| 1  | Intensification of liquid-liquid emulsification process in a   |
|----|--|
| 2  | rotating solid foam stirrer tank: experiments and modeling   |
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| 9  |  |
| 10 | Abstract   |
| 11 | Process intensification equipment is playing an increasingly role in emulsion  |
| 12 | process. A novel rotating solid foam stirrer tank (RSFST) is developed in this work to   |
| 13 | try to intensify liquid-liquid emulsification process. The effects of operating parameters   |
| 14 | and physical parameters (emulsification time, rotational speed, packing size, dispersed  |
| 15 | phase volume fraction and continuous-phase viscosity) on the droplet size distribution   |
| 16 | (DSD) and Sauter mean diameter $d_{32}$ of oil-in-water emulsions produced in RSFST  |
| 17 | were investigated using kerosene-water as the system. To benchmark the emulsion  |
| 18 | performance of the RSFST, the Rushton (RT) stirrer is used a reference stirrer and the   |
| 19 | generated droplet size and average energy dissipation rate as evaluation metrics. Based  |
| 20 | on the experiment data of emulsification under different operating conditions and  |
| 21 | physical parameters, models are applied to describe the bimodal distribution of oil-in-  |
| 22 | water emulsions droplet size, and correlation is proposed to predict the Sauter mean   |

diameter *d*<sub>32</sub> of RSFST. This work provides fundamental data for practical application
 of RSFST used in emulsion process intensification.

3 4

#### 5 1. Introduction

6 Emulsification of two immiscible liquids is an important unit operation in the chemical, petroleum and food industries<sup>1</sup>. From the perspective of its fundamentals, 7 droplet size distribution plays an important role in the emulsion process and has a 8 significant impact on heat and mass transfer efficiency<sup>2</sup>. In general, the emulsions are 9 10 formed by the dynamic equilibrium of droplet coalescence and breakup. The former mainly leads to the formation of a larger drop from two or more smaller drops, and the 11 latter leads to the formation of smaller drops from a larger one. The commonly used 12 13 emulsifying equipment in industry is a flat blade or curved blade turbine agitator<sup>3</sup>. The flat blade has high shear force and turbulent diffusion capacity, which is especially 14 suitable for shear dispersion operation. The curved blade belonging to the straight blade, 15 16 and because of its special backward curved structure, it has better discharge performance and is suitable for emulsification operation. Although the equipment are 17 easy to operate and mature technology, it is hard to provide higher energy to produce 18 small dispersed phase droplets smaller, and only the energy dissipation rate near the 19 stirrer is high, resulting in low equipment efficiency<sup>4</sup>. With the development of process 20 intensification equipment, some intensified emulsifying equipment <sup>5</sup>, such as high-21 shear mixer, high-pressure homogenizer and ultrasonic emulsifier have been widely 22 studied <sup>6</sup>. High-shear mixer is a new type of process intensification device, which uses 23

mechanical rotation at high speed so that the material is subjected to strong shearing in 1 the stator-rotor gap to obtain emulsions with smaller droplet size <sup>7</sup>. However, its high 2 3 energy consumption of the motor and complex geometric structure hinders its application. High-pressure homogenizers operate at high pressures of 10-40 Mpa to 4 obtain extremely high velocities of the dispersed phase. After passing through the 5 orifices, the droplets are crushed into tiny droplets by the dual effects of expansion by 6 loss of pressure and impact collision at high speed<sup>8</sup>. The pressurization process is 7 energy intensive as well. Ultrasonic emulsification equipment crushes and disperses 8 9 droplet by the cavitation effect of ultrasound, which can quickly generate emulsions with smaller droplet size <sup>9</sup>. However, it has the weakness of low processing capacity. 10 Equipment with low energy consumption, large processing capacity and the ability to 11 12 generate fine droplets are the targeted characteristics for liquid-liquid emulsification.

A RSFST is a novel type of a multi-phase stirred tank equipped with a porous 13 ceramic packing instead of the traditional stirring paddles <sup>10</sup>. The key feature of an 14 15 RSFST is that a strong centrifugal force and shear force are induced simultaneously from the mechanical rotation of the porous foam packing, which shows a strong ability 16 to intensify gas-liquid contact and increase interfacial area. In recent years, the reactor 17 has demonstrated advantages in gas-liquid processes such as catalytic oxidation of 18 glucose<sup>11</sup>, catalytic hydrogenation of styrene<sup>12</sup>, mass transfer of ozone and degradation 19 of acidic red B by foam packed loaded catalyst in semi-intermittent operation<sup>13</sup>, 20 degradation of acidic red B and *p*-nitrophenol by ozone in continuous operation<sup>14</sup>, 21 micro-mixing efficiency<sup>15</sup> and liquid-solid mass transfer<sup>16</sup>. Moreover, the corporation 22

| 1  | of Spin Chem AB developed the similar RSFST with 300-2000 mL reaction volume to                       |
|----|---|
| 2  | intensify the bio-catalytic reaction process <sup>14</sup> . Previous research showed that due to the |
| 3  | special structure of the stirrer in RSFST, it has good mixing efficiency, large processing            |
| 4  | capacity, low energy consumption and the ability to produce fine dispersed phase.                     |
| 5  | However, the porous structure of the stirrer makes the flow pattern in RSFST more                     |
| 6  | complex, resulting in the lack of certain theoretical support for its application. For the            |
| 7  | targeted application in this study, i.e. emulsification process intensification, the RSFST            |
| 8  | can bring benefits.   |
| 9  | To the best of our knowledge, there are no reports on the study of liquid-liquid                      |
| 10 | emulsification in this kind of stirred tank design. Therefore, in this work, a systematic             |
| 11 | experimental study is conducted to study the effects of operating conditions and                      |
| 12 | physical parameters (emulsification time, rotational speed, packing size, dispersed                   |
| 13 | phase volume fraction and continuous-phase viscosity) on droplet size distribution and                |
| 14 | Sauter mean diameter. The performance of RSFST as well as the energetic efficiency is                 |
| 15 | evaluated by comparing it with Rushton agitator as a reference.                                       |

## 17 2. Theoretical background

## 18 2.1 Mechanistic models

According to different operating conditions and parameters, different models and theories are proposed to predict the size of droplets in emulsifying equipment<sup>17</sup>. It is generally believed that in turbulent flow, droplets can be broken by inertial stress or viscous stress, which depends on the size of droplets and the length scale of the smallest eddies  $\eta_k^{18}$ . According to Kolmogorov's theory<sup>19</sup>, the length scale of the smallest eddies  $\eta_k$  depends on the energy dissipation rate and kinematic viscosity

3 
$$\eta_k = \left(\frac{v_c^3}{\varepsilon}\right)^{\frac{1}{4}}$$
 (1)

4 where  $v_c$  is the kinematic viscosity of the continuous phase and  $\varepsilon$  is the local energy 5 dissipation rate.

6 When the inertial stress is greater than the interfacial tension stress in droplets 7 breaking up process, the largest drop size in turbulent flow could be estimated by 8 Kolmogorov's local isotropy theory<sup>20</sup>. The maximum drop size  $(d_{max})$  is correlated to 9 dimensionless Weber number<sup>21, 22</sup>.

10 
$$\frac{d_{max}}{D} = C_1 W e^{-0.6}$$
 (2)

11 where *D* is the impeller diameter,  $C_1$  is the dimensionless constant and *We* is the 12 dimensionless Weber number. The Weber number shows the turbulence intensity and 13 the physicochemical properties of the system<sup>23</sup>.

14 
$$We = \frac{\rho_c N^2 D^3}{\sigma}$$
(3)

15 where  $\rho_c$  is the density of the continuous phase, N is the impeller speed and  $\sigma$  is the 16 interfacial tension.

17 Sprow<sup>24</sup> proposed that the Sauter mean diameter  $d_{32}$  are proportional to 18 maximum stable droplet diameter  $d_{max}$ 

19 
$$d_{32} = C_2 d_{max}$$
 (4)

## 20 Where the Sauter mean diameter $d_{32}$ is

21 
$$d_{32} = \frac{\sum_{i=1}^{i} f_n(d_i) d_i^3}{\sum_{i=1}^{i} f_n(d_i) d_i^2} = \frac{\sum_{i=1}^{i} f_\nu(d_i)}{\sum_{i=1}^{i} \frac{f_\nu(d_i)}{d_i}}$$
(5)

$$1 \qquad d_{32} = \frac{6\Phi}{a} \tag{6}$$

In the above equations, d<sub>i</sub> is the ith droplet diameter, f<sub>n</sub>(d<sub>i</sub>) is the frequency by
number of the droplets of the *i*th diameter, f<sub>v</sub>(d<sub>i</sub>) is the frequency by volume of the
droplets of the *i*th diameter and a is the interface area per unit volume.

Eq. (4) makes  $d_{max}$  and  $d_{32}$  interchangable and has been widely used with several values for  $C_2$  being reported in literature, e.g.  $C_2=0.45$  in the study of Nishikawa et al.'s work<sup>25</sup>,  $C_2 = 0.16$  in a study of a rotor-stator mixer<sup>26</sup> are found. As such,  $d_{max}$  is replaced by  $d_{32}$  in Eq. (2)

9 
$$\frac{d_{32}}{D} = C_3 W e^{-0.6}$$
 (7)

10 where  $C_3$  is the dimensionless constant obtained experimentally depending on the tank 11 geometry and impeller type<sup>21</sup>.

12 The effect of the dispersed phase volume fraction  $\Phi$  can be accounted by the 13 modification of mechanistic models with a function of  $\Phi$ , when the dispersion is well 14 stabilized against coalescence and the rheological behavior does not alter<sup>27</sup>.

15 
$$\frac{d_{32}}{D} = C_4 (1 + C_5 \Phi) W e^{-0.6}$$
 (8)

16 where  $C_4$  and  $C_5$  depend on the impeller type and the coalescence tendency 17 respectively. High values of  $C_5$  represent a tendency of droplets to coalesce easily<sup>28</sup>. 18 The values of  $C_5$  vary between 0.5 and  $20^{1, 29}$ .

According to Stamatoudis and Tavlarides<sup>30</sup> the following phenomenological models of drop breakage and coalescence functions was proposed for liquid-liquid dispersion in stirred vessels.

1 
$$r'_b(d) = C_6 N(t) A'(d) exp\left(-\frac{C_7 \sigma}{\mu_c^{-m} \rho_c^{m+1} N^{m+2} dD^{2m+3} T^2}\right)$$
 (9)

#### 2 coalescence frequency of droplets

3 
$$F'(d,d') = C_8 N^2(t) A'^2(d) d^3 \left(\frac{\rho_c^{m+1} N^{m+3}}{\mu_c^{m+1}}\right)^{1/2} exp\left(-\frac{C_9 N D^2}{T^2}\right) D^{(2m+5)/2}$$
 (10)

where N(t) is total number of droplets at time t per unit dispersion volume, A'(d) is
the fraction of droplets with diameter d, T is the tank diameter, m is the slope of the loglog plot of impeller power vs. impeller Reynold's number.

#### 7 2.2 Droplet size distribution

8 The polydispersity of the DSD is another important characteristic of the dispersion 9 system. In general, three types of the distribution can be found in literature: normal 10 distribution, log-normal distribution and Fréchet distribution.

11 Chen and Middleman<sup>31</sup> fitted a normal distribution to their DSD by volume.

12 
$$P_{v}(d_{i}) = \frac{1}{\sqrt{2\pi}\sigma_{d}} \exp\left[-\frac{(d_{i}-\bar{d}_{i})^{2}}{2\sigma_{d}^{2}}\right]$$
 (11)

13 where  $P_{\nu}(d_i)$  is the probability density function for droplet size distribution by volume, 14  $\bar{d}_i$  is the mean diameter in the normal distribution, and  $\sigma_d$  is the respective standard 15 deviation.

Hall et al.<sup>32</sup> and Chatzi et al.<sup>33</sup> found that for a bimodal distribution, Eq. (11) fails
to describe the bimodal volume distribution due to the innate properties of the function.
Arai et al.<sup>34</sup> and Vankova et al.<sup>35</sup> found that log-normal distribution can better
describe bimodal distributions by number.

20 
$$P_n(d_i) = \frac{1}{d_i \sqrt{2\pi}\sigma_{\ln(d)}} \exp\left[-\frac{\left(\ln(d_i) - \overline{\ln(d_i)}^2\right)}{2\sigma_{\ln(d)}^2}\right]$$
(12)

21 where  $\overline{\ln(d_i)}$  is the mean of  $\ln(d_i)$  and  $\sigma_{\ln(d)}$  its standard deviation.

Liu et al.<sup>36</sup> emulsified  $9.6 \times 10^{-3}$ - $426 \times 10^{-3}$  Pa·s viscosity range crude oils in water

using a rotor-stator at different *N* and obtained bimodal DSDs. They transformed the
DSD by volume into a number distribution (see Eq. (13)). This conversion resulted in
a monomodal DSD by number. They further used three different probability density
functions to fit the DSD by number; namely a normal, a lognormal and a Fréchet
distribution. The results show that the Frechet distribution function fits the experimental
data well.

7 
$$f_n(d_i) = \frac{\frac{f_{\nu}(d_i)}{d_i^3}}{\sum \frac{f_{\nu}(d_i)}{d_i^3}}$$
 (13)

8 
$$P_n(d_i) = \frac{\alpha}{\beta} \left(\frac{\beta}{d_i}\right)^{\alpha+1} exp\left[-\left(\frac{\beta}{d_i}\right)^{\alpha}\right]$$
 (14)

9 where  $\alpha$  and  $\beta$  are obtained using the equations below

$$10 \qquad \beta^{\alpha} = \frac{n}{\sum_{i=1}^{n} \frac{1}{d_i^{\alpha}}} \tag{15}$$

11 
$$\frac{n}{\alpha} + n \ln \beta - \sum_{i=1}^{n} \ln d_i - \sum_{i=1}^{n} \left(\frac{\beta}{d_i}\right)^{\alpha} \ln \left(\frac{\beta}{d_i}\right) = 0$$
(16)

In this work, based on the above models, the DSD by number is described by normal distribution, log-normal distribution and Frechet distribution, and the linear relationship between Sauter mean diameter  $d_{32}$  and maximum stable droplet diameter  $d_{max}$  in bimodal volume DSD is studied. Finally,  $d_{32}$  was correlated using a modified model by considering the influence of the dispersed phase volume fraction on the droplet size.

#### 18 **3. Materials and methods**

#### 19 **3.1 Materials and reagents**

Distilled water and aqueous solutions of glycerin (gly#w) (Sinopharm Chemical
Reagent Co., Ltd.) were used as the continuous phase. Kerosene (Aladdin reagent
(Shanghai) Co., Ltd) is used as the dispersed phase. Tween 80 (Sinopharm Chemical

| 1 | Reagent Co., Ltd.) was added to the continuous phase as an emulsifier to avoid                     |
|---|--|
| 2 | coalescence of the dispersed phase. The critical micelle concentration of Tween 80,                |
| 3 | reported to be about 0.0013 wt% <sup>37</sup> , is less than the concentration of Tween 80 used in |
| 4 | the present work (0.0025 wt %). The densities of all fluids were measured by the                   |
| 5 | pycnometer method. A rheometer (MCR-302, Shanghai antonpaar Trading Co., Ltd)                      |
| 6 | was used for measuring the viscosities of the continuous phase and the dispersed phase.            |
| 7 | The interfacial tensions between the oils and the continuous phases were measured                  |
| 8 | using an automatic surface tensiometer (SFZL-E1, Beijing Pin Zhi Xin Precision                     |
| 9 | Instrument CO., Ltd). Results are shown in Table 1.  |
|   |  |

- 10
- 11

Table 1. Physical properties of all fluids investigated at 25°C

| dispersed<br>phase | continuous<br>phase | $\mu_{c}(mPa\cdot s)$ | $\rho_{c}  (kg/m^{3})$ | µd (mPa∙s) | $ ho_d$ (kg/m <sup>3</sup> ) | σ (mN/m) |
|--------------------|---------------------|-----------------------|------------------------|------------|------------------------------|----------|
| kerosene           | water               | 1.3                   | 1002.4                 | 1.4        | 811.2                        | 9.9      |
| kerosene           | (20 w/w) gly#w      | 1.8                   | 1050.4                 | 1.4        | 811.2                        | 9.7      |
| kerosene           | (30 w/w) gly#w      | 2.6                   | 1088.4                 | 1.4        | 811.2                        | 9.5      |
| kerosene           | (40 w/w) gly#w      | 3.1                   | 1115.8                 | 1.4        | 811.2                        | 9        |
| kerosene           | (50 w/w) gly#w      | 5.1                   | 1127.8                 | 1.4        | 811.2                        | 7.8      |

## 13 **3.2 Experimental equipment**

Figure 1(a) shows a schematic structure of the RSFST used in this study. A foam packing material is used as the stirrer. The packing is discharged into a circular block with a height (h) of 5 cm, an inner diameter  $(D_i)$  of 5 cm, and a outer diameter  $(D_o)$  with four different sizes (15, 13, 11 and 9 cm). It is made of Al<sub>2</sub>O<sub>3</sub> hydrophobic material with a porosity of 0.88 and an average pore size of 0.09 cm (pore density 20 ppi),

manufactured by Shanghai Precision Industrial Ceramics Co. The stirrer is installed in 1 a transparent PMMA tank of diameter T=20 cm and height H=20 cm. The distance 2 3 between the bottom of the foam packing and the tank bottom (C) is 3 cm. The tank inner wall and lid are equipped with four baffles of 1 cm thickness and width (B). The 4 liquid inlet is located 8 cm from the center of the cover. Sampling port is installed at 5 the center of the tank bottom. Meanwhile, Figure 1(b) shows a schematic structure of 6 the Rushton stirrer (RT), a 6-blade stirrer with a diameter (D') of 7 cm and a blade size 7  $(1\times w)$  of  $1.75 \times 1.4$  cm. It is made of stainless steel as a reference stirrer for the 8 9 comparison study. It is equipped in the same size of the tank, but the distance to the tank bottom (C') is 6.7 cm. 10

11 The energy consumptions of two stirred tank are calculated based on the applied 12 torque measured by a torque sensor (Beijing Zrn Instrument Technology Co., Ltd). The 13 power consumption is expressed as

$$14 \quad P_{in} = 2\pi N M - 2\pi N M_n \tag{17}$$

where  $P_{in}$  is the power consumption (W), M is the torque (N·m), and  $M_n$  is the torque (N·m) of the bearing loss correction, measured using water and no impeller attached.

In order to compare the two impellers, the performance of two stirred tank are evaluated based on the estimated average energy dissipation rate. The average energy dissipation rate  $\varepsilon_{avg}$  can be calculated from the power input  $P_{in}$ :<sup>38</sup>

21 
$$\varepsilon_{avg} = \frac{P_{in}}{\rho_c V_H}$$
 (18)

22 where  $V_H$  is the working liquid volumes (L).



1 2

Figure. 1. Schematic structurers of (a) RSFST and (b) RT stirrer

### 4 **3.3 Experimental procedure**

5 The operating conditions are shown in Table 2. The surfactant solution was prepared by charging the vessel with distilled water and then the required amount of 6 Tween 80. A rotational speed of 100 rpm was used to ensure a well-mixed condition. 7 8 After a short period of agitation, the required amount of oil was added into the top surface of the mixture of water and surfactant. Once this was completed high speed 9 10 stirrer was switched on and the timer started. A sample of 30 ml of the emulsion was collected at the sampling point. The sample was then taken to the NKT6100-C wet laser 11 particle sizer (Shandong NKT analysis instrument Co., Ltd) to measure the droplet size 12 distribution. Each sample was analyzed three times. The experiment was repeated three 13 times under the same operating conditions, and the average error was no more than 5%. 14

Table 2. Operating conditions

| Items                               | Values                         |
|-------------------------------------|--------------------------------|
| Rotational speed (rpm)              | 200, 250, 300, 350             |
| Packing size (cm)                   | 9, 11, 13, 15                  |
| Dispersed phase volume fraction (%) | 0.75, 2, 3, 5                  |
| Continuous-phase viscosity (mPa·s)  | 1.3, 1.8, 2.6, 3.1, 5.1        |
| Emulsification time (min)           | 10, 20, 30, 40, 50, 60, 70, 80 |
| Working liquid volumes (L)          | 4                              |

#### 3 4. Results and discussion

## 4 4.1 Droplet diameter distribution and Sauter mean diameter $d_{32}$

#### 5 **4.1.1 Effect of emulsification time**

6 The droplet diameter distribution of kerosene-water emulsion is shown in Figure 2(a). The droplet diameter distribution of emulsion shows the form of bimodal droplet 7 size distribution (DSDs). The changes of D<sub>10</sub>, D<sub>50</sub> and D<sub>90</sub> values in the volume 8 distribution with emulsification time are shown in supplementary material Table 1S. 9 With the increase of emulsification time, the droplet diameter distribution shifted to the 10 smaller droplet direction, and the proportion of small droplet peak increased. Figure 11 2(b) shows that the Sauter mean diameter  $d_{32}$  decreases with the increase of 12 emulsification time and higher agitation speed can significantly decrease the  $d_{32}$ . The 13 droplet breakup is related to the energy input provided by RSFST. At the beginning, it 14 is relatively easy to brake the big oil droplets and the droplet diameter decreases 15 obviously. 16

17 With the continuous reduction of the diameter of the oil droplets, more energy is 18 required for further fragmentation, thus the tendency of the Sauter mean diameter  $d_{32}$ 

| 1  | decreasing with the emulsification time will gradually slow down until the equilibrium              |
|----|---|
| 2  | between the fragmentation and coalescence is reached. The experimental data, shown                  |
| 3  | in Figure 2(b) as the solid points, is then fitted to an exponential first order equation $^{39}$ , |
| 4  | shown in Figure 2(b) as the solid lines. The lowest regression value of the fitting is              |
| 5  | 0.99. This equation gives an indication of the approach to the equilibrium Sauter mean              |
| 6  | diameter $d_{32}$ and allows estimating the equilibrium value <sup>39</sup> . It can be seen from   |
| 7  | Figure 2(b) that the larger the rotational speed, the shorter the balancing time. This is           |
| 8  | because the higher the rotational speed, the stronger the shear effect, and the liquid is           |
| 9  | broken into smaller droplets, which increases the surface area of the liquid in oil-water           |
| 10 | two-phase contact and promotes the emulsification process. It can be seen from Figure               |
| 11 | <b>2(b)</b> that the larger the dispersed phase volume fraction, the equilibrium time increases.    |
| 12 | This is because the larger the content of oil phase, the more oil phase needs to be                 |
| 13 | emulsified, and thus the emulsification time increases. It can be found from Figure 2(b)            |
| 14 | that at t=80 min, the Sauter mean diameter $d_{32}$ reaches a stable value. Therefore, when         |
| 15 | examining the influence of other variables on the Sauter mean diameter $d_{32}$ , the               |
| 16 | emulsification time is set to 80 min.   |



Figure. 2. Effect of emulsification time on (a) the droplet diameter distribution and (b) Sauter mean diameter  $d_{32}$ 

3

#### 4 4.1.2 Effect of rotational speed

Figure 3(a) shows the effect of rotational speed on droplet size distribution. The 5 changes of D<sub>10</sub>, D<sub>50</sub> and D<sub>90</sub> values in the volume distribution with rotational speed are 6 7 shown in supplementary material Table 2S. With the increase of rotational speed, the DSD shifts to the direction of the smaller diameter peak. Figure 3(b) shows the effects 8 of the rotational speed on the Sauter mean diameter  $d_{32}$  in the RSFST. With the 9 increase of rotational speed, greater centrifugal force and shear force are generated in 10 RSFST, more energy is input per unit time, energy dissipation rate and shear rate 11 12 increase, resulting in the decrease of  $d_{32}$ . In addition, it can also be found from Figure 3(b) that, within the given dispersed phase volume fraction range, the higher the rotation 13 speed, the smaller the d32, but the decrease percentage is different. At a fixed dispersed 14 phase volume fraction  $\Phi$ =0.75%,  $d_{32}$  decreases from 18.19  $\mu$ m at 200 rpm to 12.91 15  $\mu$ m at 350 rpm, a decrease of 29%. At a fixed dispersed phase volume fraction  $\Phi$ =5%, 16  $d_{32}$  decreases from 24.72 µm at 200 rpm to 19.65µm at 350 rpm, a decrease of 21%. 17 Where the smaller the dispersed phase volume fraction, the greater the decrease 18 percentage. This is because the smaller the dispersed phase volume fraction, the greater 19 the energy obtained per unit oil phase, and therefore the greater the influence of rotating 20 21 speed on it. For distilled water as the continuous phase, the Kolmogorov length scale  $\eta_k$  is calculated to be on the order of 10.8–17 µm based on Eq. (1), corresponding to 22

- 1 the rotational speed of 200–350 rpm. Applying Kolmogorov-Hinze theory, the droplet
- 2 breakup is mainly affected by inertial stress as the majority of drop sizes are greater
- 3 than the  $\eta_k^{32}$ .



Figure. 3. Effect of rotation speed on (a) the droplet size distribution and (b) Sauter mean diameter  $d_{32}$ 

6

4

5

#### 8 4.1.3 Effect of dispersed phase volume fraction

The effect of different dispersed phase volume fraction on the droplet diameter 9 10 distribution is investigated and the results are detailed in Figure 4(a). The changes of D<sub>10</sub>, D<sub>50</sub> and D<sub>90</sub> values in the volume distribution with dispersed phase volume fraction 11 are shown in supplementary material Table 3S. As the dispersed phase volume 12 fraction increases, the DSD shifts towards the larger droplet size, the liquid-liquid two-13 phase fragmentation becomes increasingly difficult, and the peaks of the smaller droplet 14 size are very faint and largely overlap. Figure 4(b) indicates that Sauter mean diameter 15  $d_{32}$  increases as  $\Phi$  increases, which is consistent with the results of literature<sup>1</sup>. The 16 reasons are twofold: 1) as the dispersed phase volume fraction increases, the energy per 17

unit time given per unit mass of dispersed phase through the foam filler subsequently 1 decreases, resulting in an increase in Sauter mean diameter  $d_{32}$ . 2) The Sauter mean 2 diameter  $d_{32}$  increases as dispersed phase volume fraction increases may be attributed 3 to the turbulence dampening<sup>40</sup>. As the dispersed phase volume fraction increases, the 4 turbulence intensity of the continuous phase fluid decays, and some smaller-scale 5 turbulent vortices containing lower energy disappear, resulting in a weakening of their 6 droplet-breaking effect, and the droplets cannot be further broken and can only move 7 with the larger-scale turbulent vortices, with the result that the droplet diameter of the 8 9 emulsion increases. Therefore, the increase of the dispersed phase volume fraction dampens the turbulent eddies, leaving the  $\eta_k$  much larger than the droplet diameters. 10 As a result, weakening of its droplet breaking effect and the droplet cannot be broken 11







14

Figure. 4. Effect of dispersed phase volume fraction on (a) the droplet size

15

rigure. 4. Effect of dispersed phase volume fraction on (a) the droplet sh

distribution and (b) Sauter mean diameter  $d_{32}$ 

16

17 4.1.4 Effect of continuous-phase viscosity

| 1  | The effect of different continuous phase viscosities on the droplet diameter                                    |
|----|---|
| 2  | distribution was investigated and the results are detailed in Figure 5(a). The changes                          |
| 3  | of $D_{10}$ , $D_{50}$ and $D_{90}$ values in the volume distribution with continuous phase viscosity           |
| 4  | are shown in supplementary material Table 4S. The results show that as the                                      |
| 5  | continuous-phase viscosity increases, the DSD shifts first towards the small droplet                            |
| 6  | diameter and then towards the large droplet diameter. The volume percentage of small                            |
| 7  | droplet size increases with the increase of continuous phase viscosity. According to the                        |
| 8  | Kolmogorov-Hinze theory, the increase of continuous phase viscosity leads to the                                |
| 9  | increase of the length scale of the smallest eddies $\eta_k$ . Therefore, the average diameter                  |
| 10 | of droplets is close to or less than $\eta_k$ , and viscous stress plays an important role in                   |
| 11 | droplet breakage. As the role of viscous stress in the fragmentation process of droplets                        |
| 12 | becomes more significant, it results in an increase in the volume percentage of the small                       |
| 13 | drop size <sup>1</sup> . In addition, it can be seen from Figure 5(b) that with the increase of                 |
| 14 | continuous phase viscosity, the Sauter mean diameter $d_{32}$ first decreases and then                          |
| 15 | increases. The dynamic equilibrium is mainly affected by the coalescence frequency                              |
| 16 | because of relatively smaller droplet sizes present. The slope values m of the power                            |
| 17 | number curve is positive. Therefore, the coalescence frequency given by Eq. (10)                                |
| 18 | decreases with increasing $\mu_c$ , resulting in the decrease of $d_{32}$ . Li et al. <sup>42</sup> showed that |
| 19 | the droplet diameter is proportional to the ratio of surface tension to density of the liquid.                  |
| 20 | Adding glycerol to distilled water can not only adjust the viscosity of the continuous                          |
| 21 | phase, but also slightly reduce the interfacial tension and increase the density of the                         |
| 22 | continuous phase (see Table 1). Therefore, with the increase of continuous phase                                |

viscosity, the Sauter mean diameter d<sub>32</sub> decreases. With the further increase of the μ<sub>c</sub>,
 the breakage rate given by Eq. (9) finally decreases faster than the coalescence rate
 with the result that d<sub>32</sub> reaches a minimum and then starts increasing, which is
 consistent with the results obtained by Stamatoudis et al <sup>30</sup>.



5

6 Figure. 5. Effect of continuous-phase viscosity on (a) the droplet size distribution and

7

(b) Sauter mean diameter  $d_{32}$ 

8

#### 9 4.1.5 Effect of packing size

10 The effect of different packing size on droplet diameter distribution was investigated and the results are detailed in Figure 6(a). The changes of  $D_{10}$ ,  $D_{50}$  and 11 12 D<sub>90</sub> values in the volume distribution with packing size are shown in **supplementary** material Table 5S. The results show that as the packing size increases, the DSD shifts 13 14 towards smaller droplet sizes and the droplet diameter distribution becomes narrower. And shown in Figure 6(b), the Sauter mean diameter  $d_{32}$  also decreases as the 15 packing size increases. With the increase of packing size, the generated centrifugal 16 force and average energy dissipation rate increase with the increase of radius. Therefore, 17

1 the shear rate is expected to increase with a higher packing diameter, resulting in the



2 reduction of the Sauter mean diameter  $d_{32}$ .

Figure. 6. Effect of packing size on (a) the droplet size distribution and (b) Sauter mean diameter  $d_{32}$ 

6

#### 7 4.1.5 Compared with the Rushton stirrer

Figure 7 shows the comparison of emulsion efficiency between RSFST and RT 8 9 stirrer with dispersed phase volume fraction of 0.75% and 5%. With the increase of emulsification time and energy dissipation rate, the Sauter mean diameter  $d_{32}$ 10 decreases gradually. At a fixed dispersed phase volume fraction  $\Phi$ =0.75%, for a 11 relatively low energy dissipation rate ( $\epsilon_{avg}$ = 0.296 W/kg for RT and  $\epsilon_{avg}$ = 0.287 W/kg 12 for RSFST), the Sauter mean diameter  $d_{32}$  of the emulsion produced by the RSFST 13 and RT stirrer was 17.53 µm and 19.68 µm, respectively; for relatively high energy 14 dissipation rate ( $\epsilon_{avg}$ = 0.679 W/kg for RT and  $\epsilon_{avg}$ = 0.658 W/kg for RSFST), the Sauter 15 mean diameter  $d_{32}$  of the emulsion produced by the RSFST and RT stirrer was 15.68 16  $\mu$ m and 17.73  $\mu$ m, respectively. With a fixed energy input, smaller droplet size can be 17

generated with RSFST compared to a RT. Figure 7(c-d) shows the comparison of droplet size distribution between RSFST and RT stirrer with dispersed phase volume fraction of 0.75% and 5%, respectively. With a fixed energy input, the RSFST droplet size distribution becomes narrower and shifts toward smaller droplet diameters compared to RT. This is a direct proof that RSFST shows a superior emulsion efficiency.





7 **Figure. 7.** Comparison between Sauter mean diameter  $d_{32}$  and droplet size distribution

of RSFST and RT stirrer at  $\Phi$ =0.75% and 5%

8

9

## 10 4.2 Droplet diameter distribution modelling

11 According to Eq. (13), the number DSD converted from the corresponding

bimodal volume DSD shows an obvious unimodal distribution under the smaller
 droplet diameter (< 1 μm) (see Figure 8). In this study, Frechet distribution (Eq. 14) is</li>
 used to describe the experimental data.

The normal distribution function, log-normal distribution function and Frechet 4 distribution function were used to fit the experimental data for comparison. See 5 supplementary material Figure 1S for the comparison of droplet size distribution 6 models at other rotation speeds. From Figure 8 normal distribution fails to describe the 7 experimental date while the log-normal distribution function can describe the 8 9 distribution trend of the but with a noticeable deviation in the peak and peak width. The Frechet distribution function fits the experimental data. The mean and standard 10 deviation of the Frechet distribution in Figure 8 (a) are 1.513 and 0.83 respectively, 11 12 and the mean and standard deviation of the Frechet distribution in Figure 8 (b) are 1.476 and 1.128 respectively. As shown by the droplet size distribution, a series of 13 smaller droplets (<  $3 \mu m$ ) were found in the experiment. The volume frequency of 14 15 smaller droplets is low, but the corresponding number frequency is quite high, resulting in a spike in the number frequency curve. Since Frechet distribution function is one of 16 the extreme value probability functions, the best fitting can be obtained<sup>36</sup>. 17

In previous research, Ramkrishna and colleagues <sup>43</sup> reported the self-similar breakup of droplet turbulence in stirred vessel. Wang and Calabrese <sup>44</sup> alternatively scaled droplet size using Sauter mean diameter and found that scaled size distributions for different operating conditions of col-lapsed onto one single curve. In this work, we use similar scaled variables to test self-similar evolution. **Supplementary material**  Figure 2S shows the scaled size distribution for different operating conditions. These
figures show that the scaled size distribution falls on a curve, which confirms that the
droplet breakup in the current system occurs in a self-similar manner and that the width
of the scaled size distribution is independent of the operating conditions.



5

Figure. 8. Comparison of experimental results ((a) and (b)) with the distribution
function of normal, log-normal, and Frechet

8

### 9 4.3 Relation for Sauter Mean Diameter $d_{32}$

## 10 4.3.1 Proportional relationship between $d_{32}$ and $d_{max}$

In a practical sense, the proportionality constant between  $d_{32}$  and  $d_{max}$  is of importance<sup>36</sup>. Under the various operating conditions in this study, the relationship between  $d_{32}$  and  $d_{max}$  is shown in **Figure 9**, where  $d_{max}$  is represented by  $d_{v95}$ (droplet diameter with cumulative volume fraction of 95%). Based on **Eq. (4)**, the constant  $C_2$  can be determined as 0.24 (The  $d_{32}$  and  $d_{max}$  are well fitted within a deviation of  $\pm 5\%$ , where R<sup>2</sup> is 0.896.), by fitting a linear relationship in **Figure 9**. It should be noted this value is different from the results of other studies. The

proportionality constant of  $d_{32}$  and  $d_{max}$  reported by Sprow<sup>24</sup>, Brown and Pitt<sup>45</sup> and 1 Calabrese et al.<sup>44</sup> are 0.38, 0.70 and 0.48-0.6 respectively. This difference is due to the 2 3 polydispersity of the size distribution as a result of the peaks appearing at smaller particle sizes (<3 µm). The contribution of smaller droplets is particularly important in 4 5 the size distribution in number, because it directly affects the phase interface area of the dispersed phase. According to the definition of  $d_{32}$  (see Eq. (6)), the increase of the 6 interface area of dispersed phase will lead to the decrease of  $d_{32}$  and the proportional 7 constant will decrease. 8



9

10

**Figure. 9.** Variation of  $d_{32}$  with  $d_{max}$ 

11

## 12 **4.3.2** Correlation for *d*<sub>32</sub>

13 Based on Eq. (8), the dispersed phase volume fraction is considered to have an

important effect on the droplet size. Correlating the experimentally measured Sauter 1 mean diameter  $d_{32}$  with dispersed phase volume fraction  $\Phi$  and the Weber number We, 2 Eq. (19) was obtained with a correlation coefficient of  $R^2 = 0.94$ . 3  $\frac{d_{32}}{D} = 0.018(1 + 10.49\Phi)We^{-0.6}$ (19)4 The value of  $C_5$  is 10.49 and values of  $C_5$  in the literature range from 0.5 to 20<sup>1</sup>. It 5 indicates a relatively low coalescence tendency. Figure 10 shows the correlation of the 6 Sauter mean diameter with the dispersed phase volume fraction and the Weber number. 7 A correlation within  $\pm 20\%$  deviation is proposed to predict the Sauter mean diameter 8  $d_{32}$  based on the Weber number and dispersed phase volume fraction. The correlation 9 is developed for RSFST. The results show that the prediction model of Sauter mean 10 diameter established by combining the mechanistic models and experimental results is 11 12 in good agreement with our data.



Figure. 10. Correlation of  $d_{32}$  with dispersed phase volume fraction and Weber number

## 3

#### 4 5. Conclusion

5 In this work, the emulsion process of kerosene-water is studied in an RSFST. The effect of varying rotational speed, dispersed phase volume fraction, continuous phase 6 viscosity and packing size on the Sauter mean diameter  $d_{32}$  and droplet size 7 distribution in RSFST is investigated. The results show that the droplet volume 8 9 distribution is bimodal for all emulsification conditions with a smaller (or secondary) peak appearing at around 3  $\mu$ m. The Sauter mean diameter  $d_{32}$  decreases with 10 11 increasing rotational speed and packing size and increases with increasing dispersed phase volume fraction. The Sauter mean diameter  $d_{32}$  decreases first and then 12

| 1  | increases with increasing continuous phase viscosity. With a bimodal distribution of the       |
|----|--|
| 2  | droplet volume, the linear relationship between the Sauter mean diameter $d_{32}$ and the      |
| 3  | maximum stable droplet diameter $d_{max}$ is still valid, but its proportionality constant     |
| 4  | is smaller than that of the single-peaked distribution. With a given energy input, a           |
| 5  | smaller droplet size can be generated in RSFST compared to a RT, indicating a superior         |
| 6  | emulsifications performance of RSFST. In contrast to normal and lognormal functions,           |
| 7  | the Frechet function can describe single-peaked number DSD very well. A correlation            |
| 8  | within $\pm 20\%$ deviation is proposed to predict the Sauter mean diameter $d_{32}$ based on  |
| 9  | the Weber number and dispersed phase volume fraction.  |
| 10 |  |
| 11 |  |
| 12 |  |
| 13 |  |
| 14 |  |
| 15 | Associated Content   |
| 16 | Supporting Information   |
| 17 | Effect of operating parameters and physical parameters on the $D_{10}$ , $D_{50}$ and $D_{90}$ |
| 18 | values; comparison of droplet size distribution models at other rotation speeds;               |
| 19 | cumulative droplet size distribution and the self-similar behavior under different             |
| 20 | physical and operating conditions  |
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| 7  |   |  |  |  |  |  |  |
| 8  | Nomencl   | ature  |  |  |  |  |  |
| 9  | <i>d</i> <sub>32</sub>  | Sauter mean diameter [m]   |  |  |  |  |  |
| 10 | $d_{max}$   | maximum stable droplet diameter [m]  |  |  |  |  |  |
| 11 | $d_{95}$  | Droplet diameter with cumulative volume fraction of 95% [m]                      |  |  |  |  |  |
| 12 | D   | impeller diameter [m]  |  |  |  |  |  |
| 13 | <i>C</i> <sub>1</sub> - <i>C</i> <sub>9</sub>                                   | constant [-]   |  |  |  |  |  |
| 14 | Ν   | rotation speed [rpm]   |  |  |  |  |  |
| 15 | d <sub>i</sub>  | the <i>i</i> th droplet diameter [m]   |  |  |  |  |  |
| 16 | $f_n(d_i)$  | frequency by number of the droplets of the <i>i</i> th diameter [%]              |  |  |  |  |  |
| 17 | $f_v(d_i)$  | frequency by volume of the droplets of the <i>i</i> th diameter [%]              |  |  |  |  |  |
| 18 | $ar{d}_i$   | mean diameter in the normal distribution [m]                                     |  |  |  |  |  |
| 19 | $\overline{ln\left(d_{i}\right)}$   | mean in the log-normal distribution [m]  |  |  |  |  |  |

| 1  | $P_{v}(d_{i})$  | probability by volume of droplets of <i>i</i> th size [%]                     |
|----|-----------------|---|
| 2  | $P_n(d_i)$      | probability by number of droplets of <i>i</i> th size [%]                     |
| 3  | h               | height of the rotating solid foam stirrer [m]                                 |
| 4  | D <sub>i</sub>  | inner diameter of the rotating solid foam stirrer [m]                         |
| 5  | D <sub>o</sub>  | outer diameter of the rotating solid foam stirrer [m]                         |
| 6  | Т               | inner diameter of the tank [m]  |
| 7  | Н               | height of the tank [m]  |
| 8  | С               | distance between the bottom of the foam filler and the tank bottom [m]        |
| 9  | C'              | distance between the bottom of the Rushton and the tank bottom [m]            |
| 10 | D'              | diameter of the Rushton [m]   |
| 11 | P <sub>in</sub> | power consumption [W]   |
| 12 | М               | torque [N·m]  |
| 13 | $M_n$           | torque of the bearing loss correction $[N \cdot m]$                           |
| 14 | $V_H$           | working liquid volumes [m <sup>3</sup> ]                                      |
| 15 | N(t)            | total number of droplets at time t per unit dispersion volume                 |
| 16 | A'(d)           | the fraction of droplets with diameter $d$                                    |
| 17 | т               | the slope of the log-log plot of impeller power vs. impeller Reynold's number |

| 1 | а | the interface area per unit volume [1/ | m] |
|---|---|--|----|
| T | a | the interface area per unit volume [1/ | шJ |

# 2 Greek symbols

| 3  | $ ho_c$          | density of the continuous phase [kg/m <sup>3</sup> ]          |
|----|------------------|---|
| 4  | σ                | interfacial tension [N·m]                                     |
| 5  | Φ                | dispersed phase volume fraction [-]                           |
| 6  | $\sigma_d$       | standard deviation of the normal distribution [m]             |
| 7  | $\sigma_{ln(d)}$ | standard deviation of the log-normal distribution [m]         |
| 8  | $\mu_c$          | viscosity of the continuous phase [Pa·s]                      |
| 9  | $\mu_d$          | viscosity of the dispersed phase [Pa·s]                       |
| 10 | $ ho_d$          | density of the dispersed phase [kg/m <sup>3</sup> ]           |
| 11 | E <sub>avg</sub> | average energy dissipation rate per unit mass of fluid [W/kg] |
| 12 | ε                | energy dissipation rate per unit mass of fluid [W/kg]         |
| 13 | v <sub>c</sub>   | the kinematic viscosity of the continuous phase $[m^2/s]$     |
| 14 | $\eta_k$         | Kolmogorov length scale [m]                                   |
| 15 | α                | parameter in the Fréchet probability density function [-]     |
| 16 | β                | parameter in the Fréchet probability density function [-]     |
|    |                  |   |

17 Dimensionless numbers

1 We Weber number, 
$$\frac{\rho_c N^2 D^3}{\sigma}$$

2 Abbreviations

| 3 | RSFST | Rotating solid foam stirrer tank |
|---|-------|----------------------------------|
| 4 | RT    | Rushton                          |
| 5 | DSD   | Droplet size distribution        |
| 6 | Gly#w | Glycerinated water               |

<sup>7</sup> 

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## 12 Declaration of Competing Interest

- 13 The authors declare that they have no known competing financial interests or personal
- 14 relationships that could have appeared to influence the work reported in this paper.
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### 16 **Table of Contents graphic**

