

Decade of developing applications exploiting properties of polyelectrolyte multilayer capsules

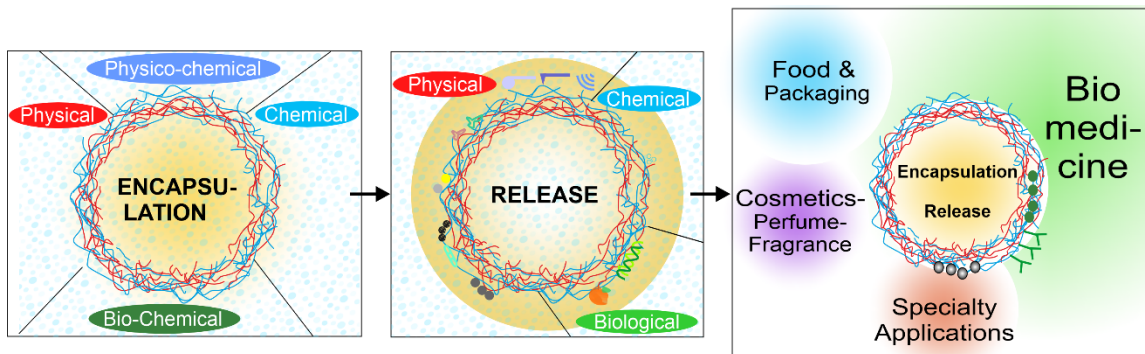
Jie Li, Bogdan V. Parakhonskiy, Andre G. Skirtach

Nano-Biotechnology Laboratory, Department of Biotechnology, Faculty of Bioscience Engineering, Ghent University, 9000 Ghent, Belgium

E-mail: jiejeli.li@ugent.be; Andre.Skirtach@UGent.be

Abstract. Upon transferring the layer-by-layer (LbL) coating approach from planar surfaces to spherical templates and dissolving these templates resulted in fabrication of polyelectrolyte multilayer capsules. The versatility of the coatings of capsules and flexibility with bringing in virtually any material into the coatings – have quickly drawn substantial attention to them. Here, we provide an overview of main developments in the field, highlighting the trends of the last decade. At the beginning, various methods of encapsulation and release are discussed followed with a broad range of applications, which were developed and explored. We also outline current trends, where the range of applications is continuing to grow, including addition of whole new and different application areas.

Abstract graphic



Keywords: layer-by-layer, polyelectrolyte multilayer capsules, nanoparticles, templates

24 1. Introduction

25 Polyelectrolyte multilayer (PEM) capsules are containers used for encapsulation and possessing a distinct
26 composition of the shell, which is composed by sequential application of oppositely charged
27 polyelectrolyte polymers or particles. They were first reported in 1998 at Max-Planck Institute of Colloids
28 and Interfaces, Potsdam, Germany. ^{1,2} Originally, the LbL assembly employing oppositely charged
29 polyelectrolytes (PE) was developed for coating flat surfaces. ^{3,4} This approach drew attention of the
30 scientific community due to extensive possibilities to control the properties of the surfaces. Not only the
31 charges of the polyelectrolytes, but also the salt in which they are immersed, their concentration and
32 molecular weight allow one to control desirable properties of the coatings, which can be applied on
33 various surfaces.

34 Similarly to the flexibility of designing flat surface coatings, there is an extensive flexibility in designing
35 capsules or specifically the capsule wall, which leads to various valuable properties. The actual
36 development of multilayer capsules is associated with two steps: first, transfer of the LbL coating
37 technology on spherical particles, and second, dissolution of the particles which are named sacrificial
38 templates. In the first step, the layers are fabricated by alternative deposition of anionic and cationic PEs
39 on a sacrificial colloidal template, followed by the dissolution of the core. An essential feature of the
40 second step, the dissolution of the core, is that it leaves the polyelectrolyte shell intact thus allowing
41 encapsulation of molecules in the cavity of capsules.

42 Polyelectrolyte multilayer capsules have been the subject of intensive studies in a large part because of
43 possibilities of functionalization with different molecules and structures ⁵ as well as flexibility in tuning the
44 permeability ⁶ of the polyelectrolyte multilayers. Besides polyelectrolyte multilayer capsules, different
45 encapsulation approaches exist including biocompatible liposomes, high-throughput interfacial
46 polymerization approaches, micelles, etc. But essential advantages exist: (1) responsiveness to different
47 stimuli, which can be sorted into three main categories, including physical, chemical and biological factors.
48 ⁷ The process used to entrap various substances such as drugs, bioactive enzymes, liquid crystal droplets,
49 *etc.* in the hollow cavity of microcapsules under stimulus is referred to as encapsulation; (2) another
50 attractive attributes of multilayer capsules is bringing new functions or controlling the internal structural
51 by incorporating organic dyes, ⁸ inorganic nanoparticles, ^{9,10} magnetic nanoparticles, ¹¹ carbon nanotubes,
52 ¹² antibodies, ¹³ *etc.* during the multilayer fabrication process; (3) extensive controllability of the thickness,
53 mechanical properties, functionality, and eventually targeting by molecules incorporated inside or
54 attached to the outer layer of capsules; (4) precise control over the size of the capsules (from nano- to
55 micro- and macro- meter range) due to: (a) the choice of templates and (b) applying physico-chemical
56 treatment (for example, temperature) for shrinking or expanding the capsules; (5) controlling mechanical
57 properties of capsules; and (6) controlling the encapsulation and release rate of molecules. All these
58 properties promoted development of various applications. ¹⁴ And it is these attractive advantages
59 prompted development of numerous publications on PEM capsules and put them under the spotlight for
60 their wide use in many practical applications ranging from sensors, bioreactors, theranostics, cell
61 engineering, antibiotics, and delivery carriers.

62 Over the past decade and since our last review, ¹⁵ which focused on polyelectrolyte multilayer capsules
63 and more particularly on release mechanisms, micro- and nano- capsules have seen further growth,
64 especially in the number of applications. Here, we provide an overview of recent development highlighting
65 encapsulation and release methods. First, some significant and critical aspects of preparation of
66 microcapsules, including the LbL method, the cores or templates and polyelectrolytes. This is followed by
67 different approaches used for encapsulation and release, both classified according to respective stimuli

68 used for encapsulation and release. The choice of an appropriate encapsulation technique is mainly
69 affected by physical and chemical properties of the core materials, shell polyelectrolytes, and to be
70 encapsulated molecules. And these stimuli can be divided into four main categories: physical, chemical,
71 physico-chemical, and bio-chemical. In addition, we present an updated overview of different applications
72 of PME capsules, which continue to grow. Finally, an outlook into future research on novel microcapsules
73 for diverse applications is also provided.

74 2. Preparation of capsules

75 2.1. LbL as a method of fabrication

76 As it was mentioned above, the LbL method was originally developed for films on flat substrates,³ where
77 sequential adsorption of alternatively charged polyelectrolytes takes place. The structure of
78 polyelectrolyte multilayers (PEM) as well as polyelectrolyte complexes have been studied by different
79 groups.^{16–19} Multilayer assemblies fabricated using different interactions including electrostatic,
80 hydrophobic, charge-transfer, host–guest, coordination chemistry, biologically specific interactions as
81 well as hydrogen bonding, covalent bonding, stereo-complexation, and surface sol–gel process.^{20–22} In
82 addition, PEM can be cross-linked to control their properties.^{23–25} Originally, sequential deposition by
83 immersion was used but later several other deposition methods have been developed.

84 2.1.1. Methods of LbL deposition

85 a) Alternative adsorption of polyanions and polycations on a substrate such as glass in an aqueous solution
86 followed by washing is most widely used LbL application method.^{4,26} The first report on methods which
87 can be related to LbL method was reported for nanoparticles in the mid-1960s.²⁷ Later on, adsorption of
88 polymers was reported by Decher,²⁶ after which the LbL assembly has been a well-established protocol
89 for molecules.^{28–30}

90 b) In the spray-coating technique, sequential spraying of alternatively charged polyelectrolytes takes
91 place. In 2000, Schlenoff and co-workers described this sprayed-based LbL buildup.³¹ This spray-based
92 method requires a relatively fast formation of strong interactions between the layers.³² The fast-spraying
93 process can be transformed to industry.³³ Driven by such an inspiration, Hammond et al³⁴ developed a
94 fully automated system capable of depositing thin polymer films from atomized mists of solutions
95 containing species of complementary functionality based on this spray-coating technique.

96 c) Spin-coating is another generally used and industrially relevant coating technique in which deposition
97 of polymeric layers takes place on a spinning substrate.^{35,36} In spin coating, the polymeric films are
98 produced thinner than those in immersive coating, however this method is faster.^{37–39} Integrating
99 injection systems with rotating substrates allows spin assembly to be automated.⁴⁰

100 d) Microfluidic-based assembly of LbL films and capsules, such as microfabrication and manipulation, are
101 widely used in different platforms due to their advantages of uniform laminar flow, low cost, time-saving
102 analysis, and effective control over the molecule concentration in space as well as time at microscale
103 levels.^{41,42} Studies combining microfluidic based LbL technologies have also gained numerous interests
104 recently.^{43–46}

105 2.1.2. Composition of LbL layers.

106 a) Polymers, biopolymers, and bio-based materials represent an important class of polyelectrolytes. Some
107 polymers and biopolymers used for fabricating capsules are overviewed later in this review (in the section

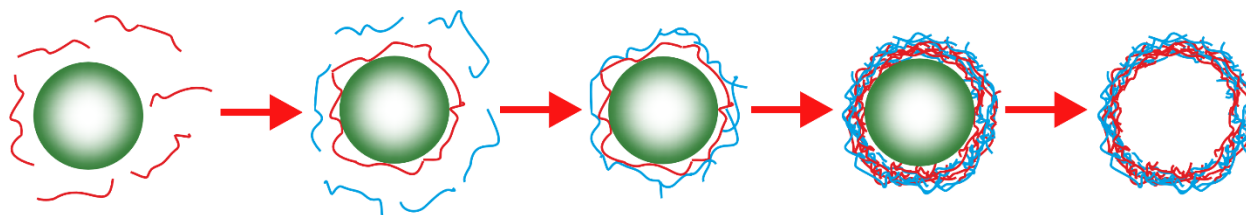
108 2.3.2). Bio-based materials, for example, nanocellulose) are attractive not only due to their abundance
109 and sustainability, but additionally they offer extraordinary chemical and mechanical properties have
110 already opened further applications of LbL structures, including capsules. ⁴⁷⁻⁴⁹ LbL assembly of a range of
111 polymers has been demonstrated as appropriate to form an enzymatically degradable film. The
112 biocompatibility and non-toxicity of nanocellulose gives them possibility for various biomedical
113 applications, such as sustained drug release. ⁵⁰ In addition, by using nanocellulose, with rather polymers,
114 it was possible to create nanocellulose capsules to withstand harsh environment due to their robustness
115 in low pH, high ionic strength and at elevated temperatures. ⁵¹

116 Self-assembled multilayers designed to interact via ionic bonding with cationic or anionic polyelectrolyte
117 cannot be detached without significantly rupturing or degrading the film. More recently, the use of
118 hydrophobic surfaces, dissolvable support layers, mandatory crosslinking, or cytotoxic solvents to
119 assembly detachable and free-standing LBL multilayer were reported. ⁵²⁻⁵⁴

120 b) Hybrid organic-inorganic coatings and capsules represent a sub-class of hybrid materials. ⁵⁵ They
121 consist of an organic polymeric layer and inorganic phase (for example, via sol-gel reactions). Inorganic
122 nanoparticles play an increasingly important role in effectively leading to the construction of the so-called
123 hybrid coatings. ⁵⁵⁻⁵⁸ A combination of both organic and inorganic materials adsorbed to each other at the
124 one interaction of one complex system can benefit from the advantages of both phases and grant this
125 hybrid system novel functions. ⁵⁹⁻⁶²

126 c) Inorganic nanoparticles (for example, silver or gold) are merely incorporated into the already existing
127 polymeric layer. And these were done as layer ⁶³ or incorporating nanoparticles into a polymeric shell. ⁶⁴
128 Multilayer capsules with the shell composed of purely inorganic component extend the range of shell
129 materials. Recently, Jie and co-workers have assembled a new type of capsules employing solely
130 nanoparticles in the walls. ⁶⁵ X-ray diffraction analysis was used to verify the template (calcium carbonate)
131 dissolution, while effective release by both ultrasound and laser was shown; the latter was also used to
132 kill cancer cells.

133 Many of these methods can be also used for fabrication of PEM capsules, where polyelectrolytes are
134 deposited onto spherical templates instead of flat substrates. ^{66,67} An important step of PEM capsule
135 fabrication is that the spherical template or core is dissolved leaving the polyelectrolyte shell intact, Fig.
136 1.



137
138 **Fig. 1** Schematics showing major steps for fabricating microcapsules involving electrostatic interaction
139 between polymers upon deposition onto a sacrificial template, followed with the dissolution of the
140 template but leaving the polyelectrolyte shell intact.

141 2.2. Templates

142 Templates, also called cores, on which polyelectrolyte layers are deposited determine the morphology
143 and properties of the PEM capsule shell. The choice of the template core for the preparation of these

144 capsules is an important part for developing applications. The advantages and disadvantages of different
145 templates corresponding to the core dissolution, ⁶⁸ the stability of the component shell and the
146 aggregation influence the properties and application of polyelectrolyte multilayer capsules. ⁶⁹ Various
147 templates for fabrication of capsules are available including both nonporous and porous templates. ⁷⁰

148 2.2.1. Nonporous organic templates.

149 Melamine formaldehyde (MF) ^{71,72} and polystyrene (PS) ⁷³⁻⁷⁵ stand-out as prominent organic nonporous
150 templates used early in research on capsules. Advantages of such templates is their high monodispersity
151 and availability in different size ranges, but their disadvantages include necessity of a solvent for
152 dissolution, higher prices, a potential effect on the polyelectrolyte shell, which may affect reproducibility
153 of results. Extreme monodispersity and a good stability of them are helpful to produce monodisperse
154 capsules.

155 2.2.2. Nonporous inorganic templates

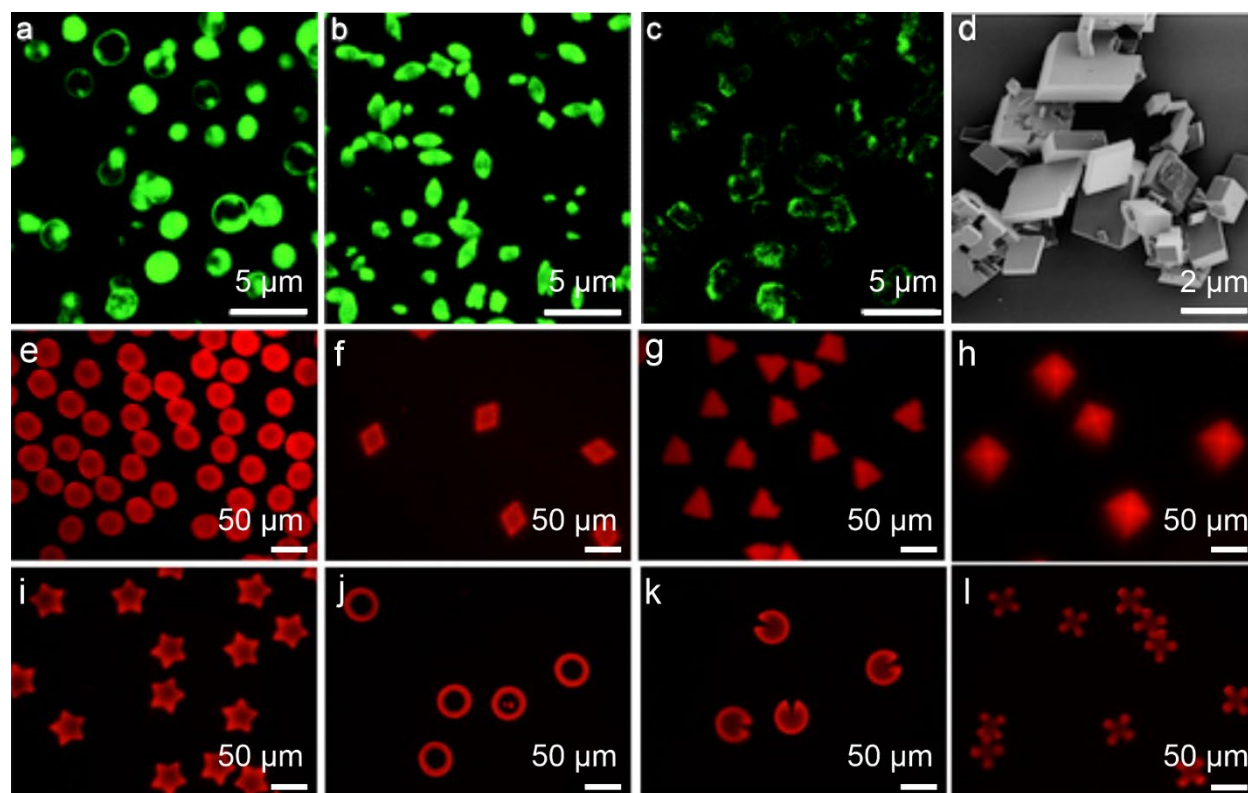
156 Nonporous silica is an inorganic nonporous template, which was shown to lead to very reproducible
157 results, thus removing potential difficulties in affecting the polyelectrolyte multilayer shell and difficulties
158 with reproducibility. ^{76,77} But prices of the templates as well as requirement of application of a dangerous
159 solvent which significantly affects bioactivity, hydrofluoric acid (HF), still represent essential
160 disadvantages of silica. Gold nanoparticles were also used as a template. ^{78,79}

161 2.2.3. Porous organic templates

162 This type of template can be fabricated from nonporous inorganic cores and that would bring porosity for
163 the template necessary for loading of molecules. But using porosity for loading molecules has been done
164 more frequently for porous inorganic templates.

165 2.2. 4. Porous inorganic templates

166 An advantage of using such porous templates is that the highly porous interior of the particles can be
167 exploited to embed various materials. Inorganic templates include mesoporous silica (MS) particles, ⁸⁰ and
168 calcium carbonate (CaCO₃) particles ^{81,82} that do not significantly affect the activity of biomaterials
169 entrapped within the templates can be dissolved under mild condition. The high surface areas and
170 nanopore volumes, and homogeneous nanopore structures of MS particles have been demonstrated to
171 encapsulate a variety of species, such as proteins, ⁸³⁻⁸⁵ low-molecular-weight drugs, ⁸⁶⁻⁸⁹ and
172 nanoparticles. ⁹⁰⁻⁹² Calcium carbonate particles which are inexpensive to fabricate has shown increasing
173 interests due to its biocompatibility, biodegradability, and a relatively easy production (Fig. 1 a-c). But
174 the relatively poor control in size of these porous particles and their tricky aggregation behavior limit the
175 application of this type of templates in some cases. ⁹³⁻⁹⁵



176

177 **Fig. 1** Confocal laser scanning fluorescence images of spherical (a), ellipsoid-like (b) and square (c) CaCO_3
 178 microparticles. The microparticles were embedded with FITC–dextran molecules by co-precipitation and
 179 subsequently covered by several oppositely charged polyelectrolyte layers through the LbL assembly; (d)
 180 SEM images of CaCO_3 rhombohedral microcrystals (calcite); (e-i) Fabrication of microparticles of different
 181 geometries by the hydrogel template approach. Modified with permission from ref. ⁹⁶. Published with
 182 permission of 2012 The Royal Society of Chemistry. Modified with permission from ref. ⁹⁷. Published with
 183 permission of 2010 Elsevier.

184 Different geometries of microcapsules were fabricated on various CaCO_3 polymorph templates
 185 synthesized by adjusting the intermixing speed, time, pH value, and the ratio of initial ingredients. ⁹⁶ Other
 186 porous particles, for example, calcium phosphate, ⁹⁸ manganese carbonate, ⁹⁹ cadmium carbonate ¹⁰⁰ and
 187 mesoporous silica ⁹² are also attractive as potential templates.

188 2.2.5. Anisotropic templates

189 Anisotropic particles attract high interest ^{101,102} in the field of polyelectrolyte multilayer capsules due to
 190 their advantages over spherical particulates, including bio-mimetic behavior, shaped-directed flow,
 191 deformation, surface adhesion, targeting, motion, and permeability. ¹⁰³ The LBL assembly based on
 192 anisotropic templates allows the precise control with various colloid geometries over their physical and
 193 chemical properties. These polyelectrolyte capsules have been shown to copy the shapes of sacrificial
 194 cores on which the polymeric shell is deposited. ^{104,105} Shape shift of these anisotropic capsules can be
 195 achieved by drying, ¹⁰⁶ changing pH, ^{107–109} and permeability. ^{110,111}

196 2.2.6. Biological templates: cells, viruses, lipid-based

197 Overview of these and additional organic and inorganic templates, including such biological templates as
198 red blood cells, viruses and liposomes has been presented. ¹¹² Indeed, compared with colloidal micro-
199 and nano-particles, novel bio-based and hybrid templates, including: nanocellulose, ¹¹³ erythrocytes, ¹¹⁴
200 cells, ¹¹⁵ bacteria, ¹¹⁶ protein ¹¹⁷ and liposomes ^{118,119} have great potential for applications in the
201 biomedicine, cosmetic, and food industries due to their biocompatibility, biodegradability and
202 biosafety.

203 2.2.7. Gel, microgel and nanogel templates

204 Additionally, hydrogel, especially alginate hydrogel, can be used as an ideal template candidate which can
205 predefine the form and enhance biodegradability of microcapsules. ¹²⁰⁻¹²² Acharya et al. developed a new
206 hydrogel template approach to produce polymeric microstructures of different geometries by creating a
207 silicon wafer master template (Fig. 2 e-l). ⁹⁷ Chen et al. fabricated custom-shape microcapsules using
208 hydrogel templates with poly-L-lysine shell via a stop flow lithography. ¹²³ By tuning the properties of both
209 the dextran-based degradable microgel core and the LbL membrane swelling pressure which is evoked by
210 the degradation of the microgel is indeed able to rupture the surrounding LbL membrane. ¹²⁴ The hydrogel
211 template approach presents a new strategy of preparing microcapsules of predefined size and shape with
212 homogeneous size distribution for drug delivery applications. The simplicity and high precision in
213 processing makes the hydrogel template method useful for scale-up manufacturing of microcapsules.

214 2.2.8. Emulsions like oil-in-water and hydrophobic templates

215 Oil-in-water emulsions (O/W) exhibit a great ability to carry large quantities of hydrophobic substances in
216 the dispersed phase and protect them from degradation. Oil droplets produced by such emulsions can be
217 coated by thin polyelectrolyte shells and suspended in an aqueous medium to fabricate microcapsules
218 serving as carriers of various agents. ¹²⁵⁻¹³⁰ Lee et al. developed a method to fabricate stimuli-responsive
219 polyelectrolyte microcapsules in one step based on nanoscale interfacial complexation in emulsions. This
220 one step method extends the utility of polyelectrolyte microcapsules and overcomes the major challenges
221 that are presented by conventional polymeric microcapsule preparation techniques.

222 2.2.9. Air-bubble based capsules

223 Based on the template of air microbubbles and LbL self-assembly, Shchukin and coworkers ¹³² successfully
224 accomplished electrostatic LBL assembly of polyallylamine/poly(styrene sulfonate)(PAH/PSS) multilayers
225 on the surface of an air microbubble (core) to structure microcapsules with sizes ranging from 1 to 20 μm .
226 The method can prevent the negative affects brought by core decomposition. Inspired by this work, Ge
227 and coworkers ¹³³ fabricated giant polyelectrolyte microcapsules with sizes ranging approximately 100 μm
228 by depositing poly (allylamine hydrochloride) and poly(styrene sulfonate) onto monodispersity bovine
229 serum albumin or liposome (Lipo) microbubbles.

230 Some of above-mentioned templates were summarized by Parakhonskiy et al. ¹¹² and recently updated by
231 Kozlovskaya et al. ¹⁰³ In addition to above mentioned templates, one can also add three other classes: gel-
232 , emulsion-, and air-based ones.

233 2.3. Polyelectrolytes in planar LbL and capsules

234 2.3.1. LbL method for making capsules

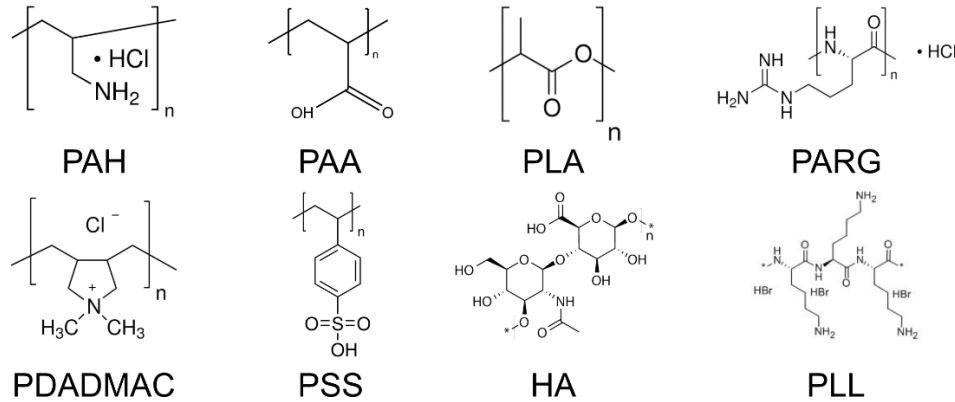
235 Production of polyelectrolyte multilayer capsules is conducted in several steps. As it is shown in Fig. 1,
236 polyelectrolytes are deposited onto a sacrificial template, which is later dissolved. Weak polyelectrolytes
237 are frequently used in multilayer capsules because greater control over coating properties can be
238 achieved by varying the ionization of the weakly charged groups through pH adjustments. Rubner and
239 coworkers have shown that pH stimulus can lead to a substantial and irreversible transformation of the
240 film morphology fabricated by a pair of two weak polyelectrolytes: poly(acrylic acid) (PAA) and
241 poly(allylamine hydrochloride) (PAH).¹³⁴ Tjijto and coworkers also achieved film control by developing a
242 route involving a weak-strong copolymer pairs polydimethyldiallylammonium chloride (PDADMAC)
243 together with PAH.¹³⁵ Sukhishvili reported a binding of metal ions with weak polyelectrolyte multilayers
244 which were highly permeable to reagents and reaction products within hundreds of nanometers of the
245 film bulk.¹³⁶ De Geest and coworkers applied 'click' chemistry for the preparation of polymeric
246 microcapsules based on biodegradable 'click linkages'.¹³⁷ The influence of ions on polyelectrolytes has
247 been investigated by Schlenoff *et al.* revealing ion-free assembling of layers.¹³⁸

248 2.3.2. Polyelectrolyte polymers for microcapsule

249 Different polyelectrolytes have been used for microcapsule production. A variety of polyelectrolytes, Fig.
250 3, has been used for fabrication of capsules, where several phases of development can be seen.¹³⁹ Initially,
251 polyallylamine hydrochloride (PAH), polystyrene sulfonate (PSS), polydimethyldiallylammonium chloride
252 (PDADMAC) and later polyacrylic acid (PAA), polyvinyl siloxane (PVS), polyvinylpyrrolidone (PVP), poly(N-
253 isopropylacrylamide) (PNIPAAm), polyethylene glycol (PEG), poly(vinyl caprolactam) (PVCL), poly(N,N-
254 dimethylacrylamide) (PDMAAm)) *etc.* have been used, partially driven by knowledge accumulated in the
255 area of LbL flat surfaces. These polyelectrolytes allowed to accumulated initial knowledge of capsule
256 preparation and allowed to control their properties.^{140–148}

257 In the next phase and driven by high interest in biological applications, more biocompatible and
258 biodegradable polyelectrolytes were used, including poly-arginine (PARG), poly-L-lysine (PLL), polylactic
259 acid (PLA), hyaluronic acid (HA), chitosan (CHT), dextran sulfate (DS). The first HA/PLL assembly was made
260 on planar surfaces¹⁴⁹ and later applied to polyelectrolyte multilayer capsules.¹⁵⁰ Biocompatibility of
261 synthesized by LBL assembly has been investigated by Zyuzin *et al.*¹⁵¹ Recent addition of tannic acid and
262 bovine serum albumin has brought this area closer to preclinical trials. The choice of biopolymers for
263 polyelectrolyte multilayer shell has been recently discussed.^{152–157}

264 Further, such special polyelectrolytes as nafion, MEPE, *etc* bring essential and specific properties (such as
265 a control over the permeability of the polymeric shell) and thus complete a wide range of polyelectrolytes
266 used for preparation of capsules.



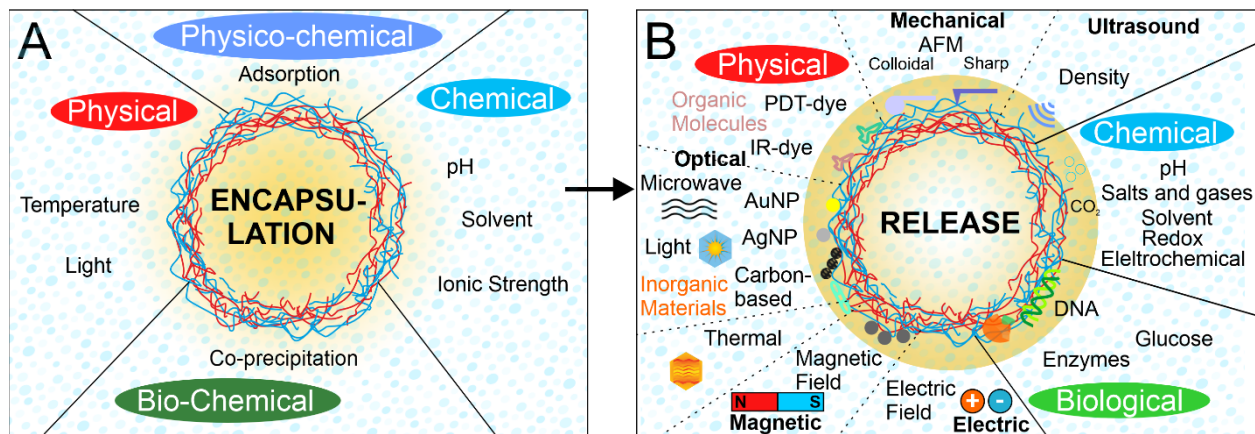
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268 Fig. 3 Structure of some polyelectrolytes showing polyallylamine hydrochloride (PAH), polyacrylic acid
 269 (PAA), polylactic acid (PLA), poly-arginine (PARG), polydimethylallylammonium chloride (PDADMAC),
 270 polystyrene sulfonate (PSS), hyaluronic acid (HA) and poly-L-lysine (PLL).

271 2.3.3. Properties of PEM in microcapsules

272 Sukhorukov and co-workers have used a combination of weak and strong polyelectrolytes to investigate
 273 and exploit the effect of pH on a weak polyelectrolyte, while keeping the strong polyelectrolyte charged.
 274 Microcapsules allow to study the state of polymers, where mobility and intermixing of polyelectrolytes
 275 are important parameters. The degree of dissociation of polyelectrolytes in microcapsules has been
 276 investigated by Musin et al.,¹⁵⁸ who studied the mixing of polyelectrolytes upon dissolution of calcium
 277 carbonate template (core): a partial intermixing of polyelectrolytes with encapsulated proteins. Directed
 278 electron transfer in the layers of microcapsules has been studied by Tedeschi et al.,¹⁵⁹ who have doped
 279 polyelectrolyte multilayers by a dye, pyrene: an efficient electron transfer between the layers has been
 280 reported.

281 3. Encapsulation and release



282

283 **Fig. 4** Schematics showing diverse methods of encapsulation (a), release (b). The main categories and sub-
 284 divided details are shown with specific methods and examples of encapsulation, release.

285 3.1. Encapsulation

286 One of the most attractive properties of PEM capsules is a possibility of encapsulation of different
287 molecules or compounds ranging from enzymes, nucleic acids, peptides, proteins, therapeutic drugs,
288 biomolecules, fluorescent molecules, and nanoparticles in their hollow cavity. This can be carried out in
289 many ways which can be sorted into two main strategies: direct and indirect. In the former case,
290 encapsulation occurs during synthesis of the template core, such as coprecipitation or using the material
291 itself as a template. In the latter cases, external triggers, for example, pH, temperature, light, and magnetic
292 field which can control the permeability of the shell enable capsules to embed various substances.

293 3.1.1. Chemical stimuli

294 a) pH-based encapsulation

295 The pH-based encapsulation is achieved reversibly by adjusting the pH of the surrounding solution. The
296 principle of this method is a change of electrostatic interaction between the polyelectrolytes.^{160,161}
297 Microcapsules swell, and the pores of the shell composition expand with the enhancement of
298 permeability upon pH increasing. Molecules can penetrate inside the capsules smoothly. Decreasing pH
299 can lead to a reverse process where the pores close and present a “pH-latch” equipped capsule interior
300 loaded with cargo.¹⁶²

301 b) Solvent

302 Another approach to achieve the incorporation of molecules into capsules is the so-called solvent-
303 exchange strategy which based on different solubilities of molecules or ions in various solvents.¹⁶³ Loading
304 into CaCO₃ particles (for encapsulation based on CaCO₃ templates) can be done in pre-loading (upon
305 incorporation) or post-loading (upon adsorption).¹⁶⁴

306 c) Encapsulation of poorly water-soluble compounds

307 Generally, polyelectrolyte multilayers only allow small solutes such as ions, dyes, and drugs to penetrate
308 while they prevent macromolecules. This semi-permeability can create a difference in physicochemical
309 properties between substrate and microcapsules interior and make a chance to build up a polarity slope
310 through shell component which can entrap poorly water-soluble compounds inside of microcapsules.¹⁶⁵

311 3.1.2. Physical stimuli

312 a) Thermal-based encapsulation

313 Thermochemical responses of microcapsule assembly is another important tool to influence the
314 morphology and mechanical properties of polymer shell.¹⁶⁶ This can assist the release of molecule in
315 nano/microscale and control the size and mechanical properties^{167,168} of such capsules in macroscale.^{169–}
316¹⁷¹ There is a temperature window (thermal range) for encapsulation and is just above the glass transition
317 temperature of the polyelectrolyte pairs constituting the shell.^{172,173} Beyond this threshold, irreversible
318 shifting (shrinking or swelling) can occur due to the interplay of hydrophobic and electrostatic
319 interactions. These heat treatment methods are very promising because they enable fabrication of
320 mechanically stronger capsules.¹⁷⁴

321 b) Light induced encapsulation

322 Analogous to other stimuli-responsive capsules, encapsulation could be achieved using the light-
323 responsive capsules, for which their multilayer shell can be adjusted by shining to external light.¹⁷⁵ In
324 particular, some interesting light sensitive molecules, for instance, azobenzene and photoacid play a
325 critical role in light induced encapsulation. The transitions of azo-benzene molecules from *cis*- to *trans*-
326 configuration upon illumination near the pores is a cooperative way thus embedding molecules inside
327 capsules.^{176,177} Photoacid generators (PAGS) was reported as another light-driven method can be used to
328 modulate the permeability of polymersome membrane accomplishing encapsulation and release.¹⁷⁸

329 3.1.3. Biochemical stimuli

330 Coprecipitation is a biochemical technique in which templates are synthesized by a direct precipitation
331 strategy. Knowledge of biochemical properties of to be encapsulation materials is essential in this
332 technique.¹⁷⁹ During the process of coprecipitation,¹⁸⁰ functional molecules are involved, and these
333 molecules are entrapped into the interior of the template cores. Subsequently, the template is covered
334 with polyelectrolyte multilayer shell, then deformed by producing hollow capsules.

335 3.1.4. Physico-chemical stimuli

336 Adsorption is an attractive physico-chemical approach due to its simplicity,¹⁶⁴ where molecules are added
337 to already-prepared templates. Then, adsorption takes place due to various interactions, for example,
338 electrostatic interaction, hydrogen bonding, or Van der Waals forces, *etc.* Most frequently employed
339 templates were SiO₂ and CaCO₃. One of the biggest challenges of this method is a limited loading capacity.
340 This loading capacity is determined by the porosity of template cores which surface absorb these
341 molecules. In the case of non-porous templates, the loading can go to even worse situation.

342 3.2. Release from capsules

343 Once encapsulation and delivery for molecules inside are achieved the contents of the capsules need to
344 be released at a particular site and time. The stimulus for triggered opening can be logically sort into three
345 categories, including physics, chemistry and biology inspired methods. The release can be triggered by
346 diverse individual stimulus and dual-stimuli,¹⁸¹⁻¹⁸³ even multi-stimuli.¹⁸⁴⁻¹⁸⁶ Among these already used
347 stimuli, pH variation, light irradiation, temperature changing, variation of the redox potential and the
348 introduction of a magnetic field are the most widely used stimuli that can be used to induce the release
349 of an active molecule in a medium.^{187,188}

350 3.2.1. Physical stimuli

351 a) Mechanical deformation

352 Mechanical deformation is one of the oldest methods of triggering release since the first reports on
353 carbonless paper. Quantification of release have been realized by incorporating with AFM technique.
354^{189,190} Mechanically induced release of encapsulated content was simply triggered by a rotation,¹⁹¹ where
355 centrifugation-based method was used to quantify mechanical strength of the shell and mechanically
356 induced release by plotting the pressure applied for release versus the shell thicknesses.

357 b) Optical

358 Light has been also applied as an external source, thus offering the capability to accomplish a precise and
359 easily adjusted intensity, wavelength, and spatiotemporal control over the attachment of cells or
360 biomolecules, biosensors, and diffusion of encapsulated molecules for controlled drug delivery purposes.

361 ¹⁹²⁻¹⁹⁴ The incorporation of various light-responsive materials, for example, metal nanoparticles (NPs),
362 novel polymers, ¹⁹⁵ light-absorbing dyes, ¹⁹⁶⁻²⁰¹ graphene ²⁰² and carbon nanotubes ^{203,204} in LbL multilayer
363 shell is the most widely used strategy. ¹³¹ Using near infrared (IR) light is attractive for carrying out release
364 from microcapsules. Noble metal NPs or infrared (IR)-dyes that obtain high absorption in the near-infrared
365 (NIR) range incorporated in polyelectrolyte multilayer structure are highly suitable for biomedical
366 applications due to the low absorption of NIR radiation by skin and most of the tissues. Some metal NPs
367 (gold nanoparticles) embedded in polymeric multilayer assemblies can adsorb the energy of laser which
368 is shined on the structure and lead into localized temperature rise around noble metal nanoparticles and
369 result in changes in the film permeability, morphology, composition, and structure. ²⁰⁵ Bedard et al.
370 constructed polyelectrolyte microcapsules on dex-HEMA microgel as a sacrificial template and
371 functionalized with gold nanoparticles, which were found to have the ability to release encapsulated
372 material in a pre-determined direction by selectively irradiating a given region of a capsule's wall with an
373 infrared laser. ²⁰⁶

374 All these features benefit from the unique interaction of such metallic nanoparticles embedded within
375 the component layers with light. Under the illumination of light, enormous electrons in these metallic
376 materials are forced to collectively oscillate in phase, present generally as surface plasmon resonance
377 adsorption of the NPs, whose adsorption cross-section is significantly more intense than normal dyes due
378 to their surface-area-to-volume ratio. The local temperature increase caused by the metal NPs beyond
379 the spinodal point of water and melting point of the metal, the different thermal expansion coefficients
380 of materials could deform the assembly shell. ²⁰⁷

381 Katagiri fabricated tunable UV-responsive microcapsules consisting of polyelectrolyte multilayers, lipid
382 bilayers, and SiO₂-TiO₂. ²⁰⁸ Photocatalytic rupturing of the capsules upon UV irradiation triggered the
383 release of loaded dye on demand. Yi et al. developed a new type of multilayer microcapsule composite of
384 (PDADMAC/PAZO)₄-(DAR/Nafion)₂, which realized shell sealing and swelling upon same UV light exposure.
385 ¹⁹⁵ This strategy to fabricate such dual-function capsules triggered by single external stimulus inspired the
386 development of multifunction capsules for further applications.

387 c) Temperature

388 Temperature changes can cause the melting of a microcapsule or can result in a phase transition, volume
389 variations and transforming a hydrated state to a dehydrated state. This technique is to employ the
390 inherent phase transition of polymer materials upon the change of temperature. Some temperature
391 sensitive polymers which are used as wall materials can be categorized into heat-expandable polymers
392 (e.g., PDMAAm/PAA) and heat-shrinkable polymers (e.g., PNIPAAm/ PVCL, poly(N-vinylcaprolactam))
393 based on the different temperature response. ^{209,210} Rising temperature can break hydrogen bonds in the
394 heat-expandable polymers, then causing the expansion and loosening of the polymer network, thereby
395 releasing encapsulated active agent. While heat-shrinkable polymers present on opposite way. In this
396 route, the shell wall is comprised of a mixture of two polymers which repon differently to the change of
397 temperature. One of the polymers shrinks when the microcapsule is heated, and another one remains
398 physically intact, enable the creation of pores in the shell wall that allow core contents get through. ²¹¹
399 Meanwhile, temperature rapidly rises above the lower critical solution temperature (LCST), some heat-
400 shrinkable polymeric microcapsules collapsed, thereby triggering the release of loaded cargo. ^{212,213}

401 The convenience of temperature stimulation makes these strategies particularly attractive for various
402 applications, ²¹⁴ since temperature affects, for example, softens polyelectrolyte multilayers. ²¹⁵ In addition
403 to directly heating a material, magnetic, ²¹⁶ light, ²¹⁷ microwave, ^{218,219} and electrical stimuli ²²⁰ can result

404 in temperature changes that ultimately lead to capsule triggering. It should be noted these stimuli leading
405 localized heating of polymers to trigger release essentially still belongs to the thermal-induced release via
406 external energy supply.²²¹

407 d) Magnetic fields

408 Microcapsules with magnetic properties can be remotely triggered to release their payload by the
409 magnetic field.²²²⁻²²⁵ A common way to introduce a magnetic functionality is to adsorb magnetic
410 nanoparticles into polyelectrolyte multilayers of the capsules. Alignment of magnetic particles entrapped
411 in the composite shell structure along the direction of magnetic field creates driving forces inside the
412 polyelectrolyte network which change penetration and desorption of macromolecules.^{226,227} Another
413 reason of the increased permeability can be the localized heating caused by magnetic nanoparticles serve
414 as absorbing centers and inducing release.

415 e) Electric fields

416 Encapsulated cargo release of microcapsules with polyelectrolyte shells can be regulated by electric fields
417 serving as sources of an electromagnetic irradiation.²²⁸⁻²³² A variety of electrically sensitive materials have
418 been incorporated into microcapsule shells and cores.^{233,234} The incorporation of molecules into shell
419 walls that preferentially align in electric fields can be used to modify release rates of core materials. Kim
420 and coworkers²³⁵ fabricated electric field-response microcapsules with shell walls comprised of poly(vinyl
421 alcohol) (PVA), poly(acrylic acid) (PAAc), and multiwalled carbon nanotubes. The release of loaded drug
422 increased under higher applied voltages and with more efficient dispersion of carbon nanotubes
423 throughout the capsule shell walls.

424 f) Ultrasound

425 The ultrasound waves applied in various processors and instruments has proven their efficiency to be used
426 for release purposes both in vitro and in vivo without harming normal tissue.²³⁶ The effect of ultrasound
427 triggering a release benefit from acoustic cavitation in liquids created by ultrasonic waves. When the
428 ultrasound waves is introduced, micro scaled air bubbles are formed immediately which was initially
429 dissolved in the aqua solution and start oscillating in the surrounding fluid. Though the input power is low,
430 these bubbles collapse cavitation and transit enormously concentrated energy in the fluid. Ultrasound-
431 based release allows the capsule shell to tear into fragments leading to the release of molecules when the
432 capsules are subjected to ultrasound stimuli.²³⁷

433 3.2.2. Chemical stimuli

434 a) pH-based release

435 Chemical stimulus, pH, was discussed in regard with encapsulation. But it as well as other chemistry-based
436 stimuli such as salt and gases, can be also used as methods for triggering release.^{24,238-241} pH dependent
437 swelling behavior of polyelectrolytes multilayers architecture is the key property which induces the
438 release of molecules. Such behavior was explained by the contribution of repulsive and attractive
439 electrostatic interactions to the formation of collapsed and gel phases.²⁴²⁻²⁴⁴

440 b) Salts and gases

441 Salts, gases were also described as methods for inducing release. Electrochemical release from liposomes
442 embedded into polyelectrolyte multilayers was demonstrated by Graf et al. ²⁴⁵ Salt is another key
443 parameter, which can enable control the interaction of polyelectrolytes, their conformation in solution as
444 well as glass transition temperature (T_g) of the polyelectrolyte complex. ²⁴⁶ Oligoamine patches on
445 microcapsules induced release from capsules upon addition of CO₂ has been reported ²⁴⁷ Non-
446 destructiveness of release in relation to microcapsules is certainly a significant advantage of such
447 approaches. Their disadvantage is limited applicability in biomedicine.

448 Assembly capsules obtained by an emulsion-mediated process demonstrated unique plasmonic
449 resonance responses, where release can be achieved by a solvent. The superior stabilizing power and
450 unique self-assembly of nano-surfactants offer a possibility to encapsulate diverse payloads including
451 nanoparticles for instance, quantum dots (QDs) Au, Fe₃O₄, and Fe as well as small molecules including Nile
452 red, pyrene, and Doxorubicin (DOX) without adding any molecular surfactants. ²⁴⁸

453 c) Redox reactions

454 The basic principle of redox-responsive microcapsules is to trigger the release of encapsulated molecules
455 utilizing redox reaction upon addition of oxidants or reductants. ^{249,250} Redox-responsive microcapsules
456 broadly involved disulfide linkage which is reduced by different reductive agents, including NaBH₄,
457 glutathione (GSH) and folic acid (FA). ²⁵¹⁻²⁵³ Following this principle, Caruso et al. ²⁵⁴ developed a novel
458 polymer hydrogel microcapsule based on disulfide cross-linked poly(methacrylic acid) (PMA_{SH}) and
459 poly(vinylpyrrolidone) (PVPON) on silica particle templates. A cellular concentration of GSH triggered
460 degradation of microcapsules by inducing disulfide bonds on the walls. Vansco et al. ²⁵⁵ fabricated redox-
461 controllable permeability of polyelectrolyte microcapsules by repeatedly depositing both the positively
462 and negatively charged polymers contained ferrocene repeat units (PFS⁻/PFS⁺). The oxidation of
463 ferrocene units triggered capsule swelling and allowed to increase the permeability of microcapsule shell
464 walls.

465 d) Electrochemical stimulus

466 Electrochemical stimulus was used to induce release from microcapsules functionalized with liposomes,
467 ²⁴⁵ where electrochemical stimulus was reported to induce locally confined pH values. That would induce
468 protonation of the phospho-L-serine lipids leading to release of their contents.

469 *3.2.3. Biological stimuli*

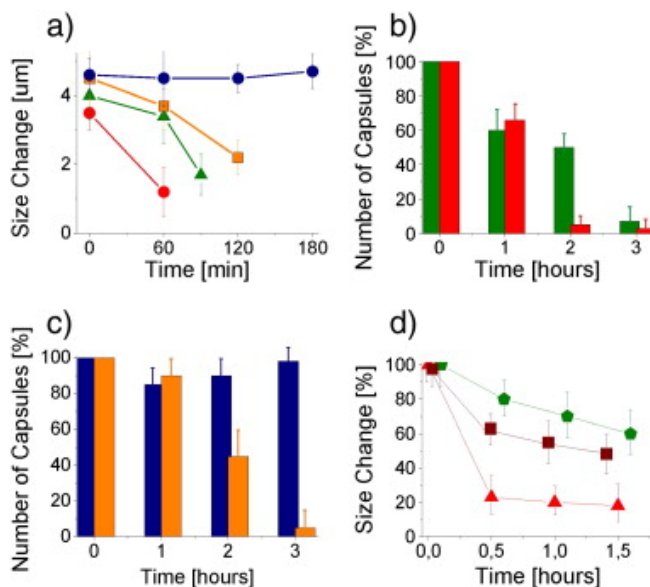
470 Different biological stimuli are available for biodegradation-based release, including glucose
471 responsiveness and enzyme degradation. ²⁵⁶⁻²⁵⁹

472 a) Glucose

473 Glucose responsive capsules using acid as a glucose-sensitive moiety have been reported first by De Geest
474 et al. ²⁶⁰, who described phenylboronic acid-based glucose-responsive polymeric capsules disassembled
475 in less than 5 min in the presence of glucose; then, other groups have reported additional studies. ^{261,262}
476 These polyelectrolyte capsules are the first polyelectrolyte capsules able to respond to a stimulus that can
477 be provided by the human body which would accelerate the process of applying capsules in the biomedical
478 field for the controlled delivery.

479 b) Enzymes

480 Enzyme-based degradation and biodegradability are increasing significant in biology and drug delivery
 481 field. ^{263,264} Destruction by disulfide bonding deconstruction and enzymatic reaction have been widely
 482 used in such applications. Enzyme-catalyzed degradation of multicompartment polyelectrolyte multilayer
 483 capsules was observed at Fig. 5. ²⁶⁵ It illuminated incorporation of synthetic polyelectrolyte layers in the
 484 shell of capsules composed of otherwise biodegradable polymers significantly slows down degradation
 485 and release of encapsulated material. On contrary, increasing the concentration of pronase (enzyme),
 486 which causes polypeptide degradation, enhances the degradation rate.



487
 488 **Fig. 5** a) The average size decrease of capsules upon biodegradation. The following notations are used:
 489 degradation of (pArg/pGlu)₄ is shown in the curve with red circles, (pArg/pGlu)₈ with green triangles,
 490 (PAH/PSS)(pArg/pGlu)₃ with orange squares, while degradation of (pArg/pGlu)₃(PAH/PSS) capsules is
 491 represented by the curve with blue circles. Size decrease incurred from sampling kinetics of degradation
 492 for: b) (pArg/pGlu)₄ (red) (pArg/pGlu)₈ (green), c) (PAH/PSS)(pArg/pGlu)₃ (orange) and
 493 (pArg/pGlu)₃(PAH/PSS) (dark blue). Data in (d) are collected in an in-situ measurement when the same
 494 capsules are continuously monitored under CLSM for (pArg/pGlu)₄ (red triangles) and (pArg/pGlu)₈ (green
 495 pentagons) both at 1 mg/mL of Pronase as well as for (pArg/pGlu)₄ (dark red squares) at 5 mg/mL Pronase.
 496 Statistics was collected for over thirty capsules and three experiments. Reprinted with permission from
 497 ref. ²⁶⁵. Copyright 2021 Elsevier.

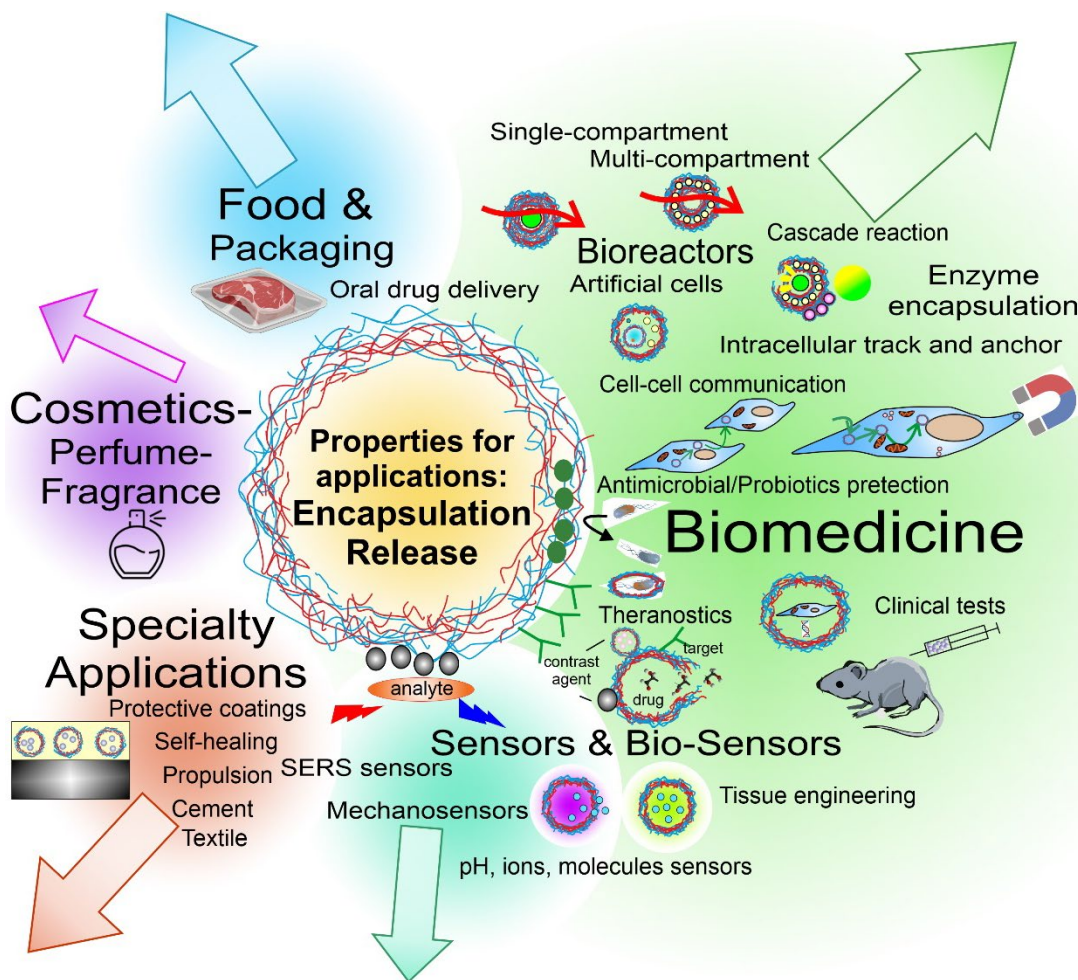
498 3.2.4. Dual- and multiple- stimuli

499 It is difficult to satisfy requirements in various applications with a single trigger release stimulus. So, novel
 500 strategies are needed among which are the so-called dual- and multi-responsive systems. They integrate
 501 internal and external stimuli receptors into one system. ^{266,267} Further, Yi et al modified pH-responsive
 502 microcapsules with UV-responsive benzophenone (BP) groups to increase their stability. ²⁶⁸ Liang et al
 503 developed a LBL assembled polymer capsules poly(2-diisopropylaminoethyl methacrylate) (PDPA) which
 504 responds to variation of pH and redox potential. ²⁶⁹ This dual-responsive microcapsules present reversible
 505 size changes with pH and cleavage of the redox-responsive cross-linker in reducing conditions. Gunawan
 506 et al. reported a LbL-assembled polymer capsule system combining the pH-responsive behavior and an
 507 enzyme-specific degradable cross-linker. ²⁷⁰ This approach represents a highly modular strategy,
 508 combining the advantages of an engineered peptide and pH responsiveness to enhance *in vitro* polymeric

509 carrier degradation. Simple and efficient combination of multiple stimuli which can trigger dual/multiple
 510 stimuli-responsive cargo release broadens the application range of such “smart” capsules for therapeutic
 511 and diagnostic applications.

512 4. Applications of microcapsules

513 In this review, we have identified several important application areas, which are divided based on the
 514 extent of development. By far, the largest application area is that dedicated to biomedical applications
 515 (section 4.1), which is covered first in this review. Subsequently, cosmetics-, fragrance-, and volatile
 516 compound-based encapsulation is presented (section 4.2), followed by food-related applications (section
 517 4.3). These sections follow by the so-called specialty applications (section 4.4), which are seeing the most
 518 essential developments and are undergoing further development phases. The largest area, biomedical
 519 applications, is sub-divided into a number of sub-areas where some noticeable advancement include pre-
 520 clinical studies, Fig. 6.



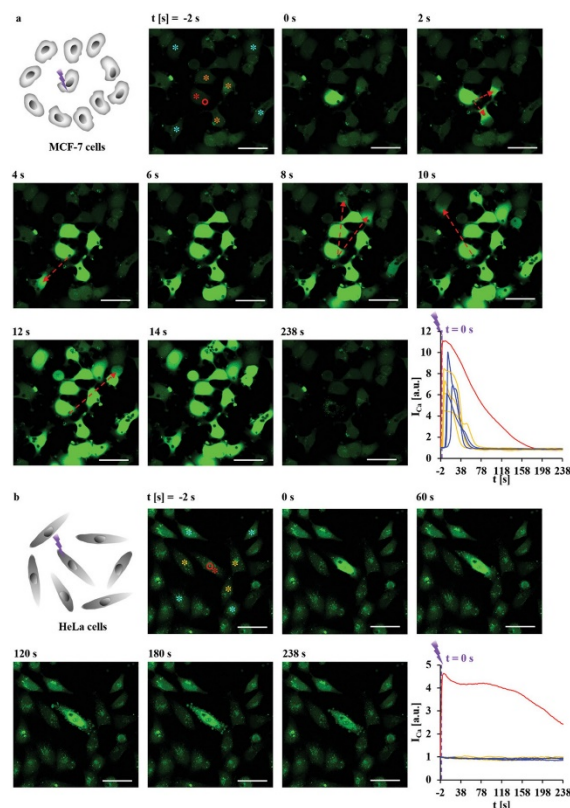
521
 522 **Fig. 6** Schematic showing various application areas of polyelectrolyte multilayer capsules and outlook for
 523 future developments denoted by arrows.

524 4.1. Biomedicine

525 4.1.1. Cell biology applications and delivery into cells

526 LBL microcapsules is an attractive platform for cells because of their controlled biochemical composition,
527 topographical features and mechanical properties.^{271,272} Cells have been shown to uptake polyelectrolyte
528 multilayer capsules.^{273–276} Generally, cell membranes have a net negative charge, although, some
529 positively charged domains also exist. Adhesion of microcapsules to cells has been studied by atomic force
530 microscopy (AFM) upon mounting them on a tipless cantilever.²⁷⁴ An increasing uptake was reported by
531 Brueckner et al. through increasing microcapsule/cell ratio, which is independent of the properties of
532 microcapsules.²⁷⁵

533 Pavlov et al. demonstrated a strategy to use multilayer capsules loaded with iron oxide magnetic
534 nanoparticles functioning as anchors to remotely control mobility of live cells which internalize these
535 capsules via a magnetic field.²⁷⁷ This strategy was inspired by cell uptake of responsible capsules.
536 Additionally, the presence of magnetite nanoparticles in LbL microcapsules provides the possibility for MR
537 imaging application. Another interesting application based on the internalization of microcapsules is cell-
538 cell communication. Zhu et al. developed a methodology enable local lysosomal Ca^{2+} release which
539 directly controls intra- and intercellular communication.²⁷⁸ To achieve this, cells are loaded with PEM
540 capsules with unified plasmonic nanoparticles, Fig. 7, spreading of Ca^{2+} waves to interconnected cells or
541 cells without direct contact was recorded in 2D cells, even 3D tumor spheroid upon laser irradiation.

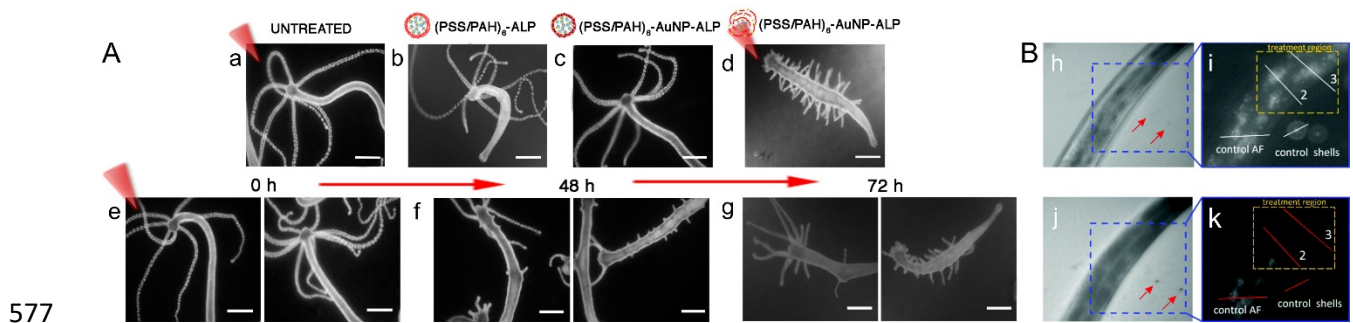


542

543 **Fig. 7** a) MCF-7 and b) HeLa cells were seeded at densities in which cells were not in direct contact with
544 each other. At time $t = 0$ s, one endocytosed capsule with embedded star-shaped Au NPs, as indicated by
545 the red circle, was irradiated at 830 nm with an irradiation area of $A_{\text{laser}} = 12.56 \mu\text{m}^2$ ($20\times$ objective,
546 LSM880) at $P_{\text{laser}} = 71.25 \text{ mW}$ (at the illumination spot) for $\Delta t_{\text{laser}} = 0.039 \text{ s}$. The scale bars represent $50 \mu\text{m}$.

547 Images were taken every 2 seconds. The integrated fluorescence intensity of the calcium indicator Fluo-4, I_{Ca} , over the cross-section of the whole cell area was normalized to that before irradiation ($t = -2$ s),
 548 which relates to the $[Ca^{2+}]_i$, is plotted versus time t . The colors of the curves indicate the cells in which the
 549 Fluo-4 intensities were measured, as given by the color of the stars labeling the respective cells. Red stars
 550 indicate irradiated cells. Yellow or blue stars indicate cells close to or far away from the irradiated cell.
 551 Red arrows indicate the calcium spread direction from irradiated cells to adjacent cells. Reprinted with
 552 permission from ref. ²⁷⁸ with permission from Wiley, copyright 2021.

554 Another active field of research, cell encapsulation, which build up LBL coating shell on cell template has
 555 gained enormous attention. ^{115,279–284} A wide variety of living cells can be used as templates for
 556 encapsulation assembly, ranging from bacterial or filamentous fungi to mammalian cells and multicellular
 557 cell clusters. Red blood cells were some of the first cells for LBL self-assembly. ^{285,286} The choice of a
 558 modification shell is determined mostly by properties of the modified biological system and by a possible
 559 need for keeping it viable during and after the encapsulation. Immunological or physical protection for
 560 cells is one obvious benefit that microcapsule structure introduce. ^{287,288} Mechanical properties of
 561 microcapsules are becoming stronger with an increased number of layers or addition of nanoparticles.
 562 ^{175,289,290} But another way of developing capsules suitable for bio-medical applications is to mimic the
 563 properties of red blood cells, ²⁹¹ because the deformability is important to study for assuring delivery in
 564 biomedicine. ^{292,293} Elasticity, similarly to properties of RBC, is important because that allows for capsules
 565 to restore their shape after deformations. ²⁹⁴ Kozlovskaya et al. formed LBL assembly utilizing hydrogen-
 566 bonded interactions of a natural polyphenol (tannic acid) with poly(N-vinylpyrrolidone) deposited on the
 567 islet cells surface to treat Type 1 diabetes. ²⁹⁵ Intracellular release for different types of cells, for example
 568 neuron cells, ²⁹⁶ represents a powerful tool for targeted delivery. Biocompatible microcapsules were
 569 metabolized by bio-molecular cell machinery without affecting cell viability. Our previous work
 570 demonstrated the release of encapsulated standard molecules, fluorescent AF-488 dextran, from
 571 polyelectrolyte-multilayer inside living cells upon illumination by laser beam. ²⁹⁷ Cisplatin, an anti-cancer
 572 drug commonly used for treatment of solid malignancies, was efficiently encapsulated and delivered into
 573 HeLa and MCF-7 cancer cells. ²⁹⁸ Fig. 8 demonstrates the remote release of tagged proteins can be
 574 achieved in worms using a near-infrared laser light as a trigger from polymeric microcapsules and novel
 575 hydrogel microcapsules functionalized with silver nanoparticles, respectively, which extends possible
 576 future strategy for gene delivery in worms, insects, and other organisms. ^{299,300}



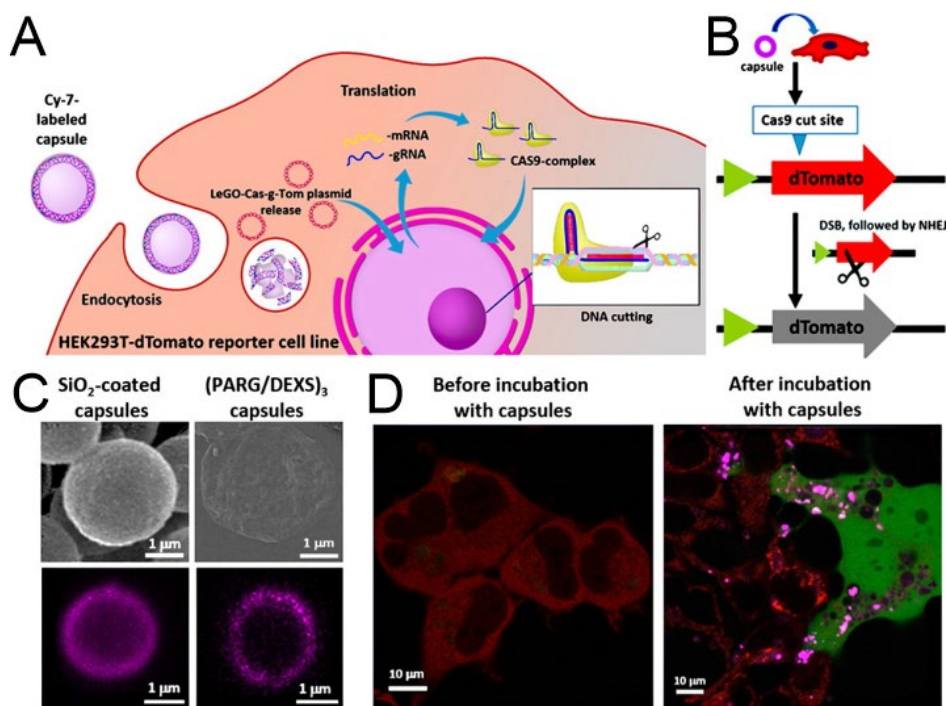
578 **Fig. 8** A) Spherical structures detected by contrast phase imaging are nematocytes, i.e., the stinging cells
 579 present on Hydra ectoderm, employed by the animal for prey capture. At 72 h after irradiation, no effects
 580 were detectable in (a) untreated animals, irradiated; (b) polyps treated with (PSS/PAH)₆-ALP, not
 581 irradiated; (c) polyps treated with light responsive (PSS/PAH)₆-AuNP-ALP, not irradiated. Typical ALP
 582 morphologies were induced in (d) polyps treated with (PSS/PAH)₆-AuNP-ALP and irradiated. The dynamic
 583 of tentacle emergence is shown at 48 h (f) and 72 h (g) post irradiation. Scale bars: 500 μ m in (a–g). B)

584 Optical and fluorescent images of the same section of *C. elegans* with uptaken silver-alginate shells
585 possessing encapsulated TRITC–BSA immediately prior to (upper row, h and i) and after (lower row, j and
586 k) laser irradiation at 15 mW. Reproduced from ref. ^{299,300} with permission of 2016 American Chemical
587 Society and 2018 The Royal Society of Chemistry respectively.

588 PEM capsules which are assembled under native conditions where active molecules are not chemically
589 altered or inactivated have many advantages that lend to their application as cargo delivery vehicles, ³⁰¹
590 such as drugs, ³⁰² cells, ³⁰³ protein, ^{304,305} genes ³⁰⁶ et al. Incorporation of bioactive compounds onto
591 polymer fibrous scaffolds with further control of drug release kinetics is essential to improve the
592 functionality of scaffolds for personalized drug delivery. ³⁰⁷ Microcapsule-based drug delivery system have
593 attracted broad interests for cancer treatment in recent years due to their distinct characteristics,
594 including the capability enhancing permeability and retention (EPR) effect with reduced size and the
595 possibility to refine the surface for precisely recognizing the attributes of the healthy cells and cancer cells
596 in the clinical contexts. ^{308,309} Co-delivery of dual drugs, doxorubicin (DOX) and mitoxantrone (MTX), has
597 been developed based on liposomal nanoparticle capsules which significantly reduce the clearance rate
598 of the two drugs and prolong their circulation time *in vivo*. ³¹⁰ Microcapsules not only provide cells
599 containment at implantation site but also protect them from adverse environment such as host
600 immunoresponse, degradation and shear stress. In parallel, the semipermeable membrane allows oxygen,
601 nutrients and signaling molecules entering to these microcarriers. Various cells, for example, red blood
602 cells, liver cells, stem cells, fibroblasts cells, and endothelial cells, entrapped in microcapsules can be
603 genetically altered to produce specific bioactive products such as hormones, neurotransmitters, growth
604 factors, enzymes, and antibodies. It is worth noting, so far, only a few cell vehicle applications have
605 reached clinical trials. The main challenges that still interfere progress of these carriers towards clinical
606 application is limited cell survival *in vivo*.

607 Microcapsules can also enable intracellular delivery of encapsulated bioactive molecules. ^{311–313} The
608 pathway of cellular internalization (uptake and fate) of PEM capsules has been investigated. ^{314,315} These
609 either lipid or polymer coated microcapsules appear to have only a minor influence on cellular metabolism.
610 ³¹⁶ Luciferase enzyme and plasmid DNA were delivered to cells with biodegradable microcapsules
611 containing a layer of magnetite nanoparticles. ¹¹

612 The application of PEM capsules in the field of gene delivery has gained increasing interest due to its
613 capability to easily load genetic material, and, moreover, the possibility to load dual, even multiple
614 molecules within an individual carrier, which increases transfection efficiency and enables more functions.
615 ³¹⁷ PEM capsule-based carriers can be used for delivery of diverse nucleic acids: plasmid DNA (pDNA),
616 small interfering RNA (siRNA), messenger RNA, and genome-editing tools. ^{318–321} Fig. 9 represents
617 promising microcapsules working as non-viral platforms for efficient and safe gene editing. Co-delivery of
618 drug and siRNA within a single PEM capsule provide a promising method in cancer therapy since the
619 combination of drugs and siRNA can alter multiple disease pathways for tumor treatment. ^{322,323} pH-
620 sensitive cationic liposome (CL) was developed for co-delivery of sorafenib and siRNA to the tumor tissue.
621 ³²⁴



622

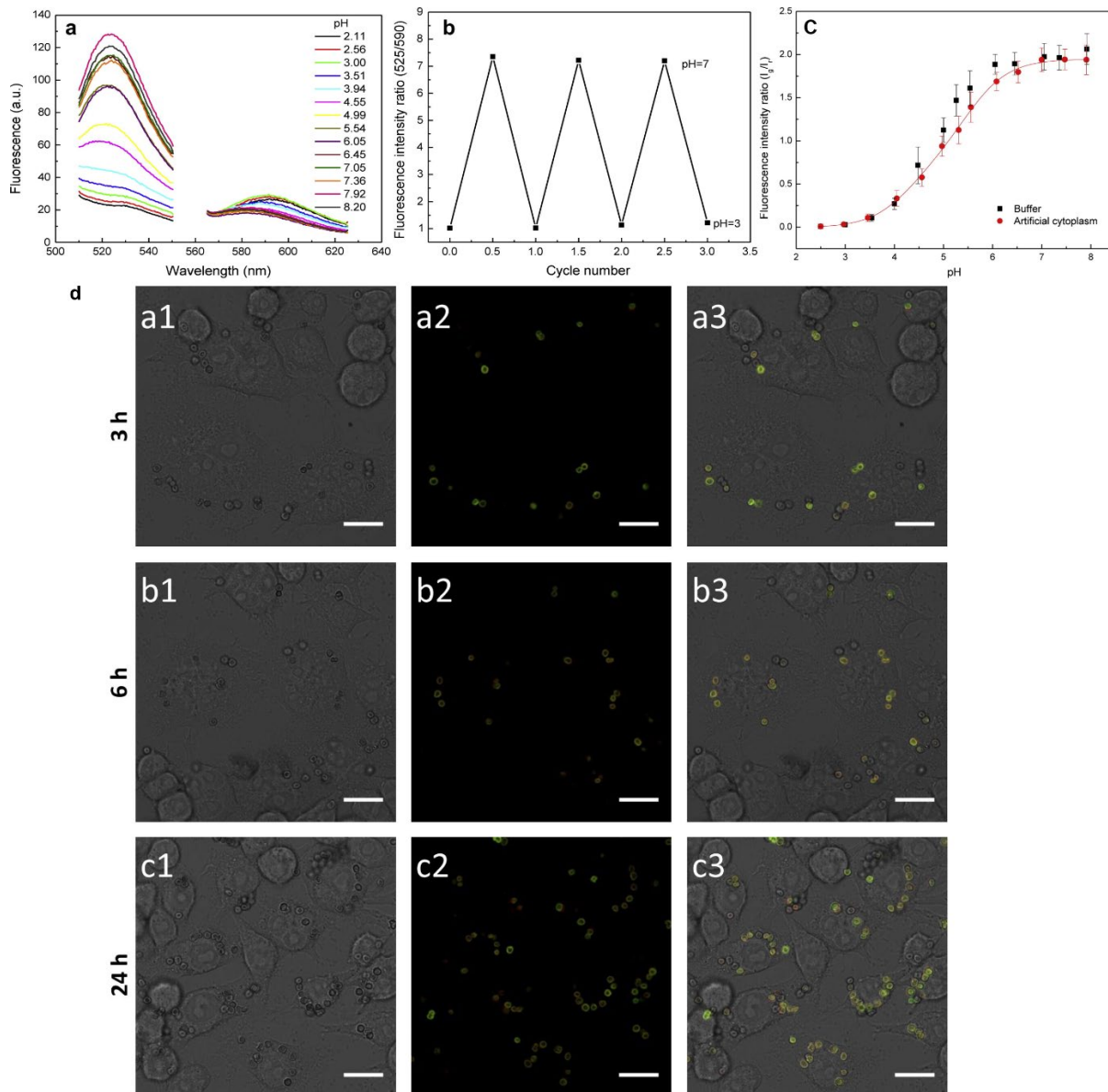
623 **Fig. 9** Principle of dTomato knockout in the HEK293T-based indicator cell line. A) The illustration shows
 624 application of microcapsules for intracellular LeGO-Cas-gTom plasmid delivery and gene editing. B)
 625 Schematic representation shows that delivery of LeGO-Cas-gTom plasmid introduces double-strand
 626 breaks in dTomato gene, which are repaired by non-homologous end-joining (NHEJ) often resulting in
 627 insertion/deletion (Indel) mutations corrupting the open-reading frame and thus impairing protein
 628 expression in the affected cell. C) SEM and CLSM images of SiO₂-coated capsules and (PARG/DEXS)₃,
 629 respectively. D) CLSM images of HEK293T-dTomato cells incubated with (PARG/DEXS)₃ capsules
 630 containing Cy-7/LeGO-Cas-gTom plasmid, hollow (PARG/DEXS)₃ capsules with Cy-7 and without LeGO-
 631 Cas-gTom and free LeGO-Cas-gTom plasmid during 144 h of cultivation. Red signal, dTomato protein;
 632 green signal, eGFP protein; violet signal, Cy-7. Reprinted with permission from ref. ³¹⁸. Copyright 2018
 633 Elsevier.

634 4.1.2. Sensors and biosensors

635 PEM capsules function as elements of sensing systems. It was shown that PEM micro/nano structures can
 636 significantly enhance sensitivity and selectivity of these sensors and biosensors due to their self-assembly
 637 capability with oppositely charged components to obtain a multilayer structure. Control of their structure
 638 at the micro/nanoscale level enables an improvement of intrinsic properties in comparison with the
 639 traditional bulk materials. ^{325,326}

640 Various sensors implemented with PEM microcapsules have been classified in three distinct categories:
 641 chemical, physical, and biological. ³²⁷ Within the last decade, microcapsule-based sensors possessing such
 642 reporters as fluorescent dyes and enzymes are of growing importance. A variety of fluorescent dyes is
 643 used to convert chemical into optical signals. ³²⁸ These strategies enable investigation of change of
 644 surrounding environment in local proximity to a particular surface, for instance, analytic concentration
 645 and ion strength. ³²⁹ Monitoring the surrounding pH value of a solution is considered as an important
 646 application of these chemosensors developed by Parak and co-workers. ³³⁰ In that report, a pH-sensitive
 647 high molecular weight SNARF-1-dextran, was loaded in microcapsules. The spectral properties of the dye

648 were maintained after the encapsulation. This method requires a confocal laser scanning microscope
 649 equipped with spectral read-out capabilities showing shift of fluorescence signal from green to red
 650 channel upon changing pH values from 6 to 9, respectively. In another study, triple dyes (fluorescein,
 651 Oregon Green, and rhodamine B) were simultaneously embedded in microcapsules and served as real-
 652 time localized pH sensors (in the range of 3.3–6.5).³³¹ Microcapsule-based biosensors allowed control of
 653 cell growth upon pH changes due to variations of the surface charges caused by
 654 protonation/deprotonation of carboxylic groups, Fig. 10.²⁴ Enzyme encapsulation further extends the
 655 application range of capsules.⁸ In this regard, PEM capsules with a built-in pH-based fluorescent sensor
 656 allowed a highly sensitive and high-throughput study of carrier internalization by living cells.³³²

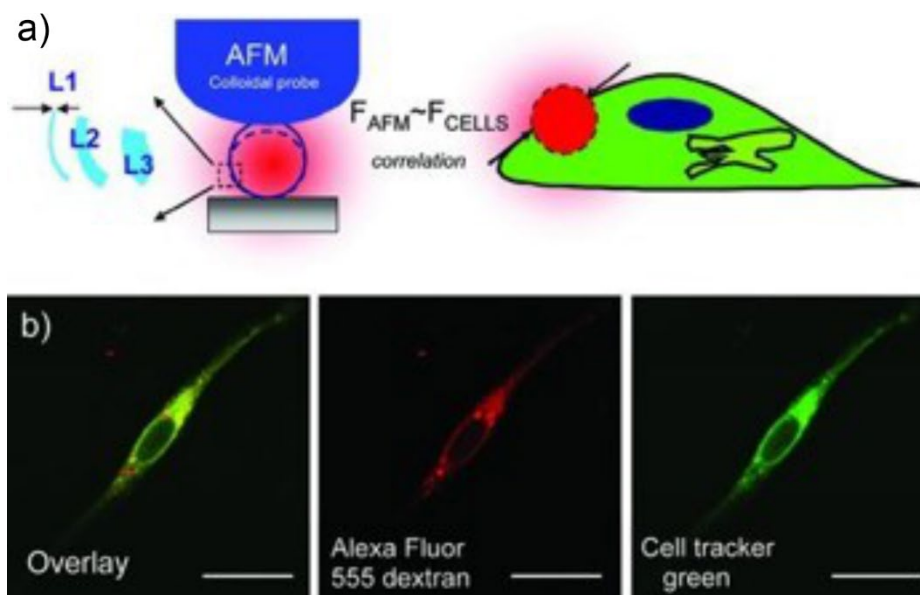


657
 658 **Fig. 10** (a) Fluorescence emission spectra of microcapsules in buffers of various pH values. (b) pH
 659 reversibility study of microcapsules between pH 3 and pH 7. (c) *In vitro* calibrations of the capsule sensors.
 660 Calibration was carried out in buffers and artificial cytoplasm. Calibration in the artificial cytoplasm was
 661 fitted with an equation.³³³ (d) CLSM images of RAW 246.7 cells with internalized triple-labeled

662 microcapsules after 3 h (a1-3), 6 h (b1-3), and 24 h (c1-3). Left column: bright field images; middle column:
663 overlay images of the green and red channels showing the microcapsules; right column: overlay images
664 of the bright field images and the corresponding fluorescence images. Scale bar is 15 μm . Reproduced
665 from ref. ³³¹ with permission of 2014 Elsevier.

666 4.1.3. Mechanosensors for mechanobiology

667 In addition, capsules under physical stimuli can serve as mechanosensors because of controllability of
668 mechanical properties. ^{334,335} Development in this area is based on earlier work investigating mechanical
669 properties of microcapsules. Studies ^{336,337} conducted employing an AFM revealed that forces in the range
670 of hundreds of piconewtons led to buckling of those capsules which were not mechanically enhanced, for
671 example, by a thermally treatment. Mechanical properties (the Young's modulus or stiffness) of thermally
672 shrunk(PSS/PDADMAC)₄ capsules increased by four times after heating them for 20 min at 50 $^{\circ}\text{C}$, and even
673 more remarkably, by more than ten times upon heat treatment at 55 $^{\circ}\text{C}$. ³³⁸ The improvement of the
674 stiffness is attributed to an increase of the wall thickness that accompanies the heat shrinking. Further,
675 Delcea et al. developed three different types of microcapsules which own increasing mechanical strength,
676 and incorporate those capsules into cell line, Fig. 11, a threshold of pressure force of approximately 0.2
677 μN exerted by cells upon incorporation of capsules was noticed. ³³⁹ Fernandes et al presented a novel
678 approach, in which AFM was coupled to a fluorescence microscope allowing to investigate the correlation
679 between release of entrapped molecules and mechanical deformation of individual microcapsule. ¹⁸⁹ The
680 quantification of release upon mechanical deformation presented in that work is useful for designing
681 microcapsules with optimal mechanical properties. This is particularly relevant for intracellular delivery,
682 pharmaceutical and biomedical applications.



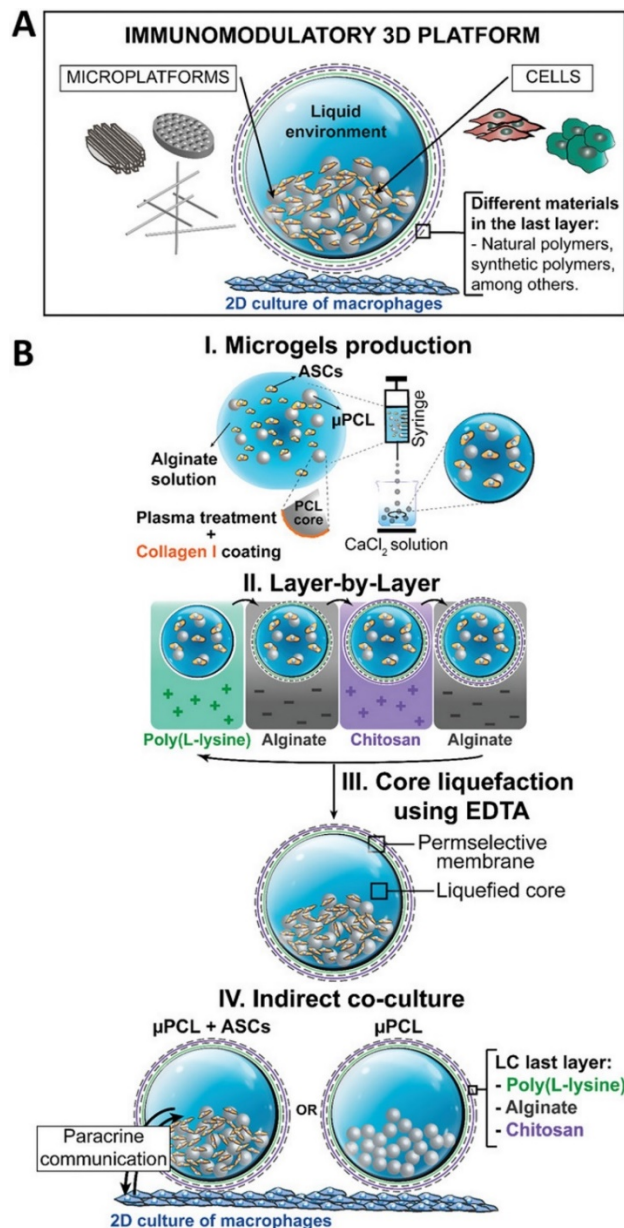
683
684 **Fig. 11** a) Schematics representing the use of capsules made of synthetic polymers as sensors to estimate
685 the force exerted by cells upon intracellular incorporation. This is done by correlating the force applied by
686 the AFM colloidal probe method with successful delivery into cells. b) Fluorescence images of a cell after
687 incorporation of microcapsules shrunk at 55 $^{\circ}\text{C}$ and containing encapsulated Alexa Fluor 555 dextran. The
688 left panel shows the overlay (the left panel) depicting the leakage of Alexa Fluor 555 dextran molecules
689 (the red channel, the middle panel) introduced into intracellular environment (the green channel, the

690 right panel). The scale bars correspond to 30 μm . Modified with permission from ref. ³³⁹. Published with
691 permission of 2010 Wiley.

692 4.1.4. Bioreactors: single- and multi-compartment – towards artificial cells

693 Capsules having the ability to entrap active biomolecules in the large hollow shell have found application
694 in the diverse field as bioreactors, biomimetics and artificial organelles. ^{68,340–342}

695 Conventional bioreactions are carried out in plastic tubes or multi-well plates where they take place and
696 involve pre-treatment steps for samples. Miniaturization of bioreaction in PEM capsules brings the
697 possibility to downsize samples and reagents, Fig. 12. ^{343,344} The shell structure of capsules can also provide
698 a protective barrier to prevent other irrelevant molecules entering and interfering with the bioreaction.

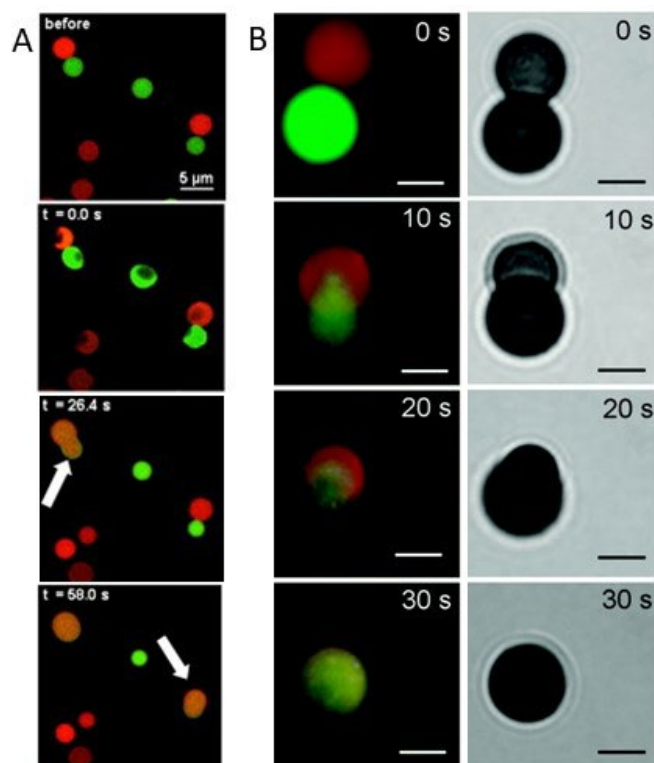


699

700 **Fig. 12** A) Immunomodulatory miniaturized 3D platform using liquefied capsules for the in vitro high-
 701 throughput combinatorial screening of different biomaterials, cells, and bioinstructive microplatforms. B)
 702 Production and culture of the liquefied capsules: (I) Microgels are obtained by the ionotropic gelation of
 703 alginate containing adipose-derived mesenchymal stem cells (ASCs) and surface functionalized poly(ϵ -
 704 caprolactone) microparticles (μ PCL) in calcium chloride (CaCl_2) solution. (II) Then, to produce a
 705 permselective nano-layered membrane, the layer-by-layer technique is performed using three different
 706 polyelectrolytes, namely poly(L-lysine) (PLL), alginate (ALG), and chitosan (CHT). (III) The liquefied core is
 707 obtained by chelation with ethylenediaminetetraacetic acid (EDTA). (IV) Three different encapsulation
 708 systems were developed, each one ending with a different polyelectrolyte, namely PLL, ALG, or CHT.
 709 Ultimately, the different immunomodulatory 3D platforms with or without cells are added on top of 2D
 710 culture of macrophages. Reprinted with permission from ref. ³⁴⁴. Copyright 2021 Wiley.

711 In addition, the formation of microcapsules to carry out enzyme catalyzed reactions is another emerging
 712 application area. ^{345–347} Often ultrasound triggered destruction of polyelectrolyte capsules could be used
 713 for catalyzing reactions. Our previous work demonstrated capsule suspension which shell composite
 714 embedded silver nanoparticles after short sonic exposure can catalyze the reduction of 4-nitrophenol (4-
 715 NP) to 4-aminophenol (4-AP) by sodium borohydride (NaBH_4). ³⁴⁸ Tseng et al. designed silver/titania
 716 (Ag/TiO_2) composite microcapsules which TiO_2 shell avoided the encapsulated silver nanoparticles from
 717 breaking away under moderate loading. Consequently, the mesoporous shell served as a channel allowing
 718 embedding of Ag ions, which upon release, can kill bacteria in aqueous solutions. ³⁴⁹

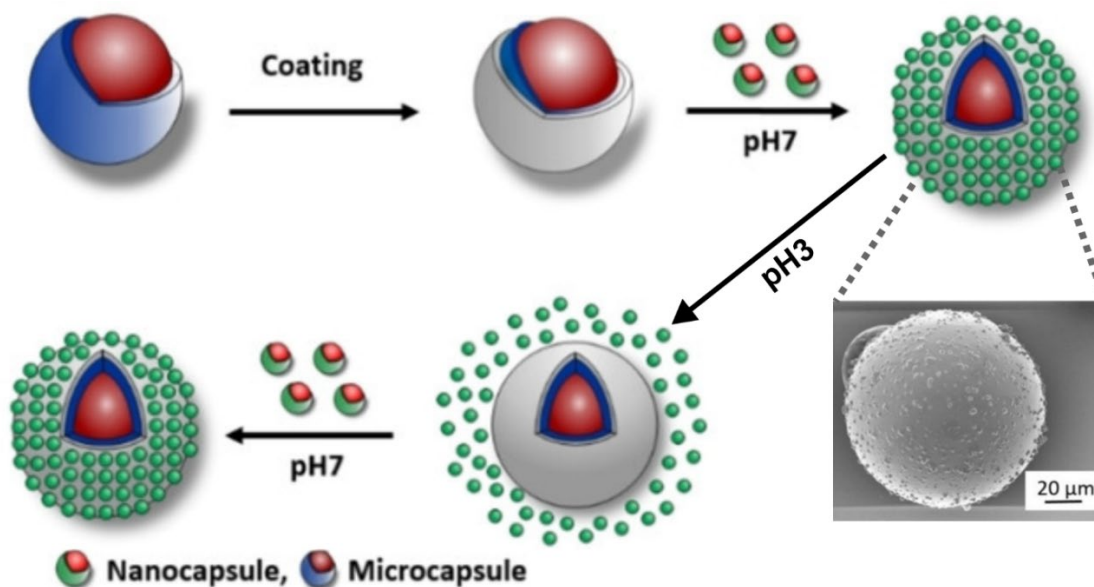
719 Fusion of PEM microcapsules has enormous potential towards biomimetics and bioreactors. The fusion
 720 study on cell-sized microcapsules also provides perspectives for potential applications in gene transfection
 721 and drug transport across multilayers. A fusion of capsules, which has been triggered by salts ³⁵⁰ and pH
 722 ³⁵¹ as well as by laser irradiation ³⁵² can act as a highly effective multifunctional bio/chem reactor, Fig.
 723 13.



725 **Fig. 13** A) A series of fluorescence snapshots of the salt-induced microcapsule fusion. At $t = 0$, a solution
726 of 3 M NaCl was added to a mixture of FITC- and TRITC-dextran-filled (PDADMAC/PSS)₄ microcapsules.
727 Time lapse images showing the fusion process at 26.4 s, and 58 s after adding NaCl solution. B)
728 Fluorescence (left) and bright field (right) images of a series of snapshots of the laser induced
729 (PDADMAC/PSS)₅/AuNP capsule fusion. At $t = 0$, laser light with a power of 30 mW was directed on a
730 mixture of FITC- and TRITC-dextran filled (PDADMAC/PSS)₅/AuNP capsules. Time lapse images showing
731 the fusion process at 10 s, 20 s, and 30 s after laser radiation. Scale bar = 5 μm . Modified with permission
732 from ref. ^{350,352}. Published with permission of 2010 and 2015 The Royal Society of Chemistry.

733 An advanced multicompartment microcapsule system is able of comprising of multiple compartments for
734 integrating multiple functions within a single architectural. Multicompartmentalization is one of the most
735 crucial architectural features and universal organizational principles of capsules. Previously, a few
736 different multicompartment structures have been reported with the advent of the development of new
737 microreactor architectures based on LbL capsules, ^{353,354} and only single enzyme reactions were described.
738 A hierarchical and graded design for the interior space and shell of the microcapsule is common structure
739 for multicompartmental microcapsules. Complex templates, such as satellite nanoparticles and porous
740 microparticles ³⁵⁵, polymersomes ³⁵⁶ or polymer vesicles ³⁵⁷ as a main component, microfluidic method ³⁵⁸
741 and Pickering emulsion polymerization ¹⁴⁵ have been employed to fabricate multicompartment
742 microcapsules. Dual-compartment capsule-in-capsules architecture which can be used for conducting
743 bioreactions and release of cargos in confined spaces were constructed by fabrication of the inner and
744 outer parts of multicompartmental microcapsules. ^{360–363} Emerging microcapsules with multiple separate
745 sub-compartments and independently triggered release functionality under different stimuli, the so-
746 called multicompartmental “smart” microcapsules, have been also proposed. Additionally, the release of
747 diverse payloads can be programmably triggered by preprogramming the order of the stimuli. ³⁶⁴
748 Nanocapsule@microcapsules structure, Fig. 14, was fabricated by absorbing negatively charged
749 nanocapsules onto positively charged melamine-formaldehyde-polyethyleneimine microcapsule surface.
750 ³⁶⁵ This pH-responsive assembling and disassembling of nanocapsule@microcapsules was shown at pH 7
751 and pH 3. Application of microcapsules regarding applications of artificial organelles and cell mimicry was
752 discussed considering light of broader biomedical applications. ³⁶⁶

753 With the inspiration of such a talented structure, multicompartmental microcapsules which mimic the
754 compartmentalized architecture of living cells have received considerable attention towards the artificial
755 cells field. ^{367–369} Various compartments distributing in the capsules can mimic organelles, subcellular
756 structures, that spatially separate cellular processes with an established intercompartment
757 communication network for signal transduction.



758

759 **Fig. 14** Flow chart of multicompartment microcapsule synthesis and pH-responsive disassembly. A novel
 760 coating for microcapsules was applied to change the surface potential from negative to positive.
 761 Nanocapsules with a negative surface charge were added to positively charged multilayered capsule to
 762 form a multicompartment microcapsule structure. Inset SEM images of spherical MF-PEI-coated
 763 microcapsules with some inhomogeneity at the surface. Reproduced, modified, with permission from ref.
 764 ³⁶⁵ Published with permission of 2021 Wiley.

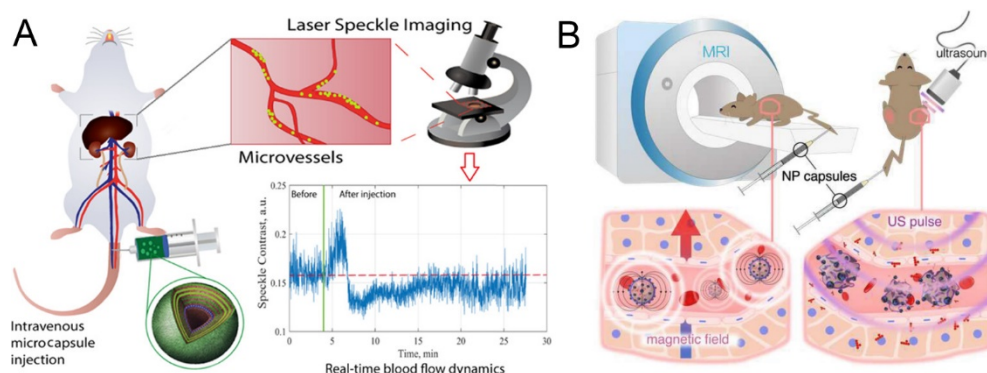
765 4.1.5. Enzyme encapsulation

766 A wide variety of enzymatic reactions have been performed in the PEM capsules. Encapsulation of
 767 enzymes with multilayer capsules allows to preserve their biological activities in various surrounding
 768 conditions, such as exposure to elevated temperature or to proteases, which enable their extensive
 769 application *in vivo* ¹⁸⁷ and *in vitro*. ³⁷⁰ In our previous work, an optimal temperature window for
 770 encapsulation of the enzyme ALP with PDADMAC/PSS shell was found, and it is situated just above the
 771 glass transition temperature of polyelectrolyte PDADMAC/PSS pair. ¹⁷¹ This novel procedure for
 772 temperature-based encapsulation of enzymes was proven to maintain their catalytic bioactivity. Spider
 773 silk protein eADF4(C16) assembled capsules, which are mechanically stable and semi-permeable, was
 774 shown capable of entrapping the enzyme β -galactosidase, thus highlighting broad applicability of such
 775 containers. ³⁷¹

776 4.1.6. Theranostics

777 An unique role of microcapsules in theranostics is that they can co-encapsulate different molecules, i.e.
 778 those serving as sensors and those having therapeutic functionality. ³⁷² Microcapsules, designed with
 779 targeting capability and allowing prolonged release of drugs are of interest. ^{373,374} Sindeeva et al.
 780 investigated the dynamics of blood flow parameters of the mice liver and kidneys after intravenous
 781 administration of magnetic microcapsules which would assist in imaging of damaged tissue areas in clinics,
 782 Fig. 15 A. ³⁷⁵ LBL capsules have been shown to be a suitable vehicle for delivering therapeutics to different
 783 sites, Fig. 15 B. ^{376,377} Another study investigated not only the capsule's bio-distribution and accumulation
 784 in the tumor, but also release of loaded drugs for chemotherapy triggered by irradiation of NIR light. ³⁷⁸
 785 One emerging concept in this field is multicompartment capsules which already promote development of

786 theranostics.³⁷⁹ Multicompartment capsules equipped with different sub-compartment can be triggered by
787 various stimuli for detection and therapy in the same delivery vehicle.

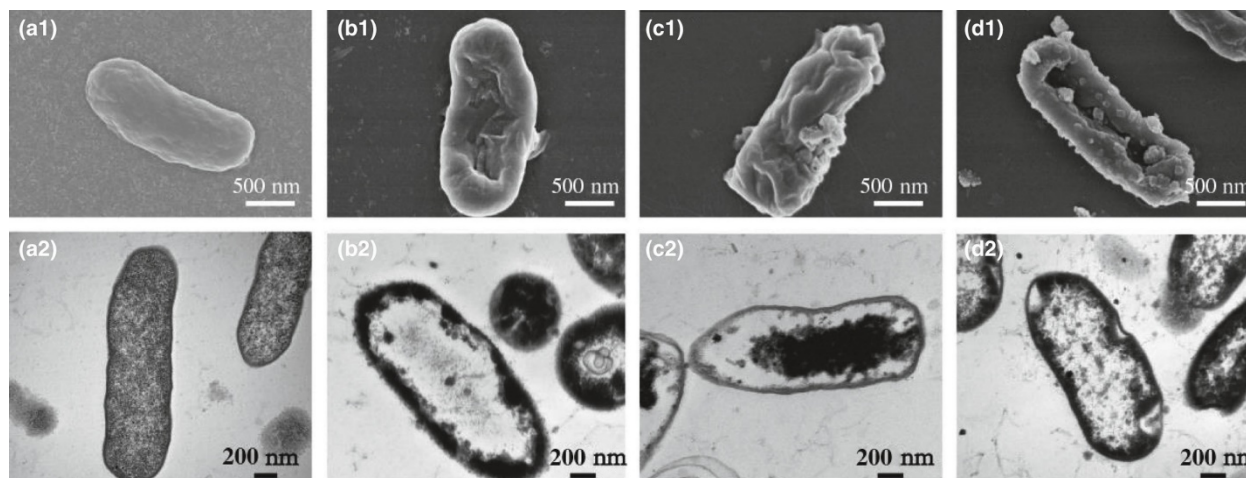


788

789 **Fig. 15** A) Schematic representation of investigating the real-time blood flow changes in vital organs *in*
790 *vivo* after intravenous injection of microcapsules using a laser speckle contrast imaging system. B)
791 Schematic representation of *in vivo* administration of DOX-loaded nanoparticle-modified (NP) capsules:
792 DOX-(TA/PVPON)₆(Fe₂O₃/PVPON)₂. The capsules are capable of both *in vivo* imaging and US-triggered drug
793 delivery: athymic nude female mice were injected with MDA-MB-231 triple negative breast cancer cells
794 and allowed to grow bilateral flank tumors. The mice were injected with 2×10^8 capsules per milliliter and
795 $30 \mu\text{L kg}^{-1}$ Definity microbubbles during simultaneous treatment with 1.0 MHz FUS (750 mVp p⁻¹ 10 ms
796 bursts; 1 Hz repetition rate [1% duty cycle]; 120 s). Reproduced from ref. ^{375,376} with permission of 2020
797 American Chemical Society and 2018 Wiley, respectively.

798 4.1.7. Antibacterial and antimicrobial

799 PEM microcapsule composites can be used as effective antimicrobial agents to suppress the growth of
800 various bacterial strains.^{380–382} Encapsulation of bacterial cells with microcapsules which can offer
801 protection to rhizobacteria against biotic and abiotic soil stresses has been challenged and used mainly in
802 the agricultural industry.³⁸³ In addition, microcapsules enable microbial inoculant large-scale production
803 to be applied in agricultural industry. For example, the ability to synthesize Ag nanoparticle-based
804 composites under gentle conditions shows the additional promise to produce versatile antimicrobial
805 agents.^{384–387} The self-assembly microcapsules of charged biopolymer, diethylaminoethyl-dextran
806 hydrochloride (dex+) and dextran sulfate (dex-), also demonstrated inherent antibacterial capacity.³⁸⁸ The
807 release of active tea polyphenols (TP) and ϵ -poly-L-lysine hydrochloride (ϵ -PL) molecules from the TP/ ϵ -
808 PL composite microcapsules enable improved antibacterial performance than that of single preservatives,
809 Fig. 16.³⁸⁹ The long-term antibacterial properties of microcapsules, including the composite itself and the
810 release of antimicrobial contents, compared to the free antibacterial agent, have potential value for
811 application in the preservation of food and agriculture.³⁹⁰



812
 813 **Fig. 16** The SEM (1) and TEM (2) images of the *Shewanella putrefaciens* before and after treated by
 814 different microcapsules: (a) control, (b) TP microcapsules, (c) ϵ -PL microcapsules, (d) TP/ ϵ -PL
 815 microcapsules. Reprinted with permission from ref. ³⁸⁹. Copyright 2020 Wiley.

816 4.1.8. Tissue engineering

817 The key approach in tissue engineering is to employ artificial scaffolds to treat defect or loss of tissues;
 818 these constructs should be capable of hosting, protecting and releasing bioactive that guide cellular
 819 behavior. Polyelectrolyte multilayer capsules is a straightforward candidate to integrating bioactive into
 820 the scaffolds because of their well-defined nano/microstructures, controllable and scalable synthesis,
 821 excellent stability, non-cytotoxicity, good tissue compatibility, and versatile modification with functional
 822 organic groups. Significant progress has been made for the design of scaffolds for the repair of a variety
 823 of tissues using microcapsule-based materials. ^{391,392} del Mercato et al. ³⁹³, functionalized 3D collagen
 824 porous scaffolds with biodegradable vaterite-templated PARG/dextran sulphate (DS) bio-capsules. It was
 825 reported that the structure, porosity, and other physical features of the microcapsule-based scaffolds
 826 were similar to those of pristine scaffolds. Since the scaffolds composed of PEMCs mainly retained the
 827 original properties of an ideal scaffold for tissue engineering applications, this finding suggested that the
 828 generation of new scaffolds with controlled bioactive delivery and protection has been achieved via
 829 capsule integration which indicates that such methodology may be easily and cheaply implemented.
 830 Recently, Mano et al. ³⁹⁴ fabricated biomimetic bone niche utilizing liquefied microcapsules which enable
 831 successful development of viable microtissues, ensuring the high diffusion of bioactive factors.
 832 Furthermore, the incorporation of macrophages within the fabricated microcapsules allows to recreate
 833 an appropriate bone microenvironment for developing new bone mineralized microtissues. This method
 834 could inspire a broader use of immune cells as a pro-regenerative component of implanted 3D tissue
 835 constructs.

836 4.1.9. *In vivo* and preclinical studies

837 To meet the need for clinical translation, there is an increased demand for more precisely controlled
 838 release technology. ³⁹⁵ As cell microencapsulation and delivery has been successfully applied by
 839 microcapsules and demonstrated great success in regenerative cell therapy, microcapsules is a promising
 840 approach to conceal cells from the host's immune system in cell-based therapy. ^{396,397} The approach
 841 presented in this work opens perspectives for preclinical studies of tissue and organ repair, accelerate
 842 their clinical translation. Muslimov et al. developed biocompatible polymers [tannic acid-human serum
 843 albumin (TA/HSA)] microcapsules on Actinium-225 (²²⁵Ac) radiolabeled core, which improved the

844 efficiency of local α -radionuclide therapy in melanoma models.³⁹⁸ By selecting biocompatible
845 polyelectrolytes such as dextran, dextran sulfate, collagen I and fibrin, the translation into *in vivo* and
846 clinical setting is becoming more probable.³⁹⁹

847 4.2. Food and packaging

848 Microcapsules allow protecting the functional ingredients used to regulate color, flavor⁴⁰⁰ or texture of
849 the final food product.^{401–403} As well as protection of functional ingredients, controlled release of bioactive
850 ingredients, such as vitamin,^{404,405} essential oils (EOs) and anthocyanins (ANs)^{406,407}, which have been
851 encapsulated to preserve their stability during food processing and storage can avoid undesired
852 interactions between other ingredients present in the food matrix and improve the effectiveness of food
853 additives, broaden the application range of food ingredients, and ensure optimal dosage.⁴⁰⁸ Ingredients
854 generally Recognized as Safe (GRAS)¹²⁷ by the U.S. Food and Drug Administration (FDA) can be used.
855 Furthermore, microcapsules can enhance physico-chemical properties of food ingredients to allow an
856 easier packaging and handling.

857 Nano/microcapsules which function continuously during the processing, storage, and distribution of meat
858 products has been discussed recently.⁴⁰⁹ Abbaspourrad and coworkers⁴⁰⁶ fabricated chondroitin sulfate
859 (CHS)/chitosan (CS) microcapsules via layer-by-layer assembly (LBL) as carriers for co-pigmented ANs. Co-
860 pigmentation with CHS of moderate concentration increased the encapsulation efficiency of anthocyanin,
861 favored anthocyanin retention in the microcapsule and improved the prolonged release of anthocyanin
862 in a simulated gastrointestinal environment. These results strongly support the combined use of
863 polyelectrolyte microcapsules and co-pigmentation techniques for the development of novel systems
864 targeting the stabilization and controlled release of bioactive ingredients. EOs from virous fruit and plants
865 loaded in polymer-based delivery microcapsule has potential application and developmental value
866 prospects in food industries.^{410–412} Jian et al.⁴¹³ encapsulated orange EOs with biopolymers, soybean
867 protein isolate and Arabic gum, in the optimum ratio 1:1 and pH 4 to carry out complex coacervation.
868 Flavor components were well retained without loses of limonene in these spherical without holes on the
869 surface microcapsules. Similar work was done by Wang et al.⁴¹⁴ to employ the complex coacervation of
870 ginger EOs using gelatin and sodium alginate as wall material for ginger EOs microencapsulation. Ginger
871 EOs encapsulated into the microcapsules exhibited higher thermal stability than the neat ginger EOs,
872 gelatin, and sodium alginate, which indicated that the release of ginger EOs from microcapsules was much
873 higher in simulated intestinal fluid, compared with that in simulated-gastric fluid.

874 All of these attributes used to provide protection against degradation, volatilization or undesirable
875 interactions with other compounds, controlled release or mask some unpleasant effects are also
876 fundamental when developing active packaging systems through the incorporation of active compounds
877 in the matrix.⁴¹⁵ Microcapsule-based systems have been developed in recent years for the diverse areas
878 to improve packaging performance mainly focusing on the modification of barrier properties in the food
879 packaging field. Vieira and coworkers found carvacrol microcapsules (CMF) with higher values of thickness
880 (0.41 ± 0.04 mm), moisture content (13 ± 1 g water/100 g film), opacity ($20 \pm 1\%$), water vapor
881 permeability (WVP) (4.4 ± 0.4) $\times 10^{-10}$ gPa⁻¹s⁻¹m⁻¹, oxygen permeability (O₂P) (1.3 ± 0.3) $\times 10^{-12}$ gPa⁻¹s⁻¹m⁻¹
882 and carbon dioxide permeability (CO₂P) (1.3 ± 0.3) $\times 10^{-12}$ gPa⁻¹s⁻¹m⁻¹ as compared to those of the chitosan
883 control film can increases the shelf-life of refrigerated salmon to 4–7 days of storage.⁴¹⁶ Andersson et al.
884 developed a self-healing capsule with a biopolymer shell of ethylcellulose for treating the surface of
885 paperboard. The treated paper presents a reduced tendency for deteriorated barrier properties and local
886 termination of cracks formed upon creasing.⁴¹⁷ Microcapsules are allowing the establishment of new
887 concepts for packages, such as intelligent and active packages but it still limited for packaging applications.

888 4.3. Perfume, fragrance, and cosmetics

889 As well as food field, the use of EOs is a very promising topic for perfume, fragrance, and cosmeceutical
890 industries. ^{418–420} Since most of the fragrances or aroma compounds, ^{421–423} including esters, terpenes,
891 aldehydes, and alcohols, are volatile compounds, ⁴²⁴ effective preservation and controlled release of
892 fragrance with appropriate substrate material is essential in practical application.⁴²⁵ Polymeric
893 microcapsules, indeed, resulted in being effective at overcoming the main concerns related to volatile
894 compound preservation, delivery as well as release, and several industrial products contain fragrances in
895 an encapsulated form for the final usage of customers. ⁴²⁶ Controlled Release of fragrance can be also
896 triggered by both pH ⁴²⁷ and thermal ⁴²⁰ change. Microcapsules can improve the shelf life and the delivery
897 of highly volatile fragrances, with a gradual release of the encapsulated functional ingredient. ⁴²⁸

898 Sansukcharearnpon et al. ⁴²⁹ encapsulated six fragrances: camphor, citronellal, eucalyptol, limonene,
899 menthol and 4-*tert*-butylcyclohexyl acetate using the solvent displacement method (ethanol displaced by
900 water) and a polymer blends of ethyl cellulose, hydroxypropyl methylcellulose and poly(vinyl alcohol) as
901 polymeric carriers. Limonene showed the fastest release with essentially no retention by the
902 nanoparticles, while eucalyptol and menthol showed the slowest release. Recently, Herrmann et al. ⁴³⁰
903 developed a model physical fragrance carrier based on either poly(N-(2-hydroxypropyl)methacrylamide)
904 (PHPMA) copolymers as a representative for polymeric profragrances or polyurethane/polyurea-type
905 core–shell microcapsules which modified with phage-display-identified peptides that can bind to human
906 hair under shampooing conditions. Such peptide-functionalized (10 wt%) polyurethane/polyurea-type
907 core–shell microcapsules containing a model perfume resulted in an approximately 20-fold enhancement
908 of deposition onto human hair compared to the fragrance microcapsules without peptide. This work
909 tackled the challenge of the deposition of fragrance delivery systems onto human hair from a shampoo
910 formulation.

911 Durable fragrances are still one of the main attractions in the cosmetics. Microcapsules can promote
912 cosmetic base products by introducing novel functional properties and bringing in added value, which
913 would open new avenues for the exploitation of novel compounds including phenolic extracts in cosmetic
914 industry applications. ⁴³¹ Because of the slow and sustained release of fragrances, encapsulation of
915 molecules in microcapsules can represent a revolutionary contribution to some fields such as the future
916 of toiletries, body deodorant products, and in washing and cleaning sectors. ⁴³²

917 4.4. Specialty applications

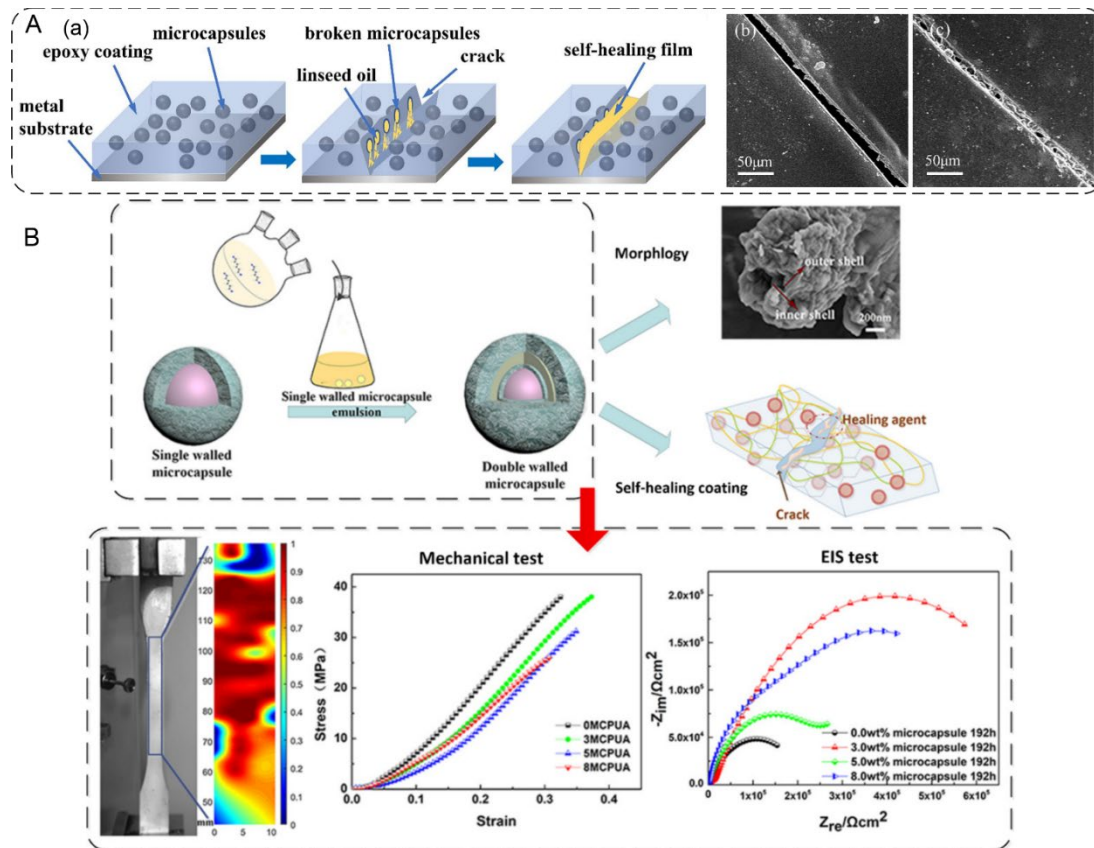
918 4.4.1. Protective coatings, corrosion, and self-healing

919 Advances in the control over the dimensions and properties of microcapsules enabled to enlarge their use
920 beyond that as microcarriers. By adjusting the crosslinking strategies, the functionalities of the capsules
921 can be further tailored. Hollow polymer microcapsules are not only applicable to a range of fundamental
922 and applied hollow structure “containers” but also to the fabrication of surface coatings consisting of
923 networks of interconnected assemblies of these hollow polymer structures. Fabrication of hollow and
924 semipermeable capsule assemblies on sacrificial substrates permitted triggered delamination and
925 subsequent transfer of these coatings to secondary surfaces such as glass or other colloidal substrates
926 where exceptionally anticorrosive performance is required. Potential applications in catalysis and
927 controlled release could be achieved. ⁴³³

928 Excellent mechanical strength of the wall of microcapsules ensures possible protection for vulnerable
929 objectives such as probiotic bacteria. Microencapsulation is recognized as one effective way to enhance

930 probiotic bacteria survival.⁴³⁴ In order to improve the bioavailability of probiotic bacteria, encapsulation
 931 of the micro-organism in matrix has been investigated by many researchers.^{435,436} Encapsulation of
 932 probiotic microorganisms into polymer matrices reduce cell death during gastric passage.⁴³⁷ The
 933 increasing numbers of polyelectrolyte layers effect on both the survival and controlled release of the
 934 probiotic bacteria, after encapsulation in a multilayer shell.⁴³⁸ LbL microcapsules is an effective method
 935 to enhance the efficacy of probiotics by protecting them from the low pH of the stomach via oral
 936 administration.⁴³⁹ And the range of applications of microcapsules in the coatings has only been extending.
 937⁴⁴⁰

938 In addition to mechanical protection provided by microcapsules, protection against corrosion (Fig. 17 A)
 939 is another useful property provided by the microcapsule shell.⁴⁴¹⁻⁴⁴³ Organic coatings are widely used in
 940 the corrosion protection of substrates such as metals and concrete. Microcapsules can effectively enhance
 941 anticorrosion performance of the coating. Graphene oxide (GO)-modified double-walled polyurea
 942 microcapsules (Fig. 17 b) functioned as an excellent barrier providing anticorrosive properties which have
 943 been proven to be useful in different fields where exceptionally anticorrosive performance is required.⁴⁴⁴
 944 White and coworkers⁴⁴⁵ reported novel capsules incorporating an embedded healing agent that is
 945 released upon crack intrusion. Inspired by this seminal work, diverse materials such as bulk polymers,
 946 even cement,^{446,447} and asphalt²²¹ have been functionalized with capsules most commonly to impart self-
 947 healing properties to them. Generally, reagent was loaded into capsules and subsequently involved in
 948 polymerization reactions responsible for the self-healing of materials when microcapsules break. Despite
 949 such progress, there are still significant challenges involved in the fabrication of capsules imparted
 950 functional bulk materials that go beyond self-healing properties.⁴⁴⁸



952 **Fig. 17** A: Self-healing and anti-corrosion mechanism of epoxy coating containing microcapsules (a)
953 schematic diagram of self-healing process (b) scratched crack area for pure epoxy coating (c) healed crack
954 area for self-healing epoxy coating containing 10 wt% microcapsules. B: Schematic representation of the
955 preparation process of GO modified double-walled microcapsules and anticorrosive performance of self-
956 healing coating. Reproduced from ref. ^{442,444} with permission of 2022 and 2020 Elsevier, respectively.

957 4.4.2. Textile

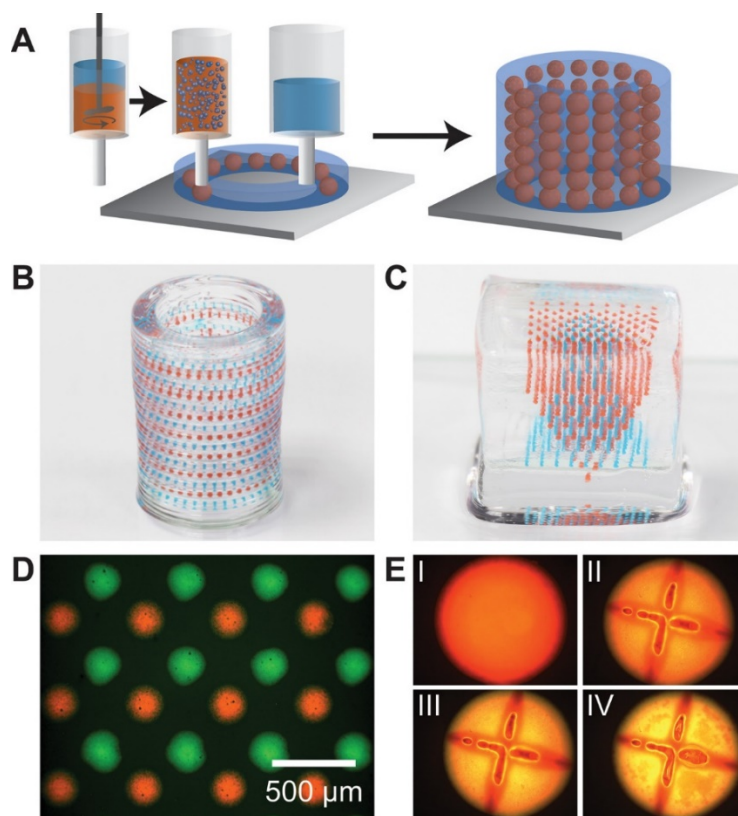
958 The application of microcapsules in textiles follows the current interest of industries in functionalization
959 technologies that give different properties to textile products, such as aroma finish, ⁴⁴⁹ insect repellency,
960 ⁴⁵⁰ antimicrobial activity, ⁴⁵¹ and thermal comfort. ^{452,453} Very recently, Yang and coworkers ⁴⁵⁴ craft zein-
961 based hybrid microcapsule coated with TiO₂ in the outer shell by interfacial condensation and anti-solvent
962 precipitation approach. Sustained release of *artemisia argyis* essence (merely 9% in 9 h) and superior self-
963 cleaning performance allowed these microcapsules using in various textile fields, such as leather finishes,
964 textile printing and so on.

965 4.4.3. Propulsion: anisotropic and Janus capsules

966 The development of microcapsule-based autonomous artificial micro/ nanomotors has gained
967 considerable attention because of their potential in various application filed. ^{455–457} These tiny “engines”
968 can be powered by various forms of energy from chemical reaction and physical triggers including, light,
969 heat, electric, ultrasound or magnetic fields. ^{458,459} He and coworkers ⁴⁶⁰ fabricated a fuel-free, near-
970 infrared (NIR)-driven Janus microcapsule motor with a maximum speed of 42 μm·s⁻¹ in water via template-
971 assisted polyelectrolyte layer-by-layer assembly, followed by spraying of a gold layer on one side. Xing et
972 al. ⁴⁶¹ constructed hollow mesoporous carbon nanocarrier which has a high loading efficiency (1370 mg/g)
973 of doxorubicin drug can also achieve the enhanced motion powered by introducing the NIR-light
974 irradiation due to the local thermophoresis from the upward temperature of HMCNs as well as introducing
975 H₂O₂ that drives by the asymmetric decomposition. Such NIR-propelled Janus microcapsule motors and
976 other autonomous motors can move efficiently in cell culture medium and have no obvious effects on the
977 cell, broaden considerable applications for future biomedical and energy field.

978 4.4.4. Arrays with microcapsules

979 Sukhorukov et al. have used microcapsules but arranged them in arrays, in such an arrangement, one can
980 selectively activate individual cells of arrays initiating various reactions. ⁴⁶² Other applications and
981 preparation of the microcapsule arrays based on composite capsule was discussed by Sergeeva and co-
982 workers. ⁴⁶³ Further capsule-based array applications have been realized recently. 3D printed stimuli-
983 responsive capsules for programmable release of payload represent a powerful new pattern tool to
984 enable spatiotemporal control over biomolecular gradients. 3D multiplexed arrays of enzyme-loaded
985 capsule shell loaded with plasmonic gold nanorods can realize the precise control over space, time, and
986 selectivity triggered by laser (Fig. 18). ⁴⁶⁴ Another fully printed capsule-based arrays fabricating odor
987 molecules-containing carried out programmable release of more than 20 spices of geranium. ⁴⁶⁵



988

989 **Fig. 18** 3D printing of hierarchically multiplexed capsule arrays. (A) Schematic illustrating an emulsion ink-
 990 based method to 3D print complex capsule arrays. The emulsion ink is prepared by directly dispersing the
 991 aqueous core in the PLGA solution. The hydrogel and emulsion inks are sequentially printed in a layer-by-
 992 layer manner to form a 3D structure. (B, C) Optical images of 3D multiplexed capsule arrays directly
 993 printed in cylindrical and square hydrogel matrices, respectively (colors of the capsules are from food dyes
 994 in the dispersed cores). (D) Fluorescent optical image of a single layer of a multiplexed emulsion-based
 995 capsule array. (E) Fluorescent optical images showing rupture and release of fluorescein dye
 996 (poly(fluorescein isothiocyanate allylamine hydrochloride)) from an emulsion capsule with Nile red
 997 stained PLGA (I: before laser rupture; II, III, IV: 15 min, 1 h, and 2 h after laser rupture; diameter of the
 998 capsule: $\sim 300 \mu\text{m}$). Reprinted with permission from ref. ⁴⁶⁴. Published with permission of 2015 American
 999 Chemical Society.

1000 4.4.5. SERS sensors

1001 Adsorption of noble metal (gold, silver, *et al.*) nanoparticles in the interior or on the surface of PEM
 1002 capsules allow achieving surface-enhanced Raman scattering (SERS) sensing. It should be noted that both
 1003 the design of assembly of amplifying nanoparticles and surface chemistry control are essential for SERS
 1004 sensing. ⁴⁶⁶ SERS-based PEM capsules have been applied in detection of module molecules, pH, bacteria
 1005 and even miRNA. ⁴⁶⁷⁻⁴⁷²

1006 5. Conclusions and future directions

1007 In conclusion, polyelectrolyte multilayer micro- and nano-capsules are continuing to draw essential
 1008 interest by the research community. Having undergone from their discovery, through the stage of
 1009 developing encapsulation and release methods, they are now entering the mainstream of very diverse

1010 applications. Initially, knowledge has been taken from flat-LbL films, but an essential difference of
1011 polyelectrolyte multilayer capsules is that polyelectrolytes are not connected to any substrate, because
1012 the spherical substrate (template) is removed in the process of preparation of capsules. That enabled to
1013 study the mobility of polyelectrolytes, the influence of physico-chemical methods on their fabrication,
1014 which resulted in developing and discovering essential applications – for example, fusion of capsules.

1015 Properties of polyelectrolyte multilayer capsules have been extensively studied and the first decade of
1016 research on capsules has been dedicated to development of essential encapsulation and release
1017 methods.⁴⁷³ Due to involvement and contributions of researchers from interdisciplinary fields, very
1018 diverse and quite complementary methods have been developed for both encapsulation and release:
1019 chemical (pH, salts), physical (light, heat, ultrasound, mechanical), and biological (enzymes, targeting). It
1020 is therefore not surprising that after basic understanding of their properties and development of essential
1021 encapsulation and release methods, the past decade has seen broadening of application areas. And a
1022 range of application is continuing to grow, where biomedical applications represent the highest
1023 application area. In this area, cells, microorganisms, and other *in vivo* applications have been already
1024 developed. These areas are summarized in this review, where the largest is biomedical applications. And
1025 in the area of biomedical applications, pre-clinical trials have been recently reported, which ultimately
1026 brings the whole field to a state which is relevant for clinics and biomedicine in general. Capsules would
1027 be useful for building novel nanoarchitectonics applications.⁴⁷⁴ It should be also noted that for applying
1028 capsules in research and industry, high-throughput methods of their fabrication are needed, but essential
1029 steps have been already proposed.^{475–482}

1030 This review, on the one hand, provides summary of properties, particularly encapsulation in and release
1031 from capsules, describes their components and composition, which should be useful for both researchers
1032 who used and applied capsules in their applications and new incoming groups, which could benefit from
1033 knowing such properties and thinking of developing their own research lines. On the other hand, it should
1034 allow researchers to extend the existing application range and expand into new application areas. An
1035 outlook of future development can be seen in Figure 6, where radial arrows and their thickness show
1036 anticipated future trends of developments of these areas. Regarding new application areas, these are
1037 expected to be further extended, but it is expected that collaboration with new groups (for example, in
1038 biomedicine, food science, *etc*) should be particularly fruitful here. Many of these new applications can
1039 be of interest for industrial transfer, and in regard, scale-up production capabilities will be essential.

1040 One can see thus that polyelectrolyte multilayer capsules have become an indispensable part of materials
1041 research and biosciences; capsules can be used to solve many problems and develop new and emerging
1042 applications of nanomaterials.⁴⁸³ Following the above-outlined possibilities for applying PEM capsules to
1043 diverse fields, several challenges still remain. Further research is needed for: development of
1044 biocompatible and biodegradable polymers, particularly those with a positive charge; controlling
1045 monodispersity and sizes of templates; preventing aggregation of templates and capsules particularly in
1046 the small size-range; automation of the preparation of PEM capsules; and more detailed understanding
1047 of the LbL assembly at the nanoscale,⁴⁸⁴ where essential efforts have been put by the founder of this area
1048 Prof. Helmuth Möhwald.⁴⁸⁵

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