

1 **The epithelial–mesenchymal plasticity landscape: principles of design and**
2 **mechanism of regulation**

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12

13 **Abstract**

14 Epithelial–mesenchymal plasticity (EMP) enables cells to interconvert between
15 several states across the epithelial–mesenchymal landscape, thereby acquiring hybrid
16 epithelial/mesenchymal phenotypic features. This plasticity is crucial for embryonic
17 development and wound healing, but also underlies the acquisition of several
18 malignant traits during cancer progression. Recent research using systems biology
19 and single-cell profiling methods has provided novel insights into the main forces that
20 shape EMP, which include the microenvironment, lineage specification and cell
21 identity, and the genome. Additionally, key roles have emerged for hysteresis (cell
22 memory) and cellular noise, which can drive stochastic transitions between cell states.
23 Here, we review these forces and the distinct but interwoven layers of regulatory
24 control that stabilize EMP states or facilitate epithelial–mesenchymal transitions
25 (EMTs) and discuss the therapeutic potential of manipulating the EMP landscape.

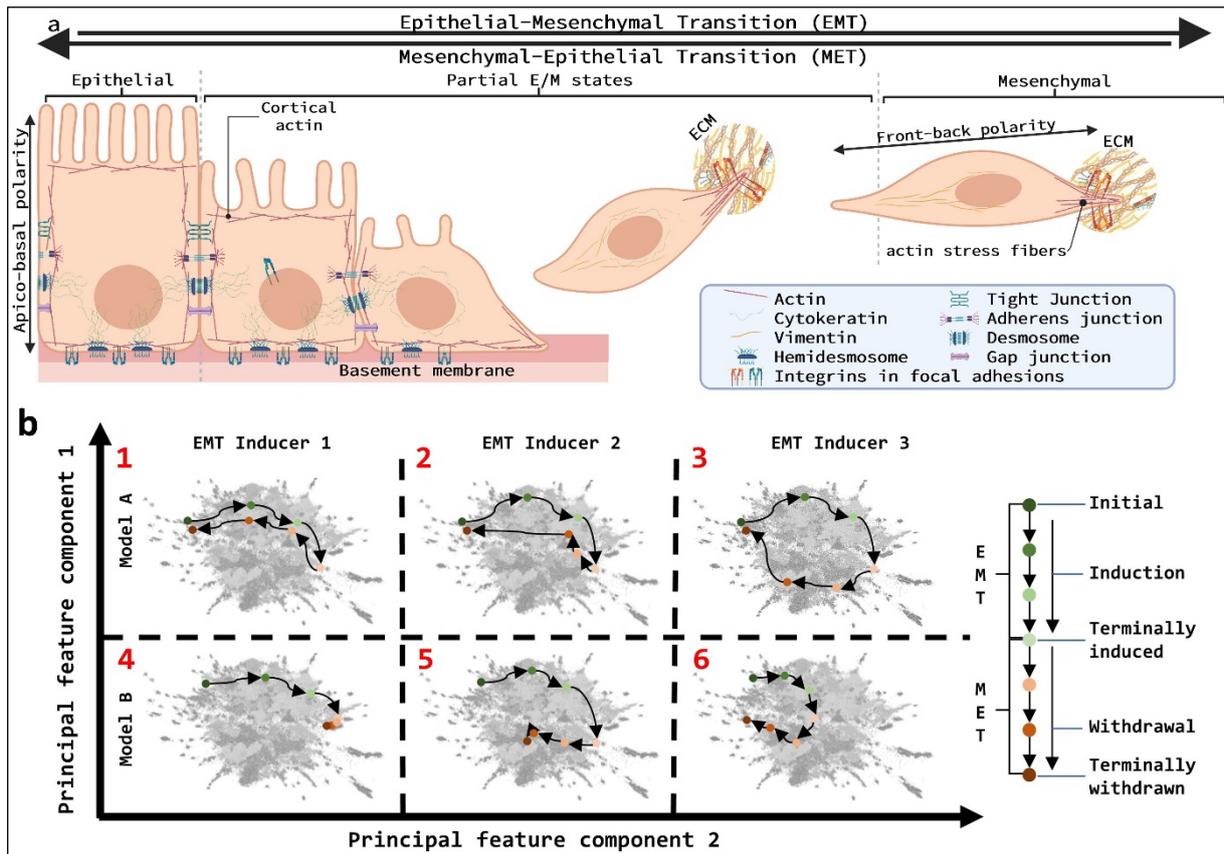
26 **Introduction**

27 Throughout the development of multicellular organisms, each cell lineage increasingly
28 sacrifices its differentiation potential for the actuality of a specific role — a specific set
29 of functions supported by a stable cell identity. During this development, each cell fate
30 decision adds additional constraints, locking cells into distinct lineage trajectories.
31 Famously, this process was represented visually by Waddington as a ball rolling down
32 a rugged landscape until, ultimately, coming to a halt in one of the lower valleys¹.
33 Under homeostatic conditions, most mature cell types remain locked in this stable and
34 mature ‘attractor’ state. However, in response to microenvironmental stimuli or
35 pathology, some cell types can regain plasticity within the confines of their identity. For
36 example, many epithelial cells continue to inhabit a limited ‘landscape of plasticity’
37 consisting of multiple metastable and interconvertible phenotypic states²⁻⁴.

38 Epithelial–mesenchymal transition (EMT) describes a shift from an epithelial to a
39 mesenchymal cellular phenotype (Fig. 1). Typical epithelial characteristics, such as an
40 apical–basal polarity, attachment to the basement membrane, and strong cell–cell
41 adhesion, result in immobile cells, stably anchored in tightly linked epithelial sheets,
42 which are well-suited to act as barriers against the external or internal environment.
43 During an EMT programme, the firm epithelial cell–cell adhesion is lost, matrix
44 interactions are modulated, and the apical–basal polarity is exchanged for a front–
45 back polarity. As a result, individualized and migratory mesenchymal-like cells, which
46 often display invasive capacities, emerge from the epithelial sheets (Fig. 1a). These
47 mesenchymal-like cells can reclaim their epithelial phenotype by undergoing a
48 mesenchymal–epithelial transition (MET)²⁻⁴.

49 EMT does not refer to a single process but, rather, encompasses a set of
50 considerably different biological processes with overlapping characteristics (Fig. 1b).
51 EMT was initially described in embryology^{5,6}; the many morphogenetic movements
52 during early embryonic development (type 1), whereby cells often undergo
53 consecutive rounds of EMT and MET, are considered the motor of gastrulation and
54 organogenesis⁶. Soon after, similar morphological and molecular changes were
55 described in adult life, where EMT not only plays important roles in wound healing,
56 tissue regeneration and the pathogenesis of organ fibrosis (type 2), but also
57 contributes to cancer progression (type 3)^{2-4,7}.

58



59

60 **Fig. 1|Epithelial cells inhabit a landscape of plasticity**

61 **a|** Epithelial cells mechanically integrate through adhesive interactions mediated by tight junctions, adherens junctions, desmosomes, and gap junctions. Furthermore, they attach to the basement membrane with hemidesmosomes and focal adhesions. As a result, epithelial cells are static, non-invasive, and arranged in tightly linked cell sheets. During epithelial-mesenchymal transition (EMT), epithelial markers such as cell-cell adhesion molecules and cytokeratins are downregulated, whereas mesenchymal markers such as N-cadherin, vimentin and fibronectin are upregulated. EMT is also coupled to a downregulation of epithelial integrins (for example, $\alpha 6 \beta 4$) and upregulation of mesenchymal integrins (for example, $\alpha 5 \beta 1$, $\alpha 1 \beta 1$, $\alpha 2 \beta 1$, $\alpha v \beta 3$, and $\alpha v \beta 6$)³⁵⁰. Through incomplete EMT processes, cells may inhabit intermediate states. Such partial EMT (pEMT) or hybrid EMT states simultaneously display epithelial and mesenchymal (E/M) properties. Following EMT, the resulting mesenchymal cells show front-back polarity and a cytoskeleton containing actin stress fibres. These cells show weak (or no) cell-cell adhesion, and they adhere to the extracellular matrix (ECM) through integrin-based focal adhesions. Consequently, EMT results in individual, motile cells with fibroblast-like morphology and invasive capacity^{2-4,8}. **b|** Epithelial-mesenchymal plasticity (EMP) varies highly between contexts⁹, being dependent on the system studied as well as the applied EMT driver. The diversity of EMP programmes implies countless EMP states, and argues against the often presented, one-dimensional, linear conception of the EMP spectrum (as in p a)¹⁰. Therefore, the EMP landscape is visualized here as a feature space and several potential EMT and mesenchymal-epithelial transition (MET) trajectories are plotted. Terminal states represent states in which cells remain indefinitely during continuous, intense exposure to, or long-term, complete withdrawal of, an EMT driver. Intermediate induction states can be thought of as partial responses to the stimulus as a result of the stimulus being applied only recently (time-course analysis) or at lower intensity (dose-response analysis), and vice versa for intermediate withdrawal stages. EMT and MET were classically described as symmetrical processes, the MET trajectory being the reverse of the EMT trajectory (panel 1). However, considering phenomena such as cell memory, MET may display distinct kinetics (panel 2) and/or distinct trajectories (panel 3) from EMT. Additionally, an EMT process may be completely (panel 4) or partially (panel 5) irreversible upon withdrawal of the stimulus which evoked it. Lastly, stimuli that evoke EMT in one system, may evoke only partial EMT (panel 6), or no EMT at all, or inhibit EMT, in other systems.

89 EMT and MET were originally considered binary processes: a transit from the
90 epithelial to the mesenchymal phenotype and vice versa. However, recent single-cell
91 RNA^{9,11-18} and protein¹⁸⁻²⁰ profiling has demonstrated that cells can linger in a series
92 of intermediate states along the epithelial–mesenchymal axis²¹. Such partial EMT
93 (pEMT) states simultaneously exhibit epithelial and mesenchymal properties.
94 Depending on the context, cells with hybrid E/M phenotypes can be either firmly
95 locked^{22,23} or free to roam throughout a vast landscape of alternative states — a
96 phenomenon termed epithelial–mesenchymal plasticity (EMP)⁴ (Fig. 1b).

97 This Review gives a concise overview of the general forces shaping the EMP
98 landscape and the mechanisms that govern transitions therein. We First outline five
99 distinct factors that contribute to shaping EMP; we review the well-appreciated roles
100 of the microenvironment, lineage specification, and genetics, and then reflect on the
101 emerging roles of hysteresis (cell memory) and noise-driven stochastic state
102 transitions. Next, we discuss the specific regulatory mechanisms — transcriptional,
103 translational, epigenetic and metabolic rewiring — that either stabilize specific cell
104 states or drive state transitions within the EMP landscape.

105

106 **Shaping the EMP landscape**

107 ***EMP as a response to the local microenvironment***

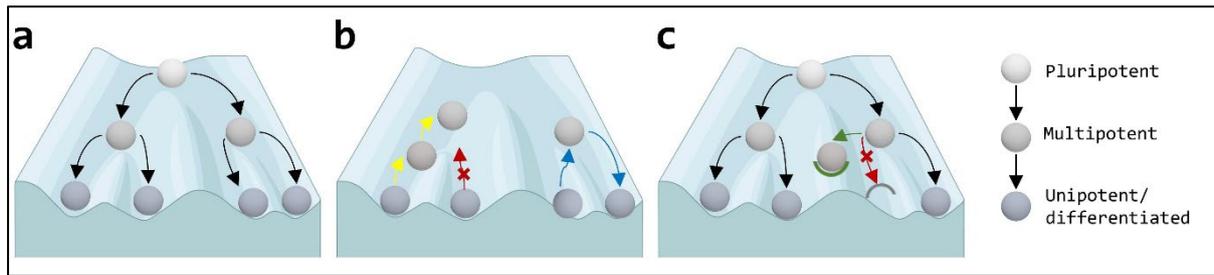
108 EMT is not a global phenomenon but, rather, a focal occurrence³. Each cell is
109 embedded in and shaped by a microenvironment consisting of cellular neighbours,
110 extracellular matrix and cytokine gradients. Only by sensing the changes in the
111 microenvironment will epithelial cells know when and how to initiate EMT. Ligands
112 such as TGF- β ^{24,25}, HGF²⁶, FGF²⁷, EGF, Wnt²⁸ and Notch²⁹, for example, have been
113 shown to induce or enforce EMT. Other microenvironmental parameters such as
114 hypoxia^{30,31}, access to nutrients³²⁻³⁴, shear forces³⁵⁻³⁷ and matrix rigidity^{38,39} also
115 mediate EMP. Importantly, these parameters have mostly been studied under varying
116 artificial *in vitro* conditions with fully transformed and genetically instable cancer cell
117 lines. Nevertheless, these studies have illustrated the drastic influence of the
118 microenvironment in determining the permissiveness of a cell for EMP, or in driving
119 EMP itself. Microenvironmental influences may work synergistically or
120 antagonistically; however, the many intricacies of these interactions remain to be
121 elucidated.

122 An asymmetry arises when comparing the interactions of epithelial versus
123 mesenchymal cells with the microenvironment. Epithelial cells, being held in place by
124 firm adhesive properties, are 'overcome' by their microenvironment. By contrast,
125 migratory mesenchymal cells, coordinated by chemotactic signals, actively seek out a
126 specific environment. Such chemotactic mechanisms are crucial for body plan
127 formation during development but also contribute to organotropism during cancer cell
128 metastasis⁴⁰. Cells in a partial EMT state may combine motility with some stability in
129 their environment by migrating in a cluster-like fashion. In this case, the migratory unit
130 contains a 'travelling microenvironment'. Besides tumour cells, these clusters can
131 contain platelets⁴¹, immune cells⁴²⁻⁴⁵, cancer-associated fibroblasts^{42,46} and
132 extracellular matrix^{42,47}. These clusters can stabilize the partial EMT state by
133 maintaining TGF β signalling⁴². Additionally, clustering can prevent anoikis^{48,49} (a type
134 of programmed cell death that occurs in anchorage-dependent cells upon loss of
135 attachment to the extracellular matrix) and shield tumour cells from immune attacks,
136 shear forces and other external stressors⁵⁰. When it comes to metastasis, clusters are
137 particularly effective at seeding secondary tumours⁵¹⁻⁵³.

138

139 ***Lineage specification and cell identity guide plasticity***

140 Epithelial cell identity dictates the tendency for EMP. Epithelial cell subtypes, although
141 similar in function, differ in shape, size and multicellular organization. Furthermore,
142 these subtypes vary in their transcriptional and chromatin landscapes, as well as their
143 expression of receptors and rate-limiting downstream mediators, all of which can have
144 an impact on EMP. Additionally, functional⁵⁴ and histological⁵⁵ resemblance between
145 cancer cells and developing embryos was recognized over a century ago. It has since
146 been hypothesized that cancer cells acquire malignant traits through reactivation of
147 dormant developmental programmes^{56,57}. As such, EMP can, in many cases, be
148 regarded as the result of fully differentiated, mature cells revisiting previously travelled
149 paths in their developmental landscape⁵⁷⁻⁵⁹.



150

151 **Fig. 2| The developmental landscape guides EMP.**

152 **a|** During development, totipotent progenitors undergo consecutive cell fate decisions resulting in
 153 separate lineage trajectories. This process was visually depicted as a sphere rolling down a rugged
 154 landscape by C.H. Waddington¹. Higher positions on the hill represent increased differentiation potency,
 155 and lower stability. Following a series of bifurcations (cell fate decisions) the cell reaches a stable and
 156 mature attractor state, represented by a valley. The lineage specification process and the cell's identity
 157 continue to impact its plasticity. **b|** Epithelial-mesenchymal plasticity (EMP) can promote
 158 dedifferentiation and endow cells with increased stemness. Several reports give weight to the
 159 perspective that this is the result of cells revisiting previously travelled paths in their developmental
 160 landscape during EMP (yellow arrow)⁶⁰⁻⁶⁶. The distinct developmental landscape of one lineage
 161 compared with another may greatly facilitate (yellow arrow) or obstruct (red arrow with red 'x') EMP⁶⁶.
 162 Following dedifferentiation as a result of EMP, cells may redifferentiate towards another lineage (blue
 163 arrow). In this case, EMP allows cells to travel developmental paths of other lineages. **c|** Genomic
 164 changes may result in an alternative developmental landscape, obstructing access to developmental
 165 states (arrow with red 'x'), and/or stabilizing otherwise unoptimized attractors (green arrow). In this case,
 166 malignant EMP is the result of cells moving through alternative, unoptimized trajectories in
 167 Waddington's landscape. As such, germ-line mutations in EMT-associated transcription factors (EMT-
 168 TFs) result in aberrant embryonic development and somatic mutations acquired during tumour
 169 development may stabilize states with increased metastatic potential²². Similarly, epigenetic silencing
 170 of lineage-specific transcription factors during tumour progression may impede full differentiation.

171

172 Studies in support of such lineage-specific malignant progression have been
 173 accumulating⁶⁰⁻⁶⁶. Metastatic prostate cancer cells show reactivation of a
 174 developmental, ZEB1-dependent epigenomic programme⁶¹. Even within a single
 175 tissue, the cell of origin has a major impact on EMP displayed within a tumour^{65,66}.
 176 Similarly, tumours resulting from KRAS Gly12Asp and p53 mutations in hair follicle
 177 stem cells were shown to be much more prone to display EMP than tumours arising
 178 from the same mutations in the interfollicular epidermis⁶⁶. This difference persisted
 179 when epithelial tumour cells of both compartments were implanted in the same
 180 microenvironment. Subsequent analysis showed that this difference in EMP potential
 181 can be explained by transcriptional and chromatin-level priming in the healthy founder
 182 cells⁶⁶. Additionally, the degree of differentiation affects EMP. In mice, hepatic
 183 progenitor cells transduced with H-Ras and SV40LT gave rise to significantly more
 184 mesenchymal-like tumours compared with hepatoblasts or adult hepatocytes
 185 transduced with the same oncogenes⁶⁵. Complementary, functional loss of lineage-
 186 specific transcription factors, through silencing or mutation, enables dedifferentiation
 187 and promotes EMP. For example, loss GATA3, which is required for luminal epithelial

188 cell commitment⁶⁷, drives EMT in breast⁶⁸⁻⁷¹ and bladder⁷² cancer. Similarly, loss of
189 ELF5⁷³⁻⁷⁵, FOXA^{76,77}, or KLF4^{78,79}, key modulators in cell fate decisions and
190 maintenance, promote EMT across cancers.

191 Similar to classical EMT, the endothelium, a mesoderm-derived, simple epithelium,
192 can undergo an endothelial-to-mesenchymal transition (EndoMT). Here,
193 microenvironmental stimulation results in the loss of typical endothelial markers and
194 the acquisition of a mesenchymal or myofibroblastic phenotype⁸⁰. Complete EndoMT
195 has been proposed as an important mechanism in the pathogenesis of fibrotic
196 disorders⁸¹⁻⁸³. Additionally, recent single-cell transcriptomics upon myocardial injury
197 has shown that certain endothelial cell populations may undergo a partial EndoMT
198 before reverting to their original phenotype post infarction⁸⁴. Such a transient, partial
199 EndoMT facilitates regeneration of the vascular network, and the morphological
200 changes that endothelial ‘tip’ cells undergo during sprouting angiogenesis to form
201 novel vessels are similar to those displayed during ‘classical’ embryonic EMT. In
202 prostate cancer, tumour-associated endothelial cells can drive osteoblastic bone
203 metastasis through an endothelial-to-osteoblast transition⁸⁵, which constitutes a
204 specific type of EndoMT. Similarly, endothelial-to-osteoblast, as well as endothelial-
205 to-chondrocyte transitions have been proposed to drive fibrodysplasia ossificans
206 progressiva⁸⁶, a rare genetic disorder caused by mutations in the ACVR1 gene that is
207 characterized by ossification of skeletal muscle and connective tissue⁸⁶. Importantly,
208 although the phenotypic changes and regulatory mechanisms between EndoMT and
209 EMT seem similar, the downstream genes controlling cell–cell adhesion, cytoskeletal
210 organization, extracellular matrix depositions and chemotaxis vary drastically
211 dependent on the epithelial cell identity.

212

213 ***The genome limits the phenome***

214 The plastic and reversible nature of EMP processes immediately denies that epithelial
215 to mesenchymal shifts are directed by genetic changes. Rather, these shifts are
216 governed by the epigenetic, (post-)transcriptional and (post-)translational
217 machineries⁸⁷. However, phenotypic plasticity ultimately remains constrained within
218 the possibilities of the genotype. Several examples illustrate how somatic or germline
219 mutations affect EMT in health and disease.

220 During embryogenesis, EMT-associated transcription factors (EMT-TFs) conduct
221 a well-orchestrated harmony of morphogenetic movement. As EMT is essential for

222 development, mutations that affect the functionality of core EMT networks result in
223 drastic developmental disorders⁸⁸; for example, mutations in the core EMT-TFs ZEB2,
224 SNAI2 (also known as SLUG) and TWIST1 can cause Waardenburg syndrome⁸⁹,
225 Mowat–Wilson syndrome⁹⁰ and Saethre–Chotzen syndrome^{91,92}, respectively.

226 During cancer progression, selection of clones with specific mutations and
227 chromosomal aberrations leads to continuous tumour evolution. These genetic
228 alterations conspire with the EMT regulatory mechanism, resulting in the survival of
229 the fittest and most resistant cancer clones. RAS activation has been shown to induce
230 EMT via receptor-mediated upregulation of the EMT-TFs SNAI1⁹³ (also known as
231 SNAIL) and SNAI2⁹⁴ (also known as SLUG). p53 inhibits the oncogenic RAS
232 circuit^{95,96}. As such, loss of p53 and oncogenic Ras synergize during cancer
233 progression to stimulate EMT⁹³⁻⁹⁸. As explained above, mutations in lineage-specific
234 transcription factors can also promote EMP. Additionally, loss of other tumour
235 suppressor genes, for example, *IDH1*^{99,100}, *IDH2*⁹⁹ and *RB1*¹⁰¹, has been shown to
236 promote epithelial plasticity directly or indirectly via the (immune) microenvironment¹⁰².

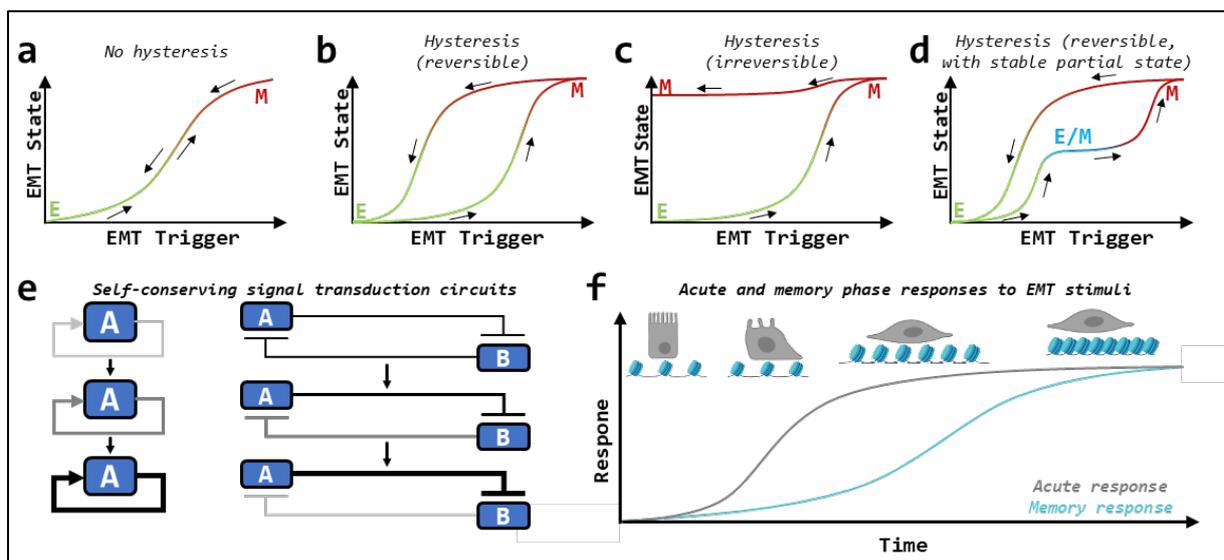
237 Cystic fibrosis exemplifies how genetic perturbations can drive EMP through the
238 microenvironment in adult pathologies. *CFTR* mutations directly drive TWIST1-
239 induced EMT in the airway epithelium¹⁰³. At the same time, the lung environment of
240 patients with cystic fibrosis is characterized by persistent infections¹⁰⁴, chronic
241 inflammation¹⁰⁵, hypoxia¹⁰⁶ and high levels of TGF- β ¹⁰⁷, which can then further
242 enhance epithelial plasticity¹⁰³. Similarly, tumour mutations can both promote or inhibit
243 immune infiltration¹⁰⁸. In turn, changes in the cellular composition of the tumour micro-
244 environment affects its permissiveness for EMP¹⁰².

245 Additionally, copy-number variations can influence EMP; copy number gain of the
246 EMT-TF gene *ZEB1* has been shown to promote EMP in prostate cancer¹⁰⁹. Notably,
247 loss of function of the protocadherin-encoding *FAT1*, one of the most frequently
248 mutated genes across human cancers, stabilizes a hybrid EMT state that contributes
249 to metastasis²². Whereas the previous section discussed how EMP can be the result
250 of cells revisiting previously traversed paths in their developmental landscape (Fig.
251 2b), this section highlights how mutations can reshape the EMP landscape by
252 facilitating access to unoptimized attractor states in Waddington's landscape (Fig. 2c).

253

254 **Cellular history shapes the EMP landscape through EMT memory**

255 EMT processes are governed by hysteretic control mechanisms¹¹⁰ (Fig. 3). The term
 256 hysteresis describes phenomena in which the state of a system depends on its history
 257 and was first coined to describe the dependence of the magnetic moment on past
 258 changes in the magnetic field¹¹¹. Similarly, how a cell reacts to EMT-inducing or MET-
 259 inducing stimuli not only depends on the current stimuli but also on the history of that
 260 cell, for example, previous stimuli acting on that cell, past differentiation and
 261 dedifferentiation trajectories and the time of residence within the current cell state. For
 262 that reason, hysteresis is sometimes referred to as ‘cellular memory’. As with any
 263 traveller, cells ‘wandering’ through the EMP landscape are guided by their memories.



264

265 **Fig. 3| EMT is controlled by hysteretic control mechanisms.**

266 **a|** In the absence of hysteresis, the stimuli evoke a linear epithelial-mesenchymal transition (EMT)
 267 response and transitions between the epithelial and mesenchymal states are gradual. **b|** In the
 268 presence of hysteretic control mechanisms, EMT is characterized by non-linearity, and bistability or
 269 multi-stability. EMT and mesenchymal-epithelial transition (MET) trajectories do not overlap, but ,rather,
 270 form a hysteretic loop. **c|** If self-conserving feedback mechanisms are sufficiently strong, the
 271 mesenchymal phenotype can be maintained indefinitely, even after complete withdrawal for the stimuli
 272 which initially evoked it. **d|** As EMT and MET form distinct trajectories, it is possible for hybrid EMT
 273 states to be present in one direction, but not the other. **e|** Multi-stability in hysteretic systems is the
 274 result of self-sustaining signal-transduction circuits. In the simplest form, these are positive feedback
 275 loops (left) or double-negative feedback loops (right). **f|** Additionally, the acquisition of stable epigenetic
 276 marks, through DNA methylation, histone modification, or the incorporation of histone variants,
 277 contributes to EMT memory. These epigenetic changes can lag behind transcriptional and/or
 278 morphological changes. This delayed response on one level compared with another results in a two-
 279 tier mechanism in which an acute response, for example, transcriptional and/or morphological, is
 280 followed by a slower but more persistent memory response, for example, chromatin remodeling. As a
 281 result, the prolonged presence of an EMT stimulus after complete EMT may further stabilize the
 282 mesenchymal state and result in irreversible, or less reversible, EMT compared with shorter exposure.

283

284 Hysteresis underlies non-linear responses and bistability (or multi-stability)
285 perceived across epithelial-mesenchymal axes (Fig. 3a-d). Put simply, the stimulus
286 required to transition from the epithelial to the mesenchymal state is greater than the
287 stimulus necessary to maintain the mesenchymal state once reached. Bistability
288 depends on self-conserving signal-transduction circuits^{112,113}. In their simplest form,
289 these circuits can be positive feedback loops or double-negative feedback loops (Fig.
290 3e). If these feedback mechanisms are sufficiently strong, the cells may maintain their
291 mesenchymal state indefinitely even upon complete withdrawal of the stimulus that
292 initially evoked it¹¹². Besides such self-reinforcing feedback loops, the acquisition of
293 stable epigenetic marks, through DNA methylation or histone modification, contributes
294 to EMT memory¹¹⁴ (Fig. 3f).

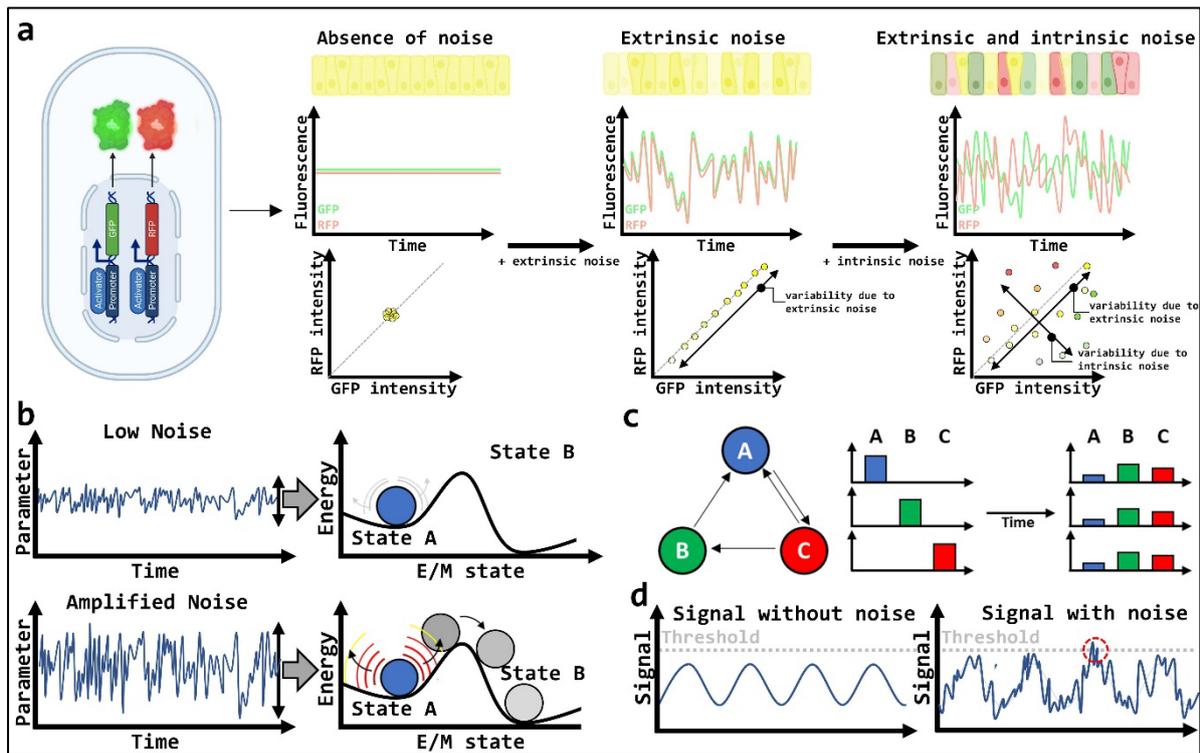
295 Hysteresis implies that EMT and MET are asymmetric processes following distinct
296 trajectories. Asymmetry between forward and backward processes is a key hallmark
297 of hysteretic systems. This can be easily seen by plotting a dependent, EMT-marking
298 variable as a function of an independent, EMT-inducing variable. On such a plot,
299 forward and backward trajectories will not overlap, but rather form a so-called
300 hysteresis loop (Fig. 3a-d). Time-course single-cell profiling supports this distinction
301 between EMT and MET processes^{9,19,115}. Indeed, studies show that mesenchymal
302 cells can bypass some of the partial states transited during EMT and, instead, pass
303 through a distinct MET state. Additionally, one study showed that of the three epithelial
304 cell states present in untreated HCC827 lung cancer cells, only two were restored
305 following consecutive EMT and MET¹⁹. Additionally, memory of EMT–MET cycles
306 seems to have functional consequences, aiding metastasis^{115,116} or augmenting
307 plasticity and stem cell-like properties¹¹⁷.

308

309 **Noise-driven stochastic state transitions facilitate plasticity**

310 Numerous cell lines display several EMP states *in vitro*^{12,118-124}. Interestingly, sorted
311 subpopulations and single cells often regenerate the phenotypic equilibrium of the
312 parental culture^{12,120-123}, albeit with a clone-dependent phenotypic distribution^{119,121}.
313 How can such phenotypic heterogeneity spontaneously arise in the absence of genetic
314 or extrinsic perturbation? Several mechanisms can explain the observed phenotypic
315 equilibria in *in vitro* isogenic cultures. Paracrine feedback loops may continuously
316 balance the fraction of cells within each state¹²⁵. Additionally, pattern formation
317 mechanisms, for example, GREM1/BMP2-mediated reaction-diffusion¹²⁶ or juxtacrine

318 Notch–Delta–Jagged signalling¹²⁷, can restore phenotypic heterogeneity. However,
 319 even in the absence of active mechanisms, phenotypic heterogeneity can be
 320 maintained. Several studies point to a role for noise in driving stochastic cell state
 321 transitions^{122,128-131} (Fig. 4). If transition rates between phenotypic states are constant,
 322 the same phenotypic equilibrium will be restored regardless of the initial distribution as
 323 long as there is at least one direct or indirect path between any two states¹²² (Fig. 4c).



324

325 **Fig. 4| Noise-driven stochastic state transitions enable spontaneous emergence of**
 326 **phenotypic heterogeneity**

327 **a|** Cellular noise can be investigated using a dual-reporter system¹³⁰. In this approach, two equivalent,
 328 independent reporter genes are placed in the same cell. In the absence of noise, expression of both
 329 reporters will correlate perfectly within cells and for each reporter, the same amount will be produced
 330 across cells. Extrinsic cellular noise, for example, through fluctuations in concentration, state or
 331 localization of biomolecules, and the random partitioning of biomolecules during cell division, will cause
 332 differences in expression between supposedly identical cells. Intrinsic cellular ‘noise’, which results
 333 from the inherent stochasticity in biochemical processes, will result in a loss of correlation between the
 334 reporters within cells. Part a adapted with permission from ref. 128, AAAS. **b|** In the absence of sufficient
 335 noise or external inputs, no cell state transitions take place (upper). Amplified noise facilitates cellular
 336 escape from local minima in the energy landscape, allowing them to roam the landscape of epithelial-
 337 mesenchymal plasticity (EMP) through stochastic state transitions (lower). **c|** If transition rates between
 338 multiple phenotypic states remain constant and if there is at least one direct or indirect path between
 339 any two states, equilibrium will be restored regardless of the phenotype distribution in the starting
 340 population, even in absence of active mechanisms. **d|** A weak sinusoidal signal is insufficient to reach
 341 the threshold required for activation of a biological process. Adding noise to this signal allows
 342 thresholding through so-called ‘stochastic resonance’¹³². EMT, epithelial-mesenchymal transition.

343

344 Stochastic cell state transitions require: 1) a source of noise¹³³, 2) an
345 amplification of this noise, which usually consists of small and transient fluctuations,
346 in a manner to significantly affect the phenotype¹²⁹, and 3) mechanisms to stabilize
347 the phenotype once reached¹³³. Noise can be both cell-intrinsic or cell-extrinsic
348 depending on its source¹³⁰ (Fig. 4a). Intrinsic noise results in differential expression of
349 equivalent, independent reporters placed in the same cell¹³⁰, for example, because of
350 the inherent stochasticity in biochemical processes. By contrast, extrinsic noise
351 causes differential expression between cells in a population¹³⁰, which could be the
352 result of fluctuations in the concentration, localization and general state of
353 biomolecules. For example, the random partitioning of parent cell components across
354 daughter cells during cell division is an important source of extrinsic noise that may
355 drive EMT^{128,129} or MET¹³⁴. As such, random partitioning of SNAI1 has been predicted
356 to contribute to the phenotypic equilibrium perceived in cultured PMC42-LA breast
357 cancer cells¹²⁸. In any case, noise of sufficient amplitude will activate the
358 corresponding signalling cascades, amplifying its signal and causing phenotypic
359 transition. The resulting phenotype can then be stabilized through hysteretic
360 mechanisms, as described above.

361 Increased noise facilitates spontaneous cell state transitions^{135,136}. Metastable
362 states can be conceived as local minima in an energy landscape. The depth of each
363 minimum corresponds to the stability of the cell state. In the absence of noise or other
364 input, cells cannot vacate their local minimum. Sufficient noise allows cells to
365 overcome the hill, or energy barrier, between minima^{135,136} (Fig. 4b). As transitions
366 from shallow to deep wells are less likely than the reverse, the relative stability of the
367 different states determines the phenotypic equilibrium¹³⁶. Additionally, noise may also
368 facilitate state transitions that result from extrinsic signals. In a phenomenon termed
369 stochastic resonance, noise can boost a signal that would otherwise be too weak to
370 reach a change-inducing threshold (Fig. 4d)¹³². The main role of noise in the EMP
371 landscape consists not of shaping the energy landscape itself but, rather of
372 determining the transition rates between states.

373 Are noise-driven stochastic state transitions relevant to *in vivo* plasticity? One may
374 argue that the influence of such noise decays in irrelevancy next to the more widely
375 appreciated sources of phenotypic heterogeneity: genetic diversity and the
376 microenvironment. However, spontaneous EMP in some cancer cell cultures partially
377 recapitulates the phenotypic plasticity observed *in vivo*^{12,137}, supporting the relevance

378 of stochastic mechanisms. Secondly, noise plays a crucial role in developmental
379 pattern formation^{136,138,139} and cell-fate decisions^{140,141}. Boundary formation during
380 development happens not despite but, rather, due to noise-driven plasticity^{136,138,139}.
381 Thirdly, whereas noise implies randomness, the amplitude of said noise is strongly
382 modulated by both evolutionary pressures as well as regulatory mechanisms^{138,142-145}
383 (Box 1). Only if the amplitude exceeds its optimal range does the resulting plasticity
384 become disruptive to the organism¹³⁸. Interestingly, the fast replication characteristic
385 of cancer cells may increase stochasticity. Similarly, genomic damage increases cell-
386 to-cell variation in gene expression¹⁴⁶. Lastly, stochastic cell state transitions provide
387 advantages in unpredictable environments when compared with responsive cell state
388 transitions¹⁴⁷, for example, if cells do not possess the machinery to sense or respond
389 to an external stressor or if cell survival requires transition to the resistant state prior
390 to the environmental change^{141,147,148}. As such, the distinction between stochastic and
391 responsive transitions may prove essential in understanding the role of EMP in therapy
392 resistance and immune escape.

393

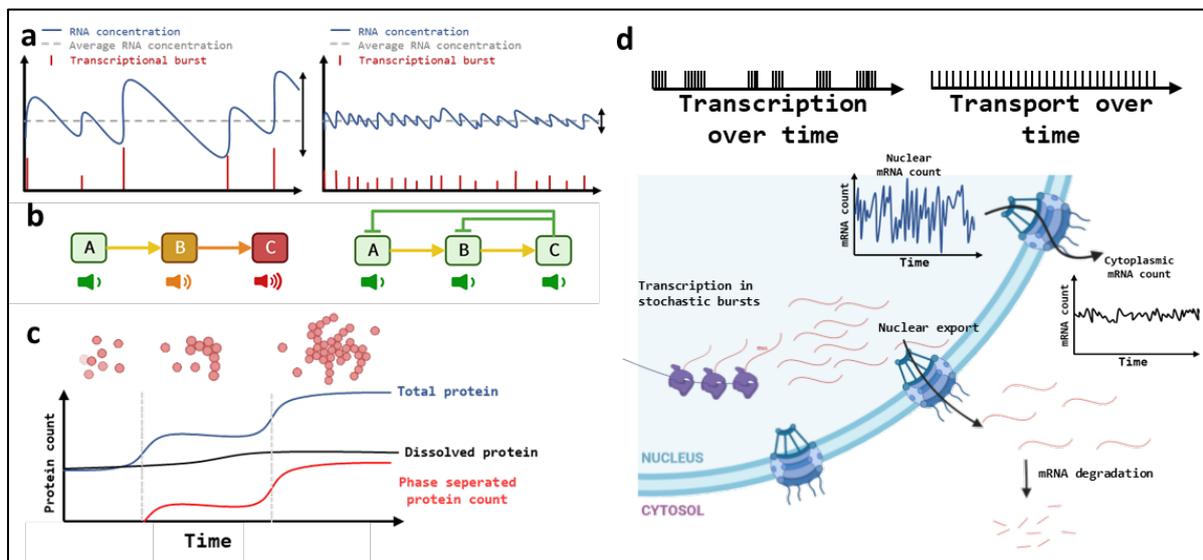
394 **Box 1: the amplitude of cellular noise is regulated by both evolutionary pressures and**
395 **regulatory mechanisms**

396 Although noise implies randomness, the amplitude of said noise is strongly modulated by both
397 evolutionary pressures, as well as by regulatory mechanisms^{138,142-145}. As this may seem paradoxical,
398 we selected some of the key mechanisms by which cells regulate cellular noise to illustrate this point.

399 Most genes are transcribed in transcriptional “bursts” — short periods of high transcriptional activity
400 interleaved with long-lived periods in which no transcription takes place. This transcriptional bursting,
401 which is thought to be caused by stochastic remodelling (opening and closing) of promoters¹⁴², is a
402 major source of gene expression noise. Bursting kinetics are highly gene-specific¹⁴⁹ and can be
403 described in terms of burst frequency, and burst size (the average number of mRNAs produced during
404 a single transcriptional burst). Besides transcriptional bursting, translational bursting may further
405 contribute to gene expression variability.

406 Cellular noise is controlled through a multitude of mechanisms, of which we selected three general
407 examples. Firstly, promoter architecture has a major impact on gene expression noise. The presence
408 of a TATA box, enhancer distance, and enhancer strength are crucial determinants of the bursting
409 kinetics¹⁵⁰. Large, infrequent burst will produce large variability in mRNA levels, whereas small, frequent
410 bursts of transcription will produce less noise (see the figure, part **a**). Secondly, gene regulatory network
411 (GRN) architecture can promote response robustness by decreasing noise in gene expression and
412 signal integration. For example, incorporation of negative feedback loops in GRNs allows
413 autoregulation, resulting in decreased noise compared with purely linear cascades¹⁵¹ (see the figure,

414 part **b**). Lastly, spatial compartmentalization enables more precise titration of biological components,
 415 filtering noise¹⁵² (see the figure, parts **c,d**). Phase separation buffers noise¹⁵³ (see the figure, part **c**).
 416 Some biomolecules can undergo phase separation, creating membraneless compartments. By
 417 depleting excess biomolecule, one phase guards another from variability. For example, liquid-liquid
 418 phase separation¹⁵³ as well as cluster formation¹⁵⁴ were shown to reduce protein-level noise. Similarly,
 419 in aneuploid cells, protein aggregation was shown to function as a dosage-compensation mechanisms
 420 by depleting excess protein from the cytosol¹⁵⁵. For biomolecules produced in one compartment but
 421 functional in another, concentration variability resulting from noisy production can be buffered by rate-
 422 limiting transport between those compartments. For example, transcription is occurs in bursts, resulting
 423 in noisy RNA expression within the nucleus. However, a rate-limiting transport step averages the
 424 introduction of RNAs in the cytoplasm over time, resulting in decreased concentration fluctuations¹⁴⁴
 425 (see the figure, part **d**).

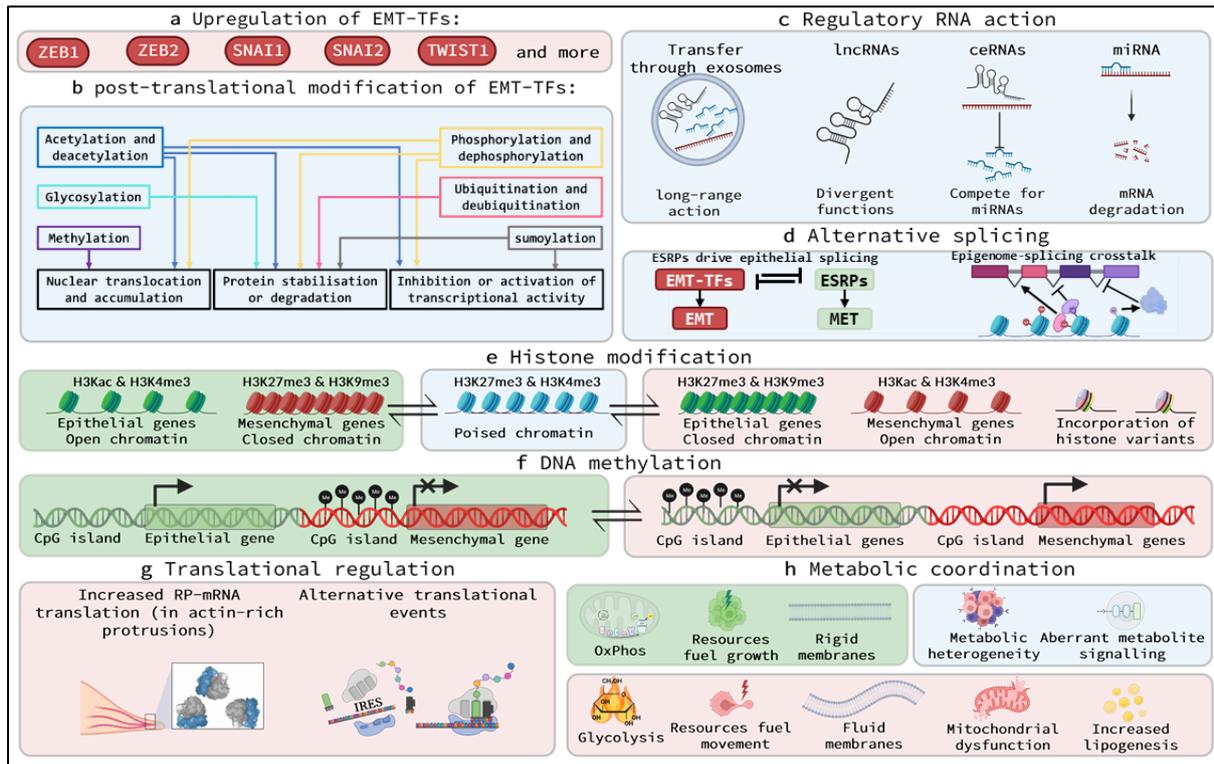


426

427 **Regulatory mechanisms underlying EMP**

428 Where the previous sections discussed the general forces governing the EMP
 429 landscape, the following part delineates more specifically the molecular mechanisms
 430 that underlie EMP states and EMTs (Fig. 5).

431



432

433 **Fig. 5: Several levels of regulation control the epithelial-mesenchymal transition**
 434 **(EMT)**

435 **a|** Epithelial-mesenchymal transition (EMT) is orchestrated by EMT-associated transcription factors
 436 (EMT-TFs). The core EMT-TFs include SNAI1/2, TWIST1 and ZEB1/2. **b|** The complex regulation of
 437 EMT-TFs occurs (in part) by post-translational modifications that affect the stability and degradation,
 438 the transcriptional activity and/or the nuclear translocation and accumulation of EMT-TFs¹⁵⁶. **c|** Non-
 439 coding RNAs (ncRNAs) contribute to EMT and mesenchymal-epithelial transition (MET). MicroRNAs
 440 (miRNAs) silence mRNAs; for example, the *miR-200* family represses ZEB transcription factors,
 441 whereas the *miR-34* family represses SNAI1. Competitive endogenous RNAs (ceRNAs) can inhibit
 442 miRNA function by sequestering these miRNAs away from their targets^{157,158}. Long non-coding RNAs
 443 (lncRNAs) combine base-pair complementation and RNA folding to enable interaction with both nucleic
 444 acids and proteins. LncRNAs modulate EMT on every level^{159,160}. Non-coding RNAs can travel long
 445 distances in circulation. **d|** Epithelial splicing regulatory proteins (ESRPs) are key regulators of epithelial
 446 splicing programmes²¹⁵. As such, they can drive EMT as well as contribute to maintaining the epithelial
 447 cell state. Conversely, loss of ESRPs can drive an EMT. EMT-TFs inhibit and are inhibited by ESRPs.
 448 Epigenetic remodelling drives alternative splicing by slowing RNA polymerase II (RNA Pol II), or by
 449 specific histone modifications that recruit splicing factors, promoting the epithelial or mesenchymal
 450 phenotype. Consequently, crosstalk between the epigenome and the splicing machinery further
 451 modulates EMT^{216,217}. **e|** In epithelial cells, epithelial genes show an open, accessible chromatin
 452 structure while mesenchymal genes are packed in closed, inaccessible chromatin. Following EMT, the
 453 opposite is true. Regardless of epithelial or mesenchymal state, H3K27ac and H3K4me3 marks are
 454 associated with open chromatin while H3K27me3 and H3K9me3 marks are associated with closed
 455 chromatin. Chromatin containing both the H3K27me3 and H3K4me3 marks is in a poised state and can
 456 be quickly activated or repressed on stimulation. **f|** In epithelial cells, there is hypomethylation of
 457 epithelial promoters and hypermethylation of mesenchymal promoters. Following EMT, the opposite is
 458 true. **g|** EMT is linked to an increase in ribosome biogenesis and protein synthesis. Additionally, the
 459 actin-rich protrusion that aid migration in mesenchymal cells were shown to be hot spots for ribosomal
 460 protein (RP)-mRNA translation, increasing overall protein synthesis. Alternative translational events,
 461 such as internal ribosome entry site (IRES)-dependent translation and N⁶-methyladenosine (m⁶A)
 462 methylation of Snail mRNA, result in increased EMT-TF translation. **h|** Metabolic rewiring during EMT
 463 re-routes resources from proliferation to movement. EMT is associated with increased glycolysis and
 464 lipogenesis, but also mitochondrial dysfunction. Metabolic rewiring causes changes in bioactive
 465 metabolites which activate downstream signalling. Additionally, changes in lipid metabolism impact the
 466 cell membrane composition and, thus, its fluidity. Within a single tumour, cells may display diverse EMP

467 states whose functional characteristics are underpinned by distinct metabolic pathways. OxPhos,
468 oxidative phosphorylation.

469

470 ***EMT-TFs: master regulators of EMP***

471 EMT is regulated by EMT-TFs. Traditionally, five canonical EMT-TFs are recognized:
472 ZEB1, ZEB2, SNAI1, SNAI2 and TWIST¹²⁻⁴ (Fig. 5a). Initially identified as key
473 regulators during embryonic development¹⁶¹⁻¹⁶⁶, later studies showed their
474 involvement in cancer progression^{7,167-172} and fibrosis¹⁷³⁻¹⁷⁶. These EMT-TFs are key
475 transcriptional regulators of EMT, acting as repressors of epithelial genes such as
476 *CDH1* (which encodes Cadherin-1, also known as epithelial cadherin or E-cadherin)
477 by binding the E-box motifs in their promoters^{170,172,177,178}. Additionally, EMT-TFs can
478 activate transcription of mesenchymal markers by forming complexes containing other
479 DNA-binding units¹⁷⁹. Besides these five core EMT-TFs, many additional EMT-TFs
480 have been recognized including FOXC2¹⁸⁰, Homeobox protein goosecoid (*GSC*)¹⁸¹,
481 KLF8¹⁸², PRRX^{183,184}, RUNX2¹⁸⁵, SIX1¹⁸⁶, TCF3 (also known as E47 or ITF1)¹⁸⁷ and
482 TCF4 (also known as E2-2 or ITF2)¹⁸⁸.

483 Although forced expression of a single canonical EMT-TF is sufficient to induce
484 a shift to a mesenchymal phenotype, EMT-TFs are not always redundant¹⁸⁹⁻¹⁹¹. They
485 show distinct spatiotemporal expression profiles during development and
486 homeostasis¹⁸⁹⁻¹⁹¹. Additionally, different EMT-TFs interact with distinct components
487 of the epigenetic, transcriptional, and post-transcriptional machineries^{189,192}.
488 Moreover, complex regulation of the SNAI, TWIST and ZEB families of EMT-TFs by
489 post-translational modifications, such as acetylation, glycosylation, methylation,
490 phosphorylation, sumoylation and ubiquitination, directly affects the accumulation,
491 degradation, stability, nuclear translocation or transcriptional activity of these
492 transcription factors¹⁵⁶ (Fig. 5b). Furthermore, EMT-TFs have several pleiotropic
493 functions that are, at least seemingly, unrelated to EMT¹⁹⁰ (discussed further in '*EMT-*
494 *TFs beyond epithelial plasticity*').

495 ***Regulatory RNAs contribute to EMP regulation***

496 The many instigators of EMT and MET processes seem to converge on a doublet of
497 EMT-TF–microRNA (miRNA) double-negative feedback loops³, which underlies the
498 bistability of EMT (Fig. 6). These feedback loops concern the reciprocal inhibition
499 between ZEB-family transcription factors and *miR-200*-family miRNAs^{193,194}, and the

500 mutual inhibitory loop between SNAI1 and *miR-34*^{195,196}. Epithelial states display high
501 *miR-200* and *miR-34* expression, whereas mesenchymal states show increased EMT-
502 TF expression. Several competing models, for example, the ternary chimaera switch
503 (TCS) model¹⁹⁷ (Fig. 6a) and the cascading bistable switches (CBS) model^{198,199} (Fig.
504 6b), have been proposed to elucidate how this core regulatory hub governs phenotypic
505 transitions. The key difference between these models is that self-activation of ZEB1 is
506 included in the TCS but not the CBS model. Both models predict a tri-stable system
507 consisting of an epithelial state, a mesenchymal state, and a hybrid E/M state (Fig. 6c-
508 d). The TCS model postulates that such tri-stability is created solely by the ZEB1-*miR*-
509 *200* loop, whereas the SNAI1-*miR-34* loop acts as a monostable noise-buffering
510 integrator¹⁹⁷. By contrast, the CBS model proposes that both loops work as bistable
511 switches. In that case, the SNAI1-*miR-34* loop guides the transition from the epithelial
512 state to the hybrid E/M state. The ZEB1-*miR-200* switch then causes the transition to
513 the final mesenchymal state¹⁹⁸.

514 Upregulation of EMT markers can precede *miR-200* downregulation^{157,198}. This
515 supposed anachronism can be explained through competitive endogenous RNA
516 (ceRNA) action. ceRNAs compete for miRNAs, sequestering miRNAs from their
517 targets¹⁵⁸. As such, ceRNAs allow fast suppression of miRNA function. Indeed,
518 integration of the 120 h miRNA half-life in the CBS model results in a bistable system
519 consisting of an epithelial state and a hybrid E/M state^{157,200}. Only upon integration of
520 ceRNAs does transition towards the mesenchymal state become possible¹⁵⁷.
521 Integration of ceRNAs into EMP regulatory networks thus facilitates and accelerates
522 state switching. The stoichiometry between competing miRNA response elements
523 (MREs) and miRNAs is a critical parameter controlling both the stage of EMT and the
524 reversibility of the EMT process. Indeed, the induction of a single highly expressed
525 mRNA molecule, for example, *FN1*, has been shown to be sufficient to regulate
526 EMT¹⁵⁷. This dependence on stoichiometry also implies that the low miRNA
527 environment found in some cancers facilitates ceRNA regulation^{201,202}.

528 Long non-coding RNAs (lncRNAs) are a third class of regulatory RNAs that govern
529 EMT. Combining base-pair complementation and RNA folding, lncRNAs can interact
530 with both nucleic acids and proteins to modulate EMT on every level. The catalogue
531 of lncRNAs that modulate EMT processes, and their varied mechanisms of action,
532 have recently been reviewed elsewhere^{159,160}.

533 The effect of regulatory RNAs is not limited to the cell in which they are transcribed.
534 Rather, regulatory RNAs may be captured from the environment as the cargo of
535 exosomal vesicles^{203,204}. For example, exosomal miRNAs from hypoxic stromal cells
536 were shown to promote EMT and metastasis in lung cancer²⁰⁵. Similarly, exosomal
537 lncRNAs facilitate or suppress EMT in pancreatic^{206,207}, gastric²⁰⁸, lung²⁰⁹ and
538 bladder²¹⁰ cancer. Importantly, exosomes may travel long distances in
539 circulation^{203,204}. As a result, regulatory RNA action is not constrained to the
540 microenvironment of the cells expressing the RNAs.

541 The emerging role of regulatory RNAs (Fig. 5c) demands caution when studying
542 EMT through gene-perturbation approaches. Genes whose function is disrupted at the
543 protein level may maintain regulatory control through transcript-level MREs. Inversely,
544 overexpressing protein-coding sequences disregards *trans*-regulatory functions of
545 introns and 5' or 3' untranslated regions (UTRs). A better understanding of the
546 interplay between regulatory RNAs and EMT holds diagnostic and therapeutic
547 potential. lncRNAs often show very specific tissue- or condition-specific expression.
548 As such, they may form promising therapeutic targets for anti-EMT therapies.
549 Additionally, as exosomal non-coding RNAs can be readily assessed in liquid biopsies,
550 they hold promise as non-invasive biomarkers for disease progression.

551

552 ***DNA methylation and chromatin remodelling drive transitions and stabilize EMP*** 553 ***states***

554 The modification of both DNA and its packing units, for example, by DNA methylation,
555 histone post-translational modifications (PTMs) or the incorporation of histone
556 variants, is a crucial mechanism underlying EMT processes¹⁹². Some of these
557 epigenetic marks are readily reversible, as is required for plasticity. Other marks are
558 relatively stable²¹¹, and thus contribute to hysteresis, or irreversible, such as
559 epigenetic marks obtained during differentiation, which form the basis of cell identity.

560 Focal hypermethylation of the CDH1 promoter has long been recognized to be a
561 hallmark of EMT²¹²⁻²¹⁴. Since then, more general trends have emerged. In general,
562 EMT is associated with hypermethylation in the promoters of epithelial genes, and
563 hypomethylation of mesenchymal genes and EMT-TFs (Fig. 5f). Additionally, key
564 regulators of EMT, such as the EMT-TFs²¹⁵⁻²¹⁷ or the *miR-200* family²¹⁸⁻²²¹, regulate
565 and are regulated by DNA methylation.

566 At the chromatin level, EMT is associated with a switch from more to less
567 accessible chromatin in epithelial genes, and oppositely so for mesenchymal genes
568 (Fig. 5e-f). Chromatin accessibility is strongly influenced by post-translational
569 modifications on the histone H3 tail. Active chromatin is then mainly associated with
570 H3K acetylation (H3Kac) and H3 trimethylated at K4 (H3K4me3)²¹⁸, whereas
571 repressed chromatin bears H3K27me3, H3K9me3 and DNA methylation^{192,218,221}.
572 Chromatin bearing both H3K27me3 and H3K4me3 is in a poised state and can be
573 rapidly repressed or activated upon stimulation¹⁹². Additionally, it was recently shown
574 that the histone mark H3K36me2 underlies the mesenchymal state across a variety of
575 contexts, whereas its erasure determines the epithelial state²²². Apart from H3
576 modifications, acetylation of H2BK5 has been demonstrated to protect the epithelial
577 phenotype in trophoblast stem cells as well as breast cancer cell lines²²³.
578 Importantly, epigenetic reprogramming may also contribute to the execution of EMT
579 by driving alternative pre-mRNA splicing (Fig. 5d). It is known that, expression
580 changes in key splicing factors such as ESRP1/2 during EMT result in alternative
581 splicing of different genes involved in functional aspects of EMT: polarity, migration
582 and invasion and cytoskeleton organization²²⁴. Recently, however, it was shown that
583 dynamic epigenetic changes in H3K27ac/me3 levels, as well as recruitment of HDAC1
584 by ZNF827 to coding genomic regions, are at the basis of regulating alternative
585 splicing during epithelial plasticity changes. Additionally, these chromatin-induced
586 splicing changes are sufficient to initiate EMT^{225,226}.

587 The incorporation of variant histones provides another mechanism through which
588 epigenetic marks direct EMP^{227,228}. EMT seems to cause a general decrease in
589 canonical histones²²⁷. Additionally, specific histone variants seem to promote²²⁷ or
590 suppress EMT²²⁸. Important to understand is that replacing a histone erases the post-
591 translational modifications carried by that histone. As such, histone replacement
592 contributes to the chromatin responsiveness essential for EMP.

593 Differences between chromatin landscapes of distinct epithelial cell types can
594 explain how cell identity governs EMP modality. Indeed, in squamous cell carcinoma
595 it was shown that the chromatin landscape of the cancer cell-of-origin strongly
596 influences EMP propensity during further tumour development⁶⁶. Similarly,
597 reactivation of developmental epigenomic programmes was shown to underlie EMP
598 and metastasis in prostate cancer⁶¹. These examples give weight to the long-held
599 perspective that EMP is, in essence, the reactivation of developmental programmes.

600 Reversible, but persisting, epigenetic marks provide one mechanism for the
601 establishment of EMT memory^{114,229}. In cancer cell lines treated with therapeutic
602 agents, DNA methylation was shown to be a causal factor underlying EMT-mediated
603 therapy resistance^{217,230}. Interestingly, such cell lines maintained their EMP state in
604 the absence of therapeutic agents and only reverted to an epithelial state in the
605 presence of demethylating agents. Such examples show that that DNA methylation
606 plays an important role in the stabilization of certain EMP states. Additionally, an EMP
607 state, once reached, may be stabilized by prolonged exposure to the stimuli that
608 initially evoked it. Indeed, several studies report how a prolonged time of residence
609 within an EMP state either slows or completely blocks the reversal to an epithelial
610 phenotype upon withdrawal of stimuli^{19,114,116,231,232}. Such phenomena can be
611 explained by a two-tier mechanism in which an acute transcriptional response is
612 followed by slower but more persistent epigenetic reprogramming (Fig. 3f). Indeed,
613 during EMT, the generation of epigenetic marks can lag behind transcriptional
614 changes, both in the case of both DNA methylation²²⁹ and histone modification²³².
615 Progressive generation of these epigenetic marks may then cause a gradual silencing
616 of alternative states. Alternatively, epigenetic marks can regulate the thresholds for
617 transcription factors to alter expression of their downstream targets²³¹. In each case,
618 epigenetic marks provide an EMT memory that stabilizes the current state^{114,229,231,232}.
619

620 ***Ribosomal and translational regulation of EMP***

621 Several pathologies link ribosomal functioning to type 1 and type 2 EMP²³³.
622 Neurocristopathies, that is, disorders of neural crest delamination during
623 embryogenesis, can result from mutations in canonical EMT-TFs⁸⁹⁻⁹². However, other
624 neurocristopathies result from ribosomal defects. For example, Diamond–Blackfan
625 anaemia is linked to mutations in at least 14 ribosomal proteins (RPs)²³⁴⁻²³⁶, and
626 Treacher–Collins syndrome is associated with defects in RNA polymerase I (RNA Pol
627 I)²³⁷, or TCOF1²³⁸, which are both involved in rRNA transcription, as well as defects in
628 RNA Pol III²³⁷, which is required for 5S rRNA and tRNA transcription. Similarly, several
629 pathologies link type 2 EMT with translation. Sera of patients with systemic
630 scleroderma, a disease characterized by fibrosis of the lungs and skin, frequently
631 contain autoantibodies against RNA Pol III²³⁹ or fibrillarin^{240,241}, a factor involved in the
632 pre-processing of rRNA²⁴². Moreover, other fibrosis-manifesting pathologies, such as

633 dyskeratosis congenita²⁴³, childhood cirrhosis^{244,245} and atrial fibrillation²⁴⁶, are linked
634 to defects in ribosome formation.

635 Recently, elevated ribosome biogenesis was identified as a general feature during
636 both *in vivo* and *in vitro* EMT^{247,248}. This association is maintained across distinct EMT
637 triggers and species. Intriguingly, although ribosomal biogenesis is generally cell
638 cycle-dependent (being linked with cell proliferation and growth), EMT-associated
639 ribosomal biogenesis coincided with a G1/S arrest. Enhanced rRNA synthesis during
640 EMT is linked with chromatin remodelling and recruitment of RNA Pol I and SNAI1 to
641 rDNA operons²⁴⁷. Interestingly, incorporation of external eukaryotic or prokaryotic
642 ribosomes in somatic cells causes an upregulation of EMT-TFs^{249,250}. Furthermore,
643 mRNAs of RPs can show strong enrichment at the migrating edge of mesenchymal-
644 like cells²⁵¹⁻²⁵³. EMT causes an upregulation of the RNA binding protein LARP6, which
645 recruits RP-mRNAs specifically to cellular protrusions, transforming these protrusions
646 into hotspots for RP-mRNA translation and, consequently, increasing overall protein
647 synthesis²⁵⁴.

648 Additionally, several post-transcriptional mechanisms regulate EMP at a
649 translational level during cancer progression²³³. N⁶-methyladenosine (**m6A**)
650 methylation of *SNAI1* mRNA by METTL3 enhances cap-independent translation of
651 *SNAI1*^{253,255}. Moreover, the ribosome-binding protein CELF1 attaches to the 3'
652 untranslated region of the *SNAI1* mRNA, further enhancing translation²⁵⁶.
653 Furthermore, YB-1, which is aberrantly expressed across cancers, promotes internal
654 ribosome entry site (IRES)-mediated translation initiation of ZEB2, SNAI1 and
655 TWIST²⁵⁷. Additionally, mTOR signalling, a regulator of cap-dependent translation,
656 inactivates 4E-BP1, an inhibitor of the elongation factor eIF4E, resulting in elevated
657 expression of SNAI1, TWIST and vimentin in colorectal cancer cells^{258,259}. Lastly,
658 targeting translation, for example, with RNA Pol I inhibitors²⁴⁷ or by blocking ribosome
659 export from the nucleus²⁶⁰, has been shown to inhibit EMT. Together these results
660 underline the regulation of EMP at the translational level, and the possibility for
661 therapeutic intervention at this level (Fig. 5g).

662

663 ***Metabolic rewiring during EMP underlies functional changes***

664 EMP demands thorough rewiring of cellular metabolic networks²⁶¹⁻²⁶⁵ (Fig. 5h).
665 Importantly, although we distil general principles emerging across studies, the highly

666 complex nature of metabolic networks cautions against extrapolation from one context
667 to another.

668 Dysregulated lipid metabolism modulates EMP²⁶¹⁻²⁶⁴. Cancers display
669 increased lipogenesis²⁶⁶⁻²⁶⁸, for example, through upregulation of fatty acid synthase
670 (FAS)²⁶⁶⁻²⁶⁸. In turn, upregulation or inhibition of FAS promotes or suppresses EMT,
671 respectively²⁶⁹⁻²⁷⁶. Additionally, the lipid composition of the cell membrane dictates its
672 fluidity. Membrane fluidity in turn determines cell motility²⁷⁷⁻²⁸¹. As such,
673 phosphatidylcholine²⁸² and sphingosine-1-phosphate²⁸³⁻²⁹¹, which liquify the
674 membrane^{292,293}, promote EMT. Conversely, ceramides^{278,288,294} and
675 cholesterol^{280,281,295}, which rigidify the membrane^{296,297}, negatively affect EMT.
676 Following this trend, fatty acids in mesenchymal-like cells are on average shorter²⁸²,
677 and more unsaturated^{282,298}. Lastly, aberrant synthesis of lipid signalling molecules
678 can activate downstream signalling cascades; for example, the PPAR transcription
679 factor family, activated by fatty acid ligands, inhibits EMT both in cancer cells²⁹⁹⁻³⁰²
680 and in fibrosis³⁰³⁻³⁰⁷. Furthermore, eicosanoids regulate EMP; whereas prostaglandin
681 E2 promotes EMT³⁰⁸⁻³¹², lipoxin A4 inhibits type 1³¹³, type 2³¹⁴⁻³¹⁶ and type 3^{317,318}
682 EMT.

683 In cancer, the shift from oxidative phosphorylation (OxPhos) to aerobic
684 glycolysis³¹⁹ induces EMT through various mechanisms²⁶¹⁻²⁶⁴. Firstly, increased
685 glycolytic flux requires upregulation of key glycolytic enzymes and glucose
686 transporters, both of which can induce EMT³²⁰⁻³²⁴. Importantly, metabolic enzymes can
687 also drive EMT through non-metabolic means; for example, pyruvate kinase M2 drives
688 EMT-associated gene transcription after translocation to the nucleus where it interacts
689 with various transcription factors^{320,321}. Secondly, cancers often display mitochondrial
690 dysfunction, impairing OxPhos and further enhancing EMT³²⁵⁻³²⁸. Thirdly, secretion of
691 acidic, glycolytic products, such as lactic acid results in acidification of the
692 microenvironment, further promoting EMT³²⁹⁻³³³. In this manner, EMT in cancer once
693 again echoes early development: in the neural crest³³⁴ and the presomatic
694 mesoderm^{335,336}, glycolysis and OxPhos are associated with EMT and MET,
695 respectively³³⁷.

696 The parallels between cancer-associated and EMP-associated metabolic rewiring
697 described above — that is, increased glycolysis and lipogenesis, and reduced OxPhos
698 — suggest that cancer cell metabolism favours EMP. Even so, different EMP states
699 display distinct metabolic activity³³⁸⁻³⁴⁰. For example, catalytic activity of

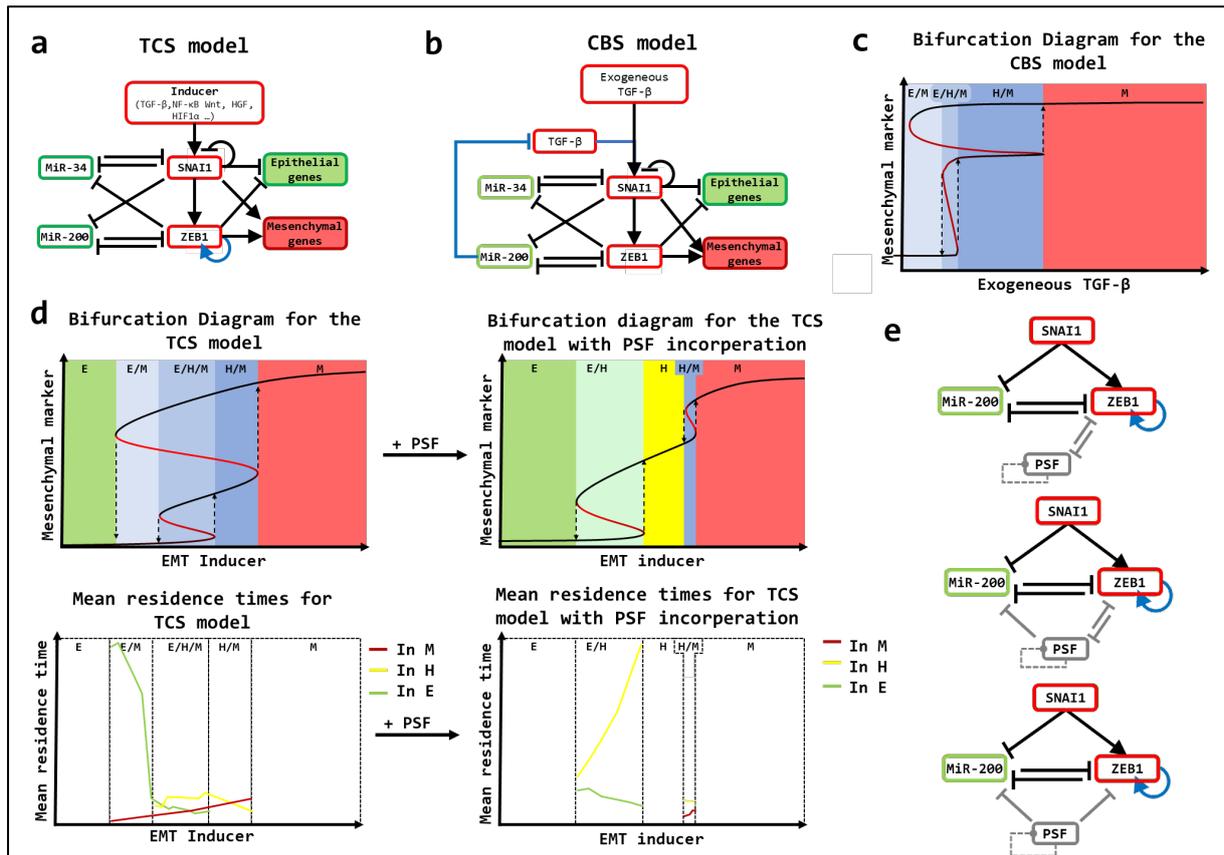
700 phosphoglycerate dehydrogenase (PHGDH) supports cancer cell proliferation in triple-
701 negative breast cancer. However, loss of PHGDH re-routes glycolytic intermediates
702 towards the hexosamine-sialic acid pathway, resulting in sialylation of $\alpha_v\beta_3$ integrin,
703 which drives EMT and metastasis³³⁸. Highly migratory, mesenchymal-like breast
704 cancer cells showed increased glycolysis compared with slower, more epithelial-like
705 cells with increased OxPhos³³⁹. Similarly, whereas enhanced nucleotide synthesis is
706 crucial for cancer cell proliferation, pyrimidine catabolism seems to support the
707 mesenchymal state³⁴⁰. As cells undergoing EMT redeem proliferative capacity for
708 migratory ability, the associated metabolic rewiring may be understood as the re-
709 routing of resources from growth to motility.

710 The interplay between EMP and metabolism provides therapeutic
711 opportunities. As distinct EMP states show distinct nutrient dependencies, depletion
712 of certain nutrients might enable targeting of mesenchymal-like cells. Alternatively,
713 pharmacological disruption of key nodes in the metabolic network may suppress EMP
714 and, thus, limit tumour progression^{262,264,341}.

715

716 ***Phenotypic stability factors stabilize hybrid EMT states***

717 Whereas complete EMT is a rare event in cancer, partial EMT is much more
718 prevalent^{4,342}. The resulting hybrid E/M state possesses properties distinct from cells
719 at either end of the EMT spectrum. First, hybrid states show enhanced stemness, as
720 has been thoroughly reviewed^{343,344}. In cancer, hybrid E/M cells are enriched in
721 circulating tumour cells (CTCs)^{345,346} and display enhanced metastatic
722 potential^{18,22,23,342,347}. Additionally, CTCs must weather a variety of environments
723 before seeding at secondary tumour sites. During this journey, the hybrid state may
724 provide a survival advantage through its intrinsic adaptability³⁴⁸ or through the
725 formation of CTC clusters^{50,349,350}.



726

727 **Fig. 6: Phenotypic stability factors interact with the core regulatory EMT network to**
 728 **stabilize the hybrid EMT state**

729 Regulators of epithelial–mesenchymal transition (EMT) and mesenchymal–epithelial transition (MET)
 730 processes converge on a core regulatory circuit consisting of the ZEB–miR-200 and the SNAI1–miR-
 731 34 double-negative feedback loops. Epithelial states (E) display high miR-200 and miR-34
 732 expression, whereas mesenchymal states (M) show increased EMT-associated transcription factor (EMT-TF)
 733 expression. Phenotypic stability factors (PSFs) act as molecular brakes by impeding complete transition
 734 to a mesenchymal state and stabilizing hybrid (H) epithelial and mesenchymal states. **a, b** The ternary
 735 chimaera switch (TCS) model¹⁹⁸, which includes self-activation of ZEB1 (panel **a**), and the cascading
 736 bistable switches (CBS) model¹⁹⁷, which does not (panel **b**). Factors that promote the epithelial or
 737 mesenchymal phenotype are marked in green or red, respectively. Differences between the models are
 738 marked in blue. Both models predict a tri-stable system consisting of an epithelial, mesenchymal, and
 739 hybrid EMT state. Part a adapted with permission from ref. 184, PNAS. Part b adapted with permission
 740 from ref. 185, AAAS. **c, d** Bifurcation diagrams to plot cell state transitions in function of an EMT-
 741 inducing signal. Importantly, these bifurcation diagrams are the result of deterministic models, not
 742 incorporating stochastic events. **c** Bifurcation diagram of the CBS model¹⁹⁸. Black lines display stable
 743 states, red lines represent unstable states. Dotted lines with arrows represent transitions between
 744 states. The diagram shows hysteretic features as there is an asymmetry between transitions during
 745 increasing versus decreasing TGF- β . In the CBS model, the mesenchymal state, once reached, may
 746 be maintained indefinitely (as is shown here) depending on the strength of the endogenous TGF- β
 747 production. Part c adapted with permission from ref. 185, AAAS. **d** Bifurcation diagrams and mean
 748 residence times for the TCS model in the absence and presence of a phenotypic stability factor (PSF)³⁵¹
 749 (panel **d**): incorporation of a PSF in increases the range of parameters in which the hybrid EMT state
 750 exists. Additionally, in the presence of a PSF, a range of parameters exists which allows for the
 751 presence of a monostable, hybrid EMT population. Lastly, using stochastic models to simulate cell state
 752 transitions, PSFs increase the time that cells spend, on average, in the hybrid state. We believe the
 753 networks and diagrams presented are of value as they illustrate the general mechanisms of the PSFs.
 754 Part d adapted with permission from ref. 351, IOP. **e** Simplified circuit focussing on the *miR-200*-ZEB
 755 inhibitory loop with incorporation of common network motifs which can stabilize the hybrid EMT
 756 phenotype³⁵² (in grey). The dotted feedback loop ending in a circle represents that these factors may
 757 display autoregulatory behaviour, either self-activating or self-inhibiting behaviour. These network

758 motifs can be used to identify additional PSFs. Notably, factors which form a double-negative feedback
759 loop with *MiR-200* instead of ZEB1 do not stabilize the hybrid EMT phenotype. Similarly, whereas
760 factors inhibiting both ZEB1 and *miR-200* promote a hybrid phenotype, factors activating both ZEB1
761 and *miR-200* are unable to do so. Part e is adapted from ref. 343, CC BY 4.0.

762

763 Several mediators, termed phenotypic stability factors (PSFs) have been
764 shown to stabilize hybrid E/M states (Fig. 6d-e). These PSFs can be thought of as
765 molecular brakes, delaying the progression from a hybrid E/M state to a fully
766 mesenchymal state. Examples include the OVOL transcription factor family^{352,353},
767 NUMB³⁵⁴, NUMBL³⁵⁴, NFATC1³⁵⁵, NRF2^{356,357} (also known as NFE2L2), GRHL2^{352,358},
768 the p63 protein isoform Δ NP63 α ^{222,359}, *miR-145/OCT4*³⁵² and the classical EMT-TF
769 SNAI2³⁶⁰. PSFs generally modulate the EMP landscape in three major ways (Fig. 6d).
770 First, the presence of each PSF individually has been shown to increase the range of
771 parameters in which hybrid E/M states exist. Second, considering solely the core
772 regulatory network, populations of hybrid cells will always co-exist with fully epithelial
773 or mesenchymal states. Inclusion of several PSFs independently in the EMP-
774 governing regulatory network allows for the presence of monostable hybrid
775 populations in certain conditions. Last, in environments enabling coexisting
776 populations of hybrid states with epithelial and/or mesenchymal states, several
777 individual PSFs have been shown to increase the time that individual cells spend, on
778 average, in the hybrid state³⁵¹. Common network motifs to identify additional PSFs
779 were proposed³⁵² (Fig. 6e).

780

781 Targeting factors that stabilize hybrid E/M states could dissolve CTC clusters and
782 reduce stemness and plasticity of CTCs, consequently preventing metastatic
783 outgrowth. Knockdown of a single of the above-mentioned PSF is sufficient to cause
784 a shift towards full EMT. For example, independent knockdown of GRHL2³⁵²,
785 OVOL2³⁵², NUMB³⁵⁴, NUMBL³⁵⁴, NFATC1³⁵⁵, or NRF2³⁵⁷ in H1975 non-small cell lung
786 cancer cells caused a shift from a hybrid E/M state to a mesenchymal state. As such,
787 the non-redundant character of these factors may prove them good candidates for
788 therapeutic strategies seeking to disrupt the partial EMT phenotype and prevent
789 metastasis.

790

791 ***EMT-TFs beyond epithelial plasticity***

792 The role of the so-called EMT-TFs is not restricted to epithelial cells. These
793 transcription factors are abundantly expressed, and play essential roles in, several
794 other lineages including neurons³⁶¹⁻³⁶³, myocytes^{364,365}, adipocytes³⁶⁶, haematopoietic
795 cells³⁶⁷⁻³⁶⁹ and melanocytes^{370,371}. Strikingly, the functions of downstream targets
796 identified in these lineages are largely overlapping with those observed during
797 classical EMT; genes involved in cell adhesion, migration, chemotaxis and growth
798 factor receptors. An additional parallel in these lineages is that aberrant upregulation
799 of EMT-TFs is sufficient to drive oncogenic transformation, and is associated with
800 increased stem cell properties and resistance to therapy^{275,372-375}.

801 Whereas EMT-TFs work in concert during classical EMT, loss or gain of
802 different EMT-TFs in other lineages can result in different, sometimes opposing
803 phenotypes. As such, EMT-TFs demonstrate overlapping but distinct functions
804 depending on the intracellular and microenvironmental context^{372,376-378}. This
805 pleiotropism presents an opportunity: the plasticity of EMP-displaying cancer cells
806 could be exploited to force transdifferentiation towards non-malignant, post-mitotic cell
807 types. Indeed, the induction of adipocyte differentiation in EMP-displaying breast
808 cancer cells was shown to significantly repress invasion and metastasis *in vivo*³⁷⁹. This
809 example highlights how a better understanding of the hierarchy of and coordination
810 between EMT-TFs may teach us how to manipulate the EMP landscape for
811 therapeutic purposes. Additionally, this precedent begs the question of how we
812 distinguish EMP from other types of cellular plasticity.

813

814 **Conclusions and future perspectives**

815 In recent years, a surge in the use of single-cell profiling methods has driven new
816 insights in the EMP field. Such methods have enabled the identification and
817 characterization of distinct transition states across the EMP spectrum^{9,11-21}.
818 Additionally, time-course analysis has made clear that EMT and MET are distinct,
819 asymmetric processes^{9,19,115}. The functional characteristics associated with MET
820 states, or the 'EMP memory' retained after concurrent EMT and MET transitions, may
821 be vital in understanding and tackling metastasis.

822 To tackle the ever-increasing complexity of gene regulatory networks mediating
823 EMP, some researchers have turned to systems biology approaches. These

824 approaches allow large-scale modelling and simulated perturbations of gene
825 regulatory networks, driving the generation of new hypotheses. Additionally,
826 mathematical modelling allows the study of phenomena which are difficult to
827 investigate experimentally, for example, the dynamics of cell state transitions¹²⁷⁻
828 ^{129,157,197,198,231}, the stabilization of partial EMT states^{222,352-360}, or the effects of noise
829 on cellular plasticity^{128,129,134,136,138,139}.

830 Key questions remain regarding the link between EMP and malignancy. Is a certain
831 EMP state required for therapy resistance or metastasis? Is it the plasticity itself or the
832 population-level heterogeneity that confers these EMP-associated characteristics?
833 Which of the many states or transitions should we target therapeutically? Additionally,
834 new questions emerge from novel insights. For example, to what extent does cellular
835 noise drive pathological EMP? And, might this noise be targeted therapeutically?
836 Importantly, whereas dozens of individual EMP programmes have been analysed in
837 depth, the search for unifying principles, consistent across systems, continues.

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1833

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1839 **Competing interests**

1840 The authors declare no competing interests.