1	UV-C and wet heat resistance of Bacillus thuringiensis biopesticide endospores compared to						
2	foodborne Bacillus cereus endospores						
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#### 26 Abstract

27 Bacillus endospores (spores) are generally resistant to environmental and food processing-related 28 stress including thermal and non-thermal processing in the food industry, such as pasteurization, and 29 UV-C inactivation. Bacillus thuringiensis insecticidal crystals and spores as the active substances in 30 commercial biopesticides can also be introduced to vegetable foods and their food processing environment due to pre-harvest treatment of edible crops. The resistance of B. thuringiensis 31 32 biopesticide spores in comparison to the genetically closely related foodborne B. cereus against heat 33 and UV-C treatment is investigated in this study. The results show that B. thuringiensis biopesticide 34 spores with the commercial granulated product formulation are better protected and as such more 35 resistant to both wet heat (D values at 90°C: 50.1-79.5 min) and UV-C treatment (D values at 0.6 mW/cm<sup>2</sup>: 7.5-8.9 min) than the pure spore suspension. The enhanced UV-C resistance properties of B. 36 37 thuringiensis-formulated spores also indicate that the B. thuringiensis spores in powder or granule 38 formulation applied in the field might not be effectively inactivated by solar radiation (UV-A and UV-B) 39 in a short period. Furthermore, the spores of one emetic *B. cereus* toxin-producing strain (LFMFP 254; 40 a Belgian outbreak strain) were found more resistant to the wet heat at 90°C (D<sub>90</sub>-value=71.2 min) than 41 other tested pure spore suspensions, although the spores of B. cereus 254 did not show different 42 behavior against UV-C treatment. This result suggests that UV-C treatment can be applied as an 43 effective inactivation method against *B. cereus* 254 spores.

Keywords: B. thuringiensis biopesticides, B. cereus, endospore, UV-C resistance, heat resistance

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#### 55 1 Introduction

56 In order to extend the shelf stability and assure the safety of food products, many thermal and non-57 thermal technologies are used in the food industry (Boateng, 2022; Jayathunge et al., 2019). As a non-58 thermal technology, ultraviolet light C-region (UV-C) at a wavelength of 254 nm is considered an 59 effective microbial decontamination technology due to its germicidal and sporicidal properties (Gayán 60 et al., 2013; Gómez-López et al., 2007; Guerrero-Beltrán and Barbosa-Cánovas, 2004; Rajkovic et al., 61 2017). UV-C processing is a rapid disinfection technology with low cost, generates no harmful chemical 62 residues, and causes no significant product heating (Gómez-López et al., 2007; Guerrero-Beltrán and 63 Barbosa-Cánovas, 2004). However, the drawback of UV-C treatment is its low penetration capacity, sensitivity to shadowing effect and possible long treatment times, which limits the antimicrobial 64 efficacy (Gabriel et al., 2016) in foods. Therefore, UV-C has been most often used to disinfect air, water, 65 food contact surfaces and decontaminate liquid food, lower surface contamination of carcasses or 66 67 foods of plant origin (Bintsis et al., 2000; Gómez-López et al., 2007).

68 Thermal processing describing all forms of heat treatment including sterilization, pasteurization, 69 microwave heating, etc. has been commonly used for a long time. Thermal processing is one of the 70 most important technologies to provide the required level of food safety and prolong food shelf life by 71 eliminating spoilage microorganisms and pathogens in food, as well as inactivating enzymes and some 72 microbial metabolites (Sun, 2012). It is generally accepted that mild heat treatment of cooked chilled 73 food at 70 °C for 2 min and 90°C for 10 min achieves a 6 log (6D) reduction of Listeria monocytogenes 74 and nonproteolytic *Clostridium botulinum*, respectively (Bergis et al., 2021; Katherine Scurrah, 2010). 75 Besides C. botulinum, another key target psychrotolerant pathogenic spore-former is Bacillus cereus 76 sensu lato (s. l.) or B. cereus group, which must be controlled in the preparation and storage of cooked 77 chilled food (Daelman et al., 2013).

78 A survival strategy of *B. cereus s. l.* cells is to produce endospores (spores) which are highly specialized, 79 metabolic dormant, and resistant to extreme environmental stresses. If the environmental conditions become favorable, spores can germinate in the presence of germinating agents and grow out to reach 80 81 a considerable number in different environments including processed foods (Abee et al., 2011). The spore consists of a core surrounded by an inner membrane, a core wall (also known as a germ cell wall), 82 83 a cortex, an outer membrane, a coat layer, and some spores even possess an exosporium surrounding 84 the coat layer (Setlow et al., 2017). The structure and biochemical composition reveal that not only do 85 the multi-layers protects spores to survive under the harsh conditions, but also the germinant 86 receptors enable spores to detect their favorable specific germinants and then germinate (Abee et al., 87 2011; Moir and Cooper, 2015). The common germinants include nutrient germinants such as sugars, amino acids (e.g. L-alanine), purine nucleosides, inorganic salts, and non-germinant receptordependent germinants such as Ca<sup>2+</sup>-dipicolinic acid (CaDPA) or dodecylamine. However, spore germination can also be triggered by receptor-independent processes such as physical effectors including heat shock and high pressure (Abee et al., 2011; Moir and Cooper, 2015).

92 As one of the widely used biological control agents (BCAs) in the world, B. thuringiensis-based 93 biopesticides were registered with more than 400 formulations containing insecticidal proteins and 94 viable spores in the market (Jalali et al., 2020). These formulations are produced to improve the efficiency of B. thuringiensis-based products applied in the field, i.e. to increase the persistence and 95 96 stability of the excreted (crude) Cry-toxin responsible for insecticidal activity after application to the 97 plants. Three tested B. thuringiensis commercial products (XenTari®, DiPel® and Delfin®) in our study 98 are wettable granules that 'partially encapsulated' dried Cry-toxin crystals and B. thuringiensis spores 99 with different materials such as diatomaceous earth, talc and zeolites from Valent BioSciences or 100 Biobest. The filling powders and the active substances are stuck/pressed/limed together into granules 101 to prevent dust formation from the original powder (Townsend, 2018). Spores and insecticidal crystals 102 of B. thuringiensis as active substances in the commercial biopesticide can be introduced to edible 103 plants for controlling the insects (i.e. Lepidoptera) during pre-harvest operations and be present in 104 final foods derived thereof. It has been reported that some outbreaks seem to associate with B. 105 thuringiensis biopesticide strains in salads or dishes containing vegetables (Bonis et al., 2021; EFSA, 106 2016), whereas the magnitude of the risk of using *B. thuringiensis* biopesticides on edible plant is 107 debatable and raises many concerns in food safety. The spores of biopesticidal B. thuringiensis can also 108 enter and persist in the food processing environment or form biofilm on the food contact surface (Zhao 109 et al., 2022), and these spores might be a challenge for the industrial cleaning regime.

110 B. cereus group comprises 24 validated species (Parte et al., 2020) that are genetically closely related 111 to each other. B. cereus group is an etiological agent of two types of foodborne diseases: food 112 intoxication caused by emetic toxin-producing members and toxico-infection caused by diarrheal 113 toxin-producing members including B. thuringiensis (Biggel et al., 2022; De Bock et al., 2021; Jovanovic 114 et al., 2021). However, in routine food diagnostics, B. thuringiensis cannot be differentiated from other 115 B. cereus group members using standard selective agar (i.e. Mannitol Egg Yolk Polymyxin (MYP) agar), 116 and will be identified 'presumptive B. cereus' (De Bock et al., 2021; ISO 7932, 2020). To understand the 117 behavior of biopesticidal B. thuringiensis spores against the often-used thermal and non-thermal inactivation technologies in the food industry, wet heat (at 90 °C) and UV-C treatments (at 254 nm 118 119 with the fluence rate of 0.6 mW/cm<sup>2</sup>) were selected in this study. In addition, some other foodborne 120 B. cereus s. I. strains (particularly the diarrheal toxin producers) were selected as the comparisons to 121 glimpse the role of *B. thuringiensis* biopesticide strains in the *B. cereus* group.

#### 122 2 Materials and methods

#### 123 2.1 Bacterial strains

124 The *B. thuringiensis* biopesticide strains were isolated from the XenTari<sup>®</sup> WG, DiPel<sup>®</sup> WG and Delfin<sup>®</sup> 125 WG granules by plating the dissolved products on Mannitol Egg Yolk Polymyxin (MYP) agar (Oxoid) 126 plates and incubating for 24 h at 30°C. All B. cereus group strains were maintained at -75°C in 15% or 127 20% glycerol for long-term storage. The strains were revived in 9 mL brain heart infusion (BHI; Oxoid) 128 broth overnight at 30°C. Loops of the overnight cultures were streaked on Mannitol Egg Yolk Polymyxin 129 (MYP) agar (Oxoid) plates and incubated at 30°C for 18-24 h. After the incubation, the MYP agar plates 130 were stored at 4°C as the work stocks for a maximum of 4 weeks. The strains used in this study are 131 summarized in Table 1.

# 132 **2.2 Spore suspension preparation**

133 The spore suspension harvested from strengthened Nutrient Agar (sNA; 28 g/L Nutrient Agar (Oxoid) 134 + 0.04 g/L MgCl<sub>2</sub> (Sigma-Aldrich) + 0.10 g/L CaCl<sub>2</sub> (Sigma-Aldrich)) plates was performed according to 135 Samapundo et al. (2011) with little modification. However, B. cereus LFMFP 836 proved difficult to 136 sporulate on sNA using the previously mentioned protocol, thus the spores of this strain were prepared 137 based on the methods described by Begyn et al. (2020) using maltose sporulation medium (MSM). The 138 MSM consists of the following components: 5g/L Difco<sup>™</sup> nutrient broth (Becton Dickinson, USA), 10 139 mM maltose, 1 μM FeSO<sub>4</sub>, 1 mM MgCl<sub>2</sub>·6H<sub>2</sub>O, 1 mM Ca(NO<sub>3</sub>)<sub>2</sub>·4H<sub>2</sub>O, 12.5 μM CuCl<sub>2</sub>·2H<sub>2</sub>O, 2.5 μM 140 CoCl<sub>2</sub>·6H<sub>2</sub>O, 2.5 μM Na<sub>2</sub>MoO<sub>4</sub>·2H<sub>2</sub>O, 66 μM MnSO<sub>4</sub>·H<sub>2</sub>O, 12.5 μM ZnCl<sub>2</sub>, 5 mM (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> (Garcia et al., 141 2010). Vegetative cells were eliminated using ethanol-water (1/1) and incubated at 2°C for 1-2 h. The 142 final spore suspension stock (ca. > 99% are spores and > 90% are phase bright) was suspended in 143 100mM sodium phosphate buffer (pH 7.4) with 0.01% Tween 80 and was stored at 2°C, and then used 144 within 4 weeks. The concentration (10<sup>8</sup>-10<sup>9</sup> CFU/mL) was verified by spread-plating on TSA plates.

Except for lab-harvested spores, spore suspensions prepared by the *B. thuringiensis* commercial products were also investigated. One gram of granules of the commercial products (XenTari<sup>®</sup>, DiPel<sup>®</sup>, and Delfin<sup>®</sup>) were dissolved in 30 mL sterile distilled water (~10<sup>9</sup>cfu/mL of spores) under the agitation condition for 30 min, and the concentration was also checked by spread-plating on TSA plates.

#### 149 **2.3 UV-C treatment**

UV-C treatment of spores was performed according to Begyn et al. (2020) with some modifications.
This experiment was performed in a closed chamber with a UVpro K17-2 lamp (BioClimatic, The
Netherlands) on the top, and the distance between the lamp and sample (bottom) was 19.4 cm (Fig.
S1). A radiometer (ILT 1700) connected to XRD1407254 detector (International Light Technologies,

Peabody, USA) was used to measure the UV-C doses. Before each sample treatment, the UV-C lamp was allowed to warm up until stabilization by turning it on for 10 min (Fig. S2), and the treatment started at 11<sup>th</sup> min. The UV-C exposure doses to samples ranged from 36 to 252 mJ/cm<sup>2</sup> corresponding with a treatment duration of 1 to 7 min.

158 The spore suspension prepared in 2.2 was diluted in 100mM sodium phosphate buffer (pH 7.4) with 0.01% Tween 80 until reaching 10<sup>8</sup> CFU/mL spore concentration. Subsequently, 8 mL of the spore 159 160 suspension obtained above was transferred into a petri dish (Ø55mm) with a sterilized magnetic stir 161 bar. The depth of the spore suspension in the petri dish is ca. 3.4 mm. The petri dish was located in the 162 center of the bottom with the lid open, and spores were continuously stirred during the UV-C exposure 163 on a magnetic stirrer (RCT basic, IKA, China) setting the speed at ca. 750 rpm. After the treatment, the 164 lamp was turned off and cooled down for 15 min for the next treatment, and the surviving spores in 165 the petri dish were serially diluted in PPS with 0.01% Tween 80 and plated on TSA after 24 h incubation 166 at 30°C for enumeration. In addition, the initial spore concentration was counted both before and after 167 a heat treatment at 80°C for 10 min, to check if vegetative cells or geminated spores existed or not. 168 The prior heat treatment was only applied to one independent sample, not to the whole spore 169 suspension in stock.

#### 170 **2.4 Heat treatment**

171 A 50 µL aliquot of the spore suspension (both pure spore suspensions and commercial granule suspensions) with an initial concentration of 10<sup>8</sup> CFU/mL was transferred into a 0.2 mL sterile ultra-172 173 thin walled PCR tube (BIOplastics, The Netherlands). Heat treatment of spores was performed in a PCR thermocycler (ThermoFisher, USA) at 90°C with the lid temperature at 105°C with the following heat 174 175 block protocol: started at 25°C for 15 seconds to balance the temperature differences, then increase 176 the temperature to 90°C for 10, 30, 60, 90, or 120 minutes (each timepoint a separate run), and finally, 177 cooled at 4°C immediately to prevent germination. After the heat treatment, the surviving spores were 178 serially diluted in PPS with 0.01% Tween 80 and plated on TSA for enumeration. At t<sub>0</sub>, one independent 179 sample of the spore suspension was counted both before and after a heat treatment at 80°C for 10 180 min in the water bath to check if vegetative cells and germinated spores exist or not.

The same experiment set up for vegetative cells of tested strains was also performed at 90°C. The vegetative cell suspensions were obtained from the second overnight culture, centrifuged and resuspended in PPS + 0.01% Tween 80, and the initial concentrations of heat treatment also all started at 10<sup>8</sup> CFU/mL. The absence of spores were confirmed under phase-contrast microscopy (ZEISS Axioscope 5, Germany). As more heat-sensitive properties of vegetative cells, the treatment periods changed into 1, 2, 5, 10 and 20 min.

#### 187 2.5 Data analysis

- 188 Six pure spore suspensions harvested using sNA and *B. cereus* 836 pure spore suspension prepared
- using MSM, as well as 3 commercial *B. thuringiensis* granule suspensions (XenTari<sup>®</sup>, DiPel<sup>®</sup>, and Delfin<sup>®</sup>)
- 190 were tested for both UV-C and wet heat treatment. Vegetative cells of seven strains as listed in Table
- 191 1 were tested only for wet heat treatment. All experiments were performed three times on three
- 192 different days for three biological replicates, and the means of triplicate data points were analyzed
- using GInaFiT inactivation model-fitting tool (Geeraerd et al., 2005).
- For UV-C and heat inactivation, different models of tested strains were fitted including log-linear, loglinear + tail and shoulder + log-linear, the equations are expressed in Table 2.
- 196 In the equations, N is the counts (CFU/mL) of survivors after exposure time t; N<sub>0</sub> and N<sub>res</sub> represent the
- initial and residual population (CFU/mL), respectively;  $k_{max}$  (1/min) is the maximum specific inactivation rate; S<sub>I</sub> donates the shoulder period. D is determined as the time required to cause a 90% population
- 199 reduction (1 log10 reduction) of the microbial count.
- D-values (min) for both heat and UV-C inactivation for each strain were calculated based on thetransformed equation below:

$$D = \frac{\ln(10)}{k_{max}} + S_l$$

203 When log-linear or log-linear + tail model was identified, S<sub>I</sub> equaled to 0.

In addition, statistical analysis was performed in SPSS<sup>®</sup> version 28 (IBM, USA). The log reductions at each exposure time point among strains were analyzed by one-way ANOVA followed by Tukey HSD or Games-Howell post hoc test for equal variances or unequal variances, respectively. Statistical differences of D values among strains were also analyzed by the same way above. A statistically significant difference was indicated by a p-value of less than 0.05.

# 209 3 Results

# 210 3.1 UV-C inactivation of spores

With the increase of UV-C (0.6 mW/cm<sup>2</sup>) exposure time from 1 min to 7 min and the corresponding delivered UV-C dose of 36 to 252 mJ/cm<sup>2</sup> (Fig. 1), the survival spores of tested strains followed different inactivation models (Fig. S3). The inactivation model of three *B. thuringiensis* commercial granule suspensions (XenTari<sup>®</sup>, DiPel<sup>®</sup> and Delfin<sup>®</sup>) followed the shoulder + log-linear model, the UV-C inactivation curves of xentari, dipel, delfin, *B. thuringiensis* 464, *B. cereus* 836 and *B. cereus* 257 spores fitted log-linear + tail model, and only *B. cereus* 254 spores data were found to fit log-linear model. The 217 log reductions at each time point and the significant differences among strains are shown in Fig. 2A. 218 From 1 to 7 min (corresponding UV-C exposure from 36 to 252 mJ/cm<sup>2</sup>), significantly fewer (p < 0.05) 219 log reductions of three B. thuringiensis commercial granule suspensions than the other pure spore 220 suspensions were observed. However, only at the UV-C exposure of 1 min (36 mJ/cm<sup>2</sup>), significant 221 differences (p < 0.05) were found among 7 pure spore suspensions. From UV-C exposure time of 2 to 222 7 min (corresponding UV-C exposure from 72 to  $252 \text{ mJ/cm}^2$ ), no significant differences (p > 0.05) were 223 found among 7 pure spore suspensions. After 7 min exposure to UV-C (252 mJ/cm<sup>2</sup>), less than 1 log 224 reduction of XenTari<sup>®</sup>, DiPel<sup>®</sup> and Delfin<sup>®</sup> were found, but ca. 4-5 log reductions of the other 7 lab-225 harvested pure spore suspensions were noted.

D values for UV-C treatment taking account into the shoulder length (S<sub>1</sub>) are shown in Table 3.  $D_{UV}$ values of spores in commercial *B. thuringiensis* granule suspensions ranged from a mean of 7.5 to 8.9 min, but  $D_{UV}$ -values of pure spores only ranged from a mean of 0.4 to 1.1 min. Significantly higher (p < 0.001)  $D_{UV}$ -values of spores in XenTari<sup>®</sup>, DiPel<sup>®</sup> and Delfin<sup>®</sup> suspensions than all tested pure spore suspensions were detected. However, no significant difference (p > 0.05) of  $D_{UV}$ -values was found in any pair of tested pure spores including the pure spore of xentari, dipel and delfin.

# 232 3.2 Heat inactivation of spores and vegetative cells

Spores in three tested *B. thuringiensis* commercial granule suspensions were found to have significantly higher (p < 0.001) D<sub>90</sub>-values (mean: 50.1-79.5 min) than the pure spores (mean: 12.9-18.4 min) except for *B. cereus* 254. The D<sub>90</sub>-value of *B. cereus* 254 spores (mean: 71.2 min) was significantly higher (p < 0.001) than the other six tested pure spores (Table 3).

The log reductions treated with wet heat at 90°C at each time point and the significant differences among strains are shown in Fig. 2B. From 30 to 120 min, significantly fewer (p < 0.001) inactivated spores were found in XenTari<sup>®</sup>, DiPel<sup>®</sup>, Delfin<sup>®</sup> commercial granule suspensions and *B. cereus* 254 spore suspension than in the rest of six tested spore suspensions. After heat treatment at 90°C for 120 min, ca. 6D (6 log reductions) was achieved for xentari, dipel, delfin, *B. thuringiensis* 464, *B. cereus* 836 and *B. cereus* 257 spores, but only ca. 2D was found for XenTari<sup>®</sup>, DiPel<sup>®</sup>, Delfin<sup>®</sup>, and ca. 3D was noted for *B. cereus* 254.

In order to check if the vegetative cells of *B. cereus* 254 are also resistant to heat treatment or not, the wet heat treatment (at 90°C for 1, 2, 5, 10 and 20 min) for vegetative cells was performed. All tested strains fitted the log-linear + tail inactivation model in GInaFiT (graphs not shown), D<sub>90</sub>-values of vegetative cells were calculated presented in Table 3. The D<sub>90</sub>-values of vegetative cells ranged from a mean of 0.3 to 0.5, and no any significant difference (p > 0.05) was detected among strains (Table 3). Thus, the log reductions after each exposure period are shown in Fig. 3. At 1 and 5 min, the vegetative

cells of *B. cereus* 254 showed significantly lower (p < 0.05) log reductions than the vegetative cells of the other tested strains, except for delfin at 1 min. After exposure for 2 and 10 min, some significant differences between *B. cereus* 254 and other strains were also found, but not with all. However, it is worth noting that most significant differences are less than 1 log reduction. An 8-log decrease in 20 min at 90°C for the vegetative cells was noted, and no significant differences (p > 0.05) were observed for the vegetative cells in any comparisons of tested strains. It is also worth noting that after the heat treatment at 90°C for 10 min, the mean log reductions of all vegetative cells reached more than 6D.

#### 257 4 Discussion

258 The formulation of *B. thuringiensis* commercial granule protects the *B. thuringiensis* spores inside 259 against UV-C radiation and heat treatment, but the corresponding pure spore suspensions harvested 260 in the lab are not found with more resistant properties against UV-C and heat treatment (90°C) than 261 the other tested B. thuringiensis or B. cereus spores. Our results of both D-values and the log 262 reductions reached at each time point (Table 3 & Fig. 2) all indicate more resistance of B. thuringiensis 263 spores with commercial formulation against either UV-C or heat treatment (90°C) than the pure spore 264 suspensions harvested in the lab. Many researchers have studied the enhanced UV protection of 265 different B. thuringiensis formulations (Behle et al., 2011; Jalali et al., 2020; Jallouli et al., 2014; Tamez-266 Guerra et al., 2000), but mainly focused on the UV radiations from the sunlight (UV-A and UV-B) for 267 the efficient application in the field (pre-harvest) instead of the artificial UV-C radiation (254 nm) as 268 often used as non-thermal inactivation technology in food processing industry. To our knowledge, this 269 is also the first time to report the enhanced heat resistance of *B. thuringiensis*-formulated spores. In 270 addition, our study was the first to report that unformulated B. thuringiensis biopesticide spores did 271 not show more resistant properties against UV-C and heat treatment (90°C) than the other tested B. 272 thuringiensis or B. cereus spores.

273 The spore form of one emetic toxin-producing strain (B. cereus LFMFP 254) from a Belgian outbreak 274 was found to be significantly more resistant to the wet heat at 90°C than other tested unformulated 275 spore suspensions, but the spore of B. cereus 254 did not show different behavior against UV-C 276 treatment than other tested unformulated spores. Previously published D<sub>90</sub>-values of *B. cereus* spores 277 in distilled water or PPS with 0.01% Tween 80 were variable ranging from 4.04 min to 39 min 278 (Fernández et al., 2001; Kim et al., 2021; Valero et al., 2006). Our results of D<sub>90</sub>-values of tested B. 279 thuringiensis and B. cereus pure spores (12.9-18.4 min) are in agreement with the published results 280 mentioned above, except for the B. cereus 254 spore. Our results show that the D<sub>90</sub>-value of B. cereus 281 254 spore is 71.2 min which is significantly higher than the  $D_{90}$ -values of other tested *B. thuringiensis* 282 and B. cereus spores (Table 3). This B. cereus 254 strain was isolated from one sample of the pasta

283 salads in a fatal family outbreak that happened in 2003, and the highest B. cereus count was detected in the pasta salad ranging from  $10^7$  to  $10^8$  CFU/g (Dierick et al., 2005). One hypothesis of the enhanced 284 285 heat resistance of B. cereus 254 spore is considered that the spores are survivors after the heating 286 during the preparation of the pasta for the salads at home, and the surviving spores evolved to obtain 287 enhanced heat resistance. A similar study has recently reported the reproducible evolution of B. 288 weihenstephanensis endospore for increased heat resistance (Kim et al., 2021). In addition, it has been 289 reported by other researchers that emetic B. cereus spores had higher resistance to heat than diarrheal 290 B. cereus spores (Carlin et al., 2006; Kwon et al., 2019). However, the enhanced resistance property of 291 B. cereus 254 spore was only found against heat treatment at 90°C rather than UV-C treatment (36 to 292 252 mJ/cm<sup>2</sup>). The different behaviors of *B. cereus* 254 spores can be explained by the different 293 inactivation mechanisms of spores by UV-C and wet heat treatment. The mechanism of microbial 294 inactivation by UV-C is mainly regarded as damage to DNA synthesis, and then a minor reason is 295 considered as damage to membranes, proteins and other macromolecules (Gómez-López et al., 2007). 296 For the spore resistance to wet heat, the major intrinsic factor is the core water content: a lower core 297 water content leads to reduced molecular mobility of core proteins and results in elevated higher 298 protein resistance to the wet heat (Setlow, 2014). In addition, many sporulation parameters i.e. solid 299 or liquid sporulation media, divalent metal ions, and sporulation temperature have an important effect 300 on heat resistance (Abhyankar et al., 2016; MAZAS et al., 1995). However, in our study, B. cereus 836 301 spore which is the only one harvested by the MSM liquid medium did not show significant differences 302 between the other spores harvested from sNA agar medium (Fig.2). Vegetative cells of B. cereus 254 303 did not show such increased wet heat resistance as its spores. Although some significant differences 304 were observed between B. cereus 254 and vegetative cells of other tested strains in some short 305 exposure time, its D<sub>90</sub>-value is quite close to the others as described above. Furthermore, after the 306 wet heat treatment at 90°C for 10 min, all tested vegetative cells reached more than 6 log reductions. 307 However, this conclusion could become different if the wet heat treatment temperature changed, i.e. 308 70 or 80 °C or even lower temperature applied.

#### 309 5. Conclusions

Our study reveals that the standard mild thermal pasteurization process (90°C, 10 min) is effective for inactivation of *B. cereus s. l.* vegetative cells, but leads only to a maximum 1 log reduction of the spores. Application of granulated formulations of *B. thuringiensis* biopesticides in the pre-harvest operations results in *B. thuringiensis* presence in the post-harvest stages, and in final foods in a form that is even more wet heat and UV-C resistant than the native spores themselves. The higher heat and UV-C resistance of commercially produced biopesticidal *B. thuringiensis* spores, make them difficult to eradicate from food processing environments by hygiene measures. The enhanced UV-C resistance

- 317 properties of *B. thuringiensis*-formulated spores also indicate that the *B. thuringiensis* biopesticides in
- powder or granule formulation applied in the field might not be effectively inactivated by the solar
- radiation (UV-A and UV-B) in a short period. This highlights that the instability and easy degradation
- 320 properties of 'B. thuringiensis biopesticides' upon UV or solar radiation might be true for the Cry-toxin,
- 321 but it is not the case for the *B. thuringiensis* spores in the formulation according to the present study.

# 322 Declaration of Competing Interest

- 323 The authors declare that they have no known competing financial interests or personal relationships
- that could have appeared to influence the work reported in this paper.

# 325 Acknowledgments

- 326 We would like to thank all non-author Master and Bachelor students who contributed to the
- 327 experimental work: Bo Vandenbulcke and Valentina Guarino. The authors also acknowledge the Ph.D.
- 328 grant from the China Scholarship Council for Xingchen Zhao.

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# 471 Table 1 *B. cereus s. l.* strains used in this study.

Species	LFMFP strain number	Collection strain number	Origin		
	xentari	ABTS-1857	XenTari <sup>®</sup> WG <sup>#</sup>		
	dipel	ABTS-351	DiPel <sup>®</sup> WG <sup>#</sup>		
B. thuringiensis	delfin	SA-11	Delfin <sup>®</sup> WG*		
	464	ATCC 10792 <sup>\$</sup>	Mediterranean flour moth		
<i>B. cereus</i> (Diarrhoeal	836	ATCC 14579 <sup>\$</sup>	A farmhouse in the United States in 1916		
toxin producer)	257	WIV 5958c	Boiled pasta, Kinrooi outbreak		
<i>B. cereus</i> (Emetic toxin producer)	254	LMG 22733 WIV 5964a	Pasta salad, Kinrooi outbreak		

472 <sup>\$</sup> Type strains

- 473 <sup>#</sup> Kindly provided by Valent BIoSciences LCC (Libertyville, IL, United States)
- 474 \*Kindly provided by Biobest (Westerlo, Belgium)

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476 **Table 2 Inactivation models used in this study using GInaFiT freeware tool.** 

	Reference (Bigelow and Esty, 1920)	
$log_{10}(N) = log_{10}(N_0) - \frac{k_{max}t}{\ln(10)} = log_{10}(N_0) - \frac{t}{D}$		
$log_{10}(N) = log_{10} \left( (N_0 - N_{res}) \cdot e^{-k_{max}t} + N_{res} \right)$	(Geeraerd et al., 2000)	
$\begin{split} log_{10}(N) &= log_{10}(N_0) - \frac{k_{max}t}{\ln(10)} \\ &+ log_{10} \left( \frac{e^{k_{max}S_l}}{1 + (e^{k_{max}S_l} - 1)} \cdot e^{-k_{max}t} \right) \end{split}$	(Geeraerd et al. <i>,</i> 2000)	
	$log_{10}(N) = log_{10} \left( (N_0 - N_{res}) \cdot e^{-k_{max}t} + N_{res} \right)$	

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480 Table 3 D values for *B. thuringiensis* and *B. cereus* spores or vegetative cells from UV-C treatment at

	Spore			Vegetative cell		
Strain	S <sub>I</sub> (mean ± SD; min)	D <sub>UV</sub> -value (mean ± SD; min)	S <sub>I</sub> (mean ± SD; min)	D <sub>90</sub> -value (mean ± SD; min)	Sı (mean ± SD; min)	D <sub>90</sub> -value (mean ± SD; min)
XenTari®	5.2±0.2	8.9±3.8ª	27.1±15.4	70.2±12.6 <sup>ab</sup>	-	-
DiPel®	4.6±0.3	7.5±0.9ª	-	50.1±3.6ª	-	-
Delfin®	4.6±2.0	7.9±1.2ª	-	79.5±9.2 <sup>b</sup>	-	-
xentari	-	0.7±0.2 <sup>b</sup>	-	15.9±1.0°	-	0.3±0.1ª
dipel	-	0.6±0.3 <sup>b</sup>	-	13.0±1.8°	-	0.4±0.0 <sup>a</sup>
delfin	-	1.1±0.7 <sup>b</sup>	-	12.9±0.8 <sup>c</sup>	-	0.5±0.0 <sup>a</sup>
Bt 464	-	1.1±0.5 <sup>b</sup>	-	14.3±1.3 <sup>c</sup>	-	0.4±0.1ª
Bc 836	-	0.8±0.1 <sup>b</sup>	-	18.4±1.5 <sup>c</sup>	-	0.4±0.0 <sup>a</sup>
Bc 257	-	0.4±0.1 <sup>b</sup>	-	14.9±4.6°	-	0.4±0.0ª
Bc 254	-	0.8±0.4 <sup>b</sup>	44.7±13.5	71.2±9.7 <sup>ab</sup>	-	0.5±0.0ª

**0.6 mW/cm<sup>2</sup> and wet heat treatment at 90°C.** 

482 S<sub>I</sub>, shoulder length; SD, standard deviation; Bt, *B. thuringiensis*; Bc, *B. cereus*; -, not applicable.

XenTari<sup>®</sup>, DiPel<sup>®</sup> and Delfin<sup>®</sup> were prepared as granule or powder suspensions directly from *B. thuringiensis* commercial products, the rests were prepared as pure spore suspensions.

Superscripts of D values in each column sharing different letters are significantly different (p < 0.05)</li>
from each other following the comparisons among strains.

496 Figure captions

497 Fig. 1. Estimated UV-C doses following time during the UV-C treatment at 0.6 mW/cm<sup>2</sup> against spores.

498 Fig. 2. Log reductions of *B. cereus s. I.* spores exposed to UV-C (A) treatment at 0.6 mW/cm<sup>2</sup> and wet 499 heat (B) treatment at 90°C following time. XenTari, DiPel, Delfin represent the commercial granule 500 suspensions, and xentari, dipel and delfin represent the corresponding pure spore suspensions. Bar 501 represents average value  $\pm$  SD. Bars sharing common small letters are not significantly different (p  $\geq$ 502 0.05) from each other following the comparisons among strains at the same exposure time. \*\*\* 503 indicates all counts from the triplicates were below the limit of detection (2 log CFU/mL), \* indicates 504 one out of three counts was below the limit of detection (2 log CFU/mL). Thus log N of the counts 505 below the limit of detection were all regarded as 2 log CFU/mL during the calculation. 'Bt' represents 506 B. thuringiensis, 'Bc' represents B. cereus.

Fig. 3. Log reductions of *B. cereus s. l.* vegetative cells exposed to wet heat treatment at 90°C following time. Bar represents average value  $\pm$  SD. Bars sharing common small letters are not significantly different (p  $\ge$  0.05) from each other following the comparisons among strains at the same exposure time. \*\*\* indicates all counts from the triplicates were below the limit of detection (1 log CFU/mL), \*\* and \* indicate two and one out of three counts were below the limit of detection (1 log CFU/mL), respectively. Thus log N of the counts below the limit of detection were all regarded as 1 log CFU/mL during the calculation. 'Bt' represents *B. thuringiensis*, 'Bc' represents *B. cereus*.

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Fig. 1

Exposure time (min)



В

Α

Exposure time (min)



527



# Exposure time (min)



Fig. 3



# 543 Supplementary materials



Fig. S1 Diagram of UV-C chamber and the treatment setting. The spore suspension sample was in a petri dish (Ø55mm, lid open) with a sterilized magnetic stir bar. The distance between the lamp and sample was 19.4 cm. A shield was put in for the 10-min warm-up period, and pulled out from the 11<sup>th</sup> min for the UV-C exposure. The speed of the magnetic stirrer was always set at five. Since the lamp was on, the chamber was closed totally. The lamp was cooled with the door of the chamber (not shown in the figure) open for at least 15 min between each treatment.

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- 554 **Fig. S2 Fluence rate of the UV-C lamp over time before and after all experiments in this study.** Each
- dot represents the mean ± standard deviation (SD) of three measurements performed on the same
- day. The red and blue lines show the fluence rates of the UV-C lamp in January 2021 and August 2021
- 557 corresponding to the start and the end of the UV-C experiments, respectively.





Fig. S3. Survival curve of spores after the UV-C treatment (0.6 mW/cm<sup>2</sup>) following exposure time.
The identified curves were given by GInaFiT fitting different models according to the mean. Three
measurements (indicated as symbols labeled with 1st, 2ed and 3rd) were also shown in the plots. 'Bt'

- 563 represents *B. thuringiensis*, 'Bc' represents *B. cereus*. XenTari, DiPel, Delfin represent the commercial
- 564 granule suspensions, and xentari, dipel and delfin represent the corresponding pore spore suspensions.