analysis**

369 In accordance with the International Confederation for Thermal Analysis and Calorimetry (ICTAC)
370 Kinetics Committee's recommendations [101] for performing kinetic analysis in thermal analysis,
371 Eq. (9) is used to calculate the function $G(\alpha)$ which is involved in the analysis of non-isothermal
372 kinetic data,

$$373 \quad \ln[G(\alpha)] = \ln\left(\frac{AE_a}{R\beta}\right) - 2.315 - 0.4567 \frac{E_a}{RT} \quad (9)$$

374 Where $G(\alpha)$ is the integral function related to the specific reaction mechanism, see [Table 1](#), and
375 the extent of conversion α .

376 Plotting $\ln [G(\alpha)]$ versus $1000/T$ will give a slope relevant for activation energy (E_a) determination
377 across the three heating rates [102]. The R^2 values are calculated and will be used to select the best
378 model that fits our TGA data. When the R^2 values are either identical or closely resembling each
379 other, or when the presence of noise leads to potential overfitting or underfitting, the average
380 activation energies generated by the model across three different heating rates are utilized as a
381 reference point for comparison with the chosen model-free iso-conversion techniques. The model
382 with the smallest difference or deviation is chosen as the best model for that sample.

383

384 **3. Results and Discussion**

385 **3.1. Hydrochar yield**

386 The hydrochar process is efficient in converting both PET and PS plastics, with high yields and
387 significant volume reductions. PET hydrochar has a higher yield (65.1%) than PS hydrochar
388 (47.9%), see [Table 2](#). This might be due to variations in the chemical structure and properties of
389 the plastics. Both hydrochars showed notable volume reduction, especially for PS hydrochar,

390 which volume reduced by 98.6%. This is a substantial compaction of the material, which is
391 beneficial for reducing the space required for waste storage and disposal.

392 **3.2. Fuel quality evaluation**

393 PET hydrochar has a higher moisture content (1.39%) compared to PS hydrochar (0.39%), as
394 shown in Table 3. While both values are relatively low and indicate that minimal energy will be
395 lost to moisture evaporation during combustion, PS hydrochar is slightly more advantageous in
396 this regard. Both hydrochars have high volatile matter content, with PET hydrochar at 85.2% and
397 PS hydrochar at 87.9%. This suggests that both hydrochars will easily ignite and combust, which
398 is beneficial for energy recovery processes. However, this can become a problem if the volatile
399 matter composes harmful gases such as NO_x and SO_x [103]. The absence of sulfur (not detected)
400 in the ultimate analysis is particularly beneficial for the environment, as it suggests that burning
401 these hydrochars would not produce significant sulfur dioxide emissions, which are harmful
402 pollutants. PET hydrochar has a lower ash content (1.98%) compared to PS hydrochar (5.13%). A
403 lower ash content is preferred for combustion applications as it means less residual material after
404 burning and reduces the risk of slagging and fouling in boilers and furnaces. The fixed carbon for
405 PET plastic and PS plastic are 12.9 and 0% respectively, in line with findings of Lei Dai et al.,
406 [104] who reported 13.2 and 0% respectively. The higher fixed carbon in PET hydrochar (11.4%)
407 compared to PS hydrochar (6.55%) suggests that PET hydrochar might have a slightly higher
408 energy content available for combustion, although this is contradicted by the higher heating value
409 of PS hydrochar. The ultimate analysis of the plastic samples, especially PET, agree with the
410 findings of [105].

411 PS hydrochar has a higher HHV (55.2 MJ/kg) compared to PET hydrochar (30.7 MJ/kg). This
412 implies that PS hydrochar can release more energy upon complete combustion and, therefore, may

413 be a more efficient fuel in terms of energy output yielding more energy per unit mass [106]. These
414 results agree with several reports cited in Bardhan et al. [107], where most hydrochar have a higher
415 heating value compared to their feedstock, where PET hydrochar records a 37% increase and PS
416 hydrochar a 13% increase. The heating value is a critical indicator of the potential energy content
417 of a fuel [108].

418 The H/C and O/C atomic ratios are considered when evaluating the quality of fuel for combustion
419 processes. The assessment of the molar ratios of hydrogen to carbon (H/C) and oxygen to carbon
420 (O/C) are key factors in identifying the deoxygenation level and aromatic nature of hydrochar. A
421 higher H/C ratio signifies a lower aromatic content, while a higher O/C ratio indicates reduced
422 hydrophobicity and increased polarity in the hydrochar [107, 109].

423 The PS hydrochar has a slightly higher H/C ratio (0.12) than the PET hydrochar (0.09), which
424 would typically suggest a lower degree of carbonization [68] and potentially less energy content.
425 However, the higher HHV of the PS hydrochar indicates that it may contain other structural
426 features that enhance its energy content. The O/C ratios of the PET hydrochar at 0.53 and the PS
427 hydrochar at 0.10 indicates that they both have a low degree of oxidation, which is beneficial for
428 a higher energy yield [110, 111]. This is evident in the higher heating values of PS hydrochar
429 compared to PET hydrochar. Assuming there is complete decomposition with no microplastic, the
430 PS hydrochar would be much more stable in soils due to its lower O/C ratio compared to the PET
431 hydrochar [68]. Fig. 3 shows the van Krevelen diagram, where the PS hydrochar is closer to the
432 anthracite region [112], indicating the possibility of performing well in coal kilns. The position of
433 PS hydrochar on the van Krevelen diagram suggests it is carbon-rich and somewhat hydrogenated,
434 but with minimal oxygen content. The upward/right movement (indicating an increase in both H/C
435 and O/C ratios) can be interpreted as a mild incorporation of both hydrogen and oxygen relative

436 to carbon. This could be due to a combination of hydrogenation and slight oxidation processes
437 during the formation of the hydrochar [113]. The hydrochar becomes slightly more oxidized than
438 its feedstock but retains a high level of carbon, which is beneficial for energy yield. The PET
439 hydrochar has a moderate O/C ratio and a lower H/C ratio. The position on the van Krevelen
440 diagram indicates a higher level of oxygenation compared to the PS hydrochar. The upward/left
441 movement (increasing H/C ratio and decreasing O/C ratio) suggests an increase in hydrogenation
442 or a reduction in the oxygen content of the material leading to an increase in energy yield due to
443 the higher proportion of combustible hydrogen [113].

444 **3.3. Fuel ratio**

445 PET hydrochar had a higher fuel ratio (0.15) compared to the PS hydrochar (0.07). The results
446 show that PS hydrochar has a higher ignition behavior than PET hydrochar. It also implies that
447 PET hydrochar can maintain a better stable combustion process than PS hydrochar, although the
448 values are still below the stable combustion range of 0.9 to 1.5 [69].

449 **3.4. Energy densification ratio**

450 **3.4.1. Energy densification and energy yield**

451 A higher energy densification value indicates a more efficient conversion of the feedstock into a
452 high-energy-density fuel [114, 115]. The energy densification value for PET hydrochar is 1.37
453 (Table 3), which suggests that the hydrothermal carbonization process has significantly increased
454 the energy density of the original PET material. The PS hydrochar also improved the energy
455 density of the original PS material, with an energy densification value of 1.13, although this was
456 not as much as for the PET hydrochar. The results suggest that, in terms of energy densification,
457 PET hydrochar has a superior performance compared to PS hydrochar. This could be due to the

458 conditions under which hydrothermal carbonization was conducted, or the presence of catalytic
459 agents that might favor the reaction for PET.

460 Energy yield represents the percentage of energy from the original feedstock that is retained in the
461 hydrochar after the conversion process [116, 117]. An energy yield of 89.1% indicates that a high
462 proportion of the energy content of the original PET material is preserved in the hydrochar form,
463 which is excellent for energy recovery purposes. An energy yield of 54.3% for PS is lower than
464 that of PET hydrochar, suggesting that a significant portion of the original energy content of PS
465 was lost during the conversion process. The high energy yield of PET hydrochar implies that the
466 process used to convert PET into hydrochar is highly efficient, retaining most of the energy content
467 from the original material. This is a desirable feature in energy applications because it means less
468 raw material is needed to produce the same amount of energy, which can improve the sustainability
469 and economic viability of the process. For PS hydrochar, the energy yield is over 50%, which is
470 still significant, but it indicates that the process is less efficient compared to PET. This might make
471 PS hydrochar less attractive from an economic standpoint in which the costs of processing may
472 not justify the energy content of the product.

473 **3.4.2. Implications for energy applications and environmental impact**

474 When considering the use of hydrochar in energy applications, both values for densification and
475 yield are important. PET hydrochar is more likely to be the preferred choice for applications where
476 a high energy content is required from a relatively small volume or mass of fuel. However, PS
477 hydrochar might still be considered for energy applications, particularly if the lower yield is offset
478 by other factors, such as availability, lower feedstock costs, or if the process results in other
479 beneficial properties such as improved handling characteristics or reduced emissions. The process

480 of converting waste plastics into hydrochar can be considered as a form of waste-to-energy, which
481 carries a significant potential to reduce the environmental impact of plastic waste.

482 The high energy yield of PET hydrochar suggests that the process is more efficient compared to
483 PS hydrochar and could contribute to waste reduction efforts. PET hydrochar shows superior
484 energy densification and yield, making it an excellent candidate for energy recovery.

485 **3.4.3. Hypothetical waste conversion scenario**

486 Energy densification refers to the process of increasing the energy content per unit volume or mass.

487 The energy densification value for PET hydrochar is 1.37, i.e., the energy content per unit mass
488 compared to the original plastic waste increased by 1.37. The PS hydrochar showed an energy
489 densification of 1.13. Practically, if 5,000 tons of plastic waste is processed, the total energy
490 content of the PET hydrochar produced would be equivalent to 5,000 tons of PET plastic waste
491 multiplied by the energy densification factor ($5,000 * 1.37$), assuming a linear scale-up.

492 Energy yield is a measure of the percentage of the original energy content of the feedstock that is
493 retained in the final product after the HTC process. It reflects the efficiency of the process in terms
494 of energy conservation. The energy yield values indicate that 89.1% of the original energy content
495 in the PET waste is retained in the PET hydrochar and 54.3% of the original energy content in PS
496 plastic waste is retained in the PS hydrochar. This implies that the HTC process is more efficient
497 for PET plastic, as a higher percentage of the original energy content is conserved. If an HTC
498 facility processes 5,000 tons of plastic waste daily, it can be hypothesized that the facility would
499 generate PET hydrochar with an energy content 36.9% higher than the original plastic mass, and
500 89.1% of the original energy would be retained while the facility would produce PS hydrochar
501 with an energy content 13.3% higher than the original plastic, but only 54.3% of the original energy
502 would be conserved.

503 **3.5. Chemical transformations**

504 **3.5.1. PET plastic and its hydrochar**

505 **O-H stretch (alcohols/phenols)**

506 **Table 4** shows the peaks and their transmittance expressing the chemical composition of the
507 plastics and their hydrochar. PET hydrochar exhibits multiple O-H stretch peaks (3433, 3551, 3541,
508 3593, 3339, 3302, and 3233 cm^{-1}) with transmittances between 0.36 and 0.28 (**Fig 4.a**), indicative
509 of hydroxyl groups or moisture [118, 119]. In contrast, PET plastic shows a significant reduction
510 in the O-H stretch peak at 3451 cm^{-1} (transmittance: 0.14) (**Fig. 4b**). PET plastic is composed of
511 long chains of ethylene glycol and terephthalic acid linked by ester bonds and during
512 polymerization, these components react to form ester linkages, which consume hydroxyl groups
513 from ethylene glycol, integrating them into the structure and releasing water as a byproduct. This
514 results in a dense, stable polymer structure with minimal free hydroxyl groups, as most potential
515 reactive sites are used up in forming the ester bonds. Hydrochar, in contrast, is produced through
516 hydrothermal carbonization of PET, a process that involves heating PET in water under elevated
517 temperatures and pressures. This environment leads to partial hydrolysis of the ester bonds,
518 breaking them down into alcohol and acid components and generating new hydroxyl groups.
519 Additionally, the interaction of water with the fragmented polymer chains at high temperatures
520 facilitates the further incorporation of hydroxyl groups into the carbon framework of the hydrochar.
521 Thus, while PET plastic has few hydroxyl groups due to its stable and dense ester-linked structure,
522 PET hydrochar is enriched with hydroxyl groups, owing to the breaking and reforming of chemical
523 bonds during hydrothermal carbonization.

524 **C=O stretch (carbonyls/esters)**

525 PET plastic displays increased transmittance in 1717 and 1690 cm^{-1} (0.45 and 0.38, respectively),
526 implying a decrease in carbonyl content, [120]. In PET hydrochar, C=O stretch peaks appear at
527 1726, 1740, 1691, and 1711 cm^{-1} with around 0.27 transmittance, characteristic of the ester
528 functional groups [120] in the PET backbone [121, 122]. Through hydrothermal carbonization, the
529 breakdown and rearrangement of chemical bonds can lead to an altered configuration that exposes
530 or generates more functional groups, including carbonyls. The hydrothermal process likely
531 facilitates the hydrolysis and partial oxidation of ester bonds, leading to an increased carbonyl
532 content in the resulting hydrochar.

533 **C=C stretch (alkenes)**

534 In PET hydrochar double carbon bond (C=C) stretches occurred in the region of 1632, 1616, 1641,
535 and 1582 cm^{-1} , also with transmittances around 0.27 to 0.28, which are indicative of the alkenes
536 present in the terephthalate units [123]. However, it is absent in PET plastic. PET is a stable
537 polyester composed of terephthalic acid and ethylene glycol linked by ester bonds, featuring
538 aromatic rings that do not typically exhibit C=C stretches due to their saturation within the ester
539 linkage structure. However, under the conditions of HTC, the ester bonds in PET partially break
540 down, leading to depolymerization that liberates parts of the molecule. This depolymerization,
541 along with subsequent decarboxylation and dehydration reactions, removes oxygen groups and
542 can lead to the formation of unsaturated compounds through elimination processes. Additionally,
543 the thermal conditions and dehydration facilitate aromatization and cyclization, which can further
544 result in the formation of new aromatic rings and unsaturated structures, including alkenes. This
545 is evidenced by the detection of C=C stretches at 1632, 1616, 1641, and 1582 cm^{-1} in PET
546 hydrochar, indicating not only the presence of new olefin groups but also suggesting a restructuring

547 or increased exposure of unsaturated groups originally embedded within more complex systems
548 in the PET.

549 **C≡N stretch (nitriles)**

550 A specific peak at 2257 cm⁻¹ was identified in PET hydrochar suggesting the presence of nitriles.
551 This peak did not appear in PET plastic, indicating possible modification or addition of nitrile
552 groups during HTC. Under hydrothermal conditions, the presence of nitrogen in the system (either
553 originating from additives, contaminants, or residual catalysts involved in original polymerization
554 of the original PET) can interact with decomposed or rearranged carbon structures to form nitrile
555 groups. It can also be influenced by environmental factors within the reactor, such as pH and the
556 availability of nitrogen sources, which facilitate the integration of nitrogen into the carbon matrix,
557 resulting in the creation of new functional groups in the hydrochar.

558 **C-H stretch (alkanes)**

559 In PET plastic the C-H stretch peaks in the alkane region at 2924, 2964, 2851, and 2880 cm⁻¹ have
560 much lower transmittance values (0.14 to 0.12) compared to PET hydrochar with peaks around
561 2808, 2893, and 2961 cm⁻¹ with higher transmittance values around 0.26 to 0.32 due to the
562 methylene groups in the ethylene part of PET. The increased transmittance (and thus decreased
563 absorbance) in the hydrochar suggests a looser structural arrangement or an increased exposure of
564 the methylene groups. This change is likely a result of the hydrothermal carbonization process,
565 which breaks down the original PET structure, leading to partial degradation or rearrangement of
566 the polymer chains. This process makes certain functional groups like the methylene chains more
567 accessible and detectable by infrared spectroscopy, whereas this higher absorbance in PET plastic
568 suggests that the methylene groups within the polymer matrix are more densely packed or less

569 accessible to infrared radiation, typical of a more tightly bound and intact macromolecular
570 structure.

571 **C=C stretch (aromatic rings) and C-H stretch (aldehydes)**

572 PET plastic, characterized by its aromatic nature due to the presence of stable terephthalic acid
573 benzene rings, undergoes significant changes during hydrothermal carbonization to become PET
574 hydrochar. Initially, in PET, aromatic compounds are linked with ethylene glycol through ester
575 bonds, creating a polymer with a strong aromatic backbone. However, HTC induces several
576 chemical alterations, impacting the dynamics of functional groups and the aliphatic content. In
577 PET hydrochar, the emergence of unique spectral peaks, such as the C=C stretch at 1526 cm^{-1} and
578 the C-H stretch at 2762 cm^{-1} , signifies notable modifications. The 1526 cm^{-1} peak indicates the
579 alteration or formation of new aromatic bonds possibly through the breakdown and reorganization
580 of original ester linkages. Meanwhile, the 2762 cm^{-1} peak, typically linked to aldehyde groups,
581 suggests the formation of new carbonyl functionalities due to decarboxylation and dehydration
582 reactions during HTC, highlighting the transformation from the original structure to a new
583 structure (hydrochar) [123, 124].

584 **3.5.2. PS plastic and its hydrochar**

585 **O-H stretch (alcohols/phenols)**

586 PS plastic shows a slightly lower transmittance of 0.06 at a lower wavenumber of 3435 cm^{-1} . PS
587 hydrochar shows a slightly higher transmittance of 0.07 at 3437 cm^{-1} . This indicates that HTC
588 causes a minor shift. However, the hydroxyl groups are retained [125].

589 **C=O stretch (carbonyls/esters/ketones)**

590 PS hydrochar has a peak at 1732 cm^{-1} with a transmittance of 0.05. During the hydrothermal
591 carbonization process of polystyrene (PS), the formation of a new carbonyl group at 1732 cm^{-1}

592 could be indicative of oxidative reactions affecting the aromatic rings and side chains of the styrene
593 units [126], [127]. Furthermore, the hydrothermal conditions foster the formation of new
594 functional groups such as carbonyls, potentially through the action of hydroxyl radicals or oxygen
595 attacking the aromatic structures, leading to the creation of ketonic groups.

596 **C=C stretch (alkenes)**

597 In PS plastic, the peaks observed at 1686, 1647, 1637, and 1603 cm^{-1} with varying transmittances
598 (0.06, 0.03, 0.03, 0.02) are characteristic of the aromatic C=C stretches within the benzene rings
599 of the styrene units. These peaks indicate the presence of stable aromatic structures that are typical
600 of untreated PS. In contrast, PS hydrochar shows C=C stretch peaks at slightly different positions:
601 1601, 1583, and 1639 cm^{-1} , with transmittances of 0.07, 0.03, and 0.03, respectively. During HTC,
602 the high temperatures and pressurized water environment can lead to a structural rearrangement
603 within the PS, potentially altering the locations of double bonds within the aromatic rings. This
604 rearrangement also contributes to the formation of new aromatic compounds, thereby altering the
605 original absorption characteristics. Also, the thermal and chemical stress from the HTC conditions
606 can induce breaks in the polymer chains resulting in the formation of new double bonds and
607 modifications to the aromatic rings [122].

608 **C-H stretch (alkanes)**

609 PS plastic shows wavenumbers of 2920 and 2851 cm^{-1} with transmittance of 0.03 and 0.02,
610 respectively, while PS hydrochar shows wavenumbers at 2922 and 2851 cm^{-1} with transmittance
611 of 0.08 and 0.07, respectively. This shows the C-H stretches were maintained during the
612 conversion with a decrease in absorption.

613 **Aromatic ring stretch (C=C)**

614 PS plastic shows transmittance values (0.01-0.02) at 1576, 1560, 1543 and 2721 (0.01) cm^{-1} , which
615 likely correspond to specific aromatic vibrations within the styrene polymer units. PS hydrochar
616 shows transmittance values (0.01) at 1541 and 2725 cm^{-1} . This observation indicates that although
617 HTC modifies the PS polymer structure, the core aromatic and characteristics are still detectable,
618 implying that the process may primarily alter the polymer superficially [127] [125].

619 **Aldehyde C-H stretch (aldehydes)**

620 This peak (2721cm^{-1}) appears only in the PS plastic with a transmittance of 0.01.

621 **C \equiv N stretch (nitriles)**

622 There is a strong peak in PS hydrochar at 2255 cm^{-1} . This peak is absent in the PS plastic,
623 suggesting that any nitrile groups present in the hydrochar were introduced by the HTC process.

624

625 **3.6. Degradation temperatures**

626 The curves at different heating rates provide information on the kinetics of degradation. In this
627 study the degradation temperatures increase with heating rates, see Fig. 5. Higher heating rates
628 lead to higher degradation temperatures due to the delay in heat transfer. PET plastic has a higher
629 onset temperature range (353-410°C) compared to PS plastic (300-352°C), see Table 5. This
630 indicates that PET plastic starts to decompose at a higher temperature, suggesting greater thermal
631 stability. This could be due to the chemical structure of PET, which is known for its higher
632 resistance to heat. In line with other studies, onset temperatures for PET plastic have been reported
633 to increase with heating rates and Das et al. [128] reported onset temperatures within the range of
634 385°C to 427°C from 10 to 50°C /min for PET plastics, which are comparable to our findings.

635 In our study the peak temperatures, as observed in the DTG curve in Fig. 5, increase with heating
636 rates which match the findings of Das et al. [128]. The peak temperatures of PET plastic (451-
637 520°C) are higher than those of PS plastic (425-500°C). This suggests that the maximum rate of
638 combustion occurs at a higher temperature for PET, which is consistent with its higher thermal
639 stability. These results were in line with the results in Heikkinen et al. [105], where the peak
640 temperatures for PET and PS plastic were reported as 444°C and 437°C respectively. Some studies
641 have generally reported PET plastics to have degradation temperatures of between 400 and 700°C.
642 PET plastic has a higher burnout temperature range (660-800°C) compared to PS plastic (554-
643 650°C). Burnout temperature is the point at which combustion is complete, and the higher range
644 for PET indicates its ability to withstand higher temperatures.

645 Both PET and PS plastics exhibit higher thermal stability with higher onset, peak, and burnout
646 temperatures compared to their respective hydrochars. This suggests that the process of converting
647 these plastics to hydrochars reduces their thermal stability, making the hydrochars more prone to
648 earlier decomposition and combustion. This could be due to their altered chemical structure and
649 increased porosity [129]. Plastics generally reach higher peak and burnout temperatures compared
650 to hydrochars. This might be due to a more extensive and complete combustion process in the
651 plastic materials. The lower onset temperatures for hydrochar implies that these hydrochars are
652 thermally less stable than plastics and the higher peak and burnout temperatures in plastics might
653 indicate more efficient combustion, which is relevant for energy recovery and recycling processes.
654 Understanding the differences in the combustion temperatures is crucial for waste management
655 and environmental impact assessments, as it helps in determining the preferable treatment or
656 recycling method for different materials.

657 PET hydrochar has a lower onset temperature range (150-270°C), compared to PS hydrochar (242-
658 283°C). This indicates that PET hydrochar begins to decompose at a lower temperature. PET
659 hydrochar has a higher peak temperature range (420-585°C) than PS hydrochar (390-470°C),
660 suggesting that at its peak combustion, PET hydrochar can withstand higher temperatures. Both
661 hydrochars reach similar burnout temperatures (650-800°C), but PET hydrochar has a wider range
662 at lower initial temperatures, indicating variability in its combustion behavior.

663 PET plastics demonstrate higher thermal stability compared to PS plastics, likely due to their
664 molecular structure and resistance to heat. When comparing each plastic with its hydrochar, the
665 plastics are more stable than their hydrochars, likely due to changes in chemical composition
666 during the conversion to hydrochar. PET hydrochar begins to decompose at lower temperatures
667 but reaches higher peak temperatures compared to PS hydrochar, indicating a distinct difference
668 in their thermal degradation and combustion behaviors.

669 The DTG analysis highlights distinct differences between PET and PS plastics in terms of thermal
670 degradation. The higher rate of PET plastic degradation at T_{max} across various heating rates
671 indicates a swift breakdown mechanism post threshold temperature, despite its inherently higher
672 thermal stability as suggested by its higher onset temperatures. This rapid degradation could
673 compromise the applicability of PET in environments subjected to high thermal stress, despite its
674 initial resistance (Fig. 5 d, e, f). In comparison, PS plastic exhibits a less intense degradation rate,
675 indicating a more gradual thermal decomposition. This characteristic suggests that PS plastic may
676 be more suitable for applications where gradual heat exposure occurs, as it does not degrade as
677 abruptly as PET plastic.

678 Transitioning to hydrochars, the reduction in degradation rate at T_{max} for both PET and PS
679 derivatives signifies the impact of the hydrothermal carbonization process on material stability.

680 However, the persistently higher rate of degradation for PET hydrochar compared to PS hydrochar,
681 albeit lower than their respective plastics, reflects intrinsic material vulnerabilities remaining even
682 after the conversion process. The slower degradation rates of hydrochars compared to their original
683 plastics highlight a critical transformation, providing a buffer against immediate thermal
684 breakdown. This aspect can be particularly beneficial in applications where controlled degradation
685 or enhanced thermal stability is required.

686 The distinct degradation behaviors between the plastics and hydrochars, particularly at T_{max} ,
687 illuminate the thermal stability and degradation kinetics of a material. PET plastic, despite higher
688 initial thermal resistance, demonstrates rapid breakdown past critical temperatures, impacting their
689 application in high-temperature environments. Conversely, the conversion into hydrochars, while
690 reducing overall thermal stability, lessens the degradation intensity, offering a potentially more
691 controlled thermal response. This analysis not only highlights the fundamental differences between
692 PET and PS materials in terms of thermal degradation but also accentuates the effectiveness of
693 hydrothermal carbonization process in altering material properties for enhanced thermal
694 management. These insights are pivotal for developing efficient recycling and waste management
695 strategies, emphasizing the importance of assessing the thermal behaviors of materials for optimal
696 application and environmental sustainability.

697 **3.7. Activation energy from model free iso-conversion methods**

698 The values for the activation energies using the three-model free iso conversion methods (FWO,
699 KAS, and Starink) for different materials are presented in Table 6. For PET plastic, the FWO
700 method showed activation energies ranging from 84 to 120 kJ/mol, the KAS model ranged from
701 75 to 115 kJ/mol, and the Starink method from 75 to 114 kJ/mol, see Table 6. The activation
702 energies reported in our study for PET plastics appear to be lower than those reported by Das et

703 al., [128] which were from 200 to 350 kJ/mol. PET hydrochar displayed higher activation energies,
704 with the FWO method ranging from 105 to 172 kJ/mol, the KAS method from 98.8 kJ/mol to 168
705 kJ/mol, and the Starink method from 99 to 167 kJ/mol. In the case of PS Plastic, the FWO method
706 showed activation energies from 103 kJ/mol to 115 kJ/mol, the KAS method from 98 to 109 kJ/mol,
707 and the Starink method from 97 to 108 kJ/mol. Lastly, PS hydrochar exhibited lower activation
708 energies compared to its plastic counterpart, with the FWO model ranging from 65 to 107 kJ/mol,
709 the KAS model from 57 to 100 kJ/mol, and the Starink method from 57 to 99 kJ/mol. Each polymer
710 sample exhibits a unique profile of activation energy as a function of the conversion rate. This is
711 in line with the findings of Liu et al. [127], where activation energy for PVC hydrochar is lower
712 than the PVC plastic counterpart. These results indicate significant variations in activation energies
713 across different materials, highlighting the complexities in recycling, plastic waste management,
714 and waste-to-energy applications.

715 For PET plastic, the activation energies exhibit varying trends across different model free iso-
716 conversion methods. The FWO method shows a high starting point at 120 kJ/mol, followed by a
717 decrease to 84 kJ/mol, and then fluctuating across the conversion degrees. The KAS method begins
718 at 115 kJ/mol, drops to 75 kJ/mol and displays a similar pattern of fluctuation. The Starink method
719 starts at 114 kJ/mol, dips to 75 kJ/mol, and follows a fluctuating trajectory. In the case of PET
720 hydrochar, the FWO method begins at 108 kJ/mol and shows a significant peak at 172 kJ/mol. The
721 KAS model starts at 102 kJ/mol, climbing to a high of 168 kJ/mol. Similarly, the Starink method
722 opens at 102 kJ/mol and peaks at 167 kJ/mol, illustrating a notable increase in activation energy
723 as the conversion degree progresses. For PS plastic, the FWO method reveals a general upward
724 trend, starting from 103 and peaking at 115 kJ/mol. The KAS method begins at a lower point of
725 97 kJ/mol, reaching its highest at 109 kJ/mol. The Starink method, starting at 97 kJ/mol, also shows

726 an increasing trend and peaks at 108 kJ/mol. PS hydrochar in the FWO method starts at a lower
727 activation energy of 65 kJ/mol, increases to a peak of 107 kJ/mol, and then shows a decrease. The
728 KAS method begins at 57 kJ/mol, rises to its highest point at 100v, and subsequently decreases.
729 The Starink method exhibits a similar pattern, starting at 58 kJ/mol, peaking at 99 kJ/mol, and then
730 declining. These trends in activation energies reflect the complex thermal behavior of these
731 materials, highlighting important considerations for optimizing recycling and waste-to-energy
732 conversion processes. The analysis of the activation energies for PET plastic, PET hydrochar, PS
733 plastic, and PS hydrochar across different iso conversion models (FWO, KAS, and Starink) reveals
734 significant insights into their thermal decomposition behavior, which is crucial for applications in
735 recycling and waste-to-energy conversion.

736 The activation energies across all methods for PET plastic start high and then exhibit fluctuating
737 trends. This indicates that the initial stages of thermal decomposition require more energy, but as
738 the process progresses, the energy requirement varies. Such behavior could imply that different
739 stages of PET plastic degradation might be energy efficient, impacting its recycling and energy
740 recovery processes. For PET hydrochar, all methods show a trend where the activation energy
741 starts at a moderate level, peaks significantly, and then sustains at a higher level. This suggests
742 that as the conversion process progresses, more energy is required to break down the material,
743 potentially due to its more complex or stable structure compared to its plastic counterpart. This
744 characteristic is important for waste-to-energy applications, where higher energy inputs might be
745 needed for efficient conversion. The activation energies for PS plastic generally show an
746 increasing trend across all methods. This pattern suggests that as the degradation process
747 progresses, the energy requirement gradually increases. This may result from the initial breakage
748 of weaker single C-C bonds, followed by the breakdown of double bonds and aromatic structures.

749 Understanding this behavior is vital for optimizing the recycling process and energy recovery from
750 PS plastics. PS hydrochar displays a unique trend where the activation energy starts relatively low,
751 peaks, and then increases progressively. This indicates that initially, it is relatively easier to initiate
752 the conversion process, but as it reaches a certain point, the energy requirement increases sharply.
753 This behavior could be attributed to the composition and structure of the hydrochar, which might
754 initially facilitate degradation but then requires more energy as more stable compounds are reached.
755 These trends in activation energies reflect the inherent complexities of thermal decomposition in
756 different materials. Understanding these patterns is essential for developing efficient and
757 sustainable recycling strategies and waste-to-energy systems. Each material's behavior under
758 thermal processing informs the design of processes for effective material recovery or energy
759 generation, highlighting the need for tailored approaches based on the specific thermal properties
760 of each material.

761 The thermal behavior of PET and PS hydrochar, altered through HTC, reveals distinct responses
762 due to their functional group modifications. PET hydrochar, enriched with oxygenated groups like
763 carbonyls and hydroxyls, exhibits lower onset temperatures (see Table 5) for decomposition,
764 indicating increased reactivity and a decrease in thermal stability. This leads to easier initiation of
765 breakdown processes such as hydrolysis or oxidation, yet it also demands higher activation
766 energies (see Table 6) for complete decomposition due to the complexity added by new aromatic
767 and olefin (C=C) bonds (see Table 4). In contrast, PS hydrochar undergoes milder chemical
768 changes, retaining hydroxyl groups and aromatic structures that contribute to higher onset
769 temperatures, suggesting greater material stability. Additionally, the simpler modifications in PS
770 hydrochar, alongside retained structures like alkenes and alkanes, necessitate lower activation

771 energies for decomposition, indicating a less complex and energetically demanding breakdown
772 compared to PET hydrochar.

773 **3.8. Activation energy determination from model fitting**

774 Activation energy from the reaction models (power law, 1-D Avrami, contracting cylinders, and
775 1-D diffusion) are obtained from the slopes of the different $\ln [G(\alpha)]$ vs $1000/T$ (Fig.S4-S7). The
776 R^2 values show the best model that fits our TGA data, see Table 7 and their activation energies
777 presented on Table 8.

778 For PET plastic, the reaction model of contracting cylinders showed the highest coefficient of
779 determination (R^2) across all the heating rates (0.731 to 0.976) (Table 7.b), while for PET
780 hydrochar the highest R^2 were recorded with the 1-D Avrami-Erofeev (Mampel first order) model
781 ranging from 0.909 to 0.951 (Table 7.b). PS plastic (Table 7.c) and PS hydrochar (Table 7.d) follow
782 the 1-D Avrami-Erofeev (Mampel first order).

783 **3.9. Policy implications, environmental impact, and recommendations**

784 This study emphasizes the role of energy densification and yield in shaping the operations and
785 strategies of waste-to-energy facilities, highlighting the benefits of processing various plastic types
786 to maximize energy recovery. The hydrothermal carbonization process, by converting plastics like
787 PET into hydrochar, emerges as a significant energy resource with the potential to augment
788 electricity grids and support high-energy-demand industrial activities. This conversion, rooted in
789 circular economy principles, not only facilitates waste management but also reduces the
790 environmental impact of plastic disposal through substantial energy retention.

791 The research advocates for the development and enforcement of incentive-based regulations to
792 boost waste-to-energy conversions, which would aid industry expansion and promote sustainable
793 management of plastic waste. It underscores the necessity of setting quality and emissions

794 standards for hydrochar, especially as a solid fuel, to ensure its environmentally friendly
795 application.

796 Investment in research and development is identified as vital for advancing hydrochar technology,
797 enhancing energy recovery efficiency, and uncovering new uses. By replacing traditional fossil
798 fuels with hydrochars, significant reductions in greenhouse gas emissions can be achieved,
799 contributing to climate change mitigation, and offering a cleaner energy alternative. This shift also
800 highlights the potential health and environmental benefits from decreased air pollutants.

801 Utilizing waste plastics for energy recovery aligns with sustainable development objectives by
802 decreasing reliance on finite resources, thus lessening the environmental footprint of energy
803 production. The widespread adoption of hydrochar technology is seen as beneficial for biodiversity
804 and ecosystem health, improving air, water, and soil quality, and promoting healthier habitats.

805 The findings urge further optimization of the HTC process, exploration of technological scalability,
806 and comprehensive environmental impact assessments through lifecycle analyses. Policymakers
807 are encouraged to integrate HTC into waste management frameworks to tackle the mounting issues
808 of plastic waste and meet energy recovery objectives, aligning with global efforts to reduce landfill
809 use, curb plastic pollution, conserve natural resources, and mitigate greenhouse gas emissions.

810

811 **4. Conclusion**

812 PET hydrochar demonstrates superior energy characteristics, with an energy densification of 1.37
813 and an impressive yield of 89%, significantly outperforming PS hydrochar, which has a
814 densification of 1.13 and a yield of 54%. Despite the lower high heating value (HHV) of PET
815 hydrochar at 30 MJ/kg compared to the 55 MJ/kg of PS hydrochar, it features a higher fuel ratio
816 of 0.13, indicative of a greater proportion of fixed carbon that supports a longer and more stable

817 burn. PET hydrochar also decomposes at lower temperatures, ranging from 150-270°C, which is
818 beneficial for applications requiring lower operational temperatures. However, it necessitates
819 higher activation energy (121-126 kJ/mol) for initiating reactions, in contrast to the lower
820 activation energies (67-74 kJ/mol) of PS hydrochar, which allow for easier ignition and might be
821 preferred for applications needing rapid and higher heat release. Hydrothermal carbonization
822 (HTC) significantly alters the chemical and physical properties of both PET and PS, enhancing
823 their applications in sustainable waste management and energy recovery. This process infuses both
824 types of hydrochar with enriched oxygenated functional groups, such as increased hydroxyl and
825 carbonyl groups, boosting their chemical reactivity and utility across various uses. Specifically,
826 PET hydrochar, with its low ash content and high carbon levels, not only improves its energy
827 density but also its environmental performance through newly introduced functional groups, which
828 promote cleaner burning by minimizing residues. These chemical transformations grant both
829 polymers improved circularity properties by transforming waste into valuable hydrochar tailored
830 for specific industrial needs, thereby closing the loop in resource utilization.

831 **List of symbols, abbreviations and acronyms**

832	A	pre-exponential factor
833	α	conversion degree
834	β	heating rate ($^{\circ}\text{C}/\text{min}$)
835	DTG	differential thermogravimetry (first derivative mass curve)
836	E_a	activation energy (kJ/mol)
837	EPR	extended producer responsibility
838	FTIR	Fourier transformed infrared spectroscopy
839	FWO	Flyn-Wall-Ozawa
840	$g(\alpha)$, $G(\alpha)$	function of the degree of conversion (α)
841	GNP	gross national product
842	HHV	high heating value (MJ/kg)
843	HTC	hydrothermal carbonization
844	ICTAC	International Confederation for Thermal Analysis and Calorimetry
845	KAS	Kissinger-Akahira-Sunose
846	m_0	initial sample mass (g)
847	m_f	mass after complete decomposition (g)
848	m_t	sample mass at time t (g)
849	m	slope
850	PET	polyethylene terephthalate
851	PS	polystyrene
852	PWCP	Plastic Waste Control Plan
853	R	universal gas constant (8.314 J/mol K)

854	RCA	Resource Circulation Act
855	RFID	radio-frequency identification
856	T	temperature (K)
857	T_b	burnout temperature (K)
858	T_i	onset temperature (K)
859	T_{max}	maximum degradation temperature
860	TGA	thermogravimetric analysis
861	UNEP	United Nations Environment Program
862	VBWF	volume-based waste fee

863

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