# The use of probiotics to control prawn diseases: administration methods, antagonistic effects and immune

### 3 response

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#### 36 Abstract

The giant freshwater prawn (*Macrobrachium rosenbergii*) is a high-yielding prawn variety well-received worldwide due to its ability to adapt to freshwater culture systems. M. rosenbergii is an alternative to shrimp typically obtained from marine and brackish aquaculture systems. However, the use of intensive culture systems can lead to disease outbreaks, particularly in larval and post-larval stages, caused by pathogenic agents such as viruses, bacteria, fungi, yeasts, and protozoans. White tail disease (viral), white spot syndrome (viral), and bacterial necrosis are examples of economically significant diseases. Given the increasing antibiotic resistance of disease-causing microorganisms, probiotics have emerged as promising alternatives for disease control. Probiotics are live active microbes that are introduced into a target host in an adequate number or dose to promote its health. In the present paper, we first discuss the diseases that occur in *M. rosenbergii* production, followed by an in-depth discussion on probiotics. We elaborate on the common methods of probiotics administration and explain the beneficial health effects of probiotics as immunity enhancers. Moreover, we discuss the antagonistic effects of probiotics on pathogenic microorganisms. Altogether, this paper provides a comprehensive overview of disease control in M. rosenbergii aquaculture through the use of probiotics, which could enhance the sustainability of prawn culture.

54 <u>Keywords</u>: *Macrobrachium rosenbergii*; innate immunity; disease management; prawn;
 55 microorganisms; probiotic administration; host-derived probiotics

#### 67 **1 Introduction**

The global seafood market was valued at US\$ 113 billion in 2020 and is projected to grow at an annual rate of 2.9%, reaching US\$ 139 billion by 2027 (Research and Markets, 2022). Shrimp and prawn are commonly consumed, around 20% of the global market (Research and Markets, 2021). *Penaeus vannamei* (Pacific white shrimp or King prawn), *Penaeus monodon* (giant tiger shrimp), and *Macrobrachium rosenbergii* (giant freshwater prawn) are the most widely cultured species (Stankus, 2021).

74 Macrobrachium rosenbergii is a freshwater decapod crustacean belonging to the 75 Palaemonidae family. It is cultured as a freshwater prawn offering an alternative to shrimp 76 grown in brackish and marine aquaculture systems. Major countries producing prawn include 77 India, China, Thailand, Bangladesh, and Malaysia (Kader et al., 2021). M. rosenbergii's 78 aquaculture has attracted significant attention due to its high production yield, disease 79 resistance, and ease of management in controlled freshwater systems such as rivers, lakes, 80 canals, reservoirs, and ponds. Nevertheless, the intensification of culture practices driven by 81 the increasing demand for prawn has also increased the susceptibility of M. rosenbergii to 82 diseases, resulting in a substantial decline in the production (Chen-Fei, Chou-Min, & Jiun-Yan, 83 2020; Lee et al., 2022; Pillai & Bonami, 2012b). Diseases affecting the larval and post-larval 84 stages of *M. rosenbergii* are primarily caused by viruses, bacteria, fungi, yeasts, and protists. 85 Some of these diseases are exclusive to the giant freshwater prawn, such as Macrobrachium hepatopancreatic parvovirus (HPV) disease, rickettsia-like disease, white tail disease (WTD), 86 87 as well as idiopathic diseases including idiopathic muscle necrosis, balloon disease, and appendage deformity syndrome (Pillai & Bonami, 2012b). White tail disease (WTD) caused 88 89 by M. rosenbergii nodavirus (MrNV) is known to have a mortality rate of 100% (Sahul Hameed 90 & Bonami, 2012).

91 Typical methods for disease control in aquaculture include implementing rigorous biosecurity 92 protocols, adopting appropriate husbandry practices, administering antibiotics, vaccination, 93 and using immunostimulants (Chen-Fei et al., 2020). Vaccination is not an effective method 94 for invertebrates like prawns because they do not have adaptive immunity (Rowley & Pope, 95 2012). The rapid growth in demand for aquaculture products has decreased the efficiency of 96 disease control measures and led to a substantial increase in antibiotics use (Henriksson et al., 97 2018). While antibiotics yield to quick recovery from diseases, their effects on the ecosystem 98 and the emergence of antibiotic-resistant disease-causing agents have led to the investigation

of alternative disease control remedies (Henriksson et al., 2018; Zorriehzahra et al., 2016). One
of these approaches is the application of probiotics.

101 The aim of this review was to provide an in-depth analysis of disease etiology in giant 102 freshwater prawn and explore the potential of probiotics as a sustainable substitute for disease 103 control. We highlight various features that contribute to susceptibility of *M. rosenbergii* to 104 diseases, including the rapid growth of aquaculture and the consequent increase in antibiotic 105 use. Following this, we discuss the concept of probiotics and their significance in aquaculture, 106 with a particular focus on their potential benefits for giant freshwater prawn.

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#### 108 2 Diseases affecting *M. rosenbergii* in aquaculture systems

109 Freshwater prawn diseases are influenced by environmental, nutritional, and physiological 110 factors. These diseases can be caused by pathogenic or parasitic agents (Lane, Brosnahan, & 111 Poulin, 2022). Pathogens, including viruses, bacteria, fungi, yeasts, and protists, are responsible for disease incidences with a significant impact on the economic viability of 112 113 freshwater prawn production. Viral infections, in particular, are a major concern due to their high mortality rates. Viruses that affect freshwater prawns include Baculoviridae and 114 Nimaviridae with dsDNA, Parvoviridae with ssDNA, Reoviridae with dsRNA, and 115 116 Nodaviridae with +ssRNA (Pillai & Bonami, 2012b).

Several studies have investigated the diseases affecting *M. rosenbergii* in aquaculture systems,
and recent reviews have focused on this topic (Lee et al., 2022; Pillai & Bonami, 2012b). Table
1 summarizes common diseases affecting *M.rosenbergii* along with the agent, type, syndrome,
and current control measures.

121 Among the diseases caused by viruses, white tail disease (WTD) caused by Macrobrachium 122 rosenbergii nodavirus (MrNV) and extra small virus (XSV) are the most common and detrimental viral agents, leading to a significant reduction in prawn production. The virus 123 124 responsible for WTD is a small (27 nm in diameter) non-enveloped icosahedral virus, MrNV, 125 with a genome consisting of two linear positive-sense single-stranded RNA fragments, RNA-126 1 (3202 bp) and RNA-2 (1175 bp) (Sahul Hameed & Bonami, 2012). M. rosenbergii is more 127 vulnerable to WTD compared to other prawn species, particularly in the larval, post-larval, and 128 juvenile stages of development, with a mortality rate estimated at 100% in post-larval prawn 129 within 2-3 days of infection (Pillai & Bonami, 2012b). WTD mostly affects the striated muscles of the cephalothorax, abdomen, and tail. Infected adults act as carriers of the disease without 130 131 displaying any symptoms (Sahul Hameed & Bonami, 2012). Histological characteristics of WTD in infected muscles of the abdomen and cephalothorax, and intratubular connective
tissues of the hepatopancreas frequently appear as large oval or irregular basophilic
cytoplasmic inclusions (Lee et al., 2022).

135 Besides WTD, other serious infections specific to M. rosenbergii include Macrobrachium 136 hepatopancreatic parvovirus (MHPV) and Macrobrachium nipponensis reovirus (MnRV), 137 which have a unique onset in the digestive tract. MHPV is caused by a parvo-like virus resulting 138 in hepatopancreatic nuclear lesions in R and E-cells in hepatopancreas' and midgut's epithelial cells (Kumaresan, Palanisamy, Pasupuleti, & Arockiaraj, 2017). On the other hand, MnRV is 139 140 caused by Reoviridae cardero-like virus exhibiting hepatopancreatic cytoplasmic lesions with large and round eosinophilic to pale basophilic inclusions in the connective tissues (K. F. Chen 141 142 et al., 2021; Pillai & Bonami, 2012a). These viral infections are challenging due to ineffective treatment options and cause a mortality rate of 15 to 60% (Farook, H. M. Mohamed, N. Tariq, 143 K. M. Shariq, & I. A. Ahmed, 2019a). These diseases can potentially be controlled by 144 145 implementing biosecurity approaches, high-quality nutrition and high water quality standards, 146 as well as adaptable stocking density (Pillai & Bonami, 2012b). Effective control measures for 147 viral infections are lacking, making them difficult to manage.

148 In addition to viruses, certain bacteria such as *Vibrio* spp. and *Pseudomonas* spp. are causes of black spots, brown spot, shell diseases, bacterial necrosis, luminescent larval syndrome, white 149 150 post-larval disease, and rickettsia (H. Ali et al., 2018; Muthukrishnan, Hoong, Chen, & Natrah, 151 2021; Pillai & Bonami, 2012b; Sasmita Julyantoro, 2015). Additionally, Vibrio spp. bacteria 152 can invade body fluids, causing discoloration of body tissues, impaired wound repair, and 153 blood clotting (Lu et al., 2022). The digestive tract in larval, post-larval, and adult prawns is 154 highly vulnerable to bacterial invasion, especially rickettsias, which can disable the tubular 155 structures of the digestive system leading to darkening and eventual death (M. Farook, H. M. 156 Mohamed, N. M. Tariq, K. M. Shariq, & I. A. Ahmed, 2019b; Rowley, 2022). Other bacteria 157 can invade the shell and use it for nutrition, resulting in eroded areas and black spots originating 158 from the edges and tips of the exoskeleton (Farook et al., 2019b; Rowley, 2022).

Besides viruses and bacteria, oomycetes, such as *Lagenidium* sp., can enter the prawns through
cracks or eroded areas of the cuticle, causing larval mycosis characterized by an extensive
mycelial network visible throughout the exoskeleton of affected larvae (Farook et al., 2019b;
Rowley, 2022). *Fusarium* spp., on the other hand, can result in fusariosis, burn spots, or black

163 gill disease in *M.rosenbergii* (Johnson, 1995; Yao et al., 2022).

Protists, including *Zoothamnium, Epistylis, Vorticella, Opercularia, Vaginicola, Acineta, and Podophyra,* are considered external parasites that inhibit *M. rosenbergii*'s swimming, feeding,
and moulting in different life stages (Pillai and Bonami, 2012b; Ballester et al., 2017).

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#### 168 **3 Probiotics**

169 Probiotics are live active microbes that are introduced into a target host in an adequate number 170 or dose to promote its health (Hill et al., 2014; Knipe, Temperton, Lange, Bass, & Tyler, 2021). 171 They have increasingly been adopted as an eco-friendly substitute for enhancing aquaculture 172 animals' well-being, given the growing concern with respect to antibiotic use and the desire to support disease resistance, growth performance, feed efficiency, and safety of aquatic products 173 174 (Zorriehzahra et al., 2016). Probiotic Bacillus licheniformis was shown to significantly increase 175 the survival of prawn challenged with pathogenic Vibrio alginolyticus (Nadella et al., 2018). 176 Balasundaram et al. (2012) reported that inclusion of a commercial probiotic into the feed (3%) 177 decreased the mortality of prawns injected with pathogenic Vibrio parahaemolyticus from 59% 178 to 13%. Hindu et al. (2018a,b) reported that *Bacillus vireti*, isolated from the intestine of M. 179 rosenbergii increased the survival of prawns challenged with pathogenic Aeromonas 180 hydrophila and Pseudomonas aeruginosa. In addition to protecting from disease, probiotics 181 offer several advantages, such as boosting digestive enzymes (amylase and protease activity), 182 promoting growth performance, preventing the adhesion and colonization of harmful bacteria in the digestive tract, and regulating gut microbiota, in addition to elevating hematological 183 184 parameters and the immune response (Sumon et al., 2018). Numerous mechanisms are 185 involved in the health-promoting effect of probiotics, including the enhancement of innate 186 immunity, provoking disease resistance, and competition with disease-causing microbes 187 resulting in their elimination. Probiotics can also be used post-antibiotic treatment to restore 188 the natural gut microflora.

189 Potential probiotic candidates can be classified into host and non-host associated 190 microorganisms (Lazado, Caipang, & Estante, 2015). Commercial shellfish production 191 commonly uses non-host derived microbes as probiotics (Lakshmi, Viswanath, & Sai Gopal, 192 2013). However, host-associated probiotics are preferred as they lead to improved growth 193 performance, higher feed efficiency, and enzymatic contribution to digestion (Ahmmed et al., 194 2020a; Khushi et al., 2020; Sumon et al., 2018). They also inhibit the adherence and colonization of pathogenic microorganisms in the gastrointestinal tract, increase 195 196 haematological parameters, and boost the immune response (Adorian et al., 2019; Lazado et 197 al., 2015). For prawn aquaculture, the probiotic candidates consist of the genera Lactobacillus, Aeromonas, Alteromonas, Arthrobacter, 198 Enterococcus, Bacillus, Bifidobacterium, 199 Clostridium. Paenibacillus. Phaeobacter. Pseudoalteromonas. Pseudomonas, 200 Rhodosporidium, Roseobacter, Streptomyces, and Vibrio (Luis Balcázar, Decamp, Vendrell, 201 De Blas, & Ruiz-Zarzuela, 2009).

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#### 203 **4** Methods of probiotic administration

Probiotics can be administrated through several methods such as immersion, oral administration, direct administration into the body, administration in the environment, or a combination of these methods (Einar Ringø, 2020). Each method of administration has its advantages and disadvantages. For instance, the immersion method is a quick and effective approach to delivering probiotics, but it is not practical for large-scale aquaculture operations due to its high cost. Oral administration is more useful for large-scale operations but requires higher doses of probiotics to achieve similar results as the immersion method.

211 Oral administration is widely used for probiotic delivery in aquaculture through the diet or rearing water. In the early 1990s, single strains of probiotics were administered via feed. 212 213 However, due to the diverse range of conditions and aquaculture species, multi-strain 214 probiotics have gained interest for growth, immune enhancement, and environmental 215 improvement of aquaculture species (Md Abul Kalam Azad et al., 2021; Md Abul Kalam Azad et al., 2019; Decamp, Moriarty, & Lavens, 2008; Fdhila et al., 2017; Ghosh et al., 2016; 216 217 Hostins, Lara, Decamp, Cesar, & Wasielesky Jr, 2017; Sipra Mohapatra, Chakraborty, Prusty, 218 PaniPrasad, & Mohanta, 2014; Vargas-Albores et al., 2017). Some of the commonly used probiotic strains in pelleted diets include Bacillus strain S11 (Rengpipat, Phianphak, 219 220 Piyatiratitivorakul, & Menasveta, 1998), Lactobacillus plantarum (Gatesoupe, 1991), and Carnobacterium divergens (Gildberg, Johansen, & Bøgwald, 1995; Gildberg & Mikkelsen, 221 222 1998; Gildberg, Mikkelsen, Sandaker, & Ringø, 1997). In addition, non-pathogenic Vibrio 223 spp., Bacillus spp., Pseudomonas fluorescens, Aeromonas media A 199, Flavobacterium sp., 224 and Lactobacillus lactis are directly added to pond water to act as probiotics (Verschuere,

225 Rombaut, Sorgeloos, & Verstraete, 2000).

Maintaining probiotic activity during oral administration can be challenging due to conditions in the gastrointestinal tract, such as acidity. To improve delivery efficiency, encapsulation methods have been developed, and live feed such as brine shrimp, rotifers, and copepods are

used as encapsulation media (Gao et al., 2022). For instance, a shrimp larval feed was recently

- 230 developed by enriching Artemia franciscana with Bacillus sp. B2, Lactobacillus johnsonii C4,
- Bifidobacterium animalis subsp. lactis strain BB-12, and Streptomyces sp. RL8 (Garcia-Bernal 231
- 232 et al., 2020; Vázquez-Silva et al., 2017).

233 Encapsulation can protect probiotics from environmental conditions and improve their viability 234 during storage, transportation, and delivery. Additionally, it can prevent the loss of probiotics by increasing their adhesion to the gut wall of the host organism. However, the cost and 235 236 feasibility of encapsulation methods should be considered while selecting probiotics' 237 administration method.

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#### Antagonistic effect of probiotics against pathogenic microorganisms 239 5

#### 240 5.1 Antibacterial activity

241 The use of probiotics is widespread in giant freshwater prawn aquaculture due to their potential 242 to combat pathogenic bacteria (Miao et al., 2020; Xue, Liu, Liu, Wang, & Xu, 2021). Probiotics 243 play a crucial role in enhancing the essential gut microflora of prawns by producing 244 bacteriocins and organic acids that counteract harmful microbes (Chauhan & Singh, 2019; E Ringø, Olsen, Vecino, Wadsworth, & Song, 2012). Table 2 summarizes the antagonistic 245 246 effects of probiotics on pathogenic microbes in freshwater giant prawn culture.

247 Lactic acid bacteria are amongst the most commonly used probiotics in prawn culture, 248 primarily due to their exceptional ability to inhibit the proliferation of pathogenic microbes by 249 producing antibacterial components such as hydrogen peroxide and organic acids 250 (Zorriehzahra et al., 2016; Zoumpopoulou et al., 2013). Additionally, some lactic acid bacteria, 251 including Streptococcus spp. and Lactobacillus spp., produced antibiotics and decreased pH to 252 suboptimal levels for pathogenic bacteria. For instance, Lactobacillus spp. isolated from the 253 gut of prawns demonstrated inhibitory activity against V. harveyi (Ahmmed et al., 2020b). 254 Moreover, B. cereus, isolated from the intestine of adult giant freshwater prawn, showed 255 antibacterial activity towards A. hydrophila and could be used as a probiotic in M. rosenbergii 256 aquaculture (Wee, Mok, Romano, Ebrahimi, & Natrah, 2018). In a modern biofloc culture 257 system, B. licheniformis and B. subtilis showed an antagonistic effect against Vibrio sp. when 258 used as probiotics in the rearing of *M. rosenbergii* (Frozza et al., 2021). Furthermore, *B. vireti* 259 01, isolated from the gut of healthy prawns, can be considered as an alternative to antibiotics 260 in freshwater prawn cultures since it inhibits the growth of P. aeruginosa growth (Vidhya Hindu, Chandrasekaran, Mukherjee, & Thomas, 2018). Finally, B. licheniformis exhibited 261

- antibacterial activity against *V. alginolyticus* (Nadella et al., 2018), and *P. acidilactici GY2* and *S. cerevisiae* promoted the growth and survival of giant freshwater prawns (Miao et al., 2020).
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#### 265 5.2 Antiviral activity

266 In aquaculture including the culture of giant freshwater prawns, probiotics have been applied 267 to combat viral disease. However, the actual antiviral mechanism in prawn farming is not yet 268 fully understood (Lakshmi et al., 2013; S Mohapatra et al., 2012). As discussed in section 2, 269 one of the most common viral diseases affecting freshwater prawns is white tail disease, caused 270 by M. rosenbergii nodavirus (MrNV) with significant production losses in prawn farms (Lakshmi et al., 2013). Despite the lack of a complete understanding of the mechanism of 271 272 action, certain strains of bacteria, such as Vibrio spp., Pseudomonas spp., Coryneforms and 273 Aeromonas spp. groups, have been identified as potential probiotics for the treatment of viral 274 diseases in shellfish (Chauhan & Singh, 2019; Zorriehzahra et al., 2016). For instance, B. 275 *megaterium* and *Vibrio* species have been shown to exhibit antiviral activity against white-spot 276 syndrome virus in various shellfish species (Li, Tan, & Mai, 2009). In addition, studies have 277 shown that certain strains of *Lactobacillus* spp. can be used as probiotics in a single strain or combined with commercial probiotic products like Sporolac<sup>®</sup> to provide resistance against 278 lymphocystis viral disease (Harikrishnan, Balasundaram, & Heo, 2010). Furthermore, lactic 279 280 acid bacteria, including L. paracasei A14, L. plantarum YU, L. pantarum L-137 and L. casei 281 Shirota, have also shown promise in the remediation of viral diseases (Al Kassaa, Hober, 282 Hamze, Chihib, & Drider, 2014).

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#### 284 5.3 Antifungal activity

285 In the aquaculture of shellfish and finfish species, even though the antifungal activity of 286 probiotics was reported, there is currently no research on the potential of using probiotics for 287 their antifungal properties. However, there have been studies on the antifungal effects of certain probiotic strains that could be applicable in freshwater prawn culture. Aeromonas A199, 288 isolated from eel rearing water, as well as Lactobacillus plantarum FNCC 226, 289 290 Janthinobacterium M169, and Pseudomonas M174, have been documented to decrease the growth of Saprolegnia species (Lategan, Torpy, & Gibson, 2004; Nurhajati, Aryantha, & 291 292 Kadek Indah, 2012; Zorriehzahra et al., 2016). Additionally, certain probiotic strains isolated 293 from commercial fermented cheese products, such as RC4b2, RC2b4, RC4a3, RC1b8, FCb1, 294 RC2b3, SCa4, SCb2, LZb8, LZa7, S2a3, S4b1, Kb2, and Y2a5, have demonstrated antifungal activity against Fusarium oxysporum and Rhizoctonia solani (F. S. Ali, O., & Hussein, 2013). 295

296 Lactic acid bacteria strains, including Lactobacillus fermentum L23 and Lactobacillus 297 rhamnosus L60, have been found to decrease the production of aflatoxin B1 and the growth of 298 Aspergillus section Flavi, while strains such as KCC-28, KCC-27, KCC-26, and KCC-25 have 299 shown strong antifungal activity against Fusarium oxysporum, Botrytis elliptica, Penicillum 300 roqueforti, Penicillum chrysogenum, and Aspergillus fumigatus (Gerbaldo, Barberis, Pascual, 301 Dalcero, & Barberis, 2012) (Ilavenil et al., 2015). Further research is needed to determine the 302 potential use of these probiotic strains in the aquaculture of giant freshwater prawns for their 303 antifungal activity.

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#### **305 6 Probiotics as immunity enhancers in prawn**

#### 306 6.1 Impact of probiotics on immunological parameters of *M. rosenbergii*

307 The cultivation of fish and shellfish is highly dependent on maintaining a fully functional and 308 well-balanced immune system in order to protect and sustain their health. Accordingly, there 309 has been much interest in identifying compounds or agents capable of enhancing the 310 performance of the host's immune system (Dawood, Koshio, Abdel-Daim, & Van Doan, 2019; 311 Lazado et al., 2015). To this end, numerous studies have investigated the impact of probiotics 312 on the immune response of aquatic animals, particularly finfish, with extensive research being 313 conducted in this area (Dawood & Koshio, 2016; Hasan et al., 2019; Jamal et al., 2020; 314 Merrifield et al., 2010; Van Doan et al., 2020). Table 3 summarizes the effects of probiotics on immunological parameters of giant freshwater prawn. The studies summarized in the table 315 316 highlight the various probiotics used, their sources, mode of use, doses, trial durations, and the 317 resulting effects on immunological parameters.

Most immunomodulatory investigations in prawns have employed probiotic mixes and culture 318 319 collections from commercial sources (Md Abul Kalam Azad et al., 2019; Dash et al., 2016; Gupta, Verma, & Gupta, 2016; Zhao et al., 2019). Invertebrates like giant freshwater prawns 320 321 rely solely on innate or non-specific immunity composed of cellular and humoral elements to 322 detect and suppress the proliferation of pathogenic microbes. Indeed, the immunity of M. 323 rosenbergii relies on the clearance efficiency of haemocytes and the activities of prophenoloxidase, superoxide dismutase as well as phagocytic activity (Amparyup, 324 325 Charoensapsri, & Tassanakajon, 2013; Md Abul Kalam Azad et al., 2019; Kader et al., 2021; 326 Wei, Tian, Wang, Yu, & Zhu, 2021).

327 Supplementing diets with host-associated microbiota, such as *Enterococcus faecalis*, *L. lactis* 

328 *I*, and *L*. *lactis II*, isolated from the intestine of giant freshwater prawns, enhanced the innate

329 immunity of prawns with a significant increase in total haemocyte counts and phenoloxidase activity when compared to the control group (Kader et al., 2021). Similarly, supplementing 330 331 diets with potential probiotic bacteria, Lactobacillus sp. and Enterococcus faecalis, isolated 332 from *M. rosenbergii's* digestive tract, improved cellular immunity with significantly higher 333 levels of small granular haemocytes and non-granular haemocyte counts than prawns fed with non-supplemented diets (Ahmmed et al., 2020b; Kader et al., 2021; Sumon et al., 2018; Vidhya 334 335 Hindu et al., 2018). Bacillus NL110 and Vibrio NE17 applied as probiotics in the feed and 336 rearing water of freshwater prawns resulted in significant improvements in immune indices, 337 including total haemocyte counts, phenoloxidase activity and respiratory burst (2021).

338 Additionally, B. vireti 01, a putative probiotic isolated from the gastrointestinal tract of 339 freshwater prawns, has increased several immunological parameters, including superoxide 340 dismutase, catalase and serum glutathione of freshwater prawns (Vidhya Hindu et al., 2018). 341 Similarly, B. cereus isolated from the gut has boosted superoxide dismutase activity in the 342 haemolymph of freshwater prawns (Wee et al., 2018). In addition to this, B. cereus increased 343 the level of intestinal short-chain fatty acids, which ameliorate the gut epithelium of shrimp by 344 maintaining structural stability and reducing the intestinal pH, thereby inhibiting the growth of 345 harmful bacteria (Duan et al., 2017).

346 Non-host-derived probiotics, such as L. plantarum from a culture collection, have also increased phenoloxidase activity, respiratory burst, total haemocyte counts, and clearance 347 348 efficiency in a dose-dependent manner (Dash et al., 2014; Dash et al., 2016). Similarly, B. 349 pumilus improved immune enzymes such as catalase, acid phosphatase, nitric oxide synthase 350 and phenoloxidase as well as elevated respiratory burst and phagocytosis of *M. rosenbergii* (Zhao et al., 2019). Additionally, the commercial probiotic Zymetin<sup>®</sup> also exhibited 351 352 immunomodulating effects on freshwater prawns with a significant increase of total haemocyte 353 counts, phagocytic activity, and clearance efficiency (Md Abul Kalam Azad et al., 2019).

While investigating the immunomodulatory effects of probiotics on prawn species, probiotics were applied as feed additives (Alavandi et al., 2004; Liu, Chiu, Shiu, Cheng, & Liu, 2010; Zokaeifar et al., 2014). On the other side, probiotics applied to the rearing water have also revealed efficiency in enhancing the immune response in shrimp species. Therefore, future research is needed to evaluate the effectiveness of water-supplemented probiotics in modulating the immune system of prawns.

#### 361 **6.2** Effects of host-derived probiotics on the expression of immune genes

Recently, there has been an increased interest in the immunomodulation of aquatic animals 362 363 through regulating immune-related genes. Indeed, gene alteration for immune and antioxidant 364 activities is considered as a reliable indicator for improved immunity in aquaculture species 365 following probiotic treatment (Van Doan et al., 2020). In M. rosenbergii, various immune and 366 antioxidant genes have been identified in protection against numerous infectious pathogens and 367 foreign compounds. The functionalities of these genes have been comprehensively reviewed by (Kumaresan et al., 2017). Hepatopancreas, haemocytes, and gills have been considered as 368 369 main tissues expressing immune-related proteins (X. Zhang et al., 2014). Lipopolysaccharide 370 and  $\beta$ -1,3-glucan binding protein, anti-lipopolysaccharide factors, prophenoloxidase, 371 peroxinectin, penaeidin, heat shock protein, superoxide dismutase, and catalase are some of the 372 immune genes of crustacean shellfish reported to be upregulated upon probiotic 373 supplementation. This field of research regarding the modulation of gene transcription via 374 probiotics in freshwater prawn aquaculture is still in its early stages. Kader et al. (2021) 375 reported that freshwater prawn treated with three probiotics collected from the host's intestine, 376 E. faecalis, Lac. lactis I, and Lac. lactis II. The study showed a significant upregulation of 377 expression of both immune and antioxidant genes, including  $\beta$ -1,3-glucan binding protein, 378 superoxide dismutase, prophenoloxidase, peroxinectin, acid phosphatase and alkaline 379 phosphatase.

380 Various probiotics exhibit distinct impacts on the transcription of similar or varying immunerelated genes in shellfish (Yarahmadi, Miandare, Fayaz, & Caipang, 2016). These 381 382 discrepancies could be attributed to variations in experimental circumstances and shellfish 383 species employed. However, previous research suggested that evidence involving gene 384 expression in other aquatic animals caused by probiotics could allow to understand their mode 385 of action in disease prevention and control (Hao et al., 2014; Wu et al., 2014). For instance, the 386 diet of whiteleg shrimp was supplemented with three putative host microbiota, Shewanella haliotis, B. cereus, and A. bivalvium for 28 days. The shrimps fed a probiotic-supplemented 387 diet exhibited significantly elevated expression of prophenoloxidase,  $\beta$ -1,3-glucan binding 388 389 protein, and penaeidin 3 genes compared to the shrimp fed the non-probiotic diet (Hao et al., 390 2014). Similarly, three Bacillus strains, including B. subtilis, B. pumilus, and B. cereus 391 collected from the intestinal tract of mud crab Scylla paramamosain were evaluated as 392 probiotics for the host animals. In addition to protecting against V. parahaemolyticus, probiotic 393 strains significantly upregulated the transcription of several antioxidant genes of mud crab, 394 including prophenoloxidase, superoxide dismutase and catalase (Wu et al., 2014).

395 In summary, probiotics supplementation, using bacteria such as Bacillus spp., Lactobacillus 396 spp., Limosilactobacillus fermentum, Clostridium spp., Lactococcus spp., and commercial probiotics such as Zymetin<sup>®</sup>, have been shown to increase immune parameters such as total 397 398 haemocyte counts and differential haemocyte counts, enhance phagocytic activity and 399 clearance efficiency in addition to increasing prophenoloxidase and superoxide dismutase 400 activities, and the expression of immune-related genes (Amparyup et al., 2013; Md Abul Kalam 401 Azad et al., 2019; Kader et al., 2021; Wei et al., 2021). It should be mentioned, however, that 402 it is not clear whether increasing these parameters in the absence of pathogens really is 403 beneficial for the prawns. Indeed, the immune system should only be enhanced in case of an 404 infection, and an increase of immune parameters in the absence of a pathogen might not be 405 advantageous after all. Therefore, further research is needed in order to determine the optimal 406 levels of these immune parameters in healthy and diseased prawns.

407

#### 408 7 Conclusions and future directions

409 In the production of aquaculture shellfish species, probiotics' application has emerged instead 410 of harmful chemicals and antibiotics (Jahangiri & Esteban, 2018). However, the use of 411 probiotics in giant freshwater prawn culture is still in its early stages and only a limited number 412 of commercial probiotic products are available in local and international markets (Adel & 413 Dawood, 2021). Consequently, more studies are needed for profiling a wide range of probiotic 414 strains for application in the culture of various aquaculture shellfish species. Moreover, most 415 of the probiotics currently used in shellfish culture are based on lactic acid bacteria and Bacillus 416 spp. Hence, further studies are required to identify other potential probiotics that can offer 417 benefits such as physiological responses, improved growth performance, and infection 418 resistance (Einar Ringø et al., 2020).

419 Choosing the right probiotics and determining the effective dosage can be challenging due to 420 the species-specific nature of probiotics (Hoseinifar, Sun, Wang, & Zhou, 2018). Therefore, 421 further researches are compulsory to increase the effectiveness of feed- and water-administered 422 probiotics. In shellfish aquaculture, the antagonistic effects of probiotics on microbes, 423 especially bacteria, have been reported. However, research on the antiviral and antifungal 424 activity of probiotics in shellfish aquaculture is still limited. Hence, additional investigations 425 are vital to understand the mechanism of antifungal and antiviral activity and to identify 426 suitable probiotics. Recent advances in high-throughput sequencing techniques enable 427 studying the impact of probiotics on prawn-associated microbiomes. Some recent studies 428 reported shifts in the prawn-associated microbiota after probiotic treatment (Cienfuegos-429 Martinez et al., 2022; Zheng et al., 2022; Qiu et al., 2023). However, in order to determine 430 whether probiotics have a beneficial impact on the prawn microbiome, we first need to obtain 431 a better understanding of what can be considered a healthy prawn microbiome (by analysing 432 microbiomes of healthy and diseased prawns grown in different culture systems).

433

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439

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#### 453 **Conflict of interest statement**

454 The authors declare that they have no competing interests.

455

#### 456 **Data availability statement**

- 457 No data were generated for this paper.
- 458
- 459

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# **Tables**

**Table 1.** Common diseases affecting *M. rosenbergii* along with the agent, type of agent, symptoms and current control measures.

Disease	Agent	Type of	Symptoms	Current control measures	References	
		agent				
White tail disease (WTD)	Macrobrachium	Virus	Lethargy and opaqueness of the abdominal	Screening of brood stock and	(Gangnonngi	
	rosenbergii nodavirus		muscle. Whitish tail and muscle. Affects	postlarvae. Use of specific	w,	
	(MrNV) and extra		hatchery and nursery stages. Approximately	pathogen free brood stock	Bunnontae,	
	small virus (XSV)		100 % mortality rate in post-larvae within 2-3		Phiwsaiya,	
	Nodavirus and		days of infection		Senapin, &	
	satellite				Dhar, 2020;	
					Hameed &	
					Bonami,	
					2012; Sahul	
					Hameed &	
					Bonami,	
					2012)	
Macrobrachium Muscle	Parvo-like virus	Virus	Infected tissue becomes opaque, with	Improve prevention methods	(Pillai &	
Virus (MMV)			progressive necrosis; accompanied by	including nutrition and water	Bonami,	
			progressive weakening of feeding and	quality management.	2012b; Tung,	
			swimming ability. Affects juveniles.		Wang, &	
					Chen, 1999)	
White spot Syndrome	Baculovirus	Virus	White spots on the cuticle; affects larvae,		(Arockiaraj et	
Baculo Virus (WSBV)			juveniles and adults.		al., 2013;	
					Hameed,	
					Charles, &	

					Anilkumar,
					2000; Li et
					al., 2009)
Infectious hypodermal and	IHHN virus	Virus	Characterized by high mortality rate	Screening the viral infection	(Arockiaraj et
hematopoietic necrosis			(approximately 100%). Affects post-larval	in shrimp larvae before	al., 2015;
(IHHN) disease			stage.	culture, good water quality	Hameed &
				management.	Bonami,
					2012; Hsieh
					et al., 2006)
Monodon baculo virus	MBV Baculovirus	Virus	Eosinophilic intranuclear inclusions that	Improve management in	(Gangnonngi
(MBV)			contain enveloped, bacilliform virions in the	hatchery.	w et al., 2010)
			hepatopancreas of the larvae.		
White spot syndrome virus	WSSV Nimaviridae,	Virus	White spots on the exoskeleton and	Improve management in	(Chiew,
(WSSV)	Whispovirus		appendages; accumulation of cuticular	hatchery, particularly water	Salter, & Lim,
			substances on the inner surface of the cuticle;	quality.	2019)
			pink-red colouration on the cephalothorax		
			cuticle; reduction in feeding and increased		
			lethargy; yellow hypertrophied		
			hepatopancreas.		
Macrobrachium	MnRV Reoviridae	Virus	Develop in the connective tissue of the host.	Improve management in	(S. Zhang,
nipponensis Reovirus	Cardero-like virus			hatchery, optimum water	Shu, Zhou, &
(MnRV)				quality management.	Fu, 2016)
Macrobrachium	Parvo-like virus	Virus	Hepatopancreatic nuclear lesions and	No appropriate treatment	(Pillai &
hepatopancreatic parvovirus			epithelial cells. Opacity of abdominal muscles	available, needs prevention	Bonami,
(MHPV)			Reduced growth rates, anorexia, reduced	methods, screening the viral	2012b)
			preening activity.	infection in shrimp larvae	

Decapod iridescent virus 1 (DIV1)	<i>Cherax</i> <i>quadricarinatus</i> iridovirus (CQIV). Shrimp hemocyte iridescent virus (SHIV))	Virus	"Peppered" appearance	standard in nutrition and water quality, low farming density. Screening the viral infection in shrimp larvae before culture, water quality management.	(Srisala et al., 2020)
Penaeus vannamei nodavirus (PvNV) (white tail disease-like muscle necrosis)	<i>Penaeus vannamei</i> nodavirus	Virus	Whitish, opaque lesions in the tail; affects larvae, 50 % mortality rate.	Improved management in hatchery.	(Tang, Pantoja, Redman, Navarro, & Lightner, 2011)
Acute hepatopancreatic necrosis disease (AHPND)	Vibrio spp. (V. parahaemolyticus, V. punensis, V. harveyi, V. owensii, V. campbelli) and Shewa nella sp. that contain pVA1 plasmid	Bacterium	Appearance of empty stomach and gut in tandem with a light-coloured; severe atrophy of hepatopancreas; lethargy; up to 100% mortality with 20-30 days; early life stages are more susceptible.	Screening the viral infection in shrimp larvae before culture, water quality management.	(Chiew et al., 2019; Kumar, Roy, Behera, Bossier, & Das, 2021)

before culture ensuring high

Black spot; brown spot; shell disease	Vibrio; Pseudomonas ; Aeromonas	Bacterium	Melanized lesions; affects all life stages, but more frequently observed in juveniles & adults.	Improved hatchery management; oxolinic acid; nifurpurinol	(Pillai & Bonami, 2012b)
Bacterial necrosis	Pseudomonas; Leucot hrix	Bacterium	Similar to black spot but only affects larvae, especially Nauplius, Protozoea, Zoea/Mysis	Improved hatchery management; nifurpurinol; erythromycin; penicillin- streptomycin; chloramphenicol	(Pillai & Bonami, 2012b)
Luminescent larval syndrome	Vibrio harveyi	Bacterium	Moribund & dead larvae, luminescence	Improved hatchery management; chloramphenicol; furazolidone	(Gupta et al., 2016)
White postlarval disease; rickettsia like disease	Rickettsia	Bacterium	White larvae, especially stages IV and V	Improved hatchery management; oxytetracycline; furazolidone; lime prior to stocking	(Pillai & Bonami, 2012b)
Mid-Cycle Disease (MCD)	<i>Alcaligenes</i> sp. and <i>Enterobacter</i> sp.	Bacterium	Lethargy; spiralling swimming; reduced feeding and growth; bluish-grey body colour; affects larvae, especially stages VI and VII	Improved hatchery management; hatchery disinfection Improve management in hatchery, particularly water quality	(Phatarpekar, Kenkre, Sreepada, Desai, & Achuthankutt y, 2002) (Pillai & Bonami, 2012b)

Lactococcosis	Lactococcus garvieae	Bacterium	Hyperacute haemorrhagic septicaemia	Vaccine, medical herbs, antibiotics (such as lincomycin, oxytetracycline and macrolides)	(SC. Chen, Lin, Liaw, & Wang, 2001), (Kawanishi et al., 2005)
Larval mycosis	<i>Lagenidium</i> spp.	Oomycete	Extensive mycelial network visible throughout exoskeleton of larvae	Improved hatchery management; trifluralin; merthiolate	(Pillai & Bonami, 2012b), (Owens & Hall, 1989)
Burn spot disease, black gill disease, fusariosis. Fungal infection	Fusarium solani	Fungus	Secondary infection; affects adults	Improved management	(Yao et al., 2022), (Pillai & Bonami, 2012b), (Cantrell & Betancourt, 1995),
Yeast infections	Debaryomyces hansenii; Metschniko wia bicuspidate; Candida albicans Candida sake; Metschnikowia artem ia	Fungus	Yellowish, greyish, or bluish muscle tissues in juveniles (Does not cause significant disease)	Improved hatchery management	(SC. Chen et al., 2007), (S C. Chen et al., 2003)
Black spot disease	Fusarium spp.	Fungus	black spot cuticular lesions		(Yao et al., 2022),

					<b>(</b> - · · · · · · · · · · · · · · · · · ·
					Betancourt,
					1995)
Protozoan infestations	Zoothamnium; Epistyl	Protozoan	External parasites that inhibit swimming,	Improved management;	(Pillai &
	is; Vorticella; Opercu		feeding, and moulting; affect all life stages	formalin; merthiolate;	Bonami,
	laria; Vaginicola; Aci			copper-based algicides	2012b),
	neta; Podophyra; etc.				(Ballester et
					al., 2017)
Idiopathic Muscle Necrosis	Environmental	Unknown	Whitish colour in striated tissue of tail and	Improved management;	(Nash,
(IMN)	disease		appendages; when advanced, necrotic areas	Improve Pond management	Chinabut, &
			may become reddish; affects all life stages		Limsuwan,
					1987)
Exuvia Entrapment Disease	undetermined	Unknown but	Localised deformities (rostrum, antennae,	Dietary enrichment,	(Pillai &
(EED), sometimes known	aetiology	probably	legs); failure to complete moulting; affects	carotenoid supplementation.	Bonami,
as Moult Death Syndrome		multiple	late larval stages; also seen in post-larvae,	Improve management in	2012b)
(MDS)		causes,	juveniles & adults	hatchery, particularly water	
		including		quality	
		nutritional			
		deficiency			
Balloon disease			Swelling of the branchiostegal region;	Improve quality of water and	(Pillai &
			hypertrophy of some gill filaments	pond bottom	Bonami,
					2012b)
Appendage deformity			Deformities (rostrum, antennae, legs, etc.)	Carotenoid supplementation	(Pillai &
syndrome			and mortalities		Bonami,
					2012b)

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Probiotics type	Sources	Doses/duration	Key research findings	References
Pediococcus acidilactici PA-	Gut of prawn	60 days	- Inhibits the growth of Aeromonas hydrophila	(Miao et al., 2020)
GY2 and or Saccharomyces			- Decreased the mortality rate of prawn	
cerevisiae			(~50%)	
Lactobacillus spp.	Gut of prawn	9 log CFU/g for 8 weeks	- Inhibitory activity against Vibrio harveyi	(Ahmmed et al., 2020b)
			<ul> <li>Improved weight gain (550%) in a short period of culture</li> </ul>	
Lactobacillus acidophilus 04	Homemade curd	10 <sup>6</sup> Cells/g for 30days	– Antibacterial activity against <i>Vibrio</i>	(Khan & Mahmud, 2021)
			anguillarum, V. vulnificus and V. harveyi	(,,,,
			– Improved growth and survival rate (86%) of	
			freshwater prawn	
Lactobacillus plantarum DM5	Culture collection	$10^7$ , $10^8$ and $10^9$ CFU/g	- Inhibitory activity towards Aeromonas	(D. Das, Baruah, & Goy
			hydrophila	2014)
Bacillus subtilis	Juvenile of freshwater	$10^8  \text{CFU/g}$ feed for 60 days	- Potential inhibitory activity against	(Keysami & Mohammadpo
	prawn		Aeromonas hydrophila	2013)
			- Enhanced growth and survival rate	
Zymetin	Commercial probiotic	5 g/kg for 60 days	- Hinders the growth of Vibrio spp. and	(Md Abul Kalam Azad et
(Bacillus mesentericus,			Aeromonas spp.	2019)
Clostridium butyricum and				
Enterococcus faecalis)				
Lactobacillus plantatum	Culture collection	-	- Inhibits the proliferation of Pseudomonas	(P. Das, Khowala, & Bisw
MTCC 1407			fluorescens and Aeromonas hydrophila	2016)
Bacillus cereus	Gut of healthy prawn	10 <sup>4</sup> /g for 28 days	- Inhibits the growth of Aeromonas hydrophila	(Wee et al., 2018)

# **Table 2.** Antagonistic effects of probiotics on pathogenic microbes in the sustainable aquaculture of *M. rosenbergii*.

				- Probiotic-fed prawns exhibited an overall	
				better hepatopancreatic condition (no	
				hemocyte infiltrations and necrosis)	
	Bacillus coagulans MTCC	Culture collection	-	– Inhibits the growth of Vibrio	(M Karthik, Bhavan, &
	2302			parahaemolyticus	Manjula, 2018)
	Bacillus licheniformis	Culture collection	$1 \ge 10^9$ /g for 60 days	- Inhibits the growth of Vibrio alginolyticus,	(Ranjit Kumar et al., 2013)
				Aeromonas spp. and Pseudomonas spp.	
				- The growth of experimental group of prawn	
				was 25% – 75% higher than control	
	Clostridium butyricum	Intestine of prawn	2 x 10 <sup>9</sup> /g for 60 days	- Inhibits the growth of Vibrio harveyi	(Sumon et al., 2018)
				- 28% higher weight gain compared to control	
				group	
	Bacillus licheniformis	Culture collection	1 x 10 <sup>9</sup> CFU/g for 45 days	- B. licheniformis in feed help in reducing the	(Nadella et al., 2018)
				growth of Vibrio alginolyticus	
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Probiotics	Source	Mode of use	Dose and trial duration	Effects on immunological parameters	Reference
Enterococcus faecalis,	Intestine of M. rosenbergii	Diet	10 <sup>8</sup> CFU/g	THC and PO ↑	(Kader et al.,
Lactococcus lactis I, & Lac.			50 days	α2-M, LGBP, proPO, Cu, Zn-	2021)
lactis II				SOD, TG, PE, AKP and ACP $\uparrow$	
B. cereus	Intestinal tract of prawn	Diet	10 <sup>4</sup> CFU/g	SOD ↑	(Wee et al., 2018)
			28 days	$MDA \rightarrow$	
B. vireti 01	Intestinal tract of prawn	Diet	10 <sup>8</sup> cells/mL	SOD, CAT and GSH $\uparrow$	(Vidhya Hindu et
			14 days		al., 2018)
Bacillus NL110 & Vibrio	Egg, larvae, and intestine of	Diet and water	~ $10^9 \text{ CFU/g}$ (Feed)	THC, RB and PO $\uparrow$	(Mujeeb Rahiman
NE17	M. rosenbergii		~ $10^9$ CFU/mL (Water)		et al., 2010)
			60 days		
Lactobacillus plantarum	Culture collection	Diet	$10^7$ , $10^8$ & $10^9$ CFU/g	THC, PO, RB, CE $\uparrow$	(Dash et al.,
			90 days		2014)
L. plantarum (Heat killed)	Culture collection	Diet	$10^7$ , $10^8$ & $10^9$ CFU/g	THC, PO, RB, CE $\uparrow$	(Dash et al.,
			90 days		2015)
L. plantarum	Culture collection	Water	10 <sup>7</sup> , 10 <sup>8</sup> & 10 <sup>9</sup> CFU/L	THC, PO, RB, CE $\uparrow$	(Dash et al.,
			90 days		2016)
B. pumilus	Culture collection	Diet	10 <sup>7</sup> , 10 <sup>8</sup> , & 10 <sup>9</sup> CFU/g	RB, CAT, PcA, ACP, NOS and	(Zhao et al.,
			60 days	PO ↑	2019)
				$SOD \rightarrow$	
B. coagulans	Culture collection	Diet	$10^5$ , $10^7$ & $10^9$ CFU/g	RB and LZ $\uparrow$	(Gupta et al.,
			60 days		2016)

# **Table 3.** Effects of host-associated and non-host-derived probiotics on immunological parameters of giant freshwater prawn (*M. rosenbergii*).

B. licheniformis	Culture collection	Diet	$10^{6}, 10^{7}, 10^{8} \& 10^{9} \text{ CFU/g}$	THC, SOD, PO $\uparrow$	(Ranjit Kumar et
			60 days		al., 2013)
Zymetin® (Bacillus	Commercial	Diet	5 g/kg	THC, DHC, PcA and CE $\uparrow$	(Md Abul Kalam
mesentericus, Clostridium			60 days		Azad et al., 2019)
butyricum, Enterococcus					
faecalis)					
Saccharomyces cerevisiae	_	Diet	5, 10 & 20 g/Kg	THC, RB and PO $\uparrow$	(Parmar, Murthy,
			75 days		Tejpal, & Naveen
					Kumar, 2012)

989 Increased ( $\uparrow$ ); No change ( $\rightarrow$ ); Total hemocyte count (THC); Phenoloxidase (PO);  $\alpha$ 2-Macroglobulin ( $\alpha$ 2M); Lipopolysaccharide and  $\beta$ -1,3-glucan-binding protein (LGBP);

990 Prophenoloxidase (proPO); Superoxide dismutase (SOD); Transglutaminase (TG); Peroxinectin (PE); Alkaline phosphatase (AKP); Acid phosphatase (ACP); Large granular

991 haemocytes (LGH), Small granular haemocytes (SHG); Non-granular haemocyte (NGH); Malondialdehyde (MDA); Catalase (CAT); Glutathione (GSH); Respiratory burst

992 (RB); Clearance efficiency (CE); Phagocytic activity (PcA); Nitric oxide synthase (NOS); Lysozyme (LZ); Differential haemocyte counts (DHC).

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