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Urban tree canopies drive human heat stress mitigation

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4 Abstract

5 Climate warming and urbanisation compound the public health risk posed by heat. Heat can be mitigated at local scales through urban greening, which provides shade and reduces surface and air temperatures. Yet, 6 7 the relative effectiveness of different greening options on human thermal comfort based on physiology-8 based indices is understudied. We installed microclimate stations at 17 locations covering a gradient of tree 9 canopy cover and perviousness in the city of Ghent, Belgium, and monitored the modified Physiologically 10 Equivalent Temperature (mPET) during 195 days over Spring and Summer. We assessed the canopy cover, 11 pervious surface fraction and building sky fraction based on field measures and hemispherical pictures. 12 Unpaved locations with trees experienced a 2.4-fold reduction in the number of days with strong heat 13 stress (mPET > 35°C) compared to paved, treeless locations. Based on mixed models and our selected 14 environmental variables, cooling effects were predominantly driven by tree canopy cover, where locations 15 with 100% canopy cover had temperature maxima 5.5°C mPET lower than treeless locations throughout the 16 monitoring period. When air temperatures rose to 40°C, cooling by tree canopies increased to 8.8°C mPET. 17 The pervious surface fraction and building view factor were less influential, generating variation of at most 18 1.7°C and 1.1°C mPET, respectively. In contrast, night-time temperatures were rather determined by the 19 regional-scale urban heat island effect than by aforementioned local factors. Still, tree canopies slightly 20 cooled the warmest nights only, whereas the vicinity of buildings led up to 1.2°C mPET warming on 21 average. Expanding the urban tree cover may therefore be the best solution for improving local thermal 22 comfort levels when daytime heat peaks, but will provide little relief at night.

Keywords: Dr.FOREST; Forest Microclimate; Heat Stress; Nature-Based Solution; Thermal Comfort; Urban
 Microclimate.

25 Introduction

- 26 People are increasingly exposed to extreme heat levels as climate warming progresses. Under current
- 27 policies, around 4 ± 2% of the global population will face 'unprecedented heat' (over 75 days with maxima
- above 40°C per year) by 2030, increasing sharply to 23 ± 9% by 2090 (Lenton et al., 2023). Such heat strains
- 29 the cardiovascular, renal and respiratory system, with potentially fatal outcomes for, particularly, infants,
- 30 the elderly and people with a range of pre-existing physical or mental conditions (Ebi et al., 2021). From
- 2000 to 2017, heat-related mortality in the elderly already increased by 68% globally (Romanello et al.,
 2022), which will worsen as about half of the global population will be exposed to heat surpassing lethal
- thresholds even under most stringent mitigation scenarios by 2100 (Mora et al., 2017).
- 34 Heat risks are heterogeneously distributed. At the global scale, people most vulnerable to heat also tend to
- be those least responsible for global warming (Lenton et al., 2023). At the regional scale, the Urban Heat
- 36 Island effect (UHI) turns cities into heat hotspots. The UHI is generated because: i) human-made heat is
- 37 added to the environment (e.g. from motorised traffic and air-conditioning), ii) incoming solar radiation is
- 38 more effectively absorbed by urban infrastructure with low albedo and high thermal admittance, iii) heat is
- 39 less easily released back, iv) air pollution absorbs and gives off additional long-wave radiation, v) the lack of
- 40 pervious surfaces and vegetation reduces the share of energy converted to latent heat and, vi) reduced
- 41 wind speeds hamper turbulent heat exchanges (Kleerekoper et al., 2012; Oke, 1973; Stewart and Oke,
- 42 2012). The UHI is particularly pronounced at night, when trapped solar radiation is slowly emitted as
- longwave radiation (Deilami et al., 2018). Nightly heat presents a health hazard by itself because it
 interferes with sleep quality and therefore the body's recovery capacity (Obradovich et al., 2017). Ongoing
- 45 urbanisation compounds the threat of global warming, because expanding the urban fabric will exacerbate
- 46 UHI effects (Wang et al., 2019) and because the global rural-to-urban migration (United Nations, 2019) will
- 47 expose a quickly growing number of people to UHI-amplified heat.
- 48 Technological solutions such as human-made shading structures, reflective surfaces and misting devices can 49 mitigate heat at the local scale (Taleghani, 2018; Turner et al., 2023; Vanos et al., 2022). They do this by, 50 respectively, shading passers-by, reducing stored solar radiation and reducing the air temperature via 51 latent heat transfer (Taleghani, 2018; Wong et al., 2021). However, these costly interventions are resourceintensive in terms of energy, materials and water, and the same can be achieved via more cost-effective 52 53 nature-based solutions such as grasslands, green walls and roofs, isolated single trees and groups of trees. 54 Each of these has the potential to reduce the share of solar energy intercepted and stored by human-made 55 surfaces, and to lower air temperatures via evapotranspirative cooling, while single trees and groups of 56 trees additionally provide shade (Taleghani, 2018; Wong et al., 2021). The cooling effect of trees has real 57 impact, exemplified by a recent Europe-wide study that found 40% of UHI-related deaths to be preventable 58 should cities guarantee a 30% canopy cover (lungman et al., 2023).
- 59 Since urban greening has great potential to safeguard humans from heat, there is interest in comparing 60 cooling capacities of existing greenspace types. A large remote sensing study found that tree canopy surface temperatures were 8-12°C cooler than grey surfaces during hot extremes in European cities, which 61 62 was two to four times cooler than treeless greenspaces (Schwaab et al., 2021). Yet, surface temperatures 63 poorly predict how a human body will physically perceive temperature, since the latter is defined by air 64 temperature, mean radiant temperature (representing short- and longwave radiation reaching the body), 65 air humidity and wind speed (Johansson et al., 2014; Mayer and Höppe, 1987). The integrated effect of 66 these variables is typically proxied using so-called physiological thermal indices, with most notable 67 examples being the Universal Thermal Climate Index (UTCI) and the Physiologically Equivalent Temperature 68 (PET) (Potchter et al., 2018).
- 69 Ground-based monitoring studies applying such indices exist, but they are often limited in their spatio-
- 70 temporal coverage and thus also statistical power. For example, cooling effects by vegetation were
- 71 reported based on four contrasting locations in the Singapore Botanical Gardens (Chow et al., 2016) and on

- six well-spread locations in Ghent, Belgium (Top et al., 2020). One of the largest studies was done in four
- 73 cities in the Czech Republic, where at most three hot days were monitored on 17 locations (Lehnert et al.,
- 74 2020). Furthermore, these studies provide only qualitative descriptions of green elements (e.g. 'urban park'
- or 'valley lined with palms'). Together, this restricts the capacity to attribute cooling capacities to specific
- 76 environmental factors, both anthropogenic or natural, and in a quantitative manner.
- 77 Here, we monitored 195 days of local thermal conditions at 17 different locations within a single
- 78 neighbourhood (in Ghent, Belgium) that range from completely 'grey' (i.e. a paved industrial site) to
- 79 completely 'green' (i.e. a small woodland). Location characteristics were described quantitatively using
- 80 methods from urban planning and forest ecology. The main aim was to quantify the relative cooling
- 81 potential of different types of grey- and greenspaces at the local scale, thereby identifying the most
- 82 effective cooling solutions.

83 Materials & Methods

84 Study design and locations

85 Study locations were situated in Ekkergem (51°03'06"N, 3°42'22"E), a mostly residential neighbourhood of

- 86 Ghent, Belgium, that also houses a university campus. Based on measures between 1991 and 2020, Ghent
- 87 has a mean annual temperature of 10.9°C and the hottest month is July, whose minimum, mean and
- 88 maximum temperatures reach, respectively, 13.4°C, 18.4°C and 23.4°C (Royal Meteorological Institute of
- 89 Belgium, 2023). In the year we conducted the study, the summer was particularly hot in Belgium. Since
- 90 monitoring by the RMI began in 1892, the summer of 2022 was within the top three summers concerning
- 91 the number of 'Summer days' (36 days above 25°C), 'tropical days' (12 days above 30°C), the mean Summer
- temperature (19.6°C) and the mean Summer maxima (24.7°C) (KMI, 2022, p. 202).
- 93 Seventeen locations were selected (Fig. 1). To maximise the contrast in locations and to disentangle the 94 effects of trees from the effects of shading by buildings and cooling by non-tree greenery, four categories
- 95 were made: i) mostly paved and minimally vegetated (n = 6, hereafter 'paved & grey'), ii) mostly paved with
- 96 trees (n = 3, 'paved & trees'), iii) mostly unpaved with low vegetation like lawns or a minimal influence of
- 97 small trees (n = 3, 'unpaved & low green'), and iv) mostly unpaved with multiple trees (n = 5, 'unpaved &
- 98 trees') (Fig. 1). Since variation was still present within these categories, a gradient was obtained from
- 99 treeless to treed, and from intensely paved to unpaved (Table 1). The 'greenest' location was a small but
- 100 fully-developed private forest (Fig. 1C), while one of the 'greyest' locations was a large parking area
- 101 between university buildings (Fig. 1D). Attention was also paid to have a similar number of N-S or E-W
- 102 oriented streets. Local Climate Zone (LCZ) classifications (Stewart and Oke, 2012) were added to Table 1.
- Two types of controls were used to compare local-level urban heat stress measures. First, data were
 obtained from the nearest synoptic weather station of the Royal Meteorological Institute of Belgium (RMI),
- 105 which is located in Melle (RMI code 6434), a rural location c. 11km from Ekkergem. These are air
- 106 temperature measures conducted at 2m height above a short lawn. They thereby serve as a comparison
- 107 between our local temperature measures and typical temperature conditions as reported to the broader
- 108 public e.g. via weather forecasts. The second control measures come from microclimate stations identical
- 109 to the loggers installed in Ekkergem, but located in ten forest stands of the TREEWEB network (De Groote
- et al., 2017), which were also studied by Gillerot et al. (2022). The forests are scattered roughly 10-20 km
- south of Ekkergem in a rural landscape, and can be considered representative for managed mature forest
- stands of the region. They are dominated by the tree species *Fagus sylvatica*, *Quercus robur* and *Q. rubra*,
- either as monospecific stands or mixtures. Their microclimatic data were averaged into a single time series,
- 114 representing an "average, mature rural forest".

115 Human heat stress measures

- 116 The meteorological factors required for an accurate assessment of human thermal comfort are air
- temperature and humidity, wind speed and mean radiant temperature (T_{mrt}) (Johansson et al., 2014; Mayer

- and Höppe, 1987). These variables were measured at the local level using one self-made microclimate
- station per location (n = 17). Sensors were mounted on wooden poles at 1.1m height, representing the
- average centre of gravity of an adult human body (ISO, 1998; Johansson et al., 2014). Air temperature and
- humidity were monitored using Lascar EasyLog EL-USB-2 sensors (accuracy ± 0.45°C and ± 2.25%,
- respectively), mounted in tubular PVC radiation shields and oriented towards the north (Zellweger et al.,
- 123 2019). Wind speed was monitored using a cup anemometer coupled to a Lascar Easylog EL-USB-5 logger.
- 124 T_{mrt} requires the grey globe temperature (Thorsson et al., 2007). It was obtained by using a thermocouple
- type T connected to a Lascar EasyLog EL-USB-TC (accuracy ± 1.0°C), which was inserted into a 40 mm
 diameter acrylic ball coated in RAL 7001 paint (Thorsson et al., 2007). This roughly represents how a
- 127 clothed human body would intercept short- and longwave radiation from the environment, and its small
- diameter makes it more responsive to the quickly changing outdoor environment than the standard 150
- mm black globes (Aparicio et al., 2016; Nikolopoulou et al., 1999). With this grey globe temperature, T_{mrt} can be calculated based on a formula adapted for the outdoors (Thorsson et al., 2007):

131
$$T_{mrt} = \left[(T_g + 273.15)^4 + \frac{1.335 * 10^8 V_a^{0.71}}{\varepsilon * D^{0.4}} * (T_g - T_a) \right]^{\frac{1}{4}} - 273.15$$

132 where T_g is the globe temperature (°C), V_a is the wind speed (m/s), ε is the globe emissivity (0.97), D is the 133 globe diameter (0.04 m) and T_a is the air temperature (°C).

- 134 Meteorological factors were measured every 15 minutes at each location. However, due to logistic
- constraints, wind speed was only measured in one plot (HG4; see Table 1). This is a caveat, since wind can
 vary significantly across sites. Recordings span from March 19th until September 30th 2022 (195 days), to
- ensure that hot periods would be covered and could be compared to moderate conditions in Spring and
- 138 generally throughout the monitoring period. An exception is plot IV5, which was installed on May 20th. To
- 139 buffer out some short-term fluctuations and to obtain smoother temperature trends, a centre-aligned
- rolling average was applied with a window of five observations (i.e. the average of a given timestamp and
- 141 the four half hours around it). For further methodological details, the sensor calibration procedure and
- discussions on data quality, please refer to Gillerot et al. (2022).
- 143 Perceived temperature was quantified using the modified Physiological Equivalent Temperature (mPET) 144 (Chen and Matzarakis, 2018). Similar to PET, the most commonly used thermal index in research (Potchter 145 et al., 2018), it is based on the human body's energy balance and it considers the effects of the 146 aforementioned meteorological conditions (Höppe, 1999; Mayer and Höppe, 1987). It benefits from being 147 applicable to conditions ranging from extremely cold to extremely hot, and it is expressed in degrees 148 Celsius, which makes it easily interpretable (Matzarakis et al., 1999). It can then be used to derive heat 149 stress levels based on thermal stress categories (Matzarakis et al., 1999). One of the main differences with 150 PET is that mPET will adapt the clothing factor of the model body in function of thermal conditions (Chen 151 and Matzarakis, 2018). As conditions become hotter, the model will assume that the average person will 152 reduce their clothing insulation adaptively, which ultimately generates more realistic and more buffered 153 (conservative) thermal stress values. Potentially, more advanced and accurate thermal indices are available 154 (Potchter et al., 2018), but we assume that the usage of mPET will provide a robust reflection of relative 155 differences between locations – which is the focus of this study. mPET values were calculated in RayMan
- 156 V3.1 (Matzarakis et al., 2010, 2007).

157 Site characterisation

Site characteristics were quantified within circular plots with 10m diameter, using the microclimate station as the centre. A set of complementary measures aimed to describe the grey and green elements within the plot. Tree and forest measures were focused on their vegetation structure, with particular attention for the

plot. Tree and forest measures were focused on their vegetation structure, with particular attention for the canopy cover given that this was the dominant driver for heat stress mitigation in European forests (Gillerot et al., 2022). In this paper, canopy cover relates to trees larger than 2m exclusively, distinguishing it as a

shade-casting vegetation type (e.g. hanging plants were not considered).

164 First, the surface characteristics were described by visually assessing the share of each surface type (e.g.

- asphalt, grass, water). These were then categorized according to perviousness (i.e. being penetrable by
- 166 water) to obtain the 'pervious surface fraction' (%). Second, we visually estimated the height (m) and
- 167 measured the distance (m) of each structure (e.g. building, tree) in each cardinal direction relative to the
- sensors. These were not included in further analyses but allowed to interpret unexpected findings. Next,
- using forest ecology methodologies, we measured the circumference of trees in the plot to calculate the
- local basal area, which is a common measure representing the cross-sectional area of tree stems at breast
 height per hectare. Species-specific canopy cover was estimated based on the vertical projection of crowns
- (Zellweger et al., 2019). At last, five hemispherical pictures were taken with a Nikon D90 camera and a 180°
- hemispherical lens: one at the centre of the plot and then one in each cardinal direction at 5m from the
- centre. Rather than calculating the sky view factor, a common variable in biometeorology, the 'building
- view factor' (%) was obtained instead (see e.g. (Yan et al., 2022) with pictures processed in Gap Light
- 176 Analyzer 2.0 (Frazer et al., 1999). This was to disentangle shading effects by trees and buildings. An
- 177 overview of the locations and their most important environmental characteristics is given in Table 1.

178 Data analysis

- 179 Mean thermal conditions (air temperature and mPET) were calculated for two distinct periods within the 180 day: night (12pm – 6am) and afternoon (12am – 6pm). Similarly, data were summarised to day-level values 181 (max, min, mean and 95th percentiles of warmest and coldest readings for a less strongly fluctuating values). These daily data were used to count the number of days for which maxima fell into thermal stress 182 categories defined by Matzarakis et al., (1999) per location type. Also based on daily values, offset values 183 184 were calculated (urban minus rural control conditions), which is a standard procedure in microclimate 185 ecology to facilitate statistical analyses and to render buffering effects more explicit and interpretable (De 186 Frenne et al., 2021). The rural forest measures (mPET) served as control conditions to compare urban 187 conditions to 'maximally green' rural conditions. Offsets were also calculated using RMI weather station
- 188 data, but focused on air temperature.
- 189 Canopy cover, pervious surface fraction and building view factor were selected as the main complementary 190 predictor variables based on exploratory analyses. Our assumptions regarding the causal dependencies 191 between variables are presented in the Directed Acyclic Graph (Fig. 2). We tested whether this DAG was 192 consistent with the data by assessing the conditional independence statements implied by the DAG and 193 found that our data were consistent with it (Text S1). We then applied the backdoor criterion (Arif and 194 MacNeil, 2023) which showed that a multiple regression model with all three environmental predictors (see 195 next paragraph) was sufficient to estimate the causal effect of each predictor on temperature. Alternative 196 DAGs were tested including, for example, a version where the building view factor is not causally related to 197 the canopy cover, but these failed the tests of conditional independence (Text S1). Moreover, debate 198 around the directionality of causal relationships between the predictors is possible (e.g. the presence of 199 buildings could be considered to determine the potential canopy cover, but the opposite reasoning is also 200 possible), but we assume that this has no consequences for their direct effects on thermal conditions in this 201 case.
- Using offset values as response variables (max, min or means of night or afternoon periods), the effects of
 environmental predictors were modelled using Linear Mixed Models (LMMs), selected following Zuur et al.
 (2009). Predictors were both tested alone and removed from the full model, to verify the robustness of
 effects and their predictive power. Control temperatures were also added as a predictor and were allowed
 to interact with other predictors in additional models because offsets tend to vary strongly with
 macroclimatic conditions (De Frenne et al., 2021). LMMs included 'location' as a random factor, and a
 correlation structure of the form corAR1(form = ~ 1 | plot) to account for the daily repeated measures.

- 209 Significance levels were tested via restricted maximum likelihood estimation (Zuur et al., 2009). To render
- effect sizes of the three main predictor variables more explicit, predictions over the observed range of the
- 211 target variable were made while keeping the two non-target variables at their mean value. The LMMs were
- built using the packages *nlme* (Pinheiro et al., 2021) and *lme4* (Bates et al., 2015), using the programme R
- 213 version 4.3.0 (R Core Team, 2023).

214 **Results**

215 Effect of canopy cover during heatwave conditions

- 216 The summer of 2022 was exceptionally hot, with July 19th being the hottest day of the year (Fig. 3A),
- 217 yielding air temperatures up to 38.4°C at the nearest RMI weather station. At the local scale, most of our
- 218 measurements were multiple degrees Celsius warmer in air temperature than official reporting (data not
- shown). A second period of interest was the second heatwave of the year (9th until 16th of August), for
 which the hottest days were selected (Fig. 3B). Especially during this second heatwave, a large UHI was
- captured at night. The night from the 10th to the 11th, the average urban location was about 4°C warmer in
- air temperature than official readings outside the city, and the two subsequent nights were about 7°C
 warmer.
- Trends in perceived temperatures revealed very large contrasts between locations. On the 19th of July (Fig.
- 3A), the hottest location (HG5) reached 41.5°C mPET, which is 7.0°C mPET warmer than the maximum value
- reached on the coolest location (HG2). During the second heatwave (Fig. 3B), these differences were even
- further exacerbated, with differences of around 12°C mPET for all three days. The canopy cover seems to
- explain a substantial share of this variation (see statistical results below), where especially the highly
- covered (> 50%) locations are multiple degrees cooler. The rural forest controls approximately follow the
- temperature trend of the coolest urban location, albeit with moderately lower values at night. Nightly
- 231 mPET values seem generally less contrasting and little associated with canopy cover based on day-to-day
- 232 mPET curves.

233 Comparison with rural forest conditions

- The overall mean mPET temperature was warmer than rural forest controls for 16 out of our 17 urban
- location on warm days, where maxima also increasingly diverged with increasing temperatures. Under
- moderate heat stress in rural forests, the average 'paved & grey' location was 5.9°C mPET warmer (Fig 4A).
- ²³⁷ 'Paved & trees, 'unpaved & low green' and 'unpaved & trees', were warmer by, respectively, 3.2°C, 6.3°C
- and 2.0 °C. The presence of trees appears to be a dominant factor (Fig. 4B).
- Night-time urban mPET readings were between 0.5°C and 1.6°C warmer than rural forests, with less
- variation among location types. Nonetheless, perviousness seems more influential than the presence oftrees when it comes to nightly conditions.

242 Number of days with heat stress

- On average, the most urbanised locations ('paved & grey') experienced 141 days (out of 195) with slight heat stress or more (PET > 23°C), and 11.5 days with strong heat stress or more (PET > 35°C) (Fig. 5). The greenest locations ('unpaved & trees') experienced much less heat stress with, respectively, 101 days with slight and 4.8 days with strong thermal stress. Unexpectedly, locations in the 'unpaved & low green' category resemble 'paved & grey' locations and even have slightly more heat days, while 'paved & trees' and 'unpaved & trees' locations are more alike based on the mean number of days. Again, tree presence emerges as a dominant driver.
- Average night-time temperatures show a different picture, where 'paved & grey' locations had the most
- numerous warm nights (61 nights with mPET above 18°C), followed by 'paved & trees' (59 nights), 'unpaved % low groop' (40 nights). This suggests are in that the new investor of the table and 'unpaved % low groop' (40 nights).
- 252 & trees' (50 nights) and 'unpaved & low green' (49 nights). This suggests again that the perviousness may
- 253 be the most influential factor during nights.

254 Effects of canopy cover, pervious surface fraction and building view factor

- 255 Concerning daily maxima, canopy cover emerged as the strongest predictor variable (β = -0.055, t = -
- 256 13.657, p < 0.0001), followed by pervious surface fraction (β = 0.017, t = 4.576, p = 0.0005) and building
- 257 view factor (β = 0.016, t = 2.169, p = 0.0493). The model explained a large share of variation ($R^2_{marginal} \approx$
- 258 $R^2_{conditional} = 0.83$). Repeating analyses for the 95th percentile of warmest readings per day led to very
- analogous but slightly lower model coefficients (Text S2). When testing interactions between
- environmental characteristic variables and RMI control measures, canopy cover was the only predictor to
 significantly vary with control conditions (p < 0.001). This suggests that canopy cover's effect increases as
- 262 official weather station readings rise.
- Compared to an average location (building view factor = 23.3% and pervious surface fraction = 46.8%) without any canopy cover, a 100% canopy cover leads to an average temperature maxima *decrease* of 5.5°C mPET. Doing the same for the pervious surface fraction and building view factor (mean canopy cover = 26.3%), an *increase* in mPET of, respectively, 1.7°C mPET and 1.1°C mPET is expected for a fully pervious ground surface and for a building-surrounded location. Doing the same but including the interaction between canopy cover and official weather station readings, a 100% canopy cover under air temperatures
- of 20°C, 30°C and 40°C, leads to respective cooling magnitudes of 5.3°C, 7.1°C and 8.8°C mPET.
- 270 Concerning afternoon averages (12pm 6pm), only canopy cover had a significant cooling effect (β = -0.038,
- t = -2.487, p = 0.027). This corresponds to a reduction of 3.8°C mPET in afternoon averages for a fully
- 272 covered location compared to an average treeless location.
- 273 Concerning nightly minima, environmental predictors had much less explanatory power ($R^2_{marginal} \approx$
- $R^{2}_{conditional} = 0.21$). The final model only had significant effects for the interaction between RMI minima and
- 275 canopy cover (p < 0.0001) and between RMI minima and pervious surface fraction (p < 0.0001). Repeating
- analyses for the 95th percentile of coldest readings per day led to very analogous results (Text S2) When the
- 277 RMI station reports a daily minimum of 10°C, a fully canopy-covered location is predicted to be 0.6°C mPET
- warmer than a treeless location. At 20°C, this becomes a slight cooling effect of 0.05°C mPET, with tree
- canopies leading to stronger cooling as these minima increase and vice-versa. The opposite is observed for
 the pervious surface fraction: a fully pervious location will be 0.4°C mPET cooler under a daily minimum of
- 280 the pervious surface fraction: a fully pervious location will be 0.4 C mper cooler under a daily mini
- 281 10°C and 0.1°C mPET warmer under 20°C compared to a fully impervious location.
- 282 Concerning nightly averages (12am 6am), only the building view factor had a significant effect (p = 0.023).
- Compared to a location devoid of buildings, the location with the highest building density based on our
 data (i.e. 69.1%) is predicted to be 1.2°C mPET warmer. No significant interactions with control
- 285 temperatures were found.
- 286

287 **Discussion**

Based on 195 days of *in situ* monitoring of contrasting urban microclimates, we found that tree canopy

- cover dominated human heat stress mitigation compared to the building view factor and pervious surface
 fraction. Compared to our average treeless location, a full canopy cover reduced daytime heat maxima by a
- 290 machine compared to our average treeless location, a full canopy cover reduced daytime near maxima by a 291 mean 5.5°C mPET throughout the monitoring period, rising to 8.8°C mPET when air temperatures reached
- 40°C. The building view factor and pervious surface fraction led to moderate warming effects. Nightly mPET
- values were comparatively little influenced by aforementioned local-scale variables.

294 Effects of canopy cover

295 Evidence from this study and existing literature suggests that canopy cover much more effectively reduces 296 local heat stress than the imperviousness and shading by buildings. A modelling study for Freiburg, 297 Germany, found trees to reduce PET by 3.0°C on average (max. 17.4 °C) while grasslands only achieved a 298 1.0°C (max. 4.9°C) reduction (Lee et al., 2016). A remote sensing study of European cities found the cooling 299 capacity of trees to be up to four times more potent than treeless greenspaces based on surface 300 temperatures (Schwaab et al., 2021). Using an observational setup and a thermal index similar to ours 301 (UTCI), a multi-city Czech study reported a mean cooling of 5.5-8.5°C UTCI below trees, whereas lawns were 302 only about 0.9°C cooler - both compared to unshaded impervious locations (Lehnert et al., 2020). Another study found UTCI to be reduced by 4.7°C under broadleaf- and 4.5°C under coniferous trees, whereas green 303 304 roofs and walls could at most reach a cooling of 0.2°C (Geletič et al., 2022). Although we used mPET, both 305 absolute cooling magnitudes and relative differences between greenspace types are very similar to our 306 findings.

- Even small single trees made a noticeable difference, but strongest cooling seems especially achieved
 under high canopy covers (i.e. share of sky area covered by tree canopy biomass) (Fig. 3). Using a modelling
 approach, a review found that air temperatures drop by around 0.3°C for each 10% increase in canopy
- cover (Krayenhoff et al., 2021). An observational study in Madison, US, found fully covered locations to be
- 311 0.7-1.5°C cooler in air temperature than treeless locations (Ziter et al., 2019). They also found that the
- effect of canopy cover was non-linear, with canopy cover being disproportionately more effective beyond a
- 40% cover. This is also suggested by our data, where especially the locations with canopy cover > 50%
- strongly diverge from treeless conditions (Fig. 3). Corroborating these findings, a Chinese study found that
- 315 streets with 13% canopy cover experienced strong heat stress (> 35°C PET) for about two-thirds of the time 316 on hot summer days, while such heat stress was never reached in streets with 75% canopy cover, which
- on hot summer days, while such heat stress was never reached in streets with 75% canopy cover, w
 were on average 13.7°C PET cooler (Ren et al., 2021). We too observed that the presence of trees
- 318 prevented the occurrence of extreme heat stress (PET > 41°C). Monitoring of the microclimate in 131 rural
- forest stands also found canopy cover to most strongly cool PET levels, further reinforcing its dominant role
- 320 in thermal buffering (Gillerot et al., 2022). Besides canopy cover, indices for canopy density have also been
- found to be influential (Rahman et al., 2020), though a recent study found that their effect may be
- 322 outweighed by canopy cover (Tamaskani Esfehankalateh et al., 2021).

323 Effect of Pervious Surface Fraction and Building View Factor

Although only modestly influential based on our data, existing literature suggest that both pervious surface fraction and Building View Factor also have significant heat mitigation potential. Comparable studies using physiological indices like mPET, however, remain rare (e.g. He et al., 2015; Yan et al., 2022).

Warmer conditions are often found with increasing imperviousness, partly contrasting our results. Already in 1972, a study measured a surface temperature difference of 15.5°C between a weed field and a parking lot on a clear summer day at noon (Landsberg and Maisel, 1972). Interestingly, the air temperature did not differ at noon, while it was slightly warmer in the parking lot at midnight (Landsberg and Maisel, 1972) because such impervious surfaces effectively emit trapped heat at night (Taleghani, 2018). More recently, fully impervious locations were found to be up to 1.3°C warmer in air temperature compared to fully

pervious locations in a mid-sized U.S. city (Ziter et al., 2019). Similarly, increasing the pervious surface

fraction by 10% was found to increase the median UHI maxima by 0.22°C in Rotterdam during summer

- months (van Hove et al., 2015). Our results confirm that perviousness has a cooling effect by night (air
- temperature < 20°C), but suggest an unexpected warming effect by day. This could partly be due to our
- definition of perviousness, which does not exclude unvegetated, compacted and low albedo surfaces (e.g.
- trampled bare soil as in plot LG3). Alternatively, it could be related to low soil water content during summer
- 2022, the driest on record (KMI, 2022), since the cooling capacity of pervious surfaces is driven by soil
- 340 water availability (Resler et al., 2021). Warming could also be generated by confounding indirect effects
- 341 such as window-reflected sunlight on the lawn of plot LG2 (see 'strengths, limitations & recommendations')
- 342 (Taleghani, 2018). Such location-specific effects emphasise the need for comprehensive spatial replication.
- 343 Most studies use the Sky View Factor (SVF) instead of the building view factor, which can include vegetation 344 effects and should therefore be compared with caution. A suitable comparison with minimal vegetation 345 effects is a study in Hong Kong which found a negative relationship between the SVF and the air 346 temperature, where a 15% increase in SVF would lead to a decrease of 1°C (Chen et al., 2012). Another 347 study (this time with a confounding tree effect) found the SVF to explain daytime differences of up to 4°C 348 PET over a SVF range from ~0.26 to 0.6, but the relationship was non-linear and inconsistent (He et al., 349 2015). Based on vast spatial coverage, (Yan et al., 2022) found that an increased SVF led to i) warming by 350 day because of lower shading potential and ii) cooling by night because an open sky facilitates the 351 dissipation of stored longwave radiation (Chen et al., 2012). However, the SVF can be separated into a 352 building and tree component, as we have done as well, leading to very diverging results. Indeed, the 353 building sky fraction was found to warm both day- and night-time air temperatures, while the 'tree view 354 factor' cooled daytime temperatures and had little effect at night (Yan et al., 2022). This is almost exactly 355 what we found based on mPET, except for an additional cooling effect by trees at night when air 356 temperature minima reach 20°C or higher. While both buildings and trees can provide shade, distinguishing 357 their effects is important because buildings are effective radiation traps whereas trees provide additional
- 358 evapotranspirative cooling (Taleghani, 2018; Wong et al., 2021).

359 Comparison with controls and night-time cooling

360 Comparing rural forest controls (Fig. 3) yielded unexpected results. Rural and urban forest temperatures 361 were comparable during daytime, although we had expected urban forests to be warmer than their rural 362 counterparts for two reasons. First, we expected urban forests to be warmed by the UHI and second, their 363 small surface area would lead to strong 'edge effects' (i.e. forest edges being less buffered than interiors), 364 which were shown to reach at least 50m from the edge in European urban forests (De Pauw et al., 2023). 365 The UHI, being stronger at night, may have had little influence on daytime temperatures (but see cases reviewed in Tzavali et al., 2015), and our studied urban forest locations may have been so thoroughly 366 367 covered by canopies as to obscure strong edge effects. Another unforeseen result is that differences 368 between the most urbanized and the most canopy covered locations rarely exceeded 10°C mPET, while we 369 expected this to be much higher than the contrasts of 14.5°C PET found when comparing forests to a fully 370 pervious lawn without woody vegetation (i.e. the most common control condition in forest microclimate 371 research (De Frenne et al., 2021)) under rural circumstances (Gillerot et al., 2022). This is most likely due to 372 the usage of mPET instead of PET. Indeed, when repeating analyses using PET, maximal differences of well 373 over 15°C PET are found between the coolest and hottest locations. In sum, mPET strongly buffers out hot 374 extremes, at least partly because of the automatic clothing model (Chen and Matzarakis, 2018), making it a 375 rather conservative estimate of cooling capacities.

Based on statistical analyses, but also clearly visible on selected hot days (Fig. 3), environmental factors (i.e.

377 canopy cover, building view factor, pervious surface fraction) have much less effect on nightly mPET. In

- 378 contrast to daytime conditions, all three environmental factors had significant yet modest effects -
- depending on whether mPET minima or nightly means were considered. This is very much in line with
- results by Ziter et al. (2019) who found a lower air temperature variation by night, and a maximal night-
- time cooling by pervious surface fraction of 0.7°C. Another study found that a park's surface temperature

382 was 8°C cooler during daytime, but only 2°C at night (Nichol, 2005). A review confirms that urban trees are 383 substantially less influential at night and could sometimes even lead to slight warming depending on the 384 specific context (Krayenhoff et al., 2021). This was recently also observed in an Australian study (Sharmin et al., 2023) and during a few night-time hours in a Czech study, though trees provided cooling when 385 386 considering the whole night (Geletič et al., 2022). Yet, comparing our local air temperatures to RMI control 387 measures shows that night-time temperatures seem mostly dictated by a strong UHI effect - which is in line 388 with literature (Deilami et al., 2018). Top et al. (2020) found it to reach maximally 8.7°C in air temperature, 389 also in Ghent, which is similar to our findings. This nightly UHI effect is less visible based on our rural forest 390 controls (Fig. 3), because forests also buffer daily minima, keeping their microclimate significantly warmer than surrounding open rural spaces where official weather stations would typically be located (De Frenne 391 392 et al., 2021, 2019).

393 Strengths, limitations and recommendations

394 We quantified environmental conditions at a very local scale within a single city. This allowed for making 395 precise predictions concerning the relative roles of various environmental conditions, but this restricts the 396 generalizability beyond our characterised perimeter. Inhabitants, and especially those vulnerable 397 subgroups that might shelter mostly indoors, may spend only limited time at those specific locations (i.e. 398 sidewalks, parks, squares) (Wanka et al., 2014), limiting the relevance for people's health. This may be 399 addressed by coupling local measures to remotely-sensed landscape-scale metrics, including all three 400 environmental variables studied here. Yet, extrapolating our data to wider areas will nonetheless probably 401 yield analogous results. For example, an observational study found that variation in air temperature 402 reductions could increasingly be explained by canopy cover and pervious surface fraction as the radius of 403 buffer areas increased until 60-90m (Ziter et al., 2019).

- Additionally, our final selection of three environmental variables (i.e. canopy cover, pervious surface fraction and building view factor) may have failed to capture other significant environmental effects. For example, we have not examined the influence of the more fine-grained types of impervious and pervious surfaces, nor did we measured the thermal properties of human-made infrastructure surrounding our sensors. However, we believe that our variable selection covers the most important environmental characteristics, as is also suggested by the large share of variation explained by our models (R² = 0.83).
- Two locations presented unexpected brief temperature spikes at similar times in the day during some
 consecutive days. Based on the timing, the orientation and the trends in grey globe temperature, the spikes
 at locations LG2 and HG1 are probably caused by, respectively, reflection of the morning sun in the nearby
- 413 building's windows and reflection of the afternoon sun in the pond water. The occurrence of such
- 414 unexpected findings emphasises the importance of an adequate replication of locations and a thorough
- 415 characterisation of the environment.
- 416 Besides the spatial limitations, the monitoring of a single year may also have reduced representativeness.
- 417 Indeed, the summer of 2022 was exceptionally hot and dry (KMI, 2022), which, for example, may have
- 418 impeded the cooling potential of vegetation due to reduced transpiration. Conversely, that summer
- 419 provided an interesting case-study, given that it may better represent near-future conditions.
- One major strength of the current study is the usage of low-cost microclimate stations (EUR <150) allowing
 for a larger spatial and temporal coverage (battery longevity > 2 years) than the bulk of existing
 observational studies using advanced weather stations. Auspiciously, the development of cheap sensors is
 quickly rising (Krüger et al., 2023), which is particularly interesting if these are coupled to long-term
 monitoring of a comprehensive range of thoroughly characterised urban microenvironments (Beele et al.,
- 425 2022). This enables identifying consistent patterns using statistical approaches, whereas single observations
- 426 on per location type will hardly manage to disentangle causal factors. Noteworthy, however, is that
- 427 measurement accuracy of our setup may be lower than WMO standards making our low-cost sensors also
- 428 a potential weakness.

429 Management implications

- 430 Based on our data for a mid-sized Belgian city and based on existing local and remote sensing research,
- daytime heat is clearly most effectively mitigated by expanding the urban tree canopy cover. Important
- 432 cooling benefits would consequently be reached in cities that comply with the '3-30-300 rule', which
- advocates that every resident should be able to see at least three trees from their home, that their
 neighbourhood has a minimum of 30% canopy cover, and that their nearest greenspace larger than 0.5 ha
- 435 is maximum 300m away (Konijnendijk, 2021). In line with these recommendations, a study on the air
- 436 temperature of 93 European cities found that guaranteeing a 30% canopy cover would reduce UHI-related
- 437 deaths by roughly 40% (lungman et al., 2023). Yet, but perhaps unrealistic for many cities, other scholars
- and us have found that especially canopy covers of 40-50% and higher have a disproportionate cooling
- 439 effect (Ren et al., 2021; Ziter et al., 2019) at least at the local scale. Given that canopy cooling effects are
- 440 particularly strong at the local scale and during daytime, extra attention could be paid to guarantee the
- 441 presence of trees at locations where people spend most time during peak heat moments of the day (e.g.
- 442 benches and busy streets).
- 443 Preventable heat death numbers could dwindle even further at those higher canopy cover levels, but urban
- 444 planning interventions may first prioritise the equitable distribution of urban trees and forests. Indeed,
- 445 neighbourhoods of lower socio-economic status tend to be more exposed to heat stress while its residents
- have less material and social resources guaranteeing their heat resilience (Harlan et al., 2006).

447 **Conclusions**

448 Our investigation of urban microclimates during the summer of 2022 underscores the pivotal role of tree

- 449 canopy cover in mitigating human heat stress. The study's strengths lie in its meticulous local-scale
- analyses, leveraging low-cost microclimate stations for expansive coverage. Fully canopy-covered locations
- were remarkably cooler, reducing daytime maxima with 5.5°C mPET on average and reaching 8.8°C mPET
 during extreme heat conditions. This contrasts with modest effects from pervious surface fraction and
- 453 building view factor. Nighttime temperatures, primarily influenced by the UHI, exhibited less sensitivity to
- 454 these local environmental variables. Our findings underscore the critical importance of securing a local
- 455 minimal canopy cover of 30% and preferably even more to safeguard urbanites from increasingly prevalent
- 456 heat hazards at the local scale.

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- **Fig. 1** | Map (A) with the locations of the 17 microclimate stations (B) measuring air temperature, air humidity, grey globe
- temperature and wind speed. Locations span a gradient from dense forest stands (C) to fully urbanised environments (D). © GoogleMaps.

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676 Fig. 2 | Directed Acyclic Graph (DAG) with the causal assumptions underlying our statistical model.



Fig. 3 | Trends in perceived temperature for the hottest day of 2022 (A) and three hot days during the second heatwave of 2022
(B). Each light grey line represents one of the 17 locations. Coloured lines represent average temperatures for locations grouped

680 per canopy coverage. Control measures are averaged modified Physiologically Equivalent Temperature (mPET) data from ten

681 nearby rural forests.



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684 Fig. 4 | Offsets refer to the perceived temperature difference between urban locations minus rural forest controls, where positive

values denote warmer urban conditions compared to rural forest controls. In left graphs (A-C), daily observations are grouped per

location type and right (B-D) the same data are grouped according to the presence of trees in plots. mPET = modified

687 Physiologically Equivalent Temperature.



Fig. 5 | The average number of days with daily mPET maxima (A) or average nightly modified Physiologically Equivalent
 Temperature (mPET) (B) within heat stress categories, in function of location type. Circle sizes reflect the number of days, which

691 represent an average of three to six locations per location type.

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 Table 1 | Overview of the 17 locations and average values of the rural forest controls. Percentages refer to the surface share within
 a circular plot with radius 10 m around the heat stress stations. LCZ = Local Climate Zone.

L o c a t	Coordinates	Description	Canop y Cover	Building View Factor	Pervious Surface Fraction	Sky View Facto r			
i o n									
Paved & grey									
I V 1	51.051562, 3.702872	Predominantly paved parking surrounded by brick walls of <i>ca</i> . 2.5m height and some small flowering beds (LCZ 3).	0%	0%	15%	86%			
I V 2	51.052981, 3.707041	Next to a large university campus parking, half enclosed by buildings of <i>ca.</i> 10m height (LCZ 1).	0%	34%	0%	66%			
I V З	51.0523569, 3.7062332	NS-exposed street next to primary school and residential buildings of <i>ca.</i> 10m height (LCZ 2).	0%	66%	0%	34%			
і V 4	51.054394, 3.707708	Narrow EW-exposed street with houses of <i>ca.</i> 7m height (LCZ 3).	0%	66%	0%	34%			
I V 5	51.0522932, 3.700859	Fully paved abandoned industrial site, next to a building of <i>ca.</i> 7m height. 'Greyest' location (LCZ 8).	0%	36%	0%	63%			
І V 6	51.051662, 3.70755	Very narrow EW-exposed street between houses of <i>ca.</i> 5m height with small flowerbed (LCZ 3).	0%	69%	1%	31%			
Paved & trees									
L V 1	51.052068, 3.707386	Unpaved roundabout surrounded by asphalted streets, with two mature trees (LCZ 3 _B).	80%	4%	25%	23%			
L V 2	51.0518975 <i>,</i> 3.7065614	NS-exposed street between houses of <i>ca.</i> 8m, with mid-sized tree (LCZ 3_B).	15%	41%	5%	38%			
L V 3	51.054243, 3.707049	Narrow EW- exposed street with houses of <i>ca.</i> 7m and one young tree (LCZ 3_B).	10%	38%	0%	50%			

Unpaved & low green

L G 1	51.054382, 3.705726	Private vegetable garden with between buildings of <i>ca</i> . 2m and a small wall of <i>ca</i> . 2m (LCZ 4 _D).	0%	6%	100%	94%
L G 2	51.053699, 3.708987	Lawn with tall grasses and forbs, between an array of small trees and a university building of <i>ca.</i> 11m with many windows (LCZ 5 _D).	5%	23%	90%	77%
L G 3	51.050522 <i>,</i> 3.704597	Small neighbourhood park with patches of tall grasses and one small tree (LCZ 9 _D).	10%	0%	100%	94%
Unpaved & trees						
H G 1	51.055029, 3.707637	Pocket forest of around 40a with a moderate density of mature trees, next to a pond (LCZ A).	70%	0%	70%	18%
H G 2	51.054851, 3.707533	Pocket forest of around 40a with a high density of mature trees (LCZ A).	100%	0%	95%	12%

H G 1	51.055029, 3.707637	Pocket forest of around 40a with a moderate density of mature trees, next to a pond (LCZ A).	70%	0%	70%	18%
H G 2	51.054851, 3.707533	Pocket forest of around 40a with a high density of mature trees (LCZ A).	100%	0%	95%	12%
H G 3	51.050689, 3.705224	Private garden with a moderate density of mature trees (LCZ 6_A).	75%	0%	100%	19%
H G 4	51.0526691, 3.7067036	Campus vegetable garden surrounded by a lawn and three large trees (LCZ 9_B).	40%	0%	100%	56%
H G 5	51.054537, 3.707286	Lawn with three young trees, next to a brick wall of <i>ca</i> . 2m (LCZ 5 _B).	40%	2%	95%	68%

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r	Ten mature managed forest stands				
e	composed of European beech (Fagus				
S	sylvatica), pedunculate oak (Quercus	100%	0%	100%	9%
t	<i>robur</i>) and/or red oak (<i>Q. rubra</i>) with a				
С	canopy height of 30 - 35m.				
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