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A guide to germ-free and gnotobiotic mouse technology to study health and disease

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Running title: Germ-free and gnotobiotic mouse research guide

Abbreviations

| AhR | aryl-hydrocarbon receptor | IEC | intestinal epithelial cells |
|------|------------------------------------|-------|----------------------------------|
| AOM | azoxymethane | MAF | mucosa-associated fungi |
| ASF | altered Schaedler Flora | MDSC | myeloid-derived suppressor cells |
| CFU | colony forming units | MNV | murine norovirus |
| CR | colonization resistance | OC | ovarian cancer |
| CRC | colorectal cancer | OMM12 | oligo-mouse-microbiota 12 |
| DSS | dextran sulfate sodium | SCFA | schort chain fatty acids |
| FMT | fecal microbiota transplantation | OMM12 | oligo-mouse-microbiota 12 |
| GALT | gut-associated lymphoid tissue | PVC | polyvinyl chloride |
| GAP | goblet cell-associated passage | SCFA | short chain fatty acids |
| GEMM | genetically enginereed mouse model | SFB | segmented filamentous bacteria |
| GF | germ-free | SI | small intestine |
| GI | gastrointestinal | SPF | specific-pathogen-free |
| HEPA | high-efficiency particulate air | Th1 | T helper cell type 1 |
| HMA | human microbiota-associated | Treg | regulatory T cells |
| IBD | inflammatory bowel disease | UG | urogenital |
| ICI | immune checkpoint inhibition | WТ | wild-type |

1 Keywords

2 Germ-free, gnotobiotic, microbiota, experimental design

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- 5

6 Abstract

7 The intestinal microbiota has major influence on human physiology and modulates health and disease. 8 Complex host-microbe interactions regulate various homeostatic processes, including metabolism and 9 immune function, while disturbances in microbiota composition (dysbiosis) are associated with a 10 plethora of human diseases and are believed to modulate disease initiation, progression and therapy 11 response. The vast complexity of the human microbiota and its metabolic output represents a great 12 challenge in unravelling the molecular basis of host-microbe interactions in specific physiological 13 contexts. To increase our understanding of these interactions, functional microbiota research using 14 animal models in a reductionistic setting are essential. In the dynamic landscape of gut microbiota 15 research, the use of germ-free and gnotobiotic mouse technology, in which causal disease-driving 16 mechanisms can be dissected, represents a pivotal investigative tool for functional microbiota research 17 in health and disease, in which causal disease-driving mechanisms can be dissected. A better 18 understanding of the health-modulating functions of the microbiota opens perspectives for improved 19 therapies in many diseases. In this review, we discuss practical considerations for the design and 20 execution of germ-free and gnotobiotic experiments, including considerations around germ-free 21 rederivation and housing conditions, route and timing of microbial administration, and dosing 22 protocols. This comprehensive overview aims to provide researchers with valuable insights for 23 improved experimental design in the field of functional microbiota research.

25 Introduction

26 The human body is home to a diverse array of microorganisms, including bacteria, archaea, viruses, 27 fungi and protozoans, collectively known as the microbiota. It is estimated that the ratio of microbial 28 to human cells is approximately 1:1 [1]. While the microbiota colonize the skin and all mucosal 29 surfaces, including the gastrointestinal (GI) tract, urogenital (UG) tract and lungs of the human body, 30 the gut microbiota represents the largest microbial reservoir, with approximately 100 trillion bacterial 31 cells [1-4]. While other reviews focused on skin [5, 6], lung [7] and UG tract microbiota [8-10], we here 32 focus mainly on the gut microbiota. The gut microbiota is dominated by bacteria, belonging to six main 33 phyla: Bacillota (Firmicutes), Bacteroidota (Bacteroidetes), Pseudomonadota (Proteobacteria), 34 Verrucomicrobia, Actinomycetota (Actinobacteria) and Fusobacteria, among which Firmicutes and 35 Bacteroidetes constitute over 90% of the gut microbiota [11-13].

36 The gut microbiota plays a crucial role in various aspects of human health, such as digestion and 37 nutrition [14], metabolism [15, 16], immunity [17, 18] and neurological and behavioral responses [19-38 21]. In addition, the gut microbiota protect the host from colonization by external pathogens, termed 39 'colonization resistance' by direct niche competition and by shaping mucosal and systemic immunity. 40 The mechanisms by which specific bacteria modulate host physiology often involve the production of 41 bio-active metabolites. For example, bacterial fermentation of indigestible dietary fibers generate 42 short-chain fatty acids (SCFAs, acetate, propionate and butyrate) [22], which serve as a vital energy 43 source for colonocytes and modulate barrier stability and immune homeostasis in the gut [23-26]. Gut 44 bacteria metabolize the amino acid tryptophan into bioactive compounds like serotonin and indole 45 derivates, which activate the aryl-hydrocarbon receptor (AhR) [27] and affect immune function [28, 46 29]. The gut microbiota is also producing essential vitamins [30], including B vitamins (e.g. B12, folate, 47 riboflavin) and vitamin K, which are important for various physiological functions [31, 32]. Moreover, 48 the microbiota converts primary bile acids into secondary bile acids which impact metabolic health 49 [33, 34].

50 While researchers have made significant advances in uncovering the molecular mechanisms through 51 which certain bacteria influence host physiology, the vast complexity of largely uncharacterized 52 microbial species and their metabolites remains a great challenge. Moreover, microbiota profiling 53 studies have shown that microbiota dysbiosis is central to many human diseases [35], including 54 inflammatory bowel diseases (IBD) [36, 37], metabolic diseases (e.g. obesity and type 2 diabetes) [38, 55 39], autoimmune diseases [40], respiratory disorders, cardiovascular diseases [41] and cancer [42]. 56 However, the precise impact of our microbiome on human health and disease has yet to be fully 57 understood. As a result, there is a great demand for focused microbiota studies conducted in a 58 reductionist framework.

59 While this review focuses on germ-free and gnotobiotic mouse technology, it is crucial to recognize 60 that these methodologies can also be applied in alternative animal models, including rats [43, 44], pigs 61 [45-47], chickens [48] and zebrafish [49-51]. This cross-species approach broadens the scope of 62 functional microbiota research, providing valuable insights into the influence of microbial communities 63 on health and disease across the animal kingdom.

64 Germ-free and gnotobiotic mice

Germ-free (GF) or axenic mice are completely devoid of all microorganisms and are born and raised in sterile isolators, which are under continuous positive pressure of 'high-efficiency particulate air' (HEPA)-filtered air. Alternative husbandry systems have been developed to facilitate experimental procedures, including positive pressured HEPA-filtered individually-ventilated cage (IVC) like the Techniplast IsoCage-P and the Allentown Sentry SPP system, which offer easier access to animals

- during experimental procedures [52-54], in a level II biosafety cabinet [52, 53]. Secure positive pressure
 caging systems also enable higher throughput gnotobiotic experiments, or allow more complex
 experimental procedures, which are not possible in isolators (e.g. mouse colonoscopy).
- Axenic mice are highly artificial in nature, but offer a unique opportunity to investigate the impact of microbiota deficiency on host physiology. GF mice can be colonized experimentally with one specific or with a defined community of microbes, to generate gnotobiotic mice, which allow studying the impact of specific microorganisms on various biological processes and models of human disease [55, 56]. The use of a strictly defined microbiota in animal models also improves standardization reproducibility.

79 Practical considerations for gf mice

80 Germ-free rederivation is the process of creating a GF mouse line from a conventionally or SPF housed 81 line, either inbred wild-type mouse lines, or genetically engineered mouse models (GEMM). GF 82 rederivation is either performed by hysterectomy or by embryo transfer-based rederivation. Germ-83 free rederivation is the process of creating a GF mouse line from a conventionally or SPF housed line, 84 either inbred wild-type mouse lines, or genetically engineered mouse models (GEMM). Hysterectomy 85 rederivation is performed through caesarean section and rests on the principle that the fetus in the 86 uterus is sterile [57]. When parturition is imminent, the entire uterus along with the fetus, is carefully 87 removed through aseptic caesarean section, decontaminated externally and placed in a sterile isolator 88 where sterile neonates are housed together with a lactating GF foster mother [58]. However, this 89 method poses various challenges, including timed matings, failure to foster and the risk of microbial 90 contamination through vertical transfer. Therefore, most GF facilities prefer embryo transfer 91 rederivation [59]. In this process, recipient GF females are paired with vasectomized GF males to 92 generate GF pseudo-pregnant dams. Subsequently, embryos are transferred in the uteri of GF pseudo-93 pregnant dams, either surgically or through cervical injection [60, 61]. Sterile donor embryos are 94 generated from non-GF donors through in vitro fertilization (IVF) [62]. Unlike hysterectomy, embryo 95 transfer has reduced chance of post-implantation vertical transmission of microorganisms, providing 96 more successful GF rederivation [59], but requires trained and dedicated personnel for IVF, surgical 97 vasectomy and embryo transfer procedures.

98 The notion of the uterus being a sterile environment remains a controversial subject. Several studies, 99 utilizing sequencing-based techniques, have reported the presence of a low-biomass placental 100 microbiota [63-65], as well as presence of microbiota in amniotic fluid [66, 67] and umbilical cord blood 101 [68]. These findings suggest the possibility of microbial seeding of the fetal gut before delivery. 102 However, the fact that GF colonies can be established through aseptic caesarean section does not 103 support the presence of a bona fide resident microbial population in utero. Nonetheless, it is 104 conceivable that there may be instances of transient microbial exposure *in utero*, particularly in 105 humans that have a long gestational period. This ongoing debate has been extensively and critically 106 reviewed in recent articles [69, 70].

107 The complete absence of the microbiota leads to various structural and functional abnormalities, 108 including altered microvilli morphology, reduced rate of intestinal epithelial cell (IEC) turnover [71] and 109 massive enlargement of the cecum [72]. Commensal bacteria play a vital role in supporting the 110 integrity of the epithelial barrier through cross-talk with epithelial cells [73-75]. GF animals exhibit fewer intestinal goblet cells resulting in a thinner mucus layer and also a higher percentage of neutral 111 112 mucins in the colon [76-79] and have decreased expression of antimicrobial peptides at mucosal 113 barrier sites [80, 81]. Importantly, the immune system is underdeveloped in axenic conditions. GF 114 animals present smaller mesenteric lymph nodes and Peyer's Patches, as maturation of secondary gut-115 associated lymphoid tissue (GALT) requires postnatal microbial colonization [82, 83]. Absence of 116 microbial signals significantly affects both innate and adaptive immune responses, as the gut of GF 117 mice is characterized by fewer macrophages [84, 85], mast cells [86], subsets of group-3 innate 118 lymphocyte cells (ILC3) [87, 88], effector and regulatory T cells and disrupted antibody responses [89-119 91]. Phenotypical abnormalities in GF mice also include metabolic and behavioral changes, which have 120 been extensively documented elsewhere [92-95]. The impact of the absence of microbiota extends 121 beyond the confines of the gut. For example, research indicates that microbiota play a crucial role in 122 influencing the generation of hematopoietic progenitors, as the early stages of myelopoiesis in the 123 bone marrow of GF mice are compromised, leading to diminished levels of neutrophils and monocytes 124 in both the bone marrow and peripheral sites [96-100]. Additionally, microbial metabolites originating 125 from the gut also control systemic antibody responses. Mice experiencing microbial insufficiency 126 exhibit deficiencies in both homeostatic and pathogen-specific antibody responses, highlighting the

127 far-reaching impact of microbiota on immune function [101].

128 Practical considerations for gnotobiotic mice

- 129 Gnotobiotic mice can be generated by delivering microbes to GF mice using various experimental
- 130 approaches, depending on the research objectives. Timing, microbial delivery method, duration and
- 131 dosage of colonization are crucial parameters to take into account when setting up gnotobiotic studies
- 132 (Figure 1).



Figure 1. Crucial parameters to take into account when designing gnotobiotic studies. When designing a gnotobiotic study, several experimental factors should be taken into consideration, ranging from the age of the mice, the method of administering the microbes, duration of the colonization experiment as well as dosage. While germ-free mice show minimal colonization resistance, this increases when microbial complexity increases. Engraftment efficiency of microbes of interest should be monitored during the course of the experiment.

140 Age of colonization

GF mice display various immunological defects, which can largely be restored through colonization at any stage of their lifespan, however, some aspects of immune maturation depend on early-life microbial exposure. For example, neonatal colonization with a diverse microbiota best reverses the hyper-lgE phenotype observed in GF mice [102].

145 Neonates normally acquire microbiota from the mother through direct vertical transmission during 146 birth [103]. In a gnotobiotic setting, stable vertical transmission of defined minimal microbial consortia 147 has been documented [104-106] and gnotobiotic mice colonized with a complex human microbiota 148 pass down their microbiota to subsequent generations without significant loss of diversity [107]. 149 Vertical transmission of a minimal microbial consortium (such as Altered Schaedler Flora, ASF) supplemented with an E. coli LF82 increases susceptibility to dextran sulfate sodium (DSS)-induced 150 151 colitis, in contrast to mice colonized with ASF+LF82 during adulthood [108]. These findings suggest that 152 the timing of LF82 colonization in gnotobiotic mice impacts the colitis susceptibility later in life and 153 imply that the age at which an individual is colonized by a pathobiont influences the host mucosal 154 immune profile and susceptibility to subsequent inflammatory insults in the future.

155 Certain phenotypical abnormalities in GF mice can only be reversed by early-life colonization [109]. 156 When colonized mice reach weaning age (3-4 weeks of age), a period when pups gradually transition 157 from maternal milk to solid food, the rapidly changing and expanding intestinal microbiota triggers a 158 robust and broad immune response known as the "weaning reaction". If the weaning reaction is 159 postponed, e.g. by temporal antibiotics treatment, the immune system is imprinted with excessive 160 reactivity, leading to heightened susceptibility to inflammatory conditions like allergic inflammation [109], DSS-induced colitis [109] and azoxymethane (AOM)/DSS-induced colorectal cancer (CRC) [110]. 161 162 AOM/DSS-treated mice that were born in GF conditions and conventionalized after weaning exhibited 163 increased inflammation and tumorigenesis due to an accumulation of myeloid-derived suppressor cells 164 (MDSCs) [110]. Early-life microbial exposure thus plays a crucial role in establishing intestinal 165 homeostasis and in restraining MDSC-driven CRC in adulthood. Importantly, the critical time window 166 cannot be compensated for by exposure to microbiota later in life, indicating that weaning is a crucial 167 period for microbe-mediated immune education. The protective effect of the weaning reaction is 168 mediated through the generation of RORgt+ regulatory T cells (Tregs), induced by SCFAs [109]. Knoop 169 et al. demonstrated that the critical period for developing tolerance to gut symbionts occurs between 170 10 to 20 days after birth and coincides with the formation of goblet cell-associated passages (GAPs) in 171 the colon [111]. These GAPs facilitate the delivery of antigens, which in turn promote the generation 172 of Tregs specifically targeting the gut microbiota present during this window, thereby maintaining long-173 term tolerance. Further, it was described that macrophages capture soluble antigens from the lumen 174 and deliver the antigen to dendritic cells, thereby promoting the development of Tregs and oral 175 tolerance [112]. The age at which GF mice are colonized is thus a critical parameter in immune system 176 development and influences disease progression later in life. Microbe-host interactions in early life 177 and its functional consequences have been described extensively elsewhere [113, 114]. The perfect 178 colonization experiment to overcome early life perturbations, is to colonize pregnant dams and use F2 179 offspring [115, 116], since these mice are born from neonatally colonized dams. For practical 180 considerations however, often F1 offspring is used or mice are colonized around weaning age.

181

182 Method of colonization

183 Delivery of microbial suspensions is preferentially achieved through oral-gastric gavage, in which the 184 bacterial suspension is administered directly into the stomach using a feeding needle [117].

185 Alternatively, GF mice can be colonized gradually by co-housing with gnotobiotic or SPF donor mice.

Bacteria are exchanged through direct physical contact, as well as through coprophagy (ingestion of excreted feces) [118]. This natural approach allows the establishment of colonies with diverse microbial communities while maintaining genetic uniformity. While this method allows for a diverse microbial community, reproducibility is limited due to the lack of control over dosing and transfer frequency. For specific microbes of interest, it's important to consider their transfer capabilities through this procedure, which favors oxygen-friendly microorganisms. However, conventionalization by co-housing with SPF mice is successful and these ex-GF mice do not exhibit a different microbiota composition compared to the SPF mice [119].

194 Colonization duration and dosage

Another parameter to take into consideration is the duration of colonization and dosage. These parameters depend strongly on the specific research question and desired read-out. Acute responses (e.g. epithelial expression responses) can rely on short term-colonization experiments (days), while more chronic responses (e.g. disease development, adaptive immune maturation etc.) requires longterm colonization experiments of multiple weeks.

200 For monocolonization studies (see further), a commonly used dosage is 1*10⁸ colony forming units 201 (CFU) per gavage [120]. However, it is crucial to determine the appropriate dose for each gnotobiotic 202 study. For example, Buschor and colleagues explored the influence of different Citrobacter rodentium 203 doses in GF wild-type (WT) mice [121]. Their findings revealed that mice inoculated with a high dose 204 (10^{10} CFU) displayed reduced disease severity compared to those given a low dose (10^4 CFU) . The high 205 C. rodentium dose more effectively induced an early mucosal innate immune response, whereas the 206 low dose allowed bacteria to remain under the radar of the innate immune response for an extended 207 period and continue their logarithmic growth to cause disease [121]. In case of low-engrafting 208 efficiency, multiple gavages on consecutive days can increase chance of stable engraftment. Moreover, 209 protocols establishing stable microbial consortia in GF mice also describe administering the cocktail 210 twice in 48h to increase colonization success [105, 106]. Dosage may require optimization for specific strains, as too high doses can result in aberrant immune activation and even mouse death. 211

212 Reversible colonization protocols have also been established to study host physiology after loss of prior

213 bacterial exposure. For example, auxotrophic mutants of *Escherichia coli* K-12, lacking key enzymes for

the biosynthesis of essential amino acids required for its replication (*E. coli* K-12 mutant HA107), have

215 been developed to transiently colonize GF animals. Such reversible colonization models have been

successfully used to study the dynamics of microbiota-induced immunity and disease [122, 123].

217 Typically, conventionalization studies (colonization of GF mice with the total fecal microbial community 218 of SPF or conventionally housed mice) adopt a relatively short timeline, not exceeding 2 weeks. 219 However, a study with conventionalized GF mice demonstrated that the microbiota underwent 220 transient alterations and that approximately 8 weeks are required to reach a similar composition as 221 the conventionally housed counterparts, showing that conventionalization is a slow and complex 222 process [124]. Regarding fecal microbiota transplantation (FMT) studies, dosing can vary from a single 223 dose to multiple administrations per week over a few weeks. For studies utilizing complex fecal 224 samples, a single dosing is not recommended, as it results in cage-dependent shifts of the microbial 225 composition over time [125]. Repeated gavages once a week over an extended period could be a 226 suitable approach to maintain the donor microbiota population for 12 weeks [126, 127]. Multiple 227 rounds of gavage also significantly increased the cumulative number of detected taxa and 228 compositional similarity to the donor, while simultaneously reducing inter-animal variance [127].

In GF conditions, after antibiotic treatment or initial colonization of the neonatal gut, facultative
 anaerobic bacteria are among the first colonizers, which actively consume oxygen as terminal electron
 acceptor, thereby effectively lowering the redox potential to facilitate colonization of subsequent

oxygen-sensitive strains [128]. Therefore, engraftment of strict anaerobes in germ-free mice can be
 facilitated by prior introduction of a facultative anaerobic strain.

234

235 Engraftment efficiency and troubleshooting

236 An intact gut microbiota plays a critical role in mucosal protection from bacterial invasion and disease 237 [129]. The commensal microbiota prevent pathogen colonization by competing for attachment sites 238 or essential nutrients within ecological niches [130, 131]. Eradication of the microbiota by antibiotic 239 treatment also results in a loss of colonization resistance against exogenous microorganisms [132]. 240 Several reports have shown that GF mice, similar to antibiotic-treated mice, are more susceptible to 241 infection than their conventional counterparts [133-135]. Reconstitution or conventionalization of 242 these GF mice can often reverse the phenotype, making them valuable for investigating the role of 243 specific bacteria or host-microbe interactions in colonization resistance.

Successful engraftment can rely on inter-bacterial interactions, eg. cross-feeding interactions [89]. The ability of bacteria to colonize GF mice can also vary among strains of the same species, as different CRC-derived *Fusobacterium nucleatum* subspecies show varying colonization efficiacy in GF mice, with limited mucosal association in gnotobiotic mice [136].

- 248 Despite obvious differences between human and mouse, a significant portion (approximately 85%) of
- the human microbiome can be successfully transferred in mice [107]. Some human-derived strains either fail to engraft or will develop altered microbial functionalities [55, 107, 137-140]. A murine
- 251 microbiota can simply outcompete an already established human-derived microbiota in the mouse
- 252 gut, highlighting host-specificity of the intestinal microbiota [141]. Differences in diet between mice
- and humans also impacts microbiota composition and engraftment efficacy of specific species [107,
- 142]. Considering these factors, it is unlikely that complex human microbiota is faithfully preserved
- when transplanted in GF mice. Despite these limitations, human-microbiota-associated mice have
- 256 proven to be very valuable. As an example, immune-checkpoint-inhibition (ICI) studies using HMA or
- 257 'avatar' mice have shown that therapy response is donor-specific (see further) [143-145].

258 Colonization complexity

The colonization status of a gnotobiotic model can range across a wide spectrum, ranging from low complexity (GF, monocolonization and minimal microbial consortia) to more complex defined microbial consortia and complex undefined microbiota samples from murine or human origin (Figure

262 2).



Figure 2. Colonization spectrum. More simple microbial communities allow precise control and definition of the microbiota
 composition and in-depth investigation into host-microbe interactions. More diverse or complex communities increase
 physiological relevancy, as it accounts for interspecies interactions, resembles the physiological ecological niches and induces
 immune maturation.

268

269 Monocolonization

270 In monocolonization experiments, the microbiota is reduced to a single microbe of interest, thus being 271 the most simple form of gnotobiotic studies. These experiments allow in depth investigation of how a 272 single microbial strain affects host physiology and disease development and contributes to immune 273 maturation and activation. Monocolonization experiments allow to study causal relationships between 274 a microbe of interest and host physiology. Although monocolonized mice often largely retain the 275 physiological abnormalities seen in GF mice [146-149], multiple studies have described immune 276 maturation mechanisms in monocolonized mice and identified species that have pronounced T helper 277 cell type 1 (Th1) or Th17 polarizing activity, have anti-inflammatory effects, or influence experimental

278 models of colitis and colorectal cancer [150-157].

279 Minimal microbial consortia

280 Gnotobiotic models using minimal microbiota consortia with low and medium diversity offer an 281 expanded antigenic and metabolic microbial capacity and allow studying bacteria of which 282 engraftment depends on specific interspecies interactions [158, 159].

283 Defined microbial consortia can be designed with specific metabolic requirements or functions in mind (e.g. SCFA producers, mucus degraders etc.) or to generate a minimal representation of the major 284 phyla present in gut microbiota, such as ASF, oligo-mouse-microbiota (OMM12, for mouse) or 14SM 285 286 (for human microbiota, see further) [104, 160]. For example, a diet-based minimal microbiota was assembled to study interspecies metabolic interactions in the presence of common dietary fibers in 287 288 vitro [161]. Rationally designed bacterial consortia could also be studied in the context of microbiota-289 based therapeutic interventions. In this aspect, GUT-103 and GUT-108 were designed to independently 290 restore normal function to the inflamed colon in chronic immune-mediated colitis, by complementing 291 missing or underrepresented functions in the dysbiotic microbiome of IBD patients [162].

The defined microbial communities allow researchers to test the effect of a specific microbial strain in a semi-mature immune environment. In addition, minimal complexity consortia reduce limitations 294 related to variability between animal facilities [55, 163] and contribute to standardization and 295 experimental reproducibility for testing causal host-microbe interactions [56, 164, 165]. These defined 296 microbial consortia offer valuable tools for studying the contribution of specific microbes or examining 297 particular microbial functions. Researchers can supplement these consortia with certain species or 298 remove species and specific functionalities, as needed. For example, elimination of the mucus 299 degrader Akkermansia muciniphila from a minimal microbial consortium (GM15, described below) in 300 mice on a fiber rich diet showed the importance of maternal microbiome composition and dietary fiber 301 intake in postnatal microbiome maturation and immune development [166, 167]. However, these 302 minimal microbial consortia have limitations that should be kept in mind when translating gnotobiotic 303 research to more complex settings, as they do not fully recapitulate the functionality of a complex microbiota. 304

- In table 1, we describe some commonly used minimal microbial consortia with bacterial species of both human or mouse origin. It is worth noting that several biopharmaceutical companies, including MRM Health, Vedanta Biosciences and Seres Therapeutics, are actively working on pipelines to develop defined bacterial consortia for use as oral therapeutics. These therapeutics aim to address a wide range of conditions, such as gut inflammation, neurodegenerative and metabolic diseases, autoimmune diseases and as adjuvants in cancer immunotherapy.
- 311 Minimal microbial consortia offer the advantage of easy manipulation, allowing to study the 312 contribution of specific microbes or microbial functions by adding or removing specific species. For 313 instance, while OMM12 conferred colonization resistance to Salmonella enterica Serotype 314 Typhimurium (S. Tm), it confers only partial colonization resistance to C. rodentium infection. The 315 OMM12 was reinforced with an E. coli and Citrobacter amalonaticus strain, resulting in a clearance of 316 C. rodentium infection with kinetics similar to those observed in SPF mice [168]. The addition of these 317 two facultative anaerobes triggered migration of neutrophils required for pathogen clearance and thus 318 further stimulated immunological maturation [168]. Accordingly, several studies have used the 319 OMM12 model to probe for a causal role of individual microbes in providing protection against 320 different pathogens [169-171]. Increasing the bacterial diversity of OMM12 to 19 strains (OMM19.1) 321 further compensates for phenotypical limitations of OMM12, including body composition and changes 322 in T cell subtypes in lamina propria and gut-associated lymphoid tissues. The study also highlights the 323 intermediate phenotype of OMM19.1 between OMM12 and SPF in terms of IgA+ plasma cells in the 324 lamina propria of small intestine and colon [172]. However, whether these effects on the immune 325 system are the result of increased diversity in the microbial community or due to specific functions of 326 added bacteria remains to be elucidated. In addition, since OMM12 cannot carry out 7α -327 dehydroxylation, addition of *Clostridium scindens* normalized bile acid composition and conferred 328 colonization resistance against *Clostridium difficile* [170]. Minimal microbial consortia with specific 329 functions can also be established from complex human microbiota. For instance, from a healthy human 330 fecal sample, researchers have isolated a consortium of 17 Clostridia species, capable of inducing a 331 CD4+ FOXP3+ regulatory T cell response [173, 174]. This Clostridia consortium attenuated colitis in the 332 TNBS experimental model of colonic inflammation. Furthermore, Faith and colleagues conducted an 333 extensive study where they fractioned an intact, uncultured human gut microbiota in random subsets 334 of various sizes. They subsequently introduced 94 bacterial consortia into GF mice. This comprehensive 335 study discovered a range of bacterial strains that promote the accumulation of colonic Tregs while 336 some strains modulated mouse adiposity and cecal metabolite concentrations [175].

337 Fungal contributions – the mycobiota

338 So far, most microbiota-related and gnotobiotic studies have focused on the impact of bacteria. This 339 is not surprising since bacteria constitute the vast majority of the gut microbiota. However, the 340 mycobiome, which represents only 0.1% of the gut ecosystem [176-178], has long been overlooked, 341 and our understanding of fungal contributions to host development remains limited. Nevertheless, the 342 mycobiome is now increasingly recognized as a regulator of host homeostasis and involved in 343 physiological [179, 180] and pathophysiological processes, including IBD [181-183], obesity [184], 344 alcoholic liver disease [185], allergic airway disease [186] and several cancers [187].

GF and gnotobiotic studies allow us to now explore gut fungi functions, as well as their trans-kingdom interactions with other members of microbial communities (bacteria, archaea, viruses). A recent study established a defined consortium of fungi, representing a subset of fungal genera that are closely associated with the intestinal mucosa (mucosa-associated fungi, MAF). This MAF consortium promotes epithelial barrier function, induces Th17 responses and protects mice from intestinal injury (upon antibiotic treatment) via IL-22 and CD4+ T-cell dependent mechanisms [179].

351 Gnotobiotic studies exploring inter-kingdom interactions have also yielded intriguing findings. van 352 Tilburg and colleagues used a gnotobiotic approach to demonstrate that fungal colonization alone was 353 insufficient to trigger overt DSS-induced colitis, but when combined with bacterial colonization, it led 354 to shifts in the bacterial microbiome and increased colonic inflammation [188]. This finding highlighted 355 the causal role of fungi in microbial ecology and host immune functionality. Furthermore, quantitative 356 proteome analyses revealed that certain fungal species have a significant impact on the host intestinal 357 proteome compared to a bacterial consortium, particularly through their influence on metabolic 358 proteins [189]. Moving forward, the integration of both bacterial and fungal species in gnotobiotic 359 studies will allow us to further elucidate how the microbiome and mycobiome interact and collectively 360 modulate host physiology and pathology.

361 Viral contributions – the virome

362 Viruses represent an important component of the microbiota, mainly bacteriophages which target 363 bacteria, but also host-infecting viruses. Murine norovirus (MNV) infection exacerbates colitis 364 development in SPF II10-/- mice. These mice are protected in GF conditions and develop little to no 365 colitis upon ASF or OMM12 colonization. However, MNV infection exacerbates colitis only in II10-/-366 ASF mice, not in OMM12 II10-/- mice [190]. In addition, co-colonization with segmented filamentous 367 bacteria (SFB) abolished the MNV-triggered inflammation in ASF colonized II10-/- mice, while SFB had 368 no effect on OMM12 colonized mice [190], highlighting the importance of microbial context on disease 369 development. In addition, the capacity of E. coli Mt1B1 to block Salmonella Tm depends on the 370 microbial context. In OMM12 gnotobiotic mice, E. coli Mt1B1 depleted galactitol, a substrate that 371 otherwise fuels Salmonella Tm colonization and prevented Salmonella invasion and mediated 372 colonization resistance. In contrast, E. coli Mt1B1 did not provide colonization resistance to Salmonella 373 Tm in the background of ASF [169].

374 Complex microbiota – fecal microbiota transplantation

375 Defined microbial communities offer a streamlined approach to studying the microbiota, yet 376 subjecting GF mice to complex, undefined microbiota from either mouse or human samples enables 377 the exploration of a microbial community's physiological significance. FMT is a widely used technique 378 to investigate a causal connection between the gut microbiome and diseases [125, 191]. Applying FMT 379 to introduce human feces into GF mice, thereby creating humanized gnotobiotic mouse models, has 380 revolutionized the creation of in vivo systems mirroring the human flora [192, 193]. Human microbiota-381 associated (HMA) mice are exceptionally useful for dissecting host-microbe interactions and have 382 provided new insights in the role of the microbiota in health and disease.

- 383 For example, transferring the gut microbiota of ovarian cancer (OC) patients into OC-bearing mice
- accelerated tumor development, while the addition of *Akkermansia muciniphila* was able to suppress
 OC progression in mice by restoring gut barrier function and enhancing IFNγ secretion of CD8+ T cells
 and enhancing its tumor-killing property [194].
- 387 Cytotoxic T lymphocyte activation is restricted by specific 'immune-checkpoints', receptors which 388 prevent aberrant T cell activation and autoimmunity upon ligand binding, including cytotoxic T 389 lymphocyte antigen 4 (CTLA-4) and programmed cell death 1 (PD-1). Certain tumors exploit these 390 checkpoints to evade the immune system [195, 196]. Immune checkpoint inhibition therapy (ICI), such 391 as anti-PD1 and anti-CTLA4, has revolutionized anti-cancer treatments in several types of cancer, 392 including melanoma and non-small cell lung cancer [145, 197].
- The microbiota composition is a predictive biomarker of ICI therapy response and ICI-therapy response is mirrored in GF mice receiving patient microbiota and ICI therapy [144, 145, 198-201]. The role of specific commensal bacteria in immunotherapy efficacy was highlighted by Vetizou and colleagues, as GF mice failed to respond to CTLA-4 blockade therapy until supplemented with *B. fragilis*, underscoring
- Bacteroidales' significance in the immunostimulatory effects of anti-CTLA-4 ICI [202].
- 398 Numerous studies have leveraged human-to-murine FMT models to explore the connection between
- 399 gut microbiota and ICI-induced tumor response [117, 203, 204]. Additionally, these models have led to
- 400 the establishment of defined bacterial consortia that promote anti-tumor immunity and ICI-response.
- 401 Inosine-producing bacteria have been shown to enhance the effect of ICI therapy in CRC, bladder
- 402 cancer and melanoma [205]. A consortium of 11 human strains also stimulates IFNγ-producing CD8+ T
- 403 cells and anti-tumor immunity [206]. This underscores the potential of microbiome modulation as a404 promising adjuvant therapy for cancer, surpassing the therapeutic scope of FMT.
- 405 Although FMTs from humans to mice can provide novel fundamental insights, there are limitations 406 with respect to species specificity, as discussed earlier.
- Gnotobiotic mouse technology enables the exploration of precise microbial effects on host physiology, encompassing an in-depth examination of the associated molecular mechanisms. This investigation involves the comprehensive characterization of microbial influences at the transcriptional (RNA), translational (protein), and metabolite levels, employing metatranscriptomics, proteomics and metabolomics approaches, respectively. Through the integration of multi-omics analyses and targeted interventions, researchers can pinpoint the pivotal microbial genes, proteins, and metabolites that significantly influence host physiology [207-210].

414 Mouse disease models

- 415 Various experimental and transgenic mouse models of human disease are very informative to examine microbiota-contributions to disease. Multiple transgenic mouse lines with spontaneous disease 416 417 phenotype are rescued in germ-free conditions or are influenced by changes in microbiota 418 composition. These genetic and experimental disease models are very interesting to identify 419 microbiota-derived disease mechanisms or therapy-modulating mechanisms. In table 2 we list 420 commonly used genetic and experimental mouse models of IBD, CRC and extraintestinal pathologies 421 that are influenced by microbiota composition. We also incorporated the effect of antibiotic treatment 422 on pathology. Antibiotics treatment and GF rederivation could yield conflicting outcomes, as antibiotic 423 treatment does not entirely deplete the intestinal microbiota Moreover, certain antibiotics may exhibit 424 anti-inflammatory properties [211].
- 425

426 Conclusions and future perspectives

427 The rapid evolution of high-throughput sequencing, metabolomic profiling and advanced imaging 428 techniques has begun to illuminate the intricacies of host-microbe cross-talk. Understanding the 429 significance of host-microbe interactions in maintaining host homeostasis and contributing to 430 pathology is a profoundly intricate field, riddled with formidable challenges due to its multifaceted 431 nature. Harnessing these technological advances in synergy with gnotobiotic models holds the promise 432 of deciphering the intricate interplay between host and microbial communities. While maximizing 433 standardization is key to capture the multidimensionality of host-microbe interactions, there is no 434 'one-size-fits-all' approach, as experimental design largely depends on the microbes and the 435 physiological process of interest.

436 SPF and GF mice are invaluable tools in biomedical research. However, their use has inadvertently 437 created a significant gap with humans, which harbor a more complex microbiota. In an attempt to 438 standardize housing conditions and to limit confounding infections, SPF mice have become abnormally 439 hygienic [212]. Consequently, SPF mice exhibit an immune system that rather resembles neonatal 440 humans, restricting the translational potential of laboratory animal-based studies. Environmental 441 changes result in better recapitulation of features of adult humans, including effector-differentiated 442 and mucosally distributed memory T cells [213]. Co-housing of SPF mice with pet store or feral mice 443 shifts the murine immune system to more closely resemble that of adult humans [213, 214]. 444 Furthermore, exploring the immunological landscape of wild mice emerges as an attractive alternative, 445 offering genetic diversity and exposure to natural environmental factors [215, 216]. Various studies 446 already indicated that wild mice exhibit immunological traits closely resembling their human 447 counterparts [213, 217, 218]. To eliminate genetic effects in wild-mice, wild-mouse microbiota was 448 transferred to C57BL/6 mice through 'reverse germ-free rederivation', which involves C57BL/6 embryo 449 transfer in pseudo pregnant wild mice [219, 220]. The resulting 'wildling' mice had a systemic immune 450 system and microbiota resembling wild mice and phenocopied human outcome in models of T cell 451 activation and TNF-induced septic shock, in contrast to laboratory mice. Surprisingly, wildling mice are 452 also more susceptible to allergic inflammation, which challenges the hygiene hypothesis. It is thus 453 imperative to carefully assess the desired level of microbiota complexity and ideally experiments 454 should be performed at different levels of microbiota complexity. Thoroughly assessing this aspect is 455 crucial to generate comprehensive and clinically relevant insights for biomedical research.

456

457 Author contributions

458 M.J. and L.V. wrote the manuscript.

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 Suppl 1, 4615-22.

1306 Tables:

1307 Table 1. Overview of commonly used minimal microbial consortia in vivo.

| | ASF | OMM12 | OMM19.1 | GM15 | 14SM |
|---|--|--|--|---|---|
| Origin of strains | Mouse | Mouse | Mouse | Mouse | Human |
| # Bacterial strains | 8 strains | 12 strains | 19 strains | 15 strains | 14 strains |
| Phylogeny | Firmicutes, Bacteroidetes, Deferribacteres[22 1] | Represents 5 most prevalent phyla (Bacteroidetes, Firmicutes, Verrucomicrobia, Proteobacteria and Actinobacteria) naturally abundant in mouse GI [222] | OMM12 + additional phylogenetically and functionally diverse species | Represents 3 different phyla (but encompasses wider range of prevalent intestinal bacterial families compared to OMM12) | Represent dominant phyla of human gut microbiome Possesses core metabolic capabilities |
| Availability of strains | Not in public collections Near complete genome sequences | Fully sequenced + publicly available | Publicly available | Community not publicly available | Fully sequenced + well characterized |
| Vertical | Stable transmission | Stable transmission | Stable transmission | Stable transmission | Stable transmission |
| transmission Phenotypical changes | Induce Tregs [156] Induce spontaneous + homeostatic T cell proliferation [224] Normal immune system and GI function [225] | Immune maturation of intestinal blood vessels [168] Activation of immune system to support neutrophil migration [168] | Body composition shifts towards phenotype of conventionally colonized mice Changes in T cell subtypes compared to OMM12: increased RORyt+ CD4+ Th17 cells Increased IgA+ plasma cells compared to OMM12 | Increased serum antibodies Increased PP development Phenotypically similar to SPF animals | Immune profile intermediate between GF and SPF [166] |
| Colonization resistance (CR) | No CR against Salmonella enterica serovar Typhimurium (S. Tm) | CR against S. Tm CR against <i>Clostridioides</i> <i>difficile</i> [170] Reduced colonization of <i>C.</i> <i>rodentium</i> [168] | To be determined | To be determined | No CR to C. rodentium [106] |
| Advantages | Effectively transmitted to offspring Stable consortium Introduced microbes can successfully colonize [190, 226, 227] ASF distribute longitudinally | Long term stability Reproducibly established in different facilities Allows flexible experimental design | Overall intermediate state between OMM12 and SPF colonized mice | Recapitulates functionalities SPF microbiota metagenome Animals phenotypically similar to SPF Less sensitive to deleterious effects of post-weaning malnutrition compared to SPF | Human consortium Allows to validate the impact of fiber supplementation on gut microbiota modulation |

| | through GI tract and differentially occupy niches similar to complex microbiota [228] | | | Shares metabolic traits with SOPF (specific and opportunistic pathogen free) | |
|------------|---|-----------------|-------|--|------------|
| References | [122, 160, 225, 229-232] | [104, 233, 234] | [172] | [105] | [106, 235] |

1312 Table 2. Overview GF mouse models of IBD, CRC and extraintestinal pathologies and colonization studies.

| Model | Phenotype SPF | Phenotype/rescue in GF | Colonization studies | | | |
|---------------------------|---|--|---|--|--|--|
| Transgenic disease models | | | | | | |
| Muc2-/- | Colitis SI adenomas that progress into invasive adenocarcinomas + rectal tumors [236, 237] | N.A. | In SPF: more susceptible to enteric pathogen infections [238-242] | | | |
| Winnie | Missense mutation in <i>Muc2</i> Thinner mucus barrier and increased bacterial translocation Inflammation in distal colon | Partial protection: Colitis substantially reduced but not abolished [243] | N.A. | | | |
| II10-/- | Spontaneous chronic colitis [244] | Full rescue [245] | Monocolonization of AOM- treated II10-/-: <i>E. coli</i> NC101 promoted invasive carcinoma in a colibactin- dependent manner [246] Adherent invasive <i>E. coli</i> harboring yersiniabactin pathogenicity island promote inflammation- associated fibrosis [247] MNV exacerbates colitis severity in ASF-colonized mice, not in OMM12- colinized mice [190] SIHUMI consortium induced colitis [248] <i>Klebsiella pneumoniae</i> isolated from healthy premature infant plays critical role in onset of colonic inflammation [249] | | | |
| TCRα-/- | Colitis Strong antibody response to self-antigens [250] | Full rescue [251] | No intestinal inflammation when + <i>Lactobacillus</i> <i>planatarum + E. faecalis +</i> <i>E. faecium + E. coli 09:K36</i> [251] | | | |
| 112-/- | Histological resemblance to ulcerative colitis [252] | Partial protection: delayed and mild focal intestinal inflammation [253] | mono-association with <i>E.</i> <i>coli</i> induced colitis but addition of <i>Bacteroides</i> <i>vulgatus</i> prevented <i>E. coli</i> - induced colitis [254, 255] | | | |
| Apc ^{Min/+} | Multiple intestinal neoplasias (mostly small intestinal) [256] | Conflicting data: Drastic drop in colonic tumor incidence + overall tumor load [257] no rescue [256] | Mixture of 6 Fusobacterium nucleatum CRC clinical isolates failed to enhance intestinal tumorigenesis [258] FMT of CRC patients increased tumor proliferation, impaired gut barrier function and upregulated inflammation | | | |

| | | | compared to healthy |
|--|---|-------------------------------------|--|
| | | | controls [259] |
| Apc ^{will} /* MSH2 ^{-/*} | More SI and colon tumors | On broad-spectrum | N.A. |
| | 261] | colon tumors [261] | |
| Apc ^{Min/+} ; II10 ^{-/-} | Stronger inflammation | Colon tumorigenesis | Different bacterial strains |
| | induced colon (but not SI) | abolished | affect tumorigenesis: |
| | tumorigenesis compared to | | Transfer of GF Apc ^{Min/+} ;II10 ⁻ |
| | Apc ^{Min/+} [258] | No effect on SI | /- mice to SPF: induced |
| | | tumorigenesis [258] | colonic inflammation + |
| | | | Mice are sensitive to |
| | | | microbial status: pks+ E. |
| | | | <i>coli</i> enhanced |
| | | | tumorigenesis but mixture |
| | | | of 6 F. nucleatum strains |
| AONA 1110-/- | Colitic accoriated concer | Complete protection [262] | did not [258] |
| | [262 263] | Complete protection [263] | wono-association with E. |
| (azoxymethane) | Spontaneous colitis | | induced severe colitis. E. |
| | Colorectal carcinomas | | coli NC101 aggravated |
| | | | tumorigenesis, E. faecalis |
| | | | did not induce tumors [246] |
| Zeb2 ^{IEC-1g/+} | Increased intestinal | Complete protection from | SPF: pks+ <i>E. coli</i> 11G5 |
| | colon colitis and invasive | Intestinal harrier still | in an adhesin-mediated and |
| | carcinomas in colon [264] | permeable [264] | colibactin-dependent |
| | | | manner [265] |
| Diabetes type 1: NOD | Diabetes | Diabetes[266, 267] | Delayed onset when |
| | | | colonized with <i>Bacillus</i> |
| lloitic and arthritic | TNER1 modiated Crohn's | Drotacted from ilaitic not | cereus [267] |
| TNF ^{emARE} | like ileitis | protected from | N.A. |
| | Peripheral and axial | peripheral and axial | |
| | arthritis [268] | arthritis [268] | |
| Ileitis and arthritis: | Crohn's-like ileitis [269, | Protected from ileitis | Mono-association with E. |
| TNF ^{dare/+} | 270] | No report on arthritis [269, | coli LF82 (CD-related) did |
| | | 270] | not induce colitis, transplantation of disease- |
| | | | associated microhiota |
| | | | induced CD-like ileitis [270] |
| | Experimental of | disease models | |
| DSS | Colitis [271] | Aggravated colitis [272, | Used to demonstrate |
| (dextran sodium sulfate) | | 2/3] | problotic effect of |
| | | enithelial injury [274] | kefiranofaciens M1 |
| | | | ameliorates DSS-induced |
| | | | colitis [275] |
| | | | |
| | | | Protective effects of |
| | | | DSS injury [276] |
| TNBS | Transmural colitis [277] | Antibiotics administration: | Lactobacillus casei: |
| (trinitrobenzene sulfonic | Disruption of epithelium | protected from colitis [279] | protective effects against |
| acid) | Invasion of colonic wall by | | colitis [279] |
| | bacteria | | |
| | Colitis partially alleviated | | |
| | [278] | | |
| AOM/DSS | Polyps in distal colon | Contradictory results GF vs | Monocolonization with |
| | | broad-spectrum antibiotics: | Bacteroides fragilis |
| | | More and larger | prevents inflammation and |
| | | tumors in GF | |

| | | compared to SPF (recolonization or LPS administration reduced tumorigenesis), due to delayed tissue repair [280] SPF + antibiotic cocktail (ampicillin, neomycin, metronizadole, vancomycin): lower tumor burdon [201] | tumor formation via TLR4 signaling [152, 282] |
|-------------------------|-----------------------------|---|--|
| | | tumor burden [281] | |
| Multiple sclerosis: EAE | Inflammation in spinal cord | Resistant to EAE | Monocolonization with SFB |
| | Ascending flaccid paralysis | development [284] | renders mice highly |
| | [283] | | susceptible to EAE |
| | | | symptoms [284] |

1314 Figure legends:

Figure 3. Crucial parameters to take into account when designing gnotobiotic studies. When designing a gnotobiotic study, several experimental factors should be taken into consideration, ranging from the age of the mice, the method of administering the microbes, duration of the colonization experiment as well as dosage. While germ-free mice show minimal colonization resistance, this increases when microbial complexity increases. Engraftment efficiency of microbes of interest should be monitored during the course of the experiment. GF: germ-free.

Figure 4. Colonization spectrum. More simple microbial communities allow precise control and definition of the microbiota composition and in-depth investigation into host-microbe interactions.
 More diverse or complex communities increase physiological relevancy, as it accounts for interspecies interactions.

1324 interactions, resembles the physiological ecological niches and induces immune maturation.