Modelling and probabilistic evaluation of repair strategies in the initiation phase of chloride-induced corrosion of the reinforcement of concrete structures

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27 ABSTRACT

Chloride ingress is a common issue in concrete structures that can result in reinforcement corro-28 29 sion. In order to extend the lifetime of a structure, several preventive repair methodologies are 30 available which reduce the ingress of chlorides. In this work, the computational efficiency of the analytical model for a two-material diffusion problem has been improved to model the effect of 31 32 a mortar overlay or partial cover replacement, and is further implemented to model the influence 33 of a coating. This enables the assessment of each repair method in a probabilistic framework using 34 Latin Hypercube Samples within an acceptable timeframe. A parameter study executed in both a 35 deterministic and probabilistic framework demonstrated the model efficiency and the importance 36 of the timing and quality of the intervention for successful repair when applying a repair inter-37 vention in the initiation phase.

38 1 INTRODUCTION

39 In concrete structures, chloride ingress can result in reinforcement corrosion, leading to problems 40 concerning both serviceability and safety. In order to quantify the initiation phase of corrosion 41 (depassivation of reinforcement), prediction models for chloride ingress in uncracked [1], [2] and 42 cracked [3] concrete have been developed, of which the former are considered widely accepted. 43 Chloride ingress in concrete is a complex process and significant uncertainties are associated with 44 both the model and its input parameters. Therefore, a probabilistic approach is most often used to quantify the probability of depassivation of the reinforcement. This probability of depassivation 45 enables to assess the potential need for a repair strategy, as depassivation of the reinforcing steel 46 47 is often considered as the end of the design service life of a structure [4], [5]. In this work, models 48 developed for the prediction of chloride ingress after the application of a particular repair strategy 49 are considered, and their computational efficiency is improved to allow for a probabilistic analysis 50 within a practical timeframe. Using these models, an objective comparison between different re-51 pair strategies can be made, enabling decision making in relation to an optimized repair campaign.

52 Several repair options are available for concrete structures subjected to chloride ingress, as 53 reported in *fib* Bulletin 102 [5]. Generally, the different repair strategies can be categorized ac-54 cording to their working principle: delaying the ingress of chlorides (e.g. coating or the use of a 55 mortar overlay), reducing the chloride concentration (e.g. chloride extraction or recasting), reduc-56 ing the corrosion rate (e.g. using corrosion inhibitors) and reducing the corrosion level (e.g. re-57 moving and replacing corroded reinforcement). In this work, that gives focus to interventions 58 during the initiation phase of chloride ingress, three repair strategies are considered which corre-59 spond to the first two categories, i.e. the application of a coating, the application of a mortar 60 overlay and the partial removal and replacement of the concrete cover by repair mortar. The latter 61 two interventions can be analyzed by solving the chloride ingress problem in two materials with 62 a different diffusion coefficient. Although available literature is scarce, this has been modelled 63 for the so-called skin effect at the concrete surface [6], [7]. The models in [6], [7] assume that

there are no chlorides present at the start of the diffusion process. However, in practical situations, 64 when a repair mortar is applied, chlorides have likely already penetrated the concrete. The latter 65 has been modelled analytically in the work of [8] and numerically in the work of [9]-[11], which 66 67 propose a two-material diffusion model that is able to account for an initial chloride profile. The existing models consider time-dependent properties of e.g. the diffusion coefficient requiring a 68 69 step-wise evaluation of the chloride profile during which the time-dependent properties are al-70 tered, which significantly reduces the computational efficiency of the calculation and makes a 71 probabilistic calculation cumbersome. In this work, this aspect is improved by working with an 72 averaged constant diffusion coefficient, which is shown to have no significant influence on the 73 results.

74 Next to the mortar overlay and replacement of the concrete cover by mortar material, the ap-75 plication of a coating is considered. Similar to the other repair methods, a coating forms a contin-76 uous protective layer on the concrete substrate and counters the ingress of new chlorides. How-77 ever, it is not able to re-absorb already integrated chlorides. The influence of a coating has been 78 studied in various experimental campaigns [12]-[15] and several models have been developed in 79 order to predict the measured chloride profiles after application of the treatment. The most com-80 mon ways to model the effect of a coating are through an equivalent reduction of the surface 81 concentration, an additional diffusive material layer with a low diffusion coefficient [16], or an additional convection layer [17]. Apart from applying a coating, hydrophobic impregnation such 82 83 as a silane treatment [18], [19] can also be considered, but this is not explicitly covered in this 84 work.

In the next section, the general solution for the one-material diffusion problem (as is the case for concrete subjected to chloride ingress without surface treatments) is briefly revisited, including the typical simplifications. In the third section, the two-material diffusion solution as proposed in [8] is improved in order to more effectively facilitate a probabilistic calculation of the chloride ingress after either the application of a mortar overlay, or the replacement of part of the 90 contaminated concrete by a mortar layer. This is done by implementing a constant averaged dif-91 fusion coefficient, similar to an equivalent diffusion coefficient used in the widely accepted one-92 material diffusion solution. As a result, the computational efficiency of the models is significantly 93 increased. In the next section, this two-material diffusion model is extended to predict the chlo-94 ride ingress after application of a coating layer and is fitted to experimental data from literature.

A quantitative comparison between the effect of the different repair strategies is made through a deterministic calculation to assess the impact of each strategy, and a sensitivity study is executed to detect the most important variables in relation to the effectiveness of the repair method. Afterwards, the developed models are incorporated in a probabilistic framework and the influence of the most important parameters is investigated to have more insight into the governing factors for a successful repair. Finally, the results are discussed and some challenges for future research are presented.

102 2 MODELLING CHLORIDE DIFFUSION IN A SINGLE MATERIAL

103 The one-dimensional transport of chlorides through the concrete substrate is generally described104 by Fick's second law of diffusion [20]:

105
$$\frac{\partial C}{\partial t} = \frac{\partial}{\partial x} D \frac{\partial C}{\partial x}$$
(1)

where *C* [*m*%*cement*] is the chloride concentration at time *t* [*y*] and location *x* [*m*] and *D* [m^2/y] is the diffusion coefficient of the substrate material. Under the assumptions that chlorides are diffusing through a single material with a time-invariant diffusion coefficient, no initial chlorides are present in the substrate and a constant chloride concentration is maintained at the surface, the solution of the differential equation in Eq. (1) is described by Eq. (2):

111
$$C(x,t) = C_s \left[1 - erf\left(\frac{x}{2\sqrt{Dt}}\right) \right]$$
(2)

where C_s [*m%cement*] is the surface chloride concentration which results from the environmental conditions, and *erf(x)* is the Gauss error function. When the diffusion coefficient and surface 114 concentration are known, this equation allows the determination of the chloride concentration at 115 any time and location. However, some important aspects should be considered when modelling 116 the chloride ingress by means of Eq. (2).

First of all, it is widely known that the surface concentration is in most cases not constant over time and chloride transport at the surface is not solely due to diffusion, but also due to convection. In order to maintain the practical functionality of Eq. (2), these problems are solved by replacing the convection zone with an equivalent surface concentration $C_{s,\Delta x}$ at a depth Δx equal to the thickness of the convection zone [2]. In this work, this convection layer is not considered, but can be added to the models that are developed.

Further, the diffusion coefficient of concrete reduces in time due to a decrease in porosity caused by ageing of the concrete and binding of the chlorides to form salts [21]. As a consequence, Eq. (2) for the single material diffusion problem is in principle no longer applicable. To overcome this issue, an averaged equivalent diffusion coefficient $D_{app}(t)$ is commonly defined. This socalled apparent diffusion coefficient can be related to the results of migration tests through the following equation (*fib* Bulletin 34 [1]):

129
$$D_{app}(t) = k_e \cdot D_{RCM,0} \cdot k_t \cdot \left[\frac{t_0}{t}\right]^a$$
(3)

130 where a [-] is the ageing exponent describing the time-dependent behaviour of the diffusion co-131 efficient, generally considered to be between 0.2 and 0.8 [2]. k_e [-] is the environmental transfer 132 variable considering the outside temperature. This value is assumed to be equal to 1 in the subse-133 quent analyses in this article, i.e. the temperature of the concrete element under consideration is equal to a reference temperature of 20°C. $D_{RCM,0}$ is the migration coefficient at a reference time t_0 134 135 of 28 days measured by the Rapid Chloride Migration (RCM) method. k_t [-] is the transfer param-136 eter that relates $D_{RCM,0}$ to D_{app} and depends on the concrete composition, which is in this work 137 considered equal to 1.

138 3 MODELLING OF CHLORIDE INGRESS FOR A TWO-MATERIAL DIFFUSION139 PROBLEM

140 In case a repair mortar is applied to the concrete surface, an additional layer of a new material 141 with a different diffusion coefficient is introduced. Consequently, the diffusion coefficient in Eq. 142 (1) varies with the depth of the substrate, and the single material solution given by Eq. (2) does 143 not apply anymore. To solve the chloride migration problem, the differential equation can be 144 solved in two ways: (1) discretization, e.g. using the finite-difference method, or (2) deriving an 145 (approximate) analytical solution for the differential equation. These two methods are described 146 in the next sections. The properties of the mortar layer are labelled with subscript 1, whereas the 147 properties of the concrete are labelled with subscript 2.

148 3.1 *Discretization of the diffusion equation*

149 The first approach, proposed in the work of Petcherdchoo [10], is to discretize the diffusion prob-

150 lem in time and space using the finite-difference principle of Crank-Nicolson [22]:

151
$$\frac{c_{i,j+1}-c_{i,j}}{\Delta t} = \frac{1}{2} \left(\frac{D_{i+1/2}(c_{i+1,j+1}-c_{i,j+1}) - D_{i-1/2}(c_{i,j+1}-c_{i-1,j+1})}{(\Delta x)^2} + \frac{D_{i+1/2}(c_{i+1,j}-c_{i,j}) - D_{i-1/2}(c_{i,j}-c_{i-1,j})}{(\Delta x)^2} \right)$$
(4)

where $c_{i,j}$ is the chloride concentration at mesh point *i* and timestep *j* as illustrated in Figure 1. Diffusion coefficients $D_{i+1/2}$ and $D_{i-1/2}$ are located in between two mesh points and determined by taking the average of the diffusion coefficients of their neighbors.

155

156 Figure 1: Discretization scheme finite-difference method

To numerically approximate the multi-material diffusion process using Eq. (4), a discretization in time and space is required. Therefore, the mesh size (Δx) and size of the time increments (Δt) need to be determined. Furthermore, the truncation limit of the semi-infinite region of the 1D space (x_{limit}) needs to be decided. Increasing Δx and Δt and decreasing x_{limit} reduces the computation time, but at the expense of numerical accuracy and therefore an appropriate choice should be made. Based on previous work, the optimal value of these parameters has been derived [23], which are verified depending on the problem-specific case. As a starting point, Δx can be taken

equal to 1 mm. Secondly, the considered depth x_{limit} of the 1D space should be large enough to 164 165 ensure that the semi-infinite region boundary condition $C(x=+\infty;t)=0$ for general concrete struc-166 tures with considerable thickness is satisfied. As investigated in [23], a value x_{limit} equal to 300 mm leads to an acceptable prediction of the chloride concentration at the level of the reinforce-167 168 ment. Finally, Δt is an important parameter because it has a significant influence on the computa-169 tion time. This is because the chloride concentration needs to be consecutively determined for 170 every time step, compared to the spatial characteristics which can be calculated simultaneously 171 using matrix calculations. In [10], Δt is chosen equal to 0.08 y (1 month) to ensure a smooth 172 transition of the chlorides into the two-material substrate. However as discussed in [23], the value 173 Δt can be set equal to one year to increase the speed of the calculation and still maintain a reason-174 able approximation of the chloride concentration at the point of interest.

175 3.2 Analytical solution

Alternative to the finite-difference approach, the diffusion equation can be solved analyticallyusing the principle of separation of variables [20]:

178
$$C(x,t) = \sum_{n=1}^{\infty} [A_n \sin(\lambda_n x) + B_n \cos(\lambda_n x)] \exp(-\lambda_n^2 Dt)$$
(5)

where A_n , B_n and λ_n are unknown constants, and D is the constant diffusion coefficient. Taking into account the appropriate boundary conditions and initial condition, the following analytical solution for the two-material problem is obtained [8]:

182
$$C(x,t) = C_s + \sum_{n=1}^{\infty} A_n' f_n(x,\lambda_n) \exp(-\lambda_n^2 t)$$
(6)

183 with:

184
$$f_n(x,\lambda_n) = \begin{cases} \frac{\cot(\lambda_n h_2/\sqrt{D_2})}{\sin(\lambda_n h_1/\sqrt{D_1})} \sin\left(\frac{\lambda_n x}{\sqrt{D_1}}\right), & 0 \le x \le h_1 \\ \frac{1}{\sin\left(\frac{\lambda_n h_2}{\sqrt{D_2}}\right)} \cos\left[\lambda_n (x-h_1-h_2)/\sqrt{D_2}\right], & h_1 \le x < h_1 + h_2 \end{cases}$$
(7)

185
$$A'_{n} = \frac{\int_{0}^{h_{1}+h_{2}} [\bar{C}(x) - C_{s}] f_{n}(x,\lambda_{n}) dx}{\int_{0}^{h_{1}+h_{2}} f_{n}(x,\lambda_{n})^{2} dx}$$
(8)

186 and λ_n governed by equation:

187
$$\tan\left(\frac{\lambda_n h_1}{\sqrt{D_1}}\right) \tan\left(\frac{\lambda_n h_2}{\sqrt{D_2}}\right) = \sqrt{D_1/D_2}$$
(9)

where $\bar{C}(x)$ is the initial chloride concentration, C_s the surface concentration (assumed to be constant in time), h_1 and h_2 the thickness of respectively the first (i.e. closest to the surface) and second layer and D_1 and D_2 of their corresponding constant diffusion coefficient. The calculation can be performed considering a relevant depth of the concrete substrate $h_2 = x_{\text{lim}}$, as discussed before.

193 For practical applications, the summation in Eq. (6) is limited to a maximum value n_{max} , which 194 is in literature suggested to be taken equal to 10 [8]. The value of n_{max} should be kept small in 195 view of the computation time but high enough to accurately represent the chloride profile. This is 196 investigated in Figure 2 for an example case where a repair mortar layer of 20 mm is applied to 197 a concrete substrate after a period of 50 years. In the period shortly after application, the chloride 198 profile is the most irregular and therefore more terms, i.e. higher values of n, are required for an 199 accurate prediction of the chloride profile. As illustrated in Figure 2, a total number of terms 200 n_{max} equal to 5 is not able to represent the more complex chloride profile, and larger values are 201 needed. In contrast to the advised value in [9], a value n_{max} of 20 is chosen so that the chloride 202 profile is described well for the first years after mortar application and coincides with the finite-203 difference solution, using $\Delta t = 0.1$ y. It is noted that when the profile is predicted sufficiently 204 accurately in the first years after mortar application, this will also be the case for the following 205 years, as the curve becomes generally less complex.

208

209 The computation time of the analytical method depends heavily on the way Eq. (9) is solved to 210 find the values of λ_n . As described by [8], an effective method is to first determine the varying 211 intervals in which there exists a solution for λ_n and where the function in Eq. (9) is monotonic.

Afterwards, the bisection method can be used to determine the solution in each interval [24]. The amount of bisections taken is by default equal to 10, which is considered broadly sufficient for the examples in this work. The considered bisection method can be executed for multiple intervals at the same time. This means the different considered values of λ_n (and every sample considered) are determined simultaneously, which drastically improves the calculation time.

217 3.3 Comparison discretization and analytical approach

218 One of the main disadvantages of the finite-difference method (discretization) is that the chloride 219 content levels in the next time step require the results of the previous time step, which is not the 220 case for the analytical method. As a result, the calculation time of the finite-difference method 221 increases with increasing time after repair application, while the calculation time of the analytical 222 method remains constant. This is illustrated in Figure 3 by considering the same example as considered in Figure 2, with $\Delta t = 1y$, $\Delta x = 1$ mm for the finite-difference method and n_{max} equal 223 224 to 20 for the analytical method. The results show the analytical method is up to 10 times faster 225 than the finite-difference method.

Figure 3: Calculation time of the finite-difference method and the analytical method for the two-material
 diffusion problem example (Intell CITM i7, 3.00GHz, 32 GB)

228

229 The benefit of the analytical method is that the chloride profile can be determined at any time 230 instant without increasing the computation time. However, this is only possible under the assump-231 tion of a constant diffusion coefficient and surface concentration over time. If this is not the case, 232 a stepwise approach can be followed where each output of the previous step is used as input for 233 the next one, in which the diffusion coefficient and/or surface concentration is changed. However, 234 this decreases the computational advantage of the analytical method compared to the finite-dif-235 ference method. As stated previously, the surface concentration is generally considered to be con-236 stant, but the diffusion coefficient varies over time. In the next section, the time dependency of 237 the diffusion coefficient is discussed and the potential use of an averaged constant diffusion co-238 efficient is investigated for each of the two layers.

239 3.4 Averaged diffusion coefficients in the two-material diffusion process

Typically, a repair intervention is applied to a concrete structure of considerable age, for which measurements indicate a later risk of corrosion. Before the repair intervention, the chloride profile is described by Eq. (2), using the apparent diffusion coefficient defined in Eq. (3). This apparent diffusion coefficient decreases with increasing time as illustrated in Figure 4 and stabilizes in due time.

Figure 4: Time-dependent behaviour of the diffusion coefficient for different values of the ageing coefficient

245 It can be assumed that in practical situations, where the repair intervention is applied to an 246 existing structure of considerable age, the diffusion coefficient of the concrete substrate remains almost constant after most of the repair interventions. In case the age of the structure or the ageing 247 248 coefficient is low such that the diffusion coefficient of the concrete still varies significantly over 249 time, this assumption is not valid and a more detailed analysis is needed. However, in this work, 250 the diffusion coefficient of the concrete substrate after the repair intervention is considered con-251 stant and equal to the average value of the instantaneous diffusion coefficient in the time period 252 which spans from the instant of repair t_1 to the instant t_2 at which the chloride profile is predicted. 253 The instantaneous diffusion coefficient at a certain moment in time is defined to have the follow-254 ing exponential behaviour [25]:

255
$$D(t) = D_0 \left[\frac{t_0}{t}\right]^b$$
(10)

where D_0 is the diffusion coefficient at reference time t_0 (28 days) and b [-] is the instantaneous ageing exponent. Using this relationship, the average diffusion coefficient of the concrete substrate after the repair intervention can be determined as:

259
$$D_{c} = \frac{1}{t_{2}-t_{1}} \int_{t_{1}}^{t_{2}} D(t) dt = \frac{D_{0}}{t_{2}-t_{1}} \int_{t_{1}}^{t_{2}} \left[\frac{t_{0}}{t}\right]^{b} dt = \frac{D_{0}}{t_{2}-t_{1}} \frac{t_{0}^{b}}{1-b} \left[t_{2}^{1-b} - t_{1}^{1-b}\right]$$
(11)

260 Note that the instantaneous ageing coefficient is generally not known, as only the apparent 261 diffusion coefficient and its corresponding ageing coefficient are determined by fitting the onematerial solution of Eq. (2) to chloride measurements over time. The relation between both ageing coefficients can be determined by considering that $D_{app}(t)$ is the averaged value of D(t) and combining Eq. (3) and (11):

265
$$D_{app}(t) = \frac{1}{t-t_0} \int_{t_0}^t D(\tau) d\tau$$

266
$$\leftrightarrow \left(\frac{t_0}{t}\right)^a = \frac{t_0^b}{t - t_0} \frac{1}{1 - b} \left[t^{-b+1} - t_0^{-b+1} \right]$$
 (12)

where *a* and *b* are the ageing coefficient of respectively the equivalent and instantaneous diffusioncoefficient.

The diffusion coefficient of the repair mortar can evolve with time as well and this evolution can have a substantial effect on the ingress of chlorides. As both the concrete and repair mortar are assumed to be cementitious materials, the time-dependent behaviour of the diffusion coefficient is considered to be similar and hence follows Eq. (10). This is in line with the standardized tests for chloride resistance in concrete (NBN EN 12390-11 [26]), which is generally applied to test repair mortars as well. However, one should note that additional experimental data on repair mortars is required to validate this assumption.

276 Considering a constant diffusion coefficient, the computationally beneficial analytical approach can be applied. To investigate the influence of the assumption of a constant (averaged) 277 278 diffusion coefficient, the chloride profile is determined for a reference case where the diffusion 279 coefficient of the repair mortar is considered as time-variant (using the finite-difference method) 280 versus the situation where a constant diffusion coefficient is applied (using the analytical method). 281 In both cases, the diffusion coefficient of concrete is assumed to be constant, but has a different 282 value before and after the application time. Before the application time, the diffusion coefficient of the concrete is equal to the apparent diffusion coefficient $D_{app}(t_{repair})$, while after application 283 284 of the repair the diffusion coefficient is equal to the averaged value between the time instant 285 considered and $t = t_{repair}$.

The result is given in Figure 5 for an example set of variables where the mortar is applied on concrete with an age of 50 years. Considering the constant (averaged) diffusion coefficient for the repair mortar instead of the time-dependent behaviour leads to very similar results in the region located at 20 to 50 mm from the original concrete surface, i.e. the typical location of the reinforcement.

Figure 5: Effect of a time-dependent vs. time-independent diffusion coefficient on chloride profile $(T_{rep} = 50 \text{ y}; h_1 = 20 \text{ mm}; h_2 = 300 \text{ mm}; D_{0,1} = 2e-12 \text{ m}^2/\text{s}; D_{0,2} = 10e-12 \text{ m}^2/\text{s} \text{ and } b_1 = b_2 = 0.5)$

In the example considered, a constant, averaged diffusion coefficient leads to accurate results. However, to extend this conclusion more generally, a larger set of examples is investigated. Therefore, five parameters are varied by generating 10,000 samples according to a uniform distribution within the following intervals, which represent realistic parameter values:

297 •
$$D_{0,1} \in [0.5 \cdot \frac{10^{-12} m^2}{s}; 2.5 \cdot \frac{10^{-12} m^2}{s}]$$

298 •
$$D_{0,2} \in [2 \cdot \frac{10^{-12} m^2}{s}; 20 \cdot \frac{10^{-12} m^2}{s}]$$

299 •
$$h_1 \in [10mm; 30mm]$$

300 •
$$b_1 \in [0.4; 0.6]$$

301 •
$$b_2 \in [0.4; 0.6]$$

302 For each sample, the chloride concentrations are determined at a depth of 20 mm in the original 303 concrete substrate and 10 years after the application of a repair mortar to a 50-year-old structure. 304 This is done for both for the original time-variant mortar diffusion coefficient, and the approxi-305 mation by an equivalent time-invariant diffusion coefficient. The relative difference between both 306 outcomes is given by the histogram in Figure 6. It is shown that in 89% of the cases, using the 307 averaged constant diffusion coefficient leads to conservative results, predicting a larger chloride 308 content, which deviates only to a small extent from the actual chloride profile obtained with a 309 time-dependent diffusion coefficient for the repair mortar. The error has a mean value of 0.78% 310 and standard deviation of 1.58% and hence it is possible to use the analytical method in combi-311 nation with the constant (averaged) diffusion coefficient. This results in a much more efficient

312 model than the finite-difference method, while maintaining acceptable accuracy.

313

Figure 6: Histogram of overestimation chloride level due to averaged diffusion coefficient of the repair mortar

316 4 MODELLING OF CHLORIDE INGRESS AFTER COATING APPLICATION

Another repair method considered in this work is the application of a coating to the concrete surface. As this coating is a very thin layer, modelling its effect as a convection-diffusion problem seems more appropriate compared to a two-material diffusion problem. In this section, a novel analytical solution for this problem is proposed to predict the ingress of chlorides after application of the coating.

322 4.1 Modelling

323 Different approaches have been proposed to predict the chloride ingress after application of a 324 coating. The model of [16] suggests to reduce the surface concentration over time, and in partic-325 ular cases add an additional second layer with a low diffusion coefficient at the surface. Further-326 more, to predict the chloride ingress under this time-variant boundary condition, the finite-differ-327 ence method was used, which requires a significant computational effort as discussed in the 328 previous part of this article. Moreover, a large number of parameters need to be fitted to the ex-329 perimental data, i.e. the surface concentration over time, and the thickness and diffusion coeffi-330 cient of the second layer. This causes the model to be less suitable for practical applications.

331 A different approach has been proposed by [17], which considered the coating as a convective 332 layer. This method succeeds in predicting the chloride profile, starting from an initial situation 333 with zero initial chloride concentration, i.e. C(x, 0) = 0:

334
$$C(x,t) = C_s \left[1 - \operatorname{erf}\left(\frac{x}{2\sqrt{Dt}}\right) \right] - C_s \exp\left(\frac{h_s x + h_s^2 t}{D}\right) \left[1 - \operatorname{erf}\left(\frac{x + 2h_s t}{2\sqrt{Dt}}\right) \right]$$
(13)

where C_s is the constant surface concentration, *D* the constant diffusion coefficient of the concrete substrate and h_s the convective coefficient describing the effect of the coating.

The original model [17] does not allow to take into account an initial chloride profile, which is present in case a treatment is applied to an existing concrete structure. Therefore, a novel approach is presented in this work, which is an extension of the convective layer approach that accounts for an arbitrary initial chloride distribution. The following boundary conditions and initial conditions are used to solve the general diffusion equation defined in Eq. (1):

342
$$-D\frac{\partial C}{\partial x}(x=0,t) = h_s[C_s - C(x=0,t)]$$
(14)

343
$$C(x,t=0) = \overline{C}(x)$$
 (15)

$$344 \qquad \frac{\partial c}{\partial x}(x=h_1,t)=0 \tag{16}$$

where $\bar{C}(x)$ is the initial chloride distribution and h_1 the distance from the surface at which the semi-infinite region of the 1D space is truncated, equivalent to the parameter x_{lim} previously defined (section 3.1) which is considered at 300 mm. The solution of this problem can be written in a similar form as the analytical solution of the two-material diffusion solution:

349
$$C(x,t) = C_s + \sum_{n=1}^{\infty} B_n' h_n(x,\lambda_n) \exp(-\lambda_n^2 t)$$
(17)

350 with:

351
$$h_n(x,\lambda_n) = \sin\left(\frac{\lambda_n x}{\sqrt{D}}\right) + \frac{\sqrt{D}}{h_s}\lambda_n \cos\left(\frac{\lambda_n x}{\sqrt{D}}\right)$$
(18)

352
$$B'_{n} = \frac{\int_{0}^{h_{1}} [\bar{C}(x) - C_{s}] h_{n}(x,\lambda_{n}) dx}{\int_{0}^{h_{1}} h_{n}(x,\lambda_{n})^{2} dx}$$
(19)

353 and λ_n governed by equation:

354
$$\frac{1}{\sqrt{D}}\cos\left(\frac{\lambda_n h_1}{\sqrt{D}}\right) = \frac{\lambda_n}{h_s}\sin\left(\frac{\lambda_n h_1}{\sqrt{D}}\right)$$
(20)

where C_s represents the surface concentration at the outside of the coating and $\overline{C}(x)$ the initial chloride content at the time the coating is applied. To solve Eq. (20) in order to get the values of λ_n , the bisection approach can be used as discussed previously (section 3.2), taking into account the fact that there is one solution in each (monotonically increasing) interval:

359
$$\lambda_n \in \left[\frac{\frac{\pi}{2} + (n-1)\pi}{\frac{h_1}{\sqrt{D}}}; \frac{\frac{\pi}{2} + n\pi}{\frac{h_1}{\sqrt{D}}}\right] \quad \text{with } n = 1, 2, \dots$$
(21)

360 By default, the number of terms n_{max} is considered as 20.

In Figure 7, the proposed model is compared to the model in Eq. (13) for a situation where the initial chloride concentration is zero. Both models correspond very well, except for a small deviation right after the application of the coating, which can be attributed to the number of terms considered in Eq. (17).

365

366 Figure 7: Validation proposed model for coating in absence of an initial chloride profile 367 $(C_s = 2m\% conc, h_s = 1.4 \times 10^{-10} m/s, D = 3.5 \times 10^{-12} m^2/s)$

368 4.2 Fitting of the proposed model based on measurement data

369 A limited number of experiments that investigate the influence of a coating on the ingress of 370 chlorides have been performed [12], [27]. The data recorded in [27] is considered as the most 371 extensive and covers different types of coatings. In these tests, the chloride profile of different 372 specimens placed in a tidal zone was measured at several time instants. The data is compared to 373 the previously developed model of [16] and the model proposed in this work, in due consideration 374 of the following three aspects. Firstly, as measurements were executed after respectively 9, 36 375 and 60 months of application, a period during which the time-dependent behaviour of the diffu-376 sion coefficient of concrete D is substantial, a time-variant diffusion coefficient is considered. Secondly, a time dependent surface concentration C_s is considered to take into account the effect 377 378 of the tidal environment [28]. Thirdly, also a time dependent convective coefficient is considered

as research has shown coatings might have a limited lifetime after which their performance in reducing the ingress of chlorides rapidly decreases [29]. Therefore, the convective coefficient h_s is considered to increase linearly in time by an ageing factor $R [mm/y^2]$.

382 The previous aspects show the complexity of the situation at hand, which involves several time-383 dependent effects taking place simultaneously. To take these aspects into account using the pro-384 posed model in Eq. (17), h_s , D and C_s are modelled as time-dependent, considering a constant 385 value per time step of a year. The result of the previous year is used as initial chloride concentra-386 tion for the next year and this way the time-dependent properties can be taken into account, as 387 illustrated in Figure 8. Note that in this case, the benefit of the analytical method is less pro-388 nounced as it requires consecutive timesteps where Eq. (20) needs to be solved each time, similar 389 to the finite-difference approach. However, on a longer time span, the time-dependent effects will 390 reduce and average values of the time-dependent parameters could be used, as discussed in section 391 3.4.

392 The parameters h_s and R are fitted to the measured data using the least-squares method and 393 compared to the fitted model of [16] which uses up to four fitting parameters. The results are 394 given in Figure 9 and Table 1. Despite the complexity of the situation, it can be seen that the data 395 are well fitted by the model with tuned parameters. Moreover, the proposed model is able to fit 396 the measured data better compared to the model proposed in [15], leading to a lower Mean 397 Squared Error (MSE), especially for the polyurethane (PU) coating. Besides the better fit by the 398 proposed model, also only two fitting parameters (h_s and R) are required, compared to up to a 399 maximum of four parameters in the model of [16].

400 Figure 8: Framework to incorporate time-dependent properties in the analytical coating model

401 Figure 9: Validation of the developed model and comparison with the model by Petcherdchoo [16]

402

$h_{s} \left[10^{-10} m/s ight]$	$R [10^{-18} m/s^2]$
0.95	1.25
1.24	0.00
0.89	0.00
1.24	0.00
2.19	2.10
	<i>h</i> _s [10⁻¹⁰ <i>m</i> / <i>s</i>] 0.95 1.24 0.89 1.24 2.19

403 Table 1: Fitted parameters for the developed model

404

It is important to note that three of the five coatings have a value of R equal to zero, indicating that the convective coefficient does not increase in time. Consequently, the effectiveness of the coating does not change in the timeframe of the experiments. This is in contrast to previous conclusions [27], which argued that the increasing concentration below the treatment was caused by deterioration of the treatment. However, as was found here, this is in most cases the direct result of continuous convection through the coating layer.

It must be noted that the available data is limited and considers a complex situation due to the increasing surface concentration in the tidal area. There is a clear need for more experimental data to confirm the behaviour of the coatings, validate the proposed model and investigate the evolution in time of coatings.

415 5 DETERMINISTIC SENSITIVITY STUDY OF REPAIR STRATEGIES

The influence of different repair solutions can now be compared using the analytical methods discussed previously. In this section, the comparison is made between (i) a mortar overlay, (ii) a partial replacement of concrete cover with mortar and (iii) coating, which are applied to an existing concrete structure. The comparison is done for a reference case with values of the input parameters as given in Table 2. The structure is considered to have reached the end of its lifetime 421 when the chloride concentration at the reinforcement reaches a critical value. According to [2], 422 the critical chloride content is on average around $0.5 \ m\%cem$. In order to take into account the 423 variability on this parameter, a conservative value of $0.4 \ m\%cem$ is applied in this work which 424 is consistent with the values described in [30].

425 Before any intervention, the chloride ingress is found by solving the one-material diffusion 426 problem. For this particular example, this corresponds to an age $T_{LT,0}$ of 52 years. It is chosen to 427 repair the structure at time T_{int} , which is in the reference case equal to half the predicted time to 428 depassivation without repair $T_{LT,0}$ (instant at which depassivation would occur without repair intervention). The resulting chloride content over time at the location of the reinforcement surface 429 430 (i.e. the cover depth) is shown in Figure 10. Moreover, it is noticed that the influence of the repair 431 intervention on the chloride concentration becomes only visible after ca. 10 years. In this case, 432 using a repair mortar leads to better results compared to the coating considered in this work, where 433 the effect on the chloride transport remains limited. However, it must be stressed that the findings 434 cannot be generalized for all coatings and repair mortars as it depends on the specific material 435 properties of the products.

436 Nevertheless, applying a repair mortar results in additional benefits besides creating a barrier 437 for new chlorides. For a mortar overlay, this is an increased cover for the reinforcement. For a 438 partial cover replacement, part of the contaminated concrete is removed. Moreover, the uncon-439 taminated layer of repair mortar will take up part of the already integrated chlorides by means of 440 so-called 'reverse diffusion'.

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⁴⁴² Figure 10: Influence of repair strategies on chloride content at reinforcement, based on reference values443 from Table 2.

445 Table 2: Reference values deterministic example and parameter range for Sobol indices

	Reference	Minimum	Maximum	
Variable	value	value	value	
Concrete cover [mm]	40	30	50	
Initial diff. coeff. concrete $D_{RCM,0,c} [10^{-12}m^2/s]$	5.0	3.0	7.0	
Averaged ageing coeff. concrete a_c [-]	0.4	0.3	0.5	
Surface chloride content $C_s [m\% cem]$	2	1.5	2.5	
Intervention time relative to original time to depassivation $T_{int}/T_{LT,0}$ [-]	0.5	0.25	0.75	
Thickness mortar layer [mm]	10	5	20	
Initial diff. coeff. mortar $D_{RCM,0,m} [10^{-12}m^2/s]$	1.27	0.5	1.5	
Averaged ageing coeff. mortar a_m [-]	0.5	0.3	0.5	
Convective coeff. coating $h_s [10^{-10}m/s]$	0.89	0.5	2	
Ageing factor coating $R [mm/y^2]$	0	0	0	

446

Due to the intervention, the lifetime of the concrete structure, i.e. the time at which the threshold 447 448 of 0.4 m%cem is exceeded, is extended. In order to investigate which parameters have the largest 449 influence on this lifetime extension, a sensitivity analysis is performed. This is done using the 450 principle of Sobol indices [31]–[33], which is an indicator of the sensitivity of a variable based 451 on a variance-based analysis, making use of the python package SALib [34]. The Sobol index 452 represents the importance of the corresponding parameter in the total variability of the model 453 output, which is determined by keeping one or more variables fixed and assessing the variation 454 of the output. The total Sobol indices [33] are determined by considering a uniform distribution of the parameters in the range given in Table 2. The resulting Sobol indices are given in Figure11 for each model.

457 Figure 11: Sobol indices for different repair strategies

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459 For the coating, the time of application is the most important parameter as it has the largest 460 influence on the final lifetime extension in the range of the parameter values considered. In case 461 of the repair mortar applications, several governing parameters are important. The properties of 462 the concrete have an effect on both the overlay and replacement method because the lower the 463 diffusion through the original concrete substrate, the less substantial the effect of a repair will be. 464 In contrast to the mortar overlay, the partial cover replacement is less dependent on the time of 465 intervention. This is because the concrete replacement can significantly reduce the chloride level 466 for a larger window of time (compared to the mortar overlay), which makes the time of application 467 less important. Additionally, the influence of the surface concentration is of minor importance. 468 This is explained by the fact that having a low diffusion coefficient or thicker repair mortar can 469 reduce the ingress of new chloride more effectively than reducing the surface concentration.

470

6 PROBABILISTIC FRAMEWORK

Values of parameters that influence the chloride ingress over time might be subjected to a certain variability. Therefore, it is important to consider the parameters as probabilistic, taking into account the uncertainty in material properties and variability of the environmental conditions governing the chloride concentration at the surface. In this section, the probabilistic models are discussed and the influence of the most dominant parameters is investigated in a probabilistic analysis.

477 6.1 Probabilistic models for the input variables

In the *fib* Model Code for Service Life Design [1] and *fib* Bulletin 76 [2], the probabilistic characteristics of the parameters describing the diffusion process have been characterised. In Table 3,

480	the probabilistic properties are given, where the mean values corresponding to the reference val-
481	ues of the case previously considered in section 5. Note that the parameters that were defined in
482	literature as normally distributed are defined in this work as lognormally distributed in order to
483	exclude negative values.

The coefficient of variation of the diffusion coefficient $D_{RCM,0,m}$ and ageing exponent a_m of the mortar is considered to be equal to the corresponding coefficient of variation of the original concrete. The characteristics of the coating are those of the polyurethane coating (PU) considered in section 4, with the convective coefficient considered lognormally distributed. The ageing factor of the coating *R* is thus considered to be equal to zero, and it is assumed that the properties of the coating stay constant in due time. In reality, the coating would need to be replaced every ca. 20 years to maintain its functionality.

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501 Table 3: Probabilistic properties of chloride ingress parameters for default example

Variable		Distribution	μ	V	a *	b **
Concrete	cover	Lognormal	40	8/μ	/	/
<i>c</i> [<i>mm</i>]						

Initial	diff.	coeff.	concrete	Lognormal	5.0	0.2	/	/	
$D_{RCM,0,c} \left[10^{-12} m^2 / s \right]$									
Averaged	ageing	exponent	concrete	Beta	0.4	0.4	0	1	
<i>a</i> _c [-]									
Surface	chl	oride	content	Lognormal	2	0.75	/	/	
С _s [т%се	ment]								
Interventio	on		time	Deterministic	0.5	/	/	/	
$T_{int}/T_{LT,0}$	[-]								
Thickness		mortar	layer	Deterministic	10	/	/	/	
[mm]									
Initial	diff.	coeff.	mortar	Lognormal	1.27	0.2	/	/	
$D_{RCM,0,m} \left[10^{-12} m^2 / s \right]$									
Averaged	ageing	exponent	mortar	Beta	0.5	0.4	0	1	
<i>a_m</i> [–]									
Convectiv	e co	efficient	coating	Lognormal	0.89	0.2	/	/	
$h_s [10^{-10}]$	m /s]								
Critical concentration C_{crit} [m%cement]				Beta	0.6	0.25	0.2	2.0	
<i>a</i> *:		lower	r	bound	of	Beta		distribution	

 b^{**} : upper bound of Beta distribution

502 6.2 Probabilistic analysis related to different repair strategies

503 The probability that the chloride content at the location of the reinforcement exceeds the critical 504 chloride content C_{crit} is determined using 10 000 Latin Hypercube Samples [35], where the num-505 ber of samples was based on convergence of the results. This so-called probability of depas-506 sivation increases with time as chlorides enter the concrete and can be used as an indicator for the 507 service life of reinforced concrete structures. In the design of concrete structures, the service life 508 of the structure is typically considered to correspond to the instant in time where the probability 509 of depassivation reaches ca. 10% [2], [30].

510 The influence of each repair strategy on the probability of depassivation is investigated in Fig-511 ure 12, considering the previously described parameters. The time until the depassivation limit 512 is reached without intervention is equal to 18 years when incorporating a 10% probability and 513 variability of parameters. Different repair strategies are applied at 9 years after construction. As 514 was the case for the deterministic situation considered in the previous section, the coating is able 515 to slow down ingress of chlorides (flatten the curve), extending the lifetime of the structure by a 516 couple of years. However, both the mortar overlay and replacement are able to lower the proba-517 bility of depassivation more pronouncedly. As a result, it would take more than 50 and 100 years, 518 respectively, until the threshold of 10% is reached.

519

520 Figure 12: Influence of repair strategies on probability of depassivation

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522 In order to investigate the influence of the repair solution on the extension of a structure's 523 lifetime, the input parameters defining the influence of the repair strategy are varied in Figure 13, 524 by considering different mean values of a_m , D_m and h_s , mortar thickness and intervention time. Each time, only one variable varied at the time, and the values are further indicated in Figure 13. 525 526 For the mortar overlay and concrete replacement, a higher ageing coefficient, lower diffusion 527 coefficient and higher mortar thickness all improve the effect of the repair intervention and have 528 a significant effect on the efficiency. These findings are in line with the sensitivity analysis given 529 by Figure 11, although the ageing coefficient of the mortar seems to play a larger role than found 530 in the previous deterministic sensitivity study.

531 The quality of the coating is found to have a less pronounced influence on the reduction of the 532 probability of depassivation. However, the timing of the intervention is very important which shows that an early application of the repair or treatment has a beneficial effect. This was gener-ally also concluded from the previous sensitivity study.

Additionally, the ageing coefficient of the concrete is altered as well, as it was found in the previous parameter study that this parameter can have a significant influence on the efficiency of the repair solution. Also in the probabilistic framework, the ageing coefficient of the concrete substrate has a significant influence on the effectiveness of the repair strategy. However, the effect seems to be less pronounced than e.g. changing the repair mortar properties.

540

541 7 DISCUSSION

542 Because of the variability in the chloride ingress parameters, it is advised to assess the probability Figure 13: Parameter study of repair properties on probability of depassivation

of depassivation within a probabilistic framework. On the basis of the investigated cases and parameter ranges it is concluded that the probability of depassivation is generally most effectively reduced by the (partial) replacement of concrete by a repair mortar, followed by a mortar overlay and lastly a coating, considering all three options would be applied at the same time instant in the life of the structure. The effectiveness of the repair methodology is in line with the application effort in practice, which means the largest reduction in probability of depassivation will also result in the most extensive repair intervention.

What is the best choice of repair intervention is also largely dependent on the costs involved, which are generally very case-specific. However, with the models derived in this work, it is possible to optimize the long-term planning of interventions, taking into account the case-specific costs of each intervention, in order to schedule the preventive maintenance operations as efficiently as possible [36], [37].

555 The general lack of (long-term) data remains an issue in the prediction of chloride ingress after 556 (preventive) repair. For the properties of the repair mortar, some data is available in the technical documents of manufacturers, however there is in general no indication of the uncertainties involved. In relation to the coatings, some experimental data is available which can be used to calibrate the proposed model. Nevertheless, the experimental data includes a large amount of scatter and there is not enough data to predict the long-term behaviour of the treatment. There is a need for more experimental data to determine the accuracy of the proposed models and determine a model error.

563 8 CONCLUSIONS

564 In this work, analytical prediction models for the ingress of chlorides after three different concrete 565 repair strategies were considered, targeting preventive interventions which take place before de-566 passivation of the reinforcement steel. The analytical models proposed in this study can be applied 567 both in a deterministic and probabilistic framework, for which the latter is advised to account for 568 variability of parameters and estimate the lifetime with respect to an allowable probability of depassivation. The first two strategies are the application of a mortar overlay or a partial replace-569 570 ment of contaminated concrete with a repair mortar, which are governed by the two-material dif-571 fusion problem for which an analytical model has been derived previously. In this work, the efficiency of this model was improved using an equivalent time-independent diffusion coefficient, 572 573 which allows to execute a probabilistic analysis within a limited timeframe. Moreover, a new 574 analytical model was proposed to predict the chloride ingress after application of a coating, which 575 is able to account of an initial chloride profile at the time of coating application.

576 Due to the simple analytical nature of the models, a probabilistic framework becomes feasible, 577 allowing the consideration of uncertainties which are inherently present in the parameters consid-578 ered. Generally, on the basis of the considered cases and parameter ranges, the most effective 579 strategy is found to be the replacement of partially contaminated concrete, followed by a mortar 580 overlay and lastly the application of a coating. However, it should be emphasized that this con-581 clusion holds for the specific material properties (e.g. diffusion coefficient and ageing coefficient) considered in this work, and e.g. coatings have been successfully applied to supress chloride ingress in the past [38]. This is also in line with the impact of the application, and therefore the appropriate choice will depend on a cost-benefit analysis. With the models proposed in this work, a probabilistic analysis becomes feasible and comparing different strategies can be done efficiently, which enables to develop the most cost-optimal solutions.

Future work involves the development of prediction models for other (preventive) repair methodologies, such as the application of a hydrophobic treatment (e.g. silane) [19], [39]–[41] or the extraction of chlorides [42], [43]. Moreover, the effect of essential maintenance strategies (e.g. impressed current cathodic protection) on the corrosion process should be studied in more detail.

591 This would finally enable to evaluate the range of all possible repair possibilities and optimize

592 the repair strategy of a concrete structure over its remaining lifetime.

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